



Donders Institute
for Brain, Cognition and Behaviour

Radboud University Nijmegen



UNIVERSITEIT TWENTE.

MIRA

INSTITUTE FOR BIOMEDICAL TECHNOLOGY AND TECHNICAL MEDICINE



Independent Component Analysis for Discovery and Inference Testing

Christian F. Beckmann

beckmann@fmrib.ox.ac.uk

c.beckmann@donder.ru.nl

NITP Summer Course
UCLA - 21/07/2011



Model-free Functional Data Analysis

MELODIC

Multivariate Exploratory Linear Optimised
Decomposition into Independent Components

- decomposes data into a set of statistically independent spatial component maps and associated time courses
- can perform multi-subject/ multi-session analysis
- fully automated (incl. estimation of the number of components)
- inference on IC maps using alternative hypothesis testing

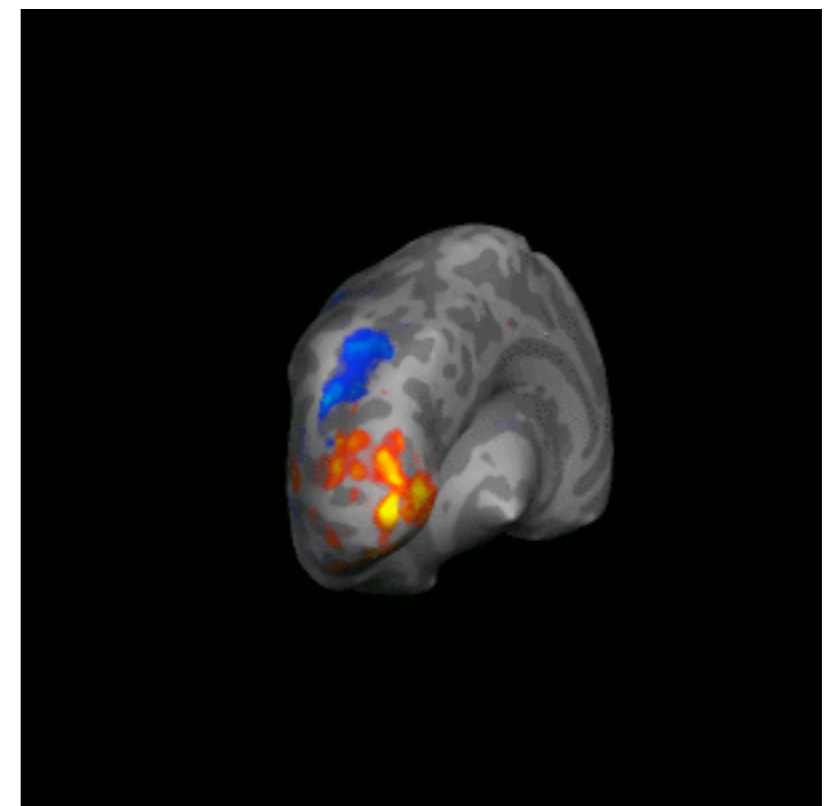


Model-free Functional Data Analysis

MELODIC

Multivariate Exploratory Linear Optimised
Decomposition into Independent Components

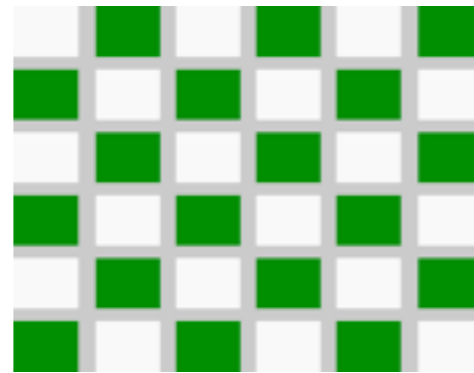
- decomposes data into a set of statistically independent spatial component maps and associated time courses
- can perform multi-subject/ multi-session analysis
- fully automated (incl. estimation of the number of components)
- inference on IC maps using alternative hypothesis testing





The fMRI inferential path

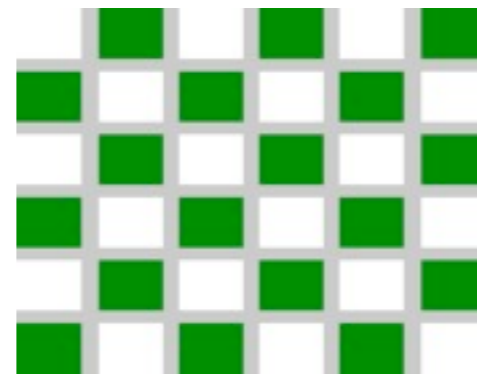
Experiment



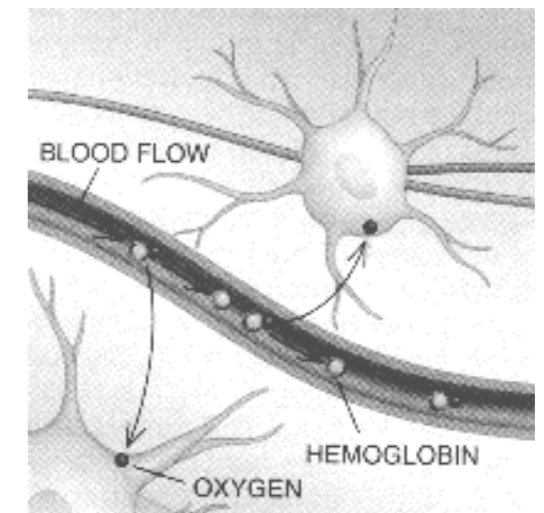


The fMRI inferential path

Experiment



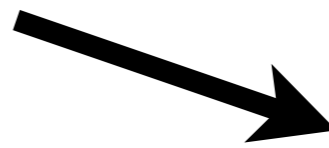
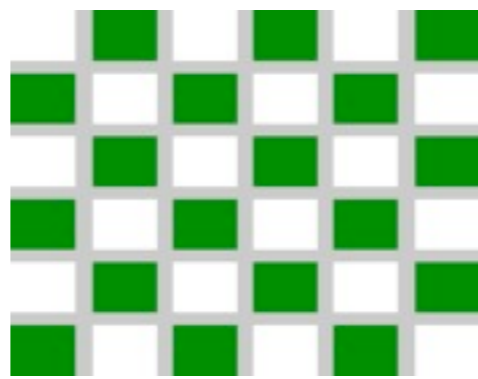
Physiology



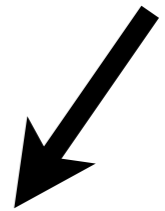
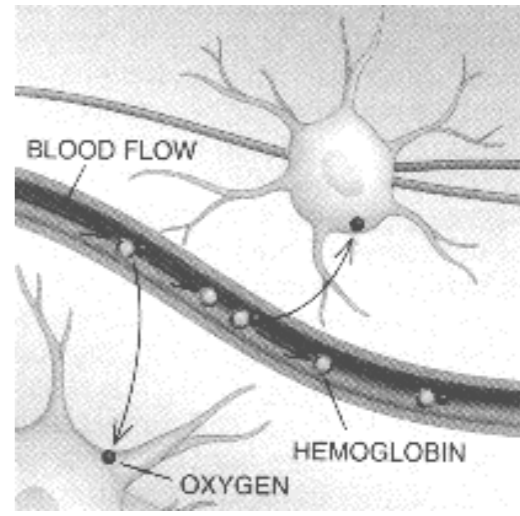


The fMRI inferential path

Experiment



Physiology



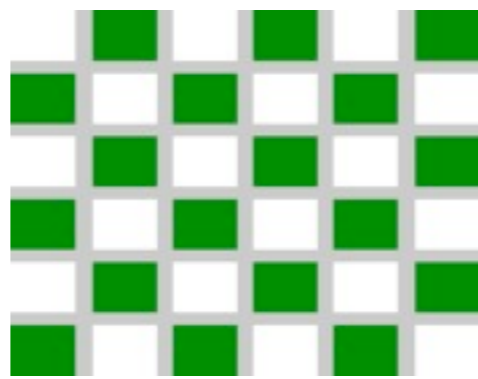
MR Physics



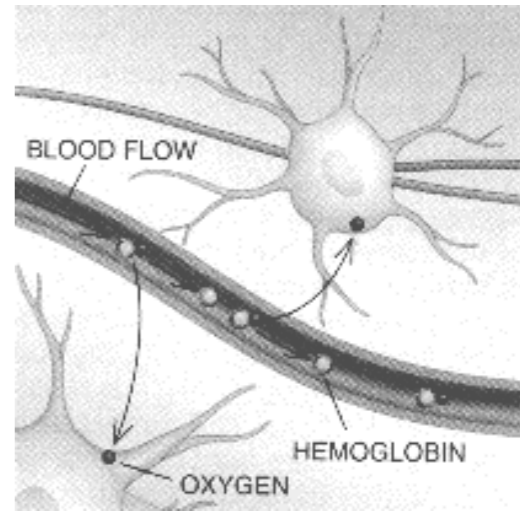


The fMRI inferential path

Experiment



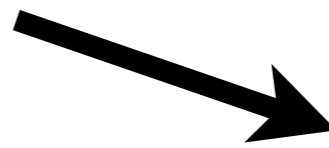
Physiology



Analysis

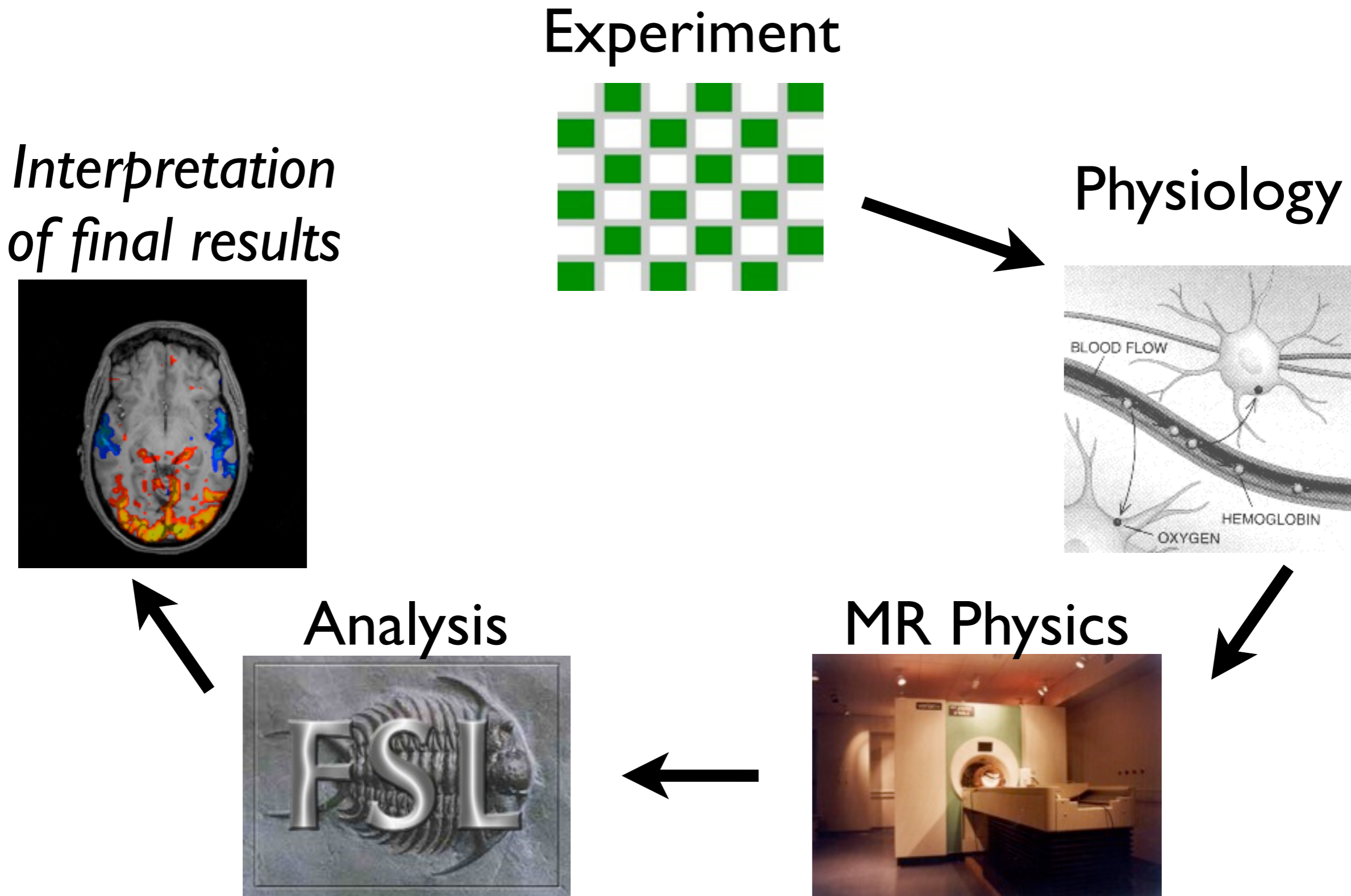


MR Physics





The fMRI inferential path



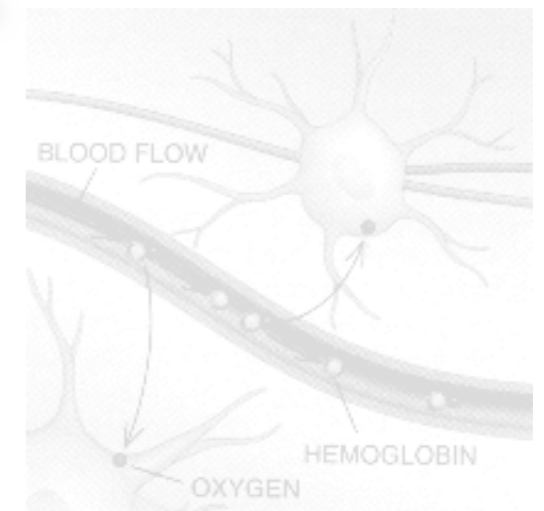


Variability in fMRI

Experiment

*suboptimal event timing,
inefficient design, etc.*

Physiology



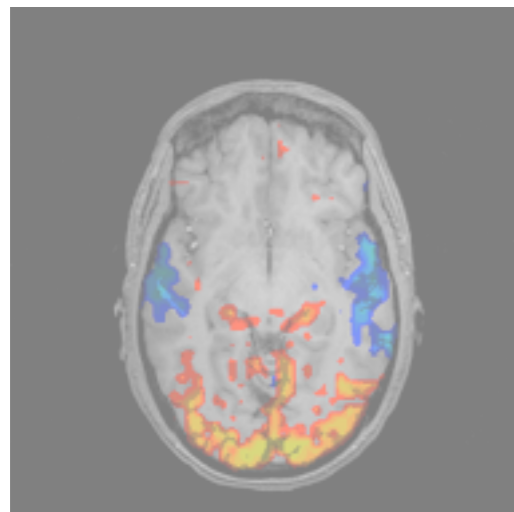
MR Physics



Analysis



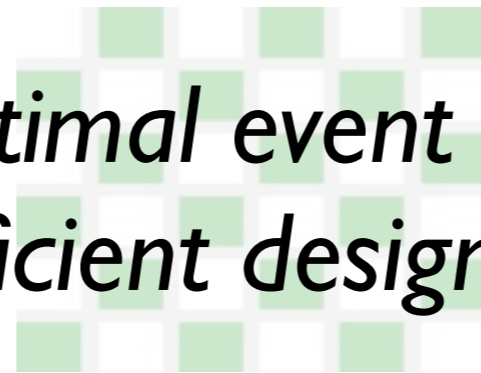
*Interpretation
of final results*





Variability in fMRI

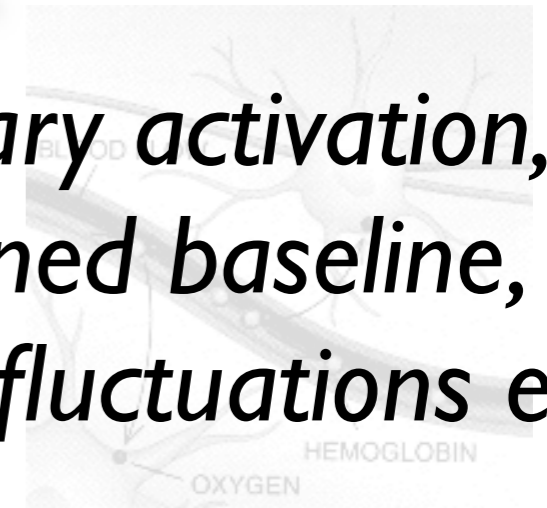
Experiment



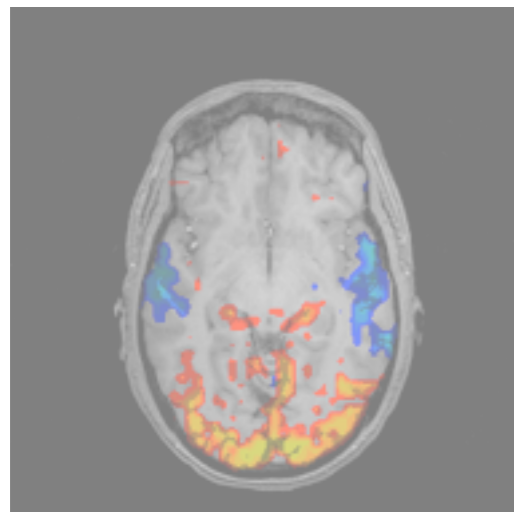
*suboptimal event timing,
inefficient design, etc.*

Physiology

*secondary activation, ill-
defined baseline,
resting-fluctuations etc.*



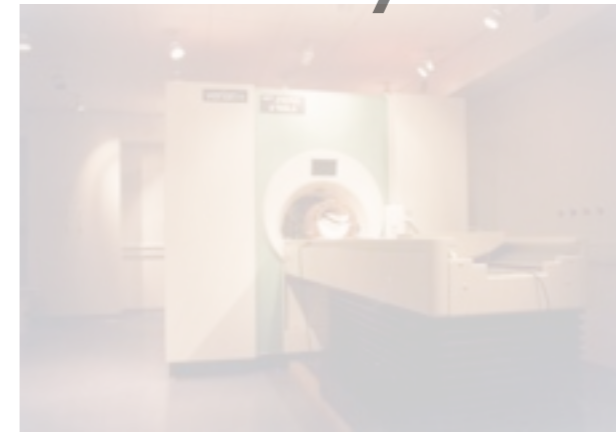
*Interpretation
of final results*



Analysis



MR Physics





Variability in FMRI

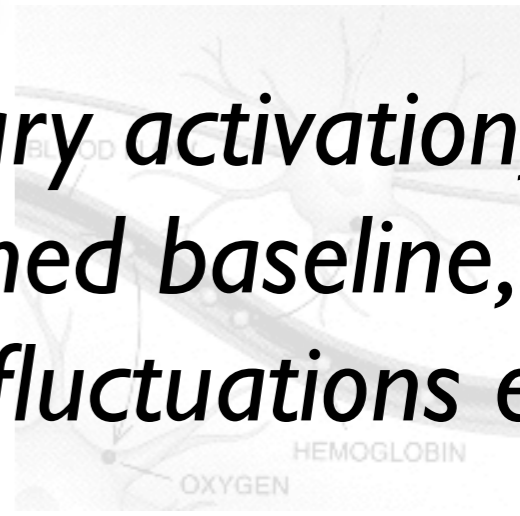
Experiment



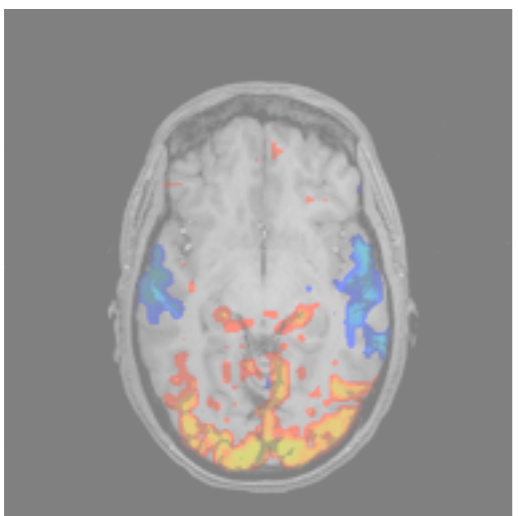
*suboptimal event timing,
inefficient design, etc.*

Physiology

*secondary activation, ill-
defined baseline,
resting-fluctuations etc.*



*Interpretation
of final results*



Analysis



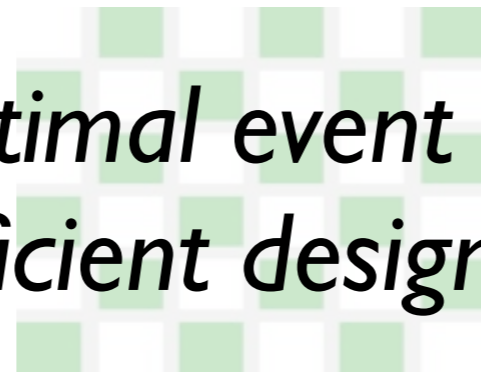
MR Physics

*MR noise,
field inhomogeneity,
MR artefacts etc.*



Variability in FMRI

Experiment

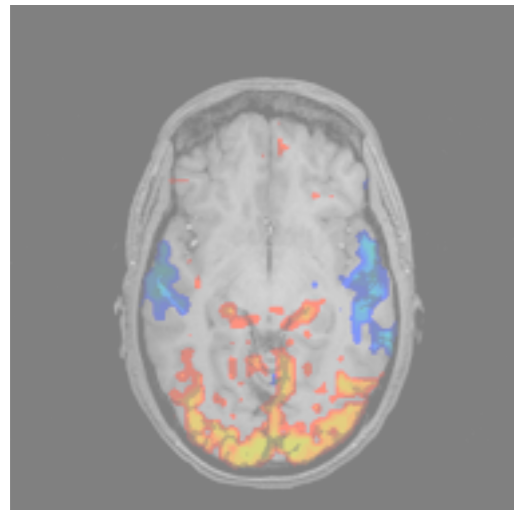
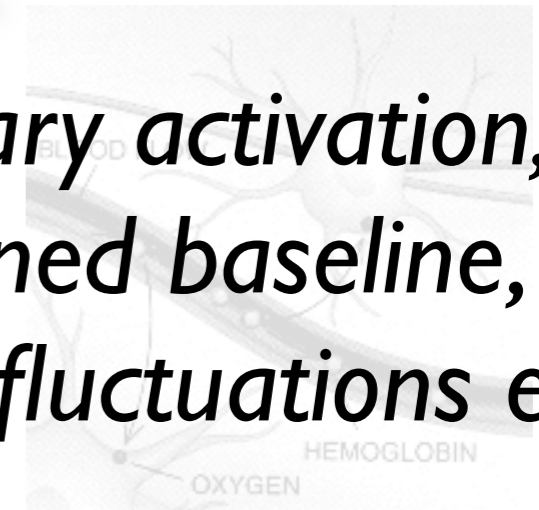


Interpretation of final results

suboptimal event timing, inefficient design, etc.

Physiology

secondary activation, ill-defined baseline, resting-fluctuations etc.



Analysis

filtering & sampling artefacts, design misspecification, stats & thresholding issues etc.

MR Physics

MR noise, field inhomogeneity, MR artefacts etc.



Data Analysis

Confirmatory

- *“How well does my model fit to the data?”*

Problem → Data →

Model → Analysis

→ Results

- results depend on the model



Data Analysis

Confirmatory

- *“How well does my model fit to the data?”*

Problem → Data →

Model → Analysis

→ Results

- results depend on the model

Exploratory

- *“Is there anything interesting in the data?”*

Problem → Data →

Analysis → Model

→ Results

- can give unexpected results



EDA techniques

- try to ‘explain’ / represent the data
 - by calculating quantities that summarise the data
 - by extracting underlying ‘hidden’ features that are ‘interesting’



EDA techniques

- try to ‘explain’ / represent the data
 - by calculating quantities that summarise the data
 - by extracting underlying ‘hidden’ features that are ‘interesting’
- differ in what is considered ‘interesting’
 - are localised in time and/or space (Clustering)
 - explain observed data variance (PCA, FDA, FA)
 - are maximally independent (ICA)



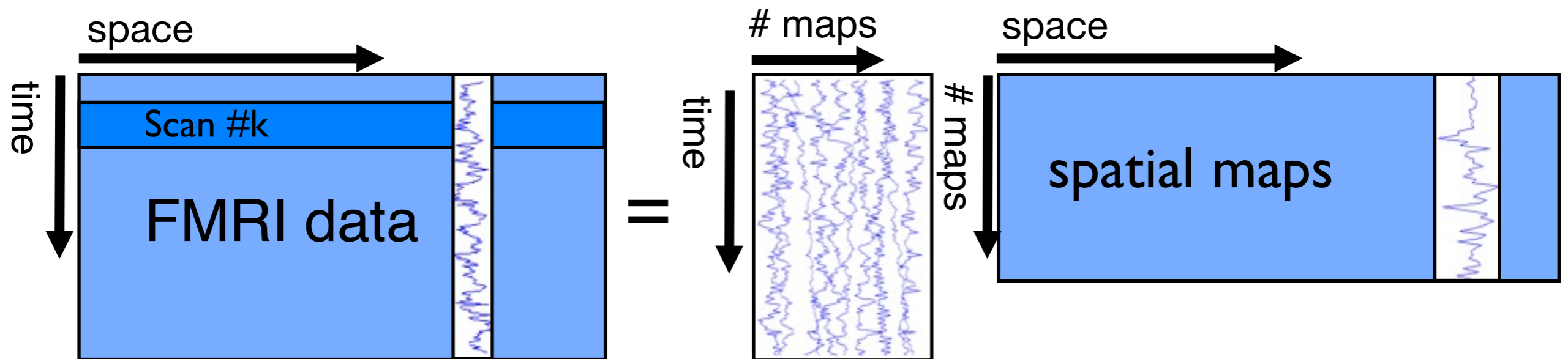
EDA techniques

- try to ‘explain’ / represent the data
 - by calculating quantities that summarise the data
 - by extracting underlying ‘hidden’ features that are ‘interesting’
- differ in what is considered ‘interesting’
 - are localised in time and/or space (Clustering)
 - explain observed data variance (PCA, FDA, FA)
 - are maximally independent (ICA)
- typically are multivariate and linear



EDA techniques for fMRI

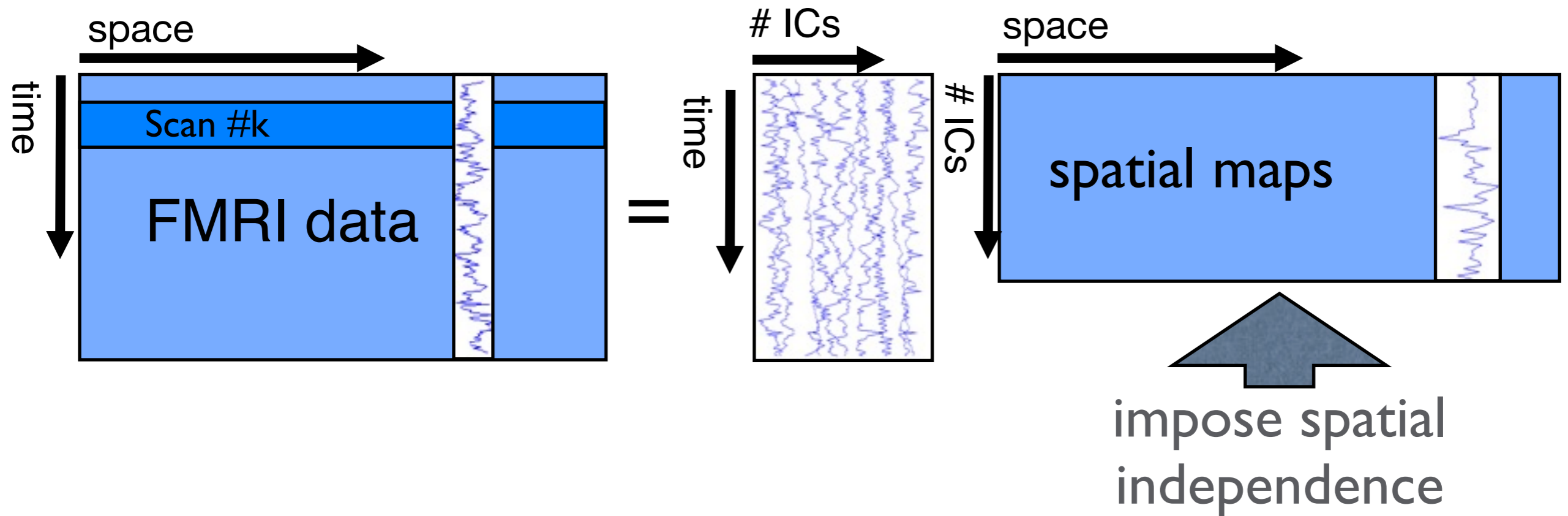
- are mostly multivariate
- often provide a multivariate linear decomposition:



Data is represented as a 2D matrix and decomposed into factor matrices (or modes)



Spatial ICA for FMRI



- data is decomposed into a set of **spatially independent** maps and a set of time-courses



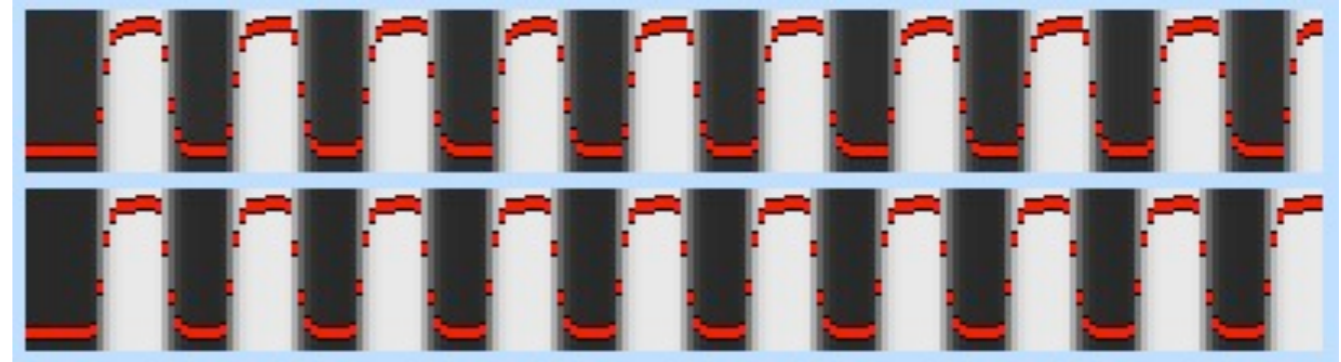
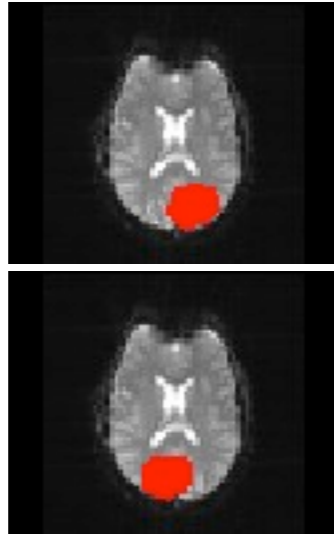
McKeown et al.
HBM 1998



PCA vs. ICA ?

Simulated
Data

(2 components, slightly
different timecourses)

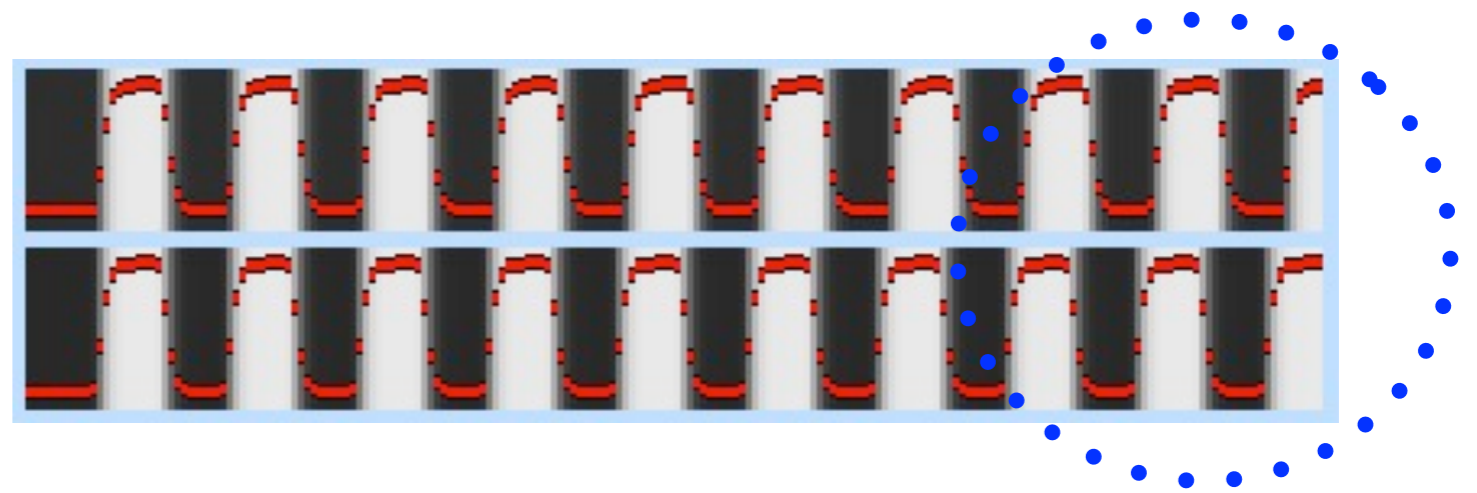
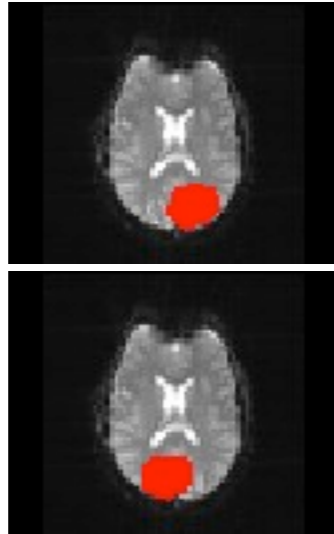




PCA vs. ICA ?

Simulated
Data

(2 components, slightly
different timecourses)

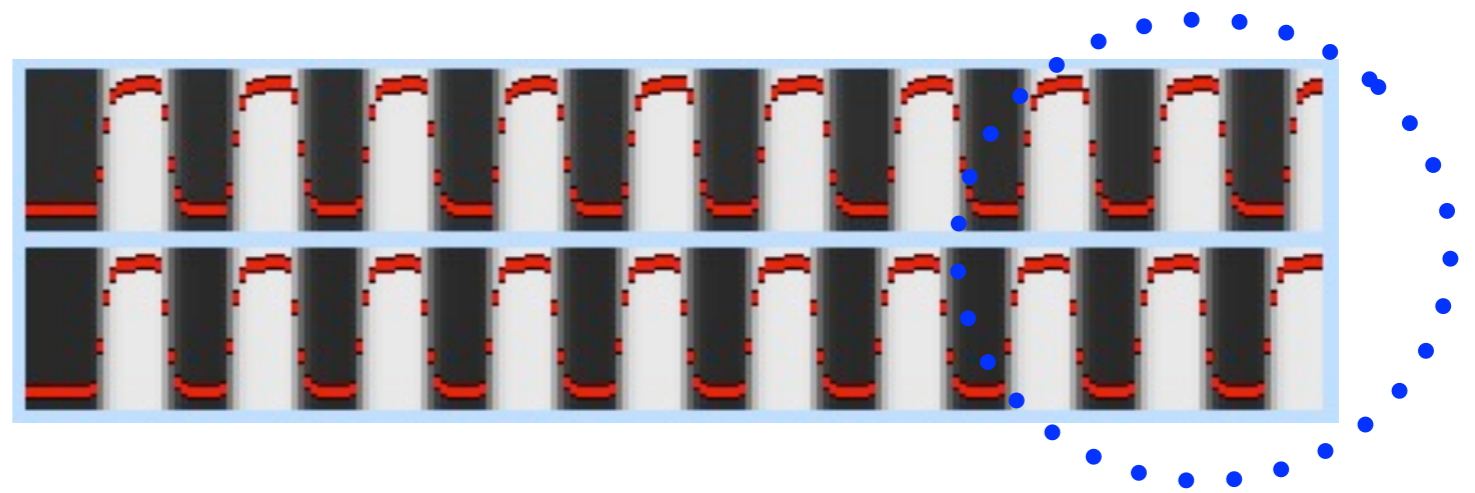
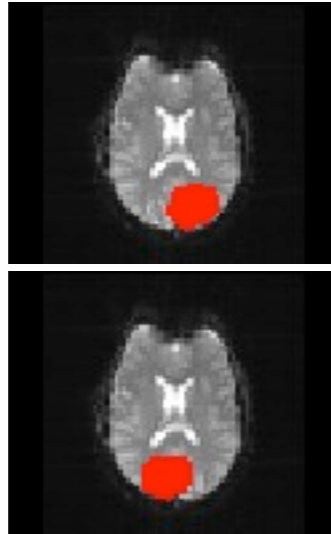




PCA vs. ICA ?

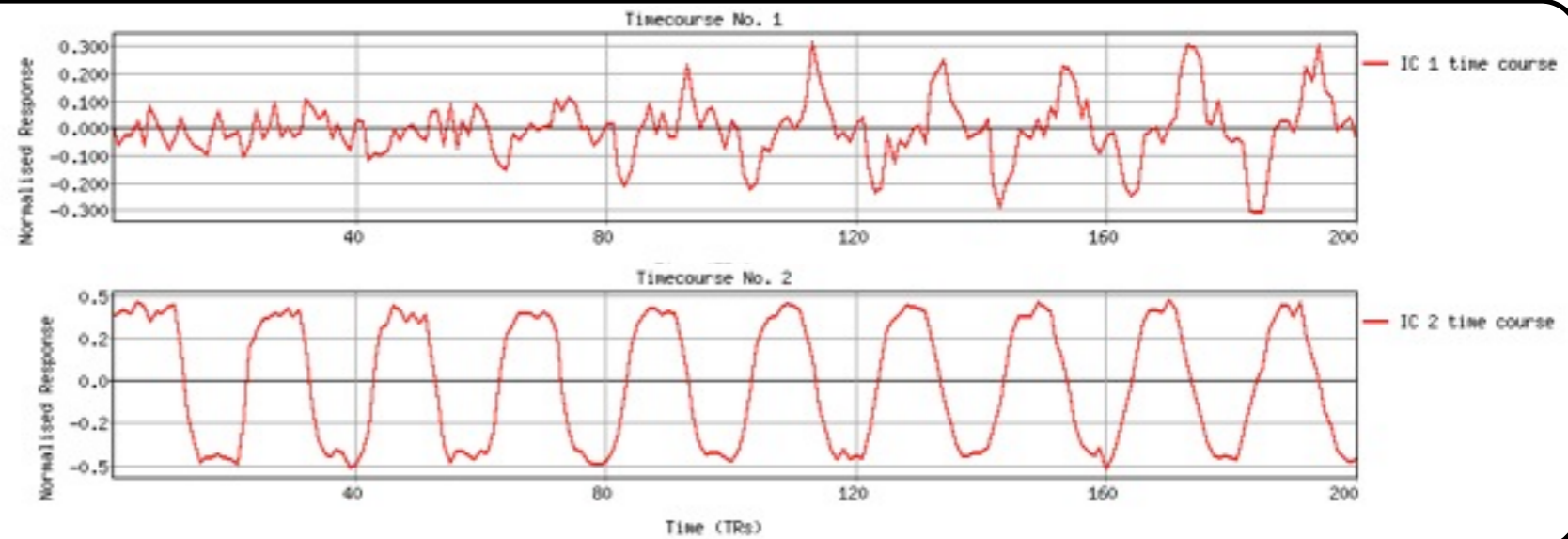
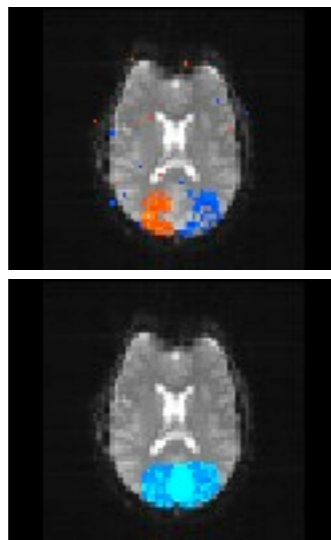
Simulated Data

(2 components, slightly different timecourses)



PCA

- Timecourses orthogonal
- Spatial maps and timecourses “wrong”

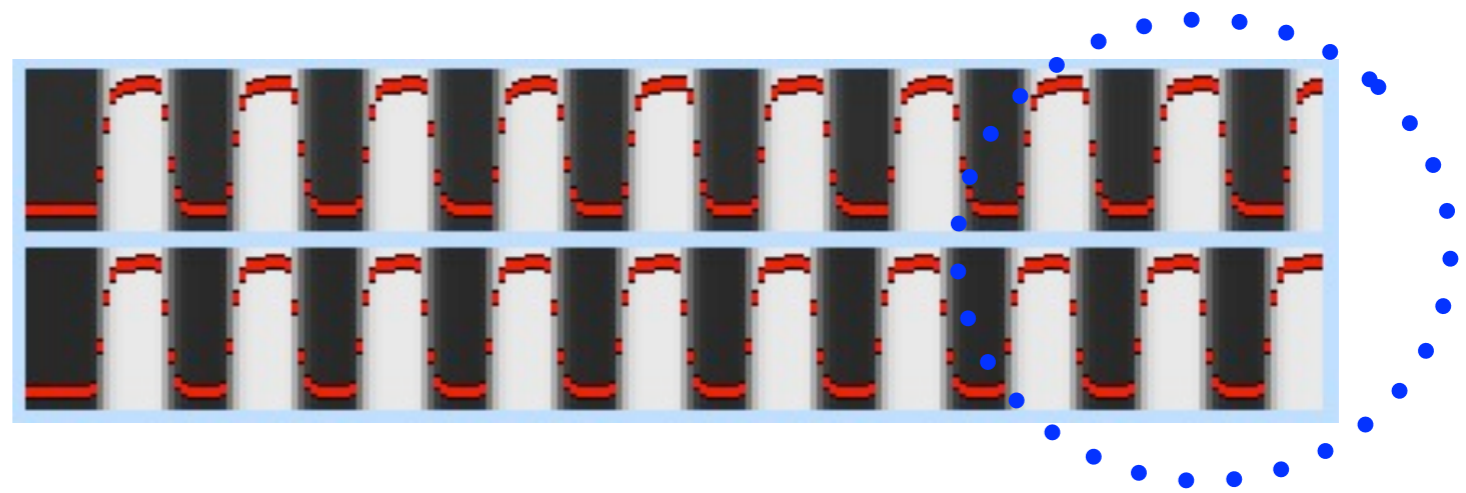
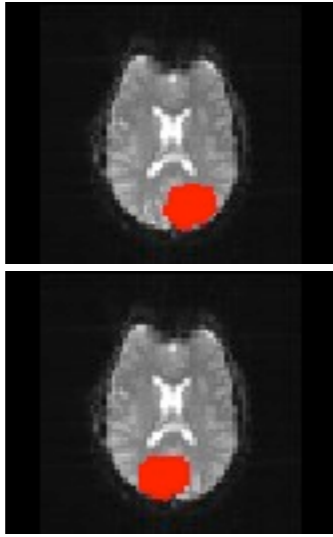




PCA vs. ICA ?

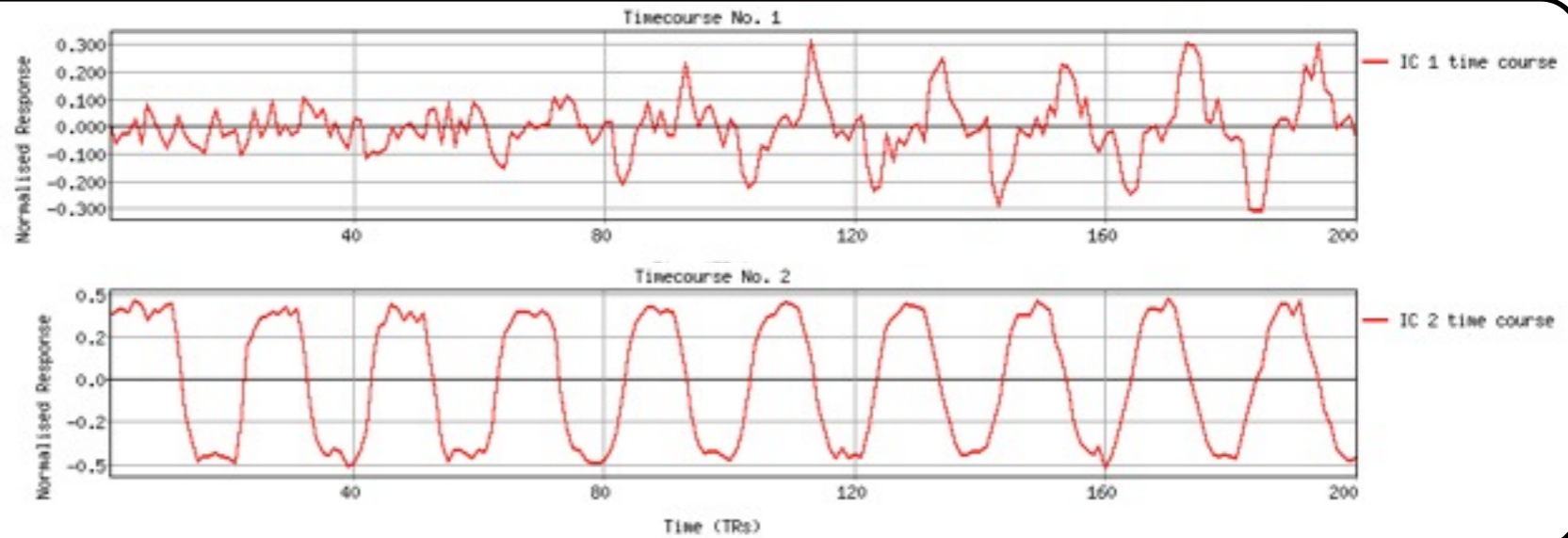
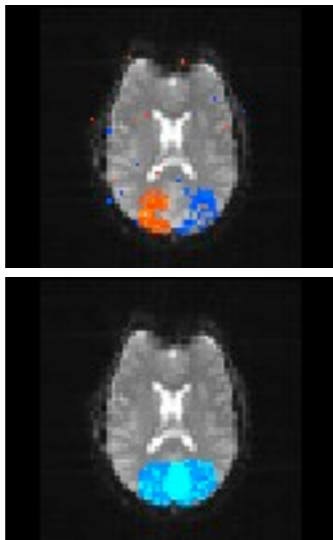
Simulated Data

(2 components, slightly different timecourses)



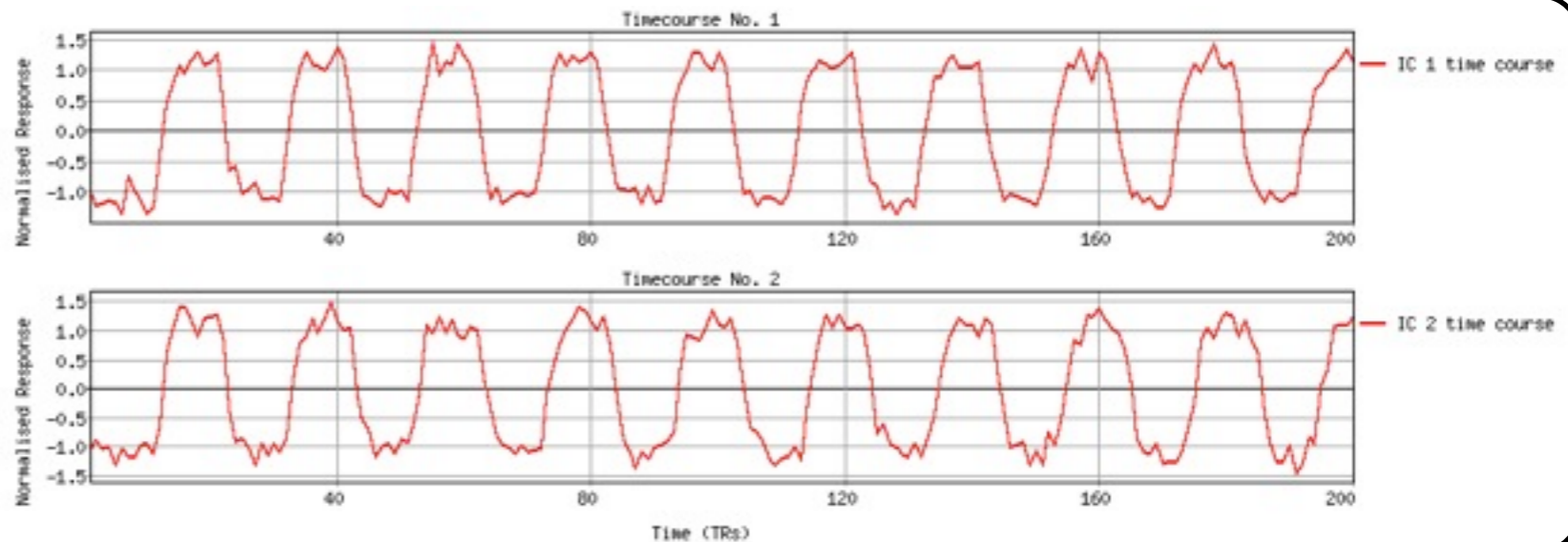
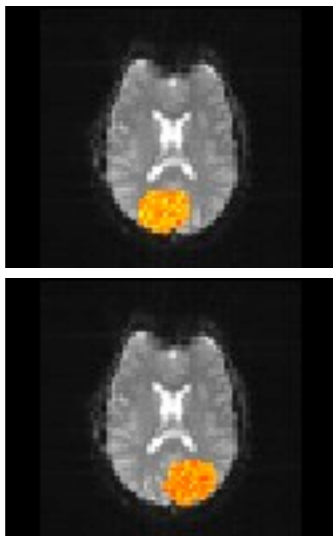
PCA

- Timecourses orthogonal
- Spatial maps and timecourses “wrong”



ICA

- Timecourses non-co-linear
- Spatial maps and timecourses “right”





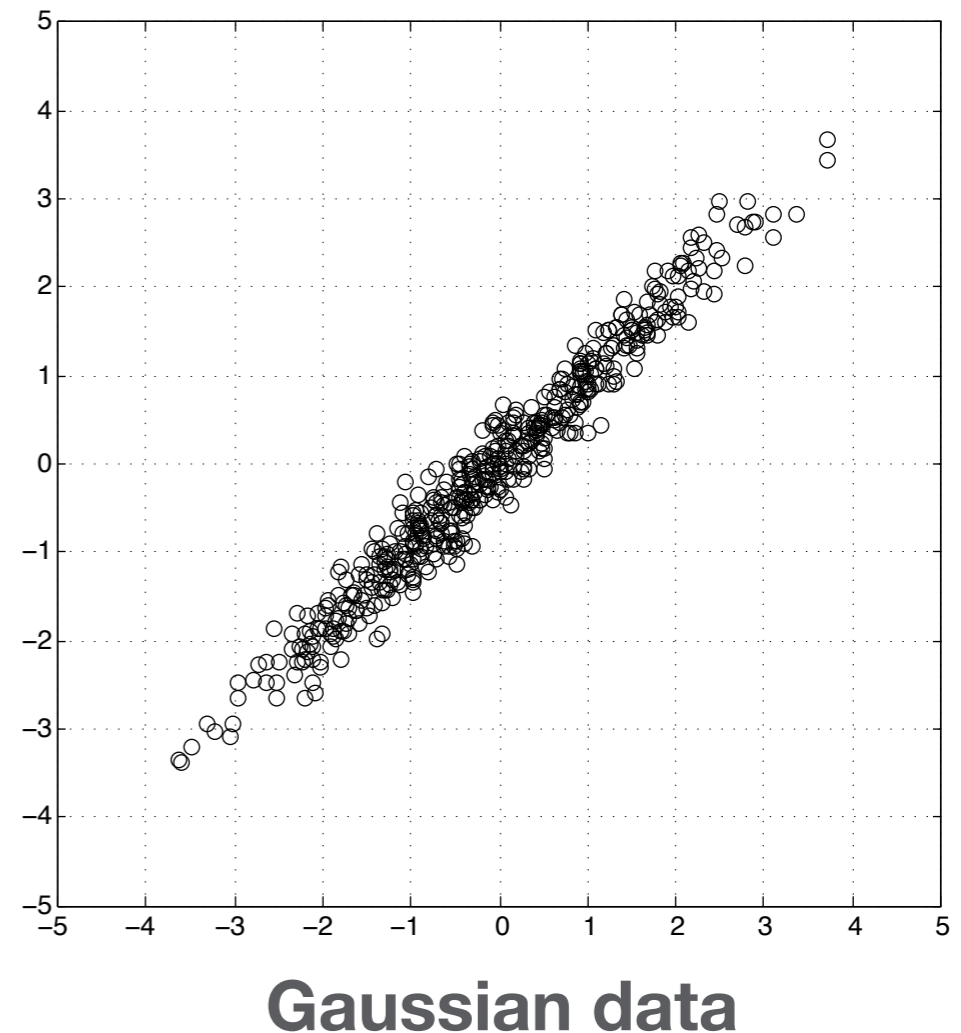
PCA vs. ICA

- PCA finds projections of maximum amount of variance in Gaussian data (uses 2nd order statistics only)
- Independent Component Analysis (ICA) finds projections of maximal independence in non-Gaussian data (using higher-order statistics)



PCA vs. ICA

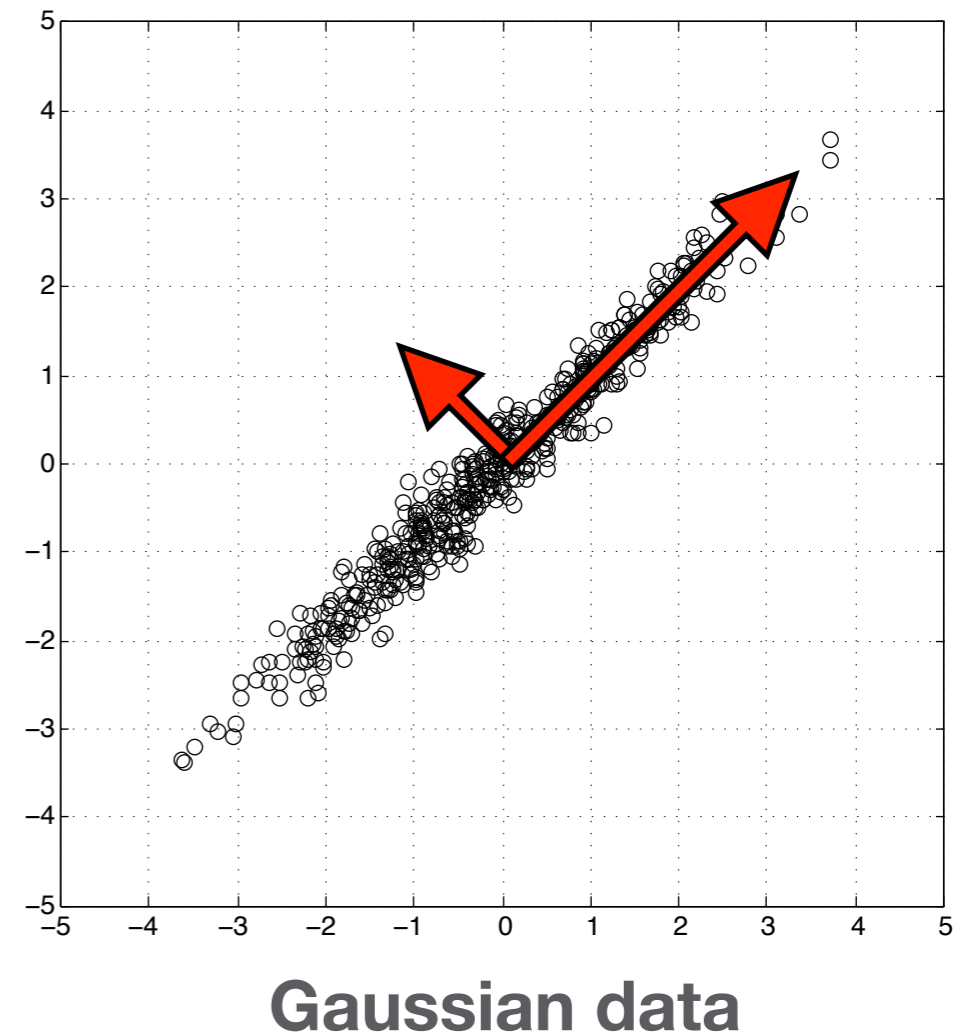
- PCA finds projections of maximum amount of variance in Gaussian data (uses 2nd order statistics only)
- Independent Component Analysis (ICA) finds projections of maximal independence in non-Gaussian data (using higher-order statistics)





PCA vs. ICA

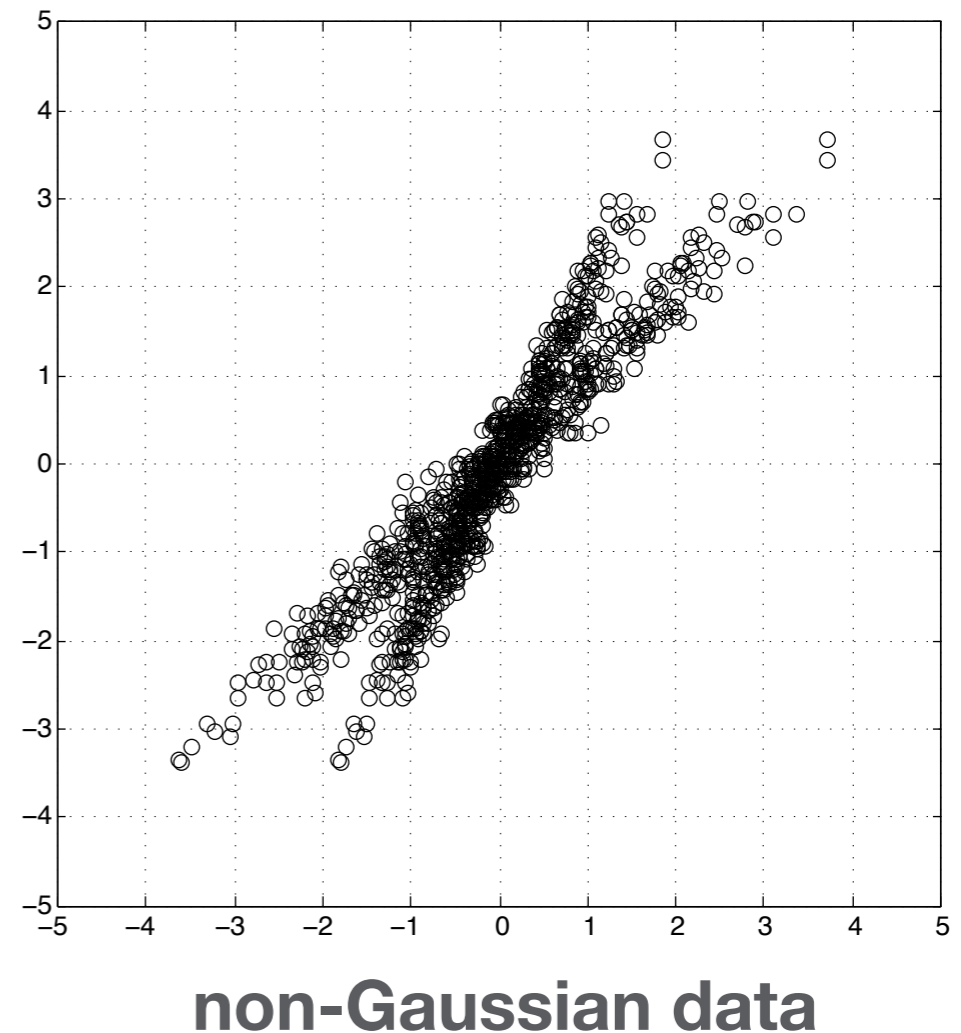
- PCA finds projections of maximum amount of variance in Gaussian data (uses 2nd order statistics only)
- Independent Component Analysis (ICA) finds projections of maximal independence in non-Gaussian data (using higher-order statistics)





PCA vs. ICA

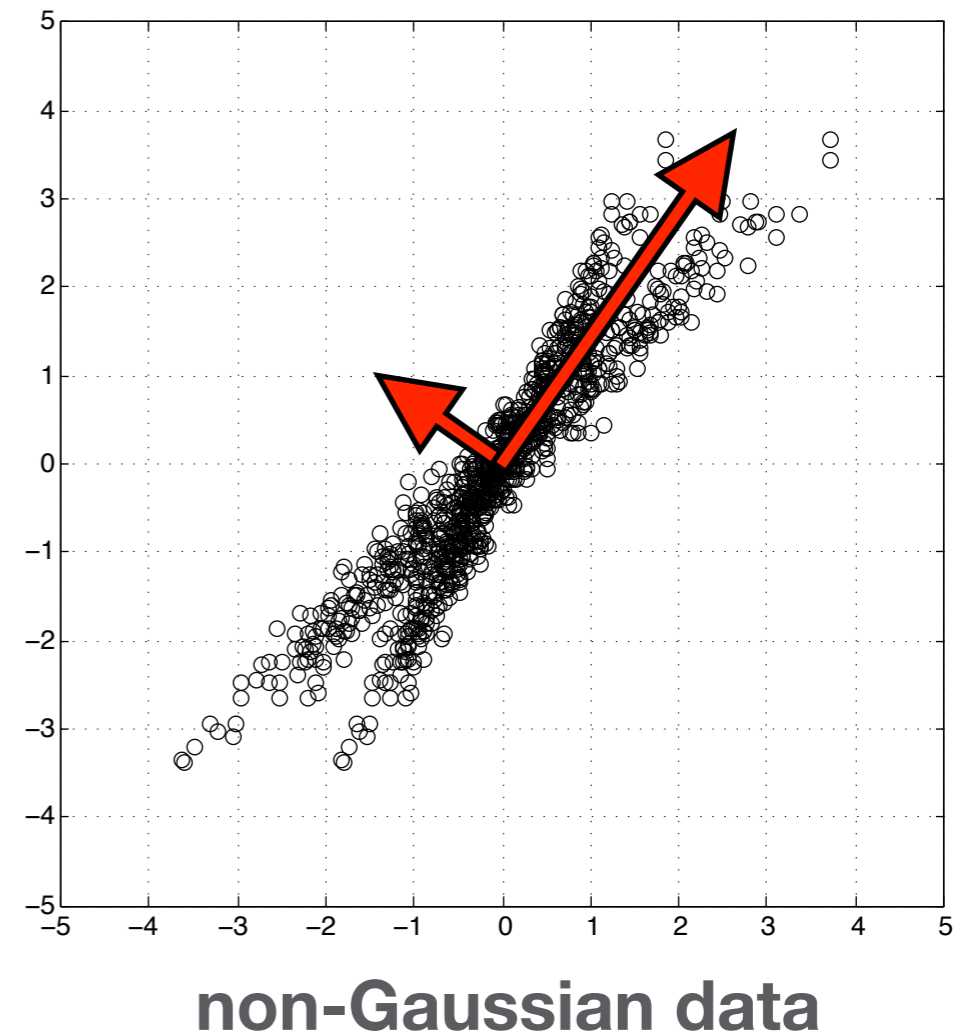
- PCA finds projections of maximum amount of variance in Gaussian data (uses 2nd order statistics only)
- Independent Component Analysis (ICA) finds projections of maximal independence in non-Gaussian data (using higher-order statistics)





PCA vs. ICA

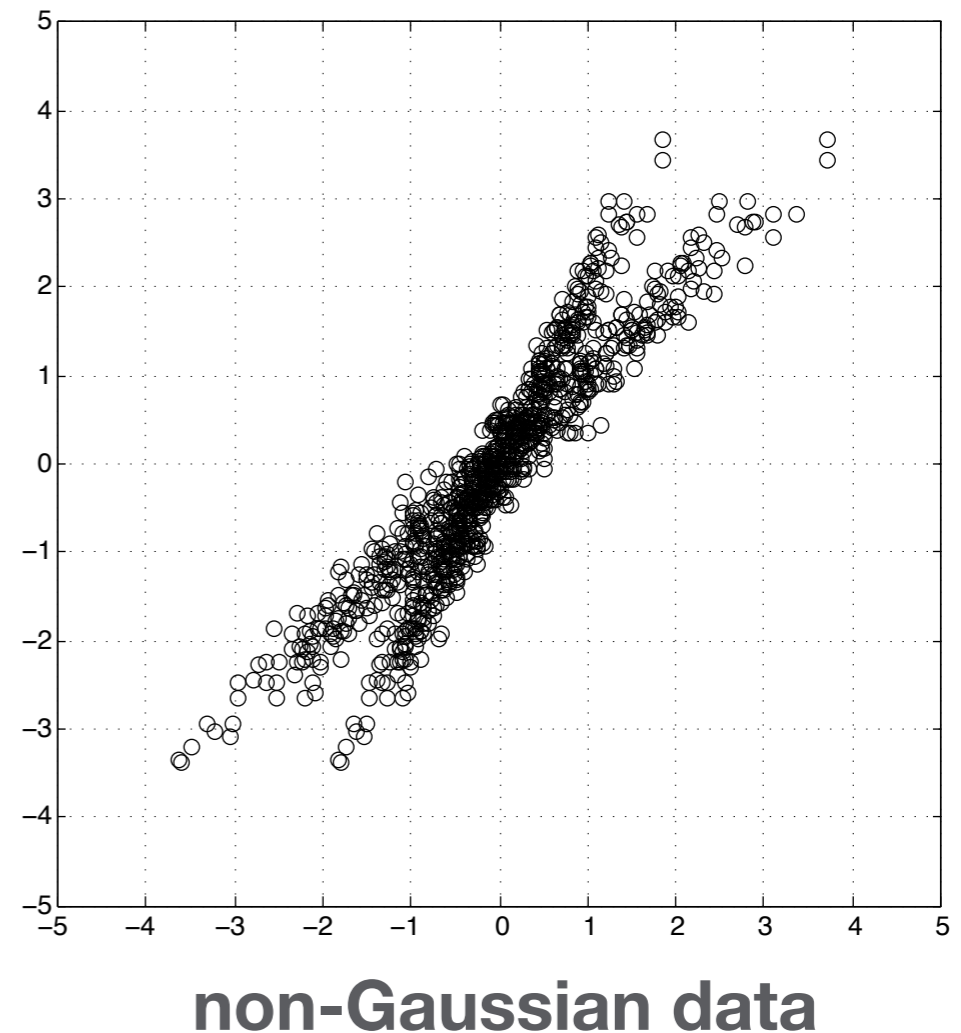
- PCA finds projections of maximum amount of variance in Gaussian data (uses 2nd order statistics only)
- Independent Component Analysis (ICA) finds projections of maximal independence in non-Gaussian data (using higher-order statistics)





PCA vs. ICA

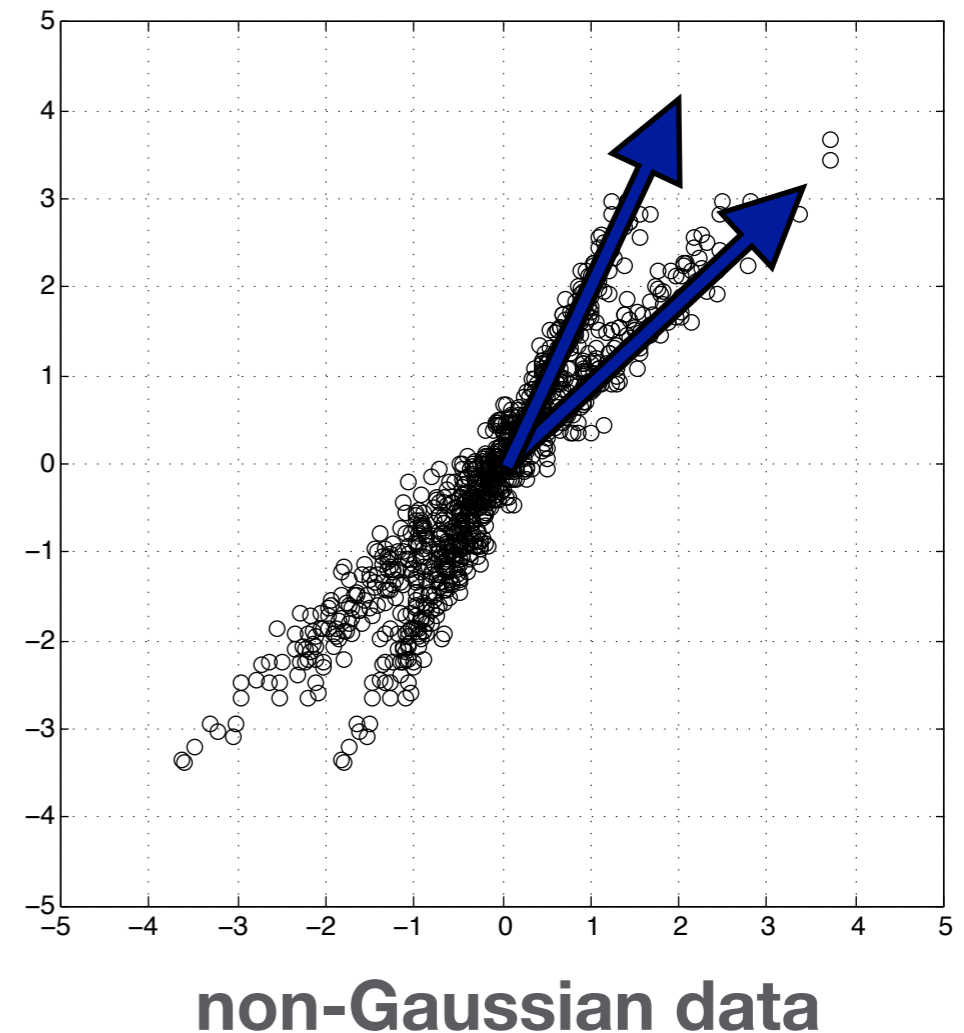
- PCA finds projections of maximum amount of variance in Gaussian data (uses 2nd order statistics only)
- Independent Component Analysis (ICA) finds projections of maximal independence in non-Gaussian data (using higher-order statistics)





PCA vs. ICA

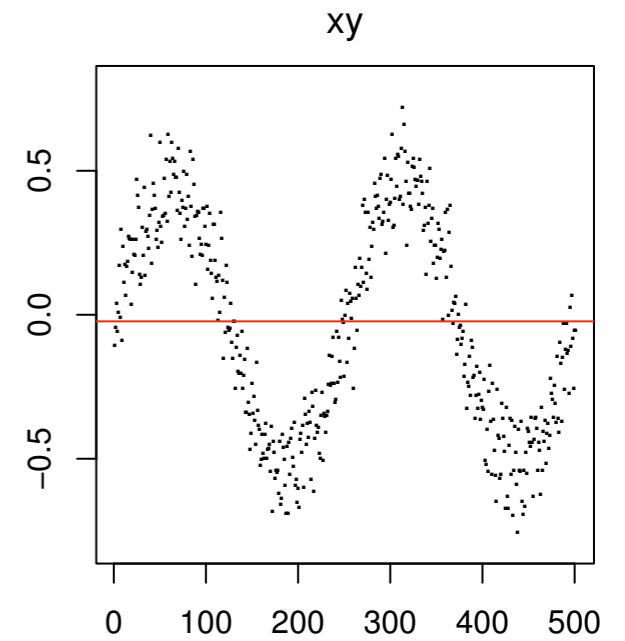
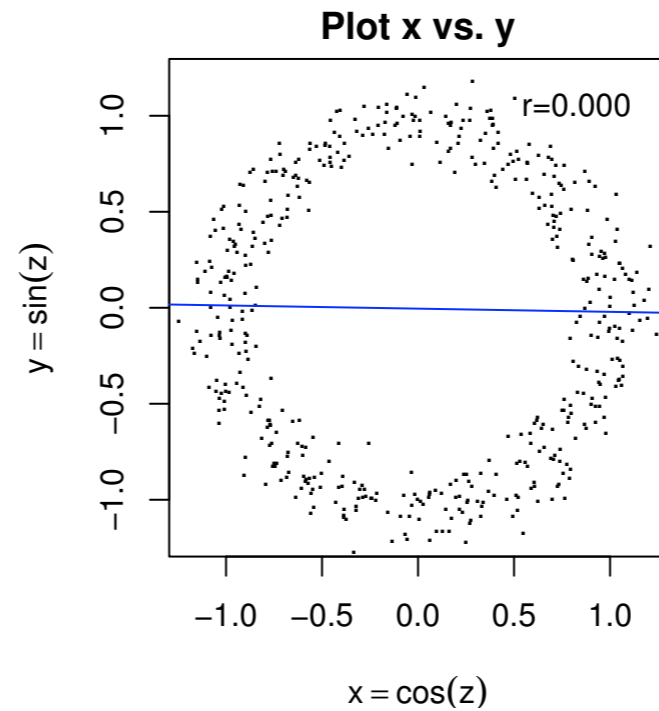
- PCA finds projections of maximum amount of variance in Gaussian data (uses 2nd order statistics only)
- Independent Component Analysis (ICA) finds projections of maximal independence in non-Gaussian data (using higher-order statistics)





Correlation vs. independence

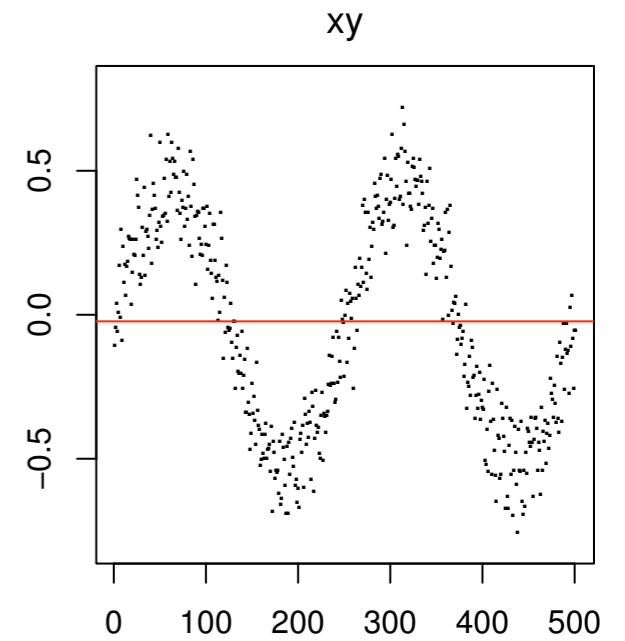
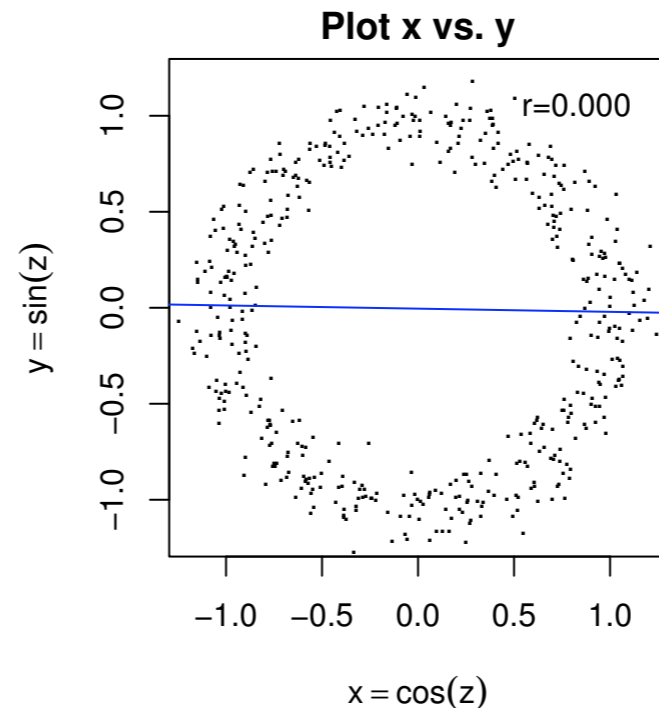
- de-correlated signals can still be dependent





Correlation vs. independence

- de-correlated signals can still be dependent



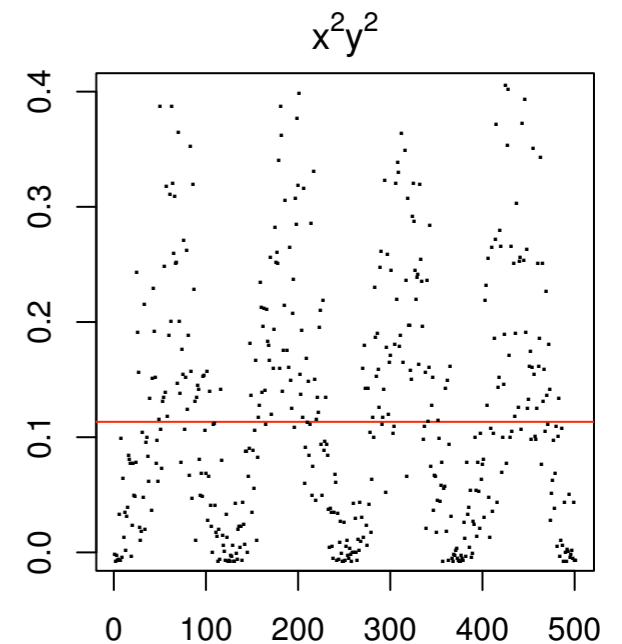
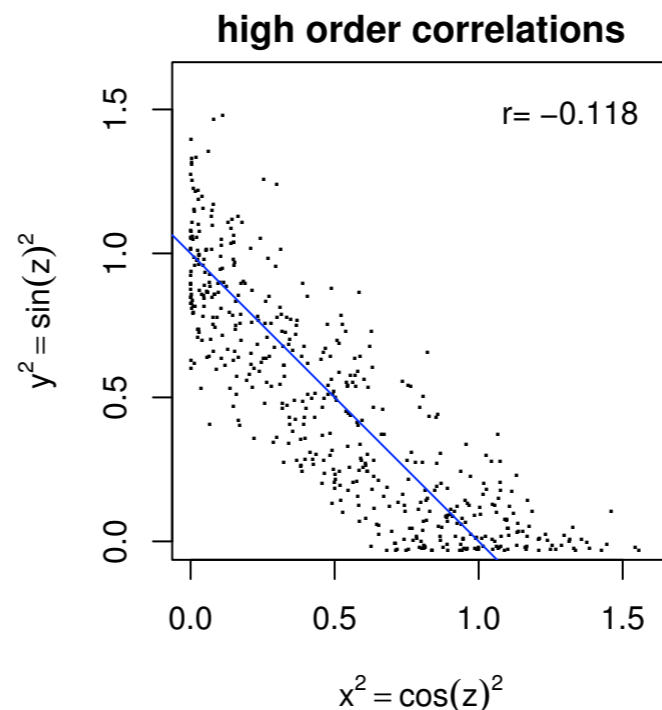
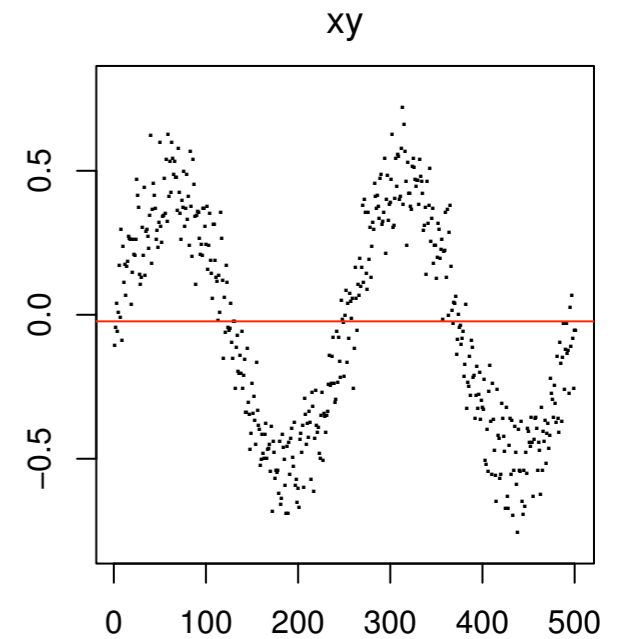
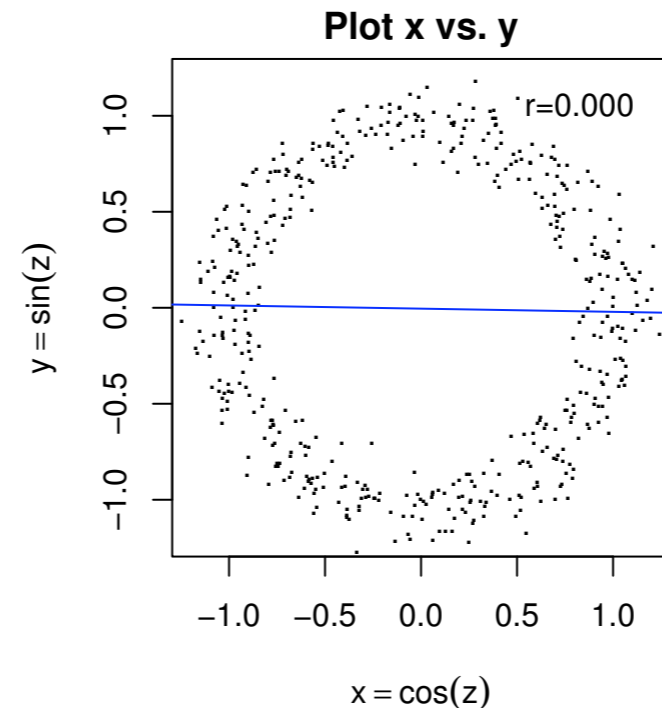


Correlation vs. independence

- de-correlated signals can still be dependent
- higher-order statistics (beyond mean and variance) can reveal these dependencies



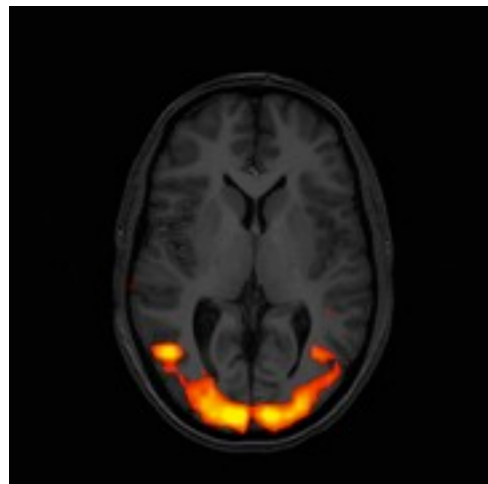
Stone et al. 2002



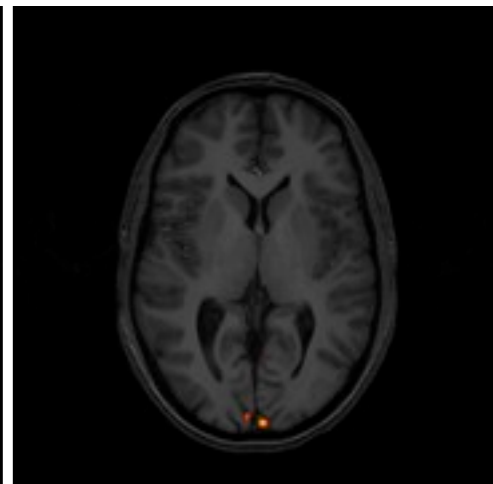
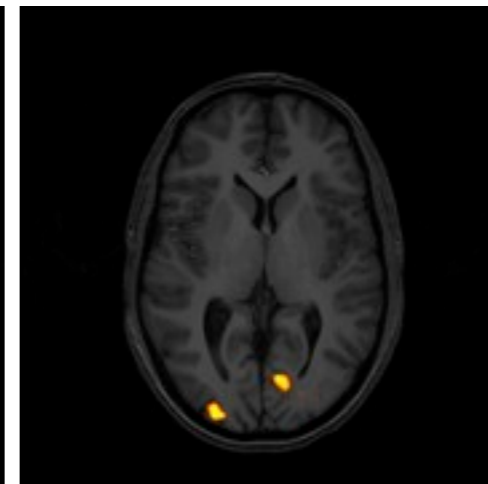
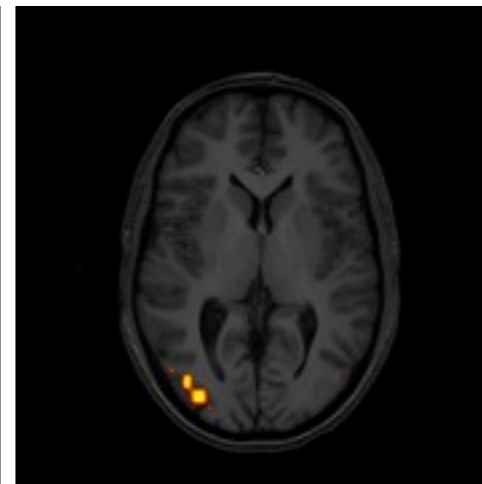
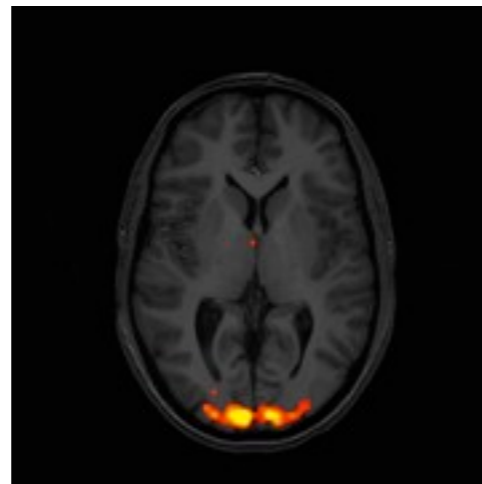


The 'overfitting' problem

- fitting a noise-free model to noisy observations:
 - no control over signal vs. noise (non-interpretable results)
 - statistical significance testing not possible



GLM analysis



standard ICA (unconstrained)



Probabilistic ICA model

- statistical “latent variables” model: we observe linear mixtures of hidden sources in the presence of Gaussian noise

$$Y = XB + E$$



Probabilistic ICA model

- statistical “latent variables” model: we observe linear mixtures of hidden sources in the presence of Gaussian noise

$$Y = XB + E$$

- Issues:
 - Model Order Selection: how many components?
 - Component estimation: how to find ICs?
 - Inference: how to threshold ICs?

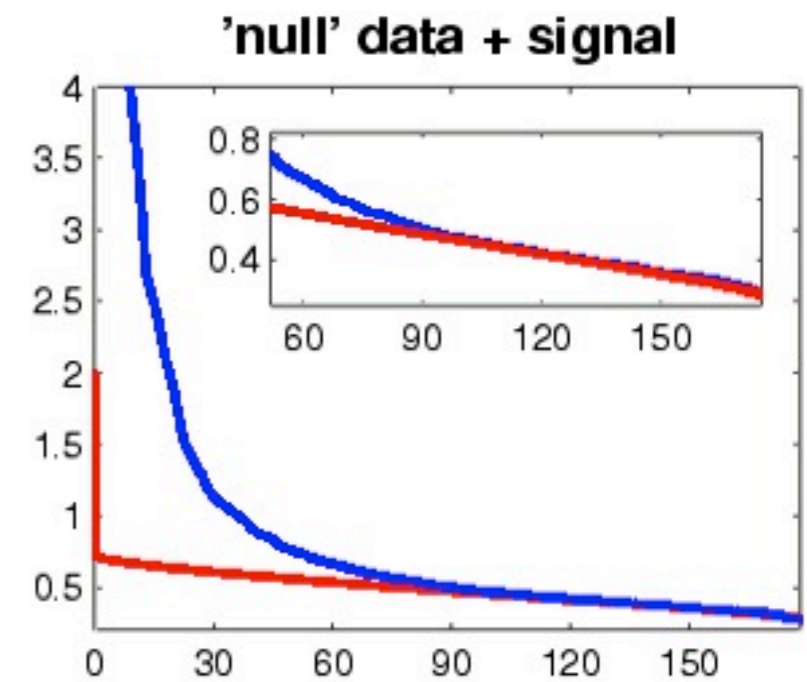
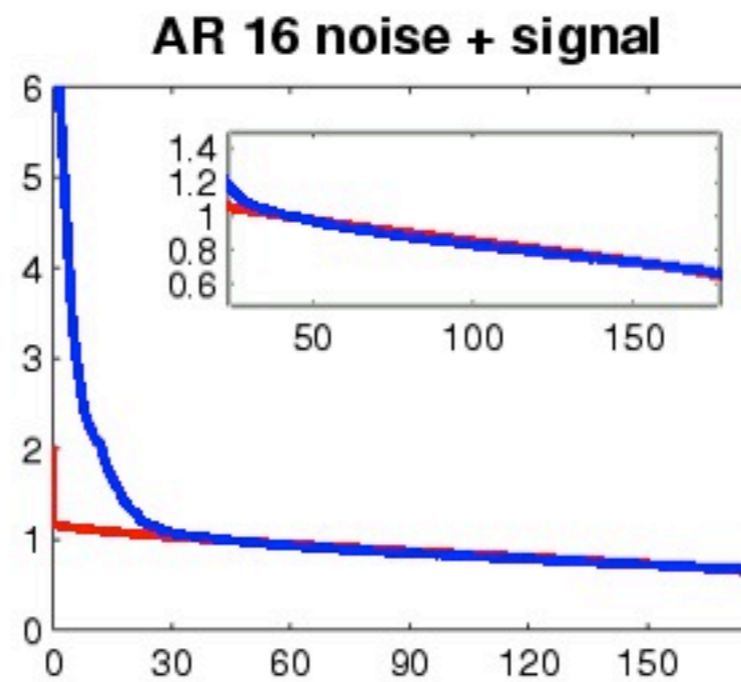
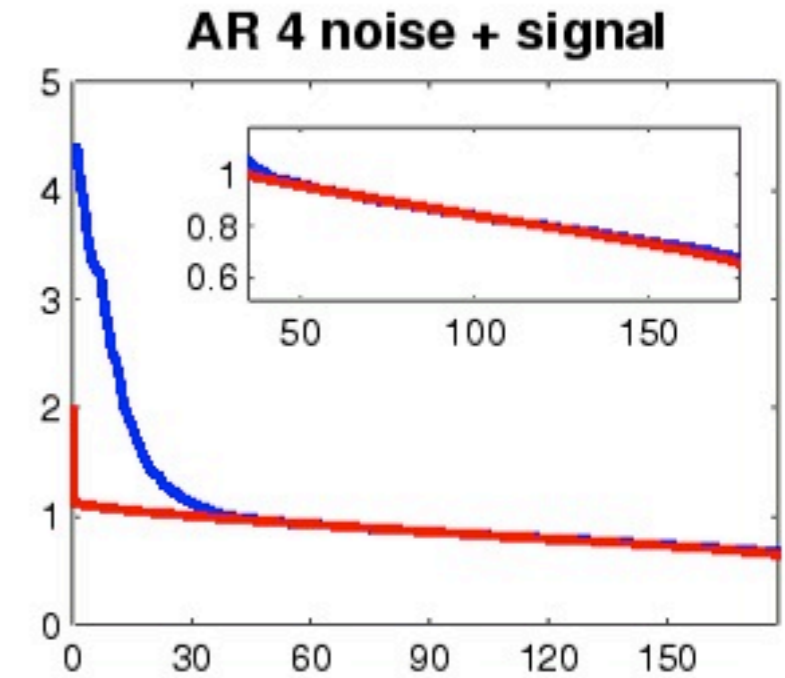
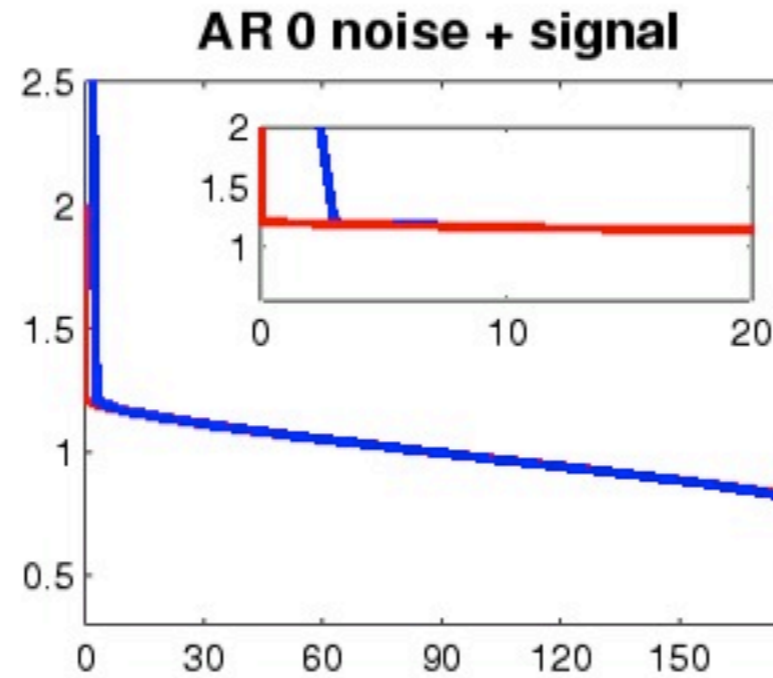


Model Order Selection

- The sample covariance matrix has a Wishart distribution and we can calculate the empirical distribution function for the eigenvalues



Everson &
Roberts, IEEE
Trans. Sig. Proc.
48(7), 2000

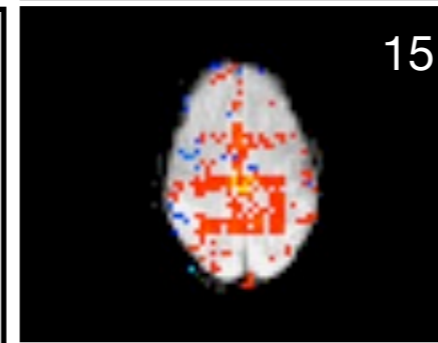
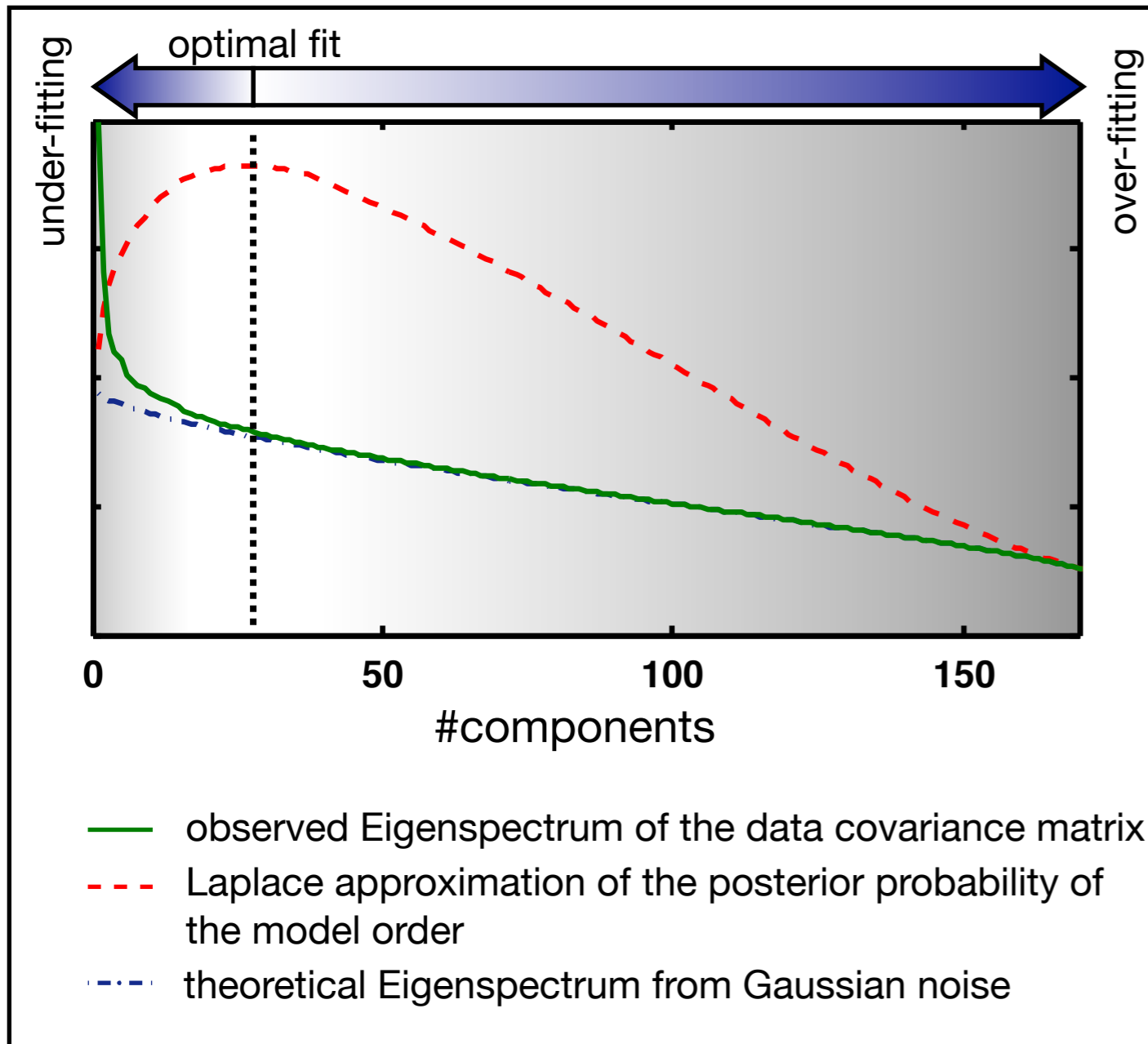


Empirical distribution function

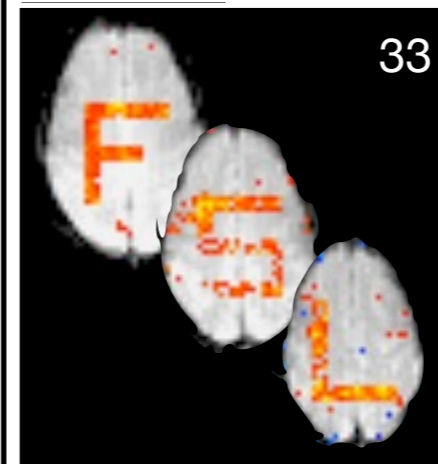
observed Eigenspectrum



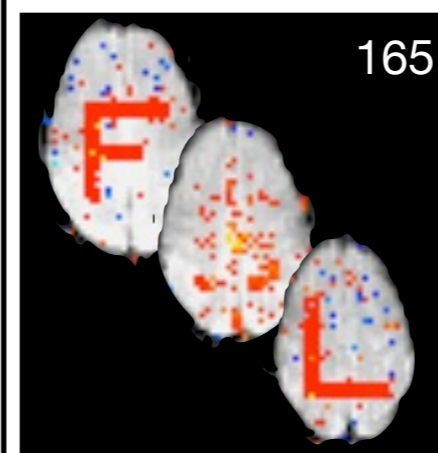
Model Order Selection



under-fitting: the amount of explained data variance is insufficient to obtain good estimates of the signals



optimal fitting: the amount of explained data variance is sufficient to obtain good estimates of the signals while preventing further splits into spurious components



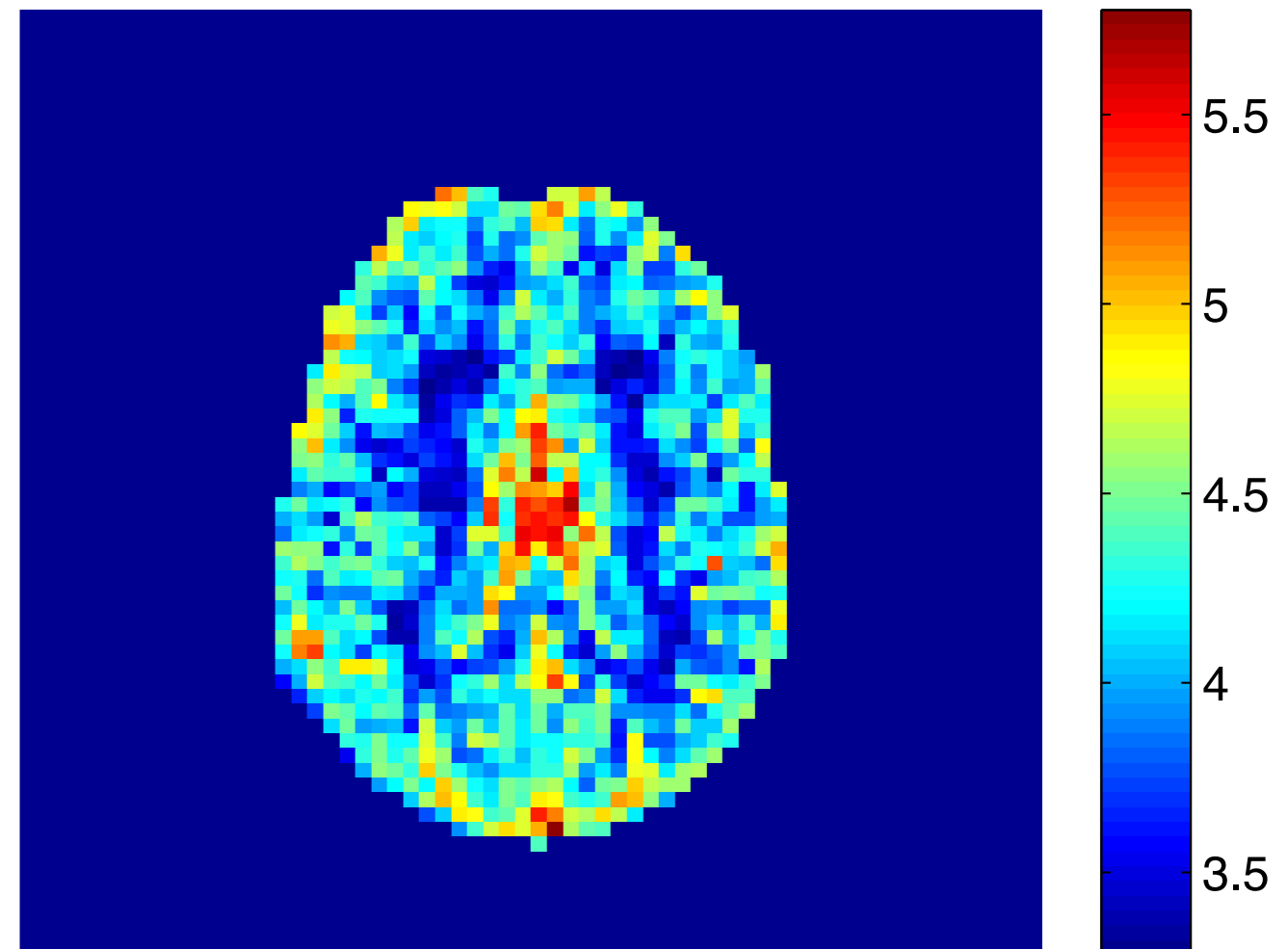
over-fitting: the inclusion of too many components leads to fragmentation of signal across multiple component maps, reducing the ability to identify the signals of interest



Variance Normalisation

- we *might* choose to ignore temporal auto-correlation in the EPI time-series but:
- *generally* need to normalise by the voxel-wise variance

Voxel-wise standard deviation



Estimated voxel-wise std. deviation (log-scale) from FMRI data obtained under rest condition.

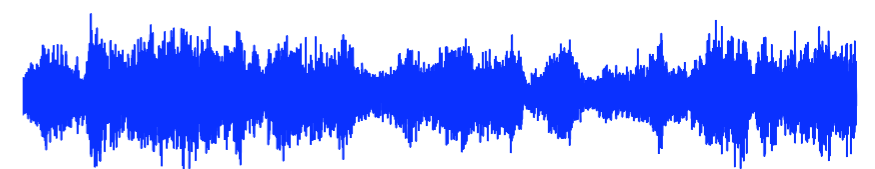
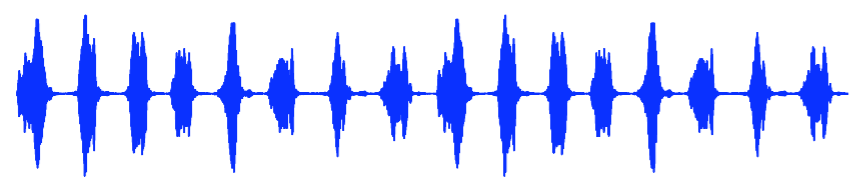
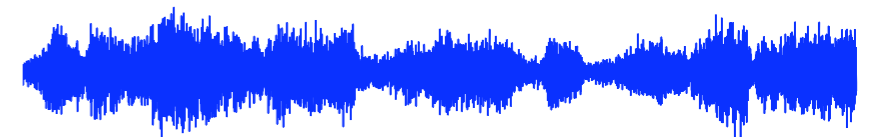
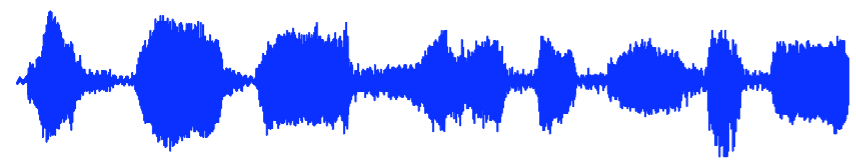
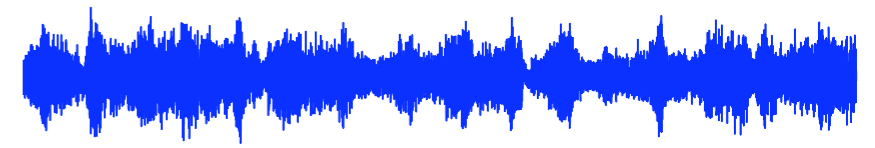
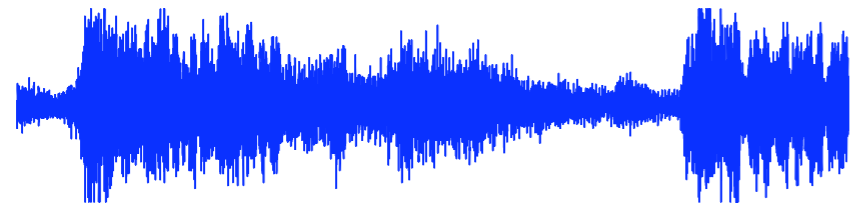


ICA estimation

- need to find an *unmixing matrix* such that the dependency between estimated sources is minimised
- need (i) a *contrast (objective/cost) function* to drive the unmixing which measures statistical independence and (ii) an *optimisation technique*:
 - ▶ kurtosis or cumulants & gradient descent (**Jade**)
 - ▶ maximum entropy & gradient descent (**Infomax**)
 - ▶ neg-entropy & fixed point iteration (**FastICA**)

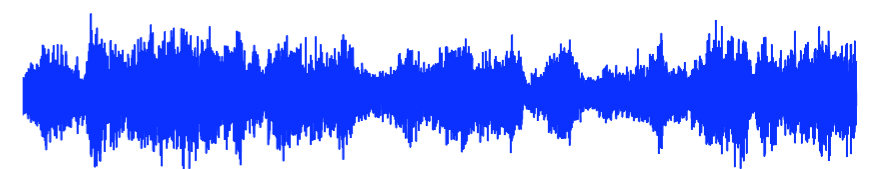
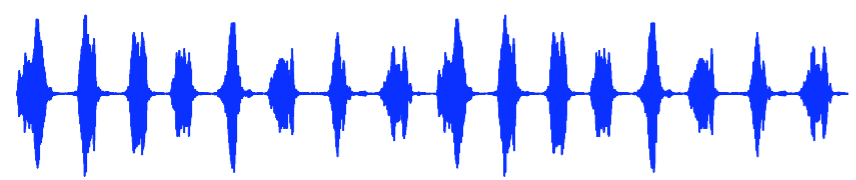
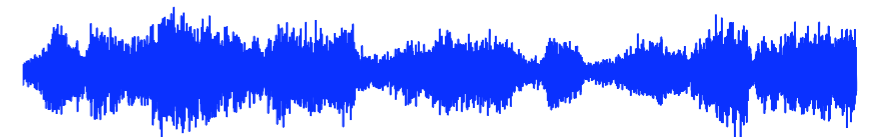
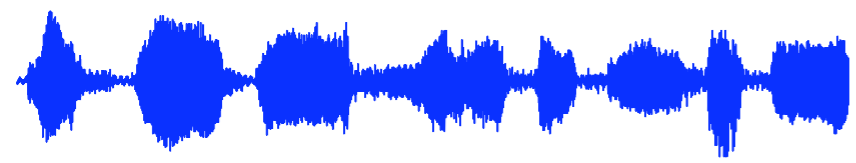
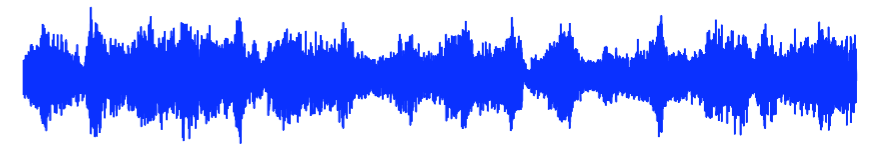
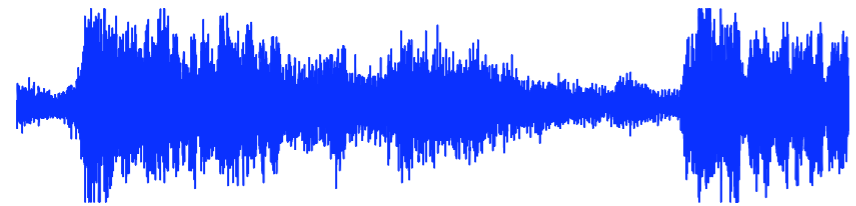


Non-Gaussianity



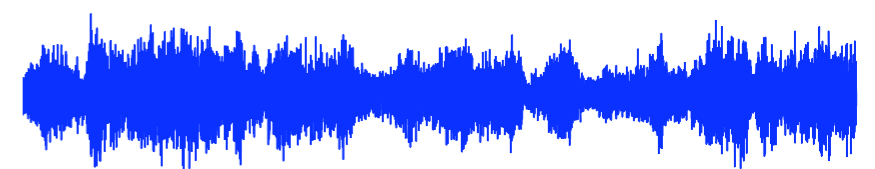
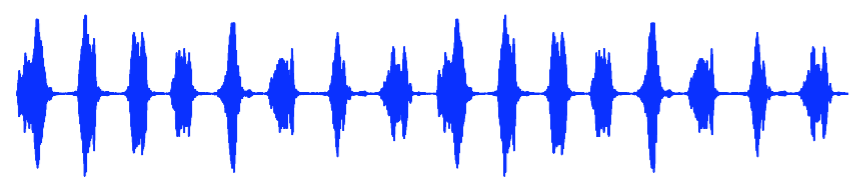
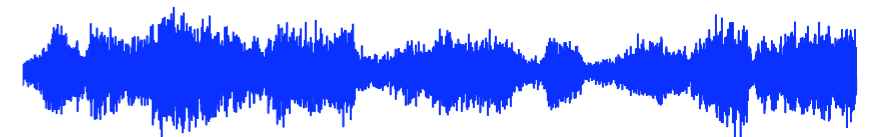
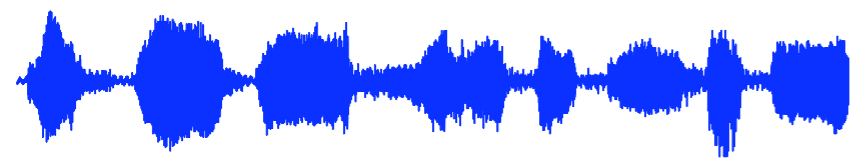
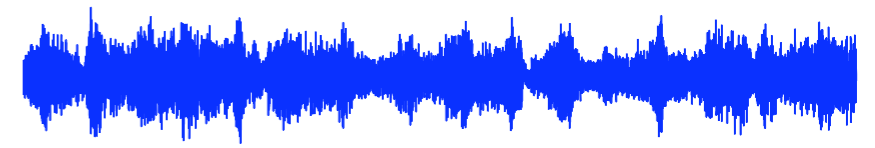
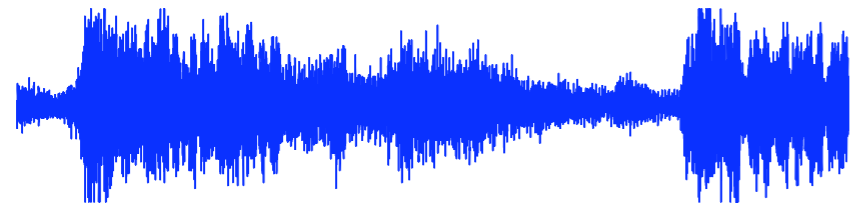


Non-Gaussianity



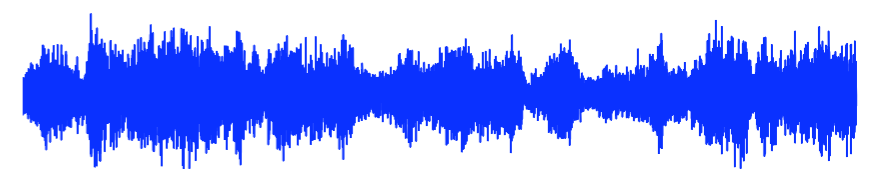
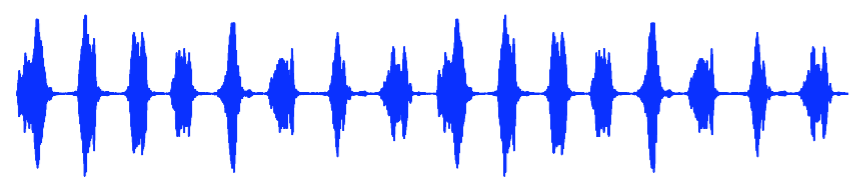
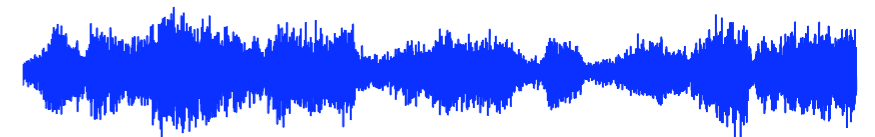
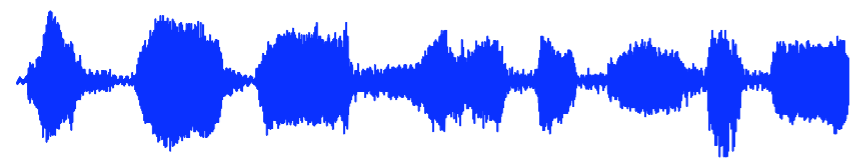
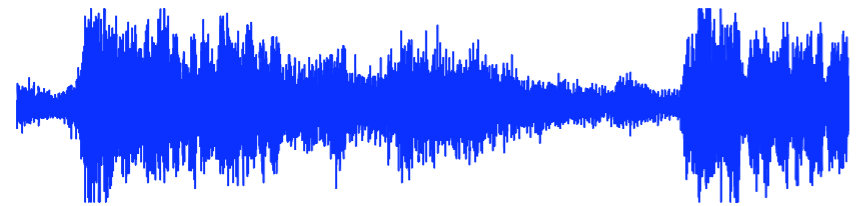


Non-Gaussianity



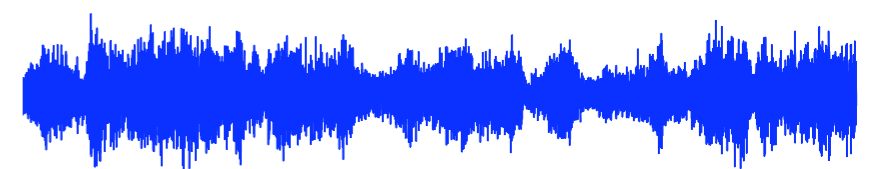
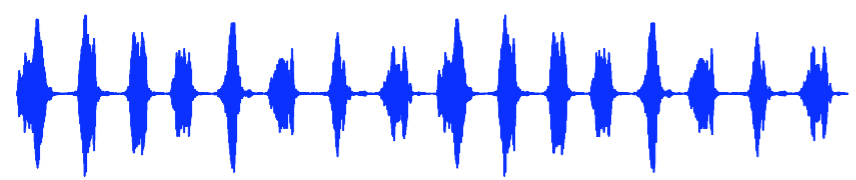
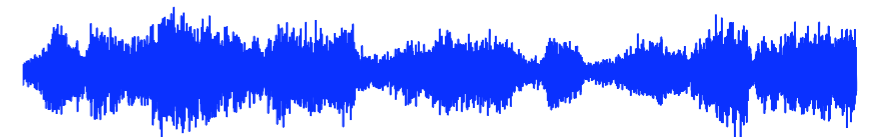
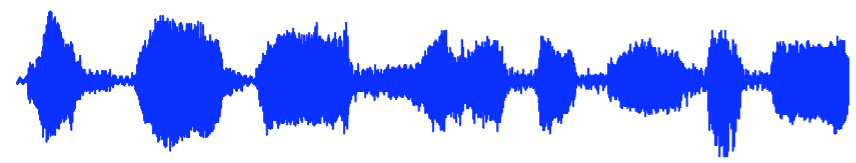
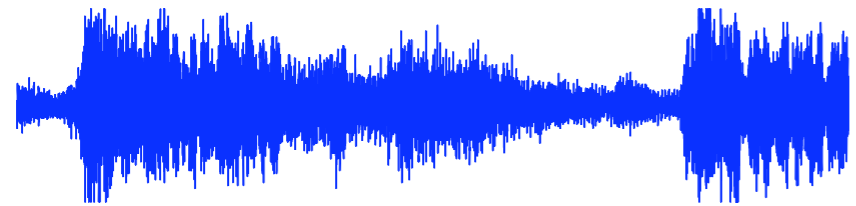


Non-Gaussianity





Non-Gaussianity



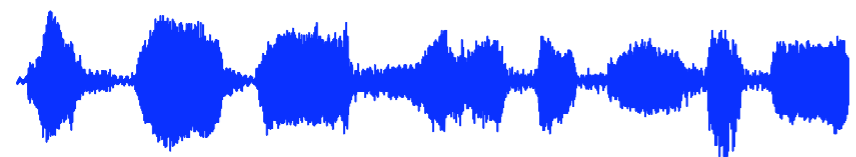
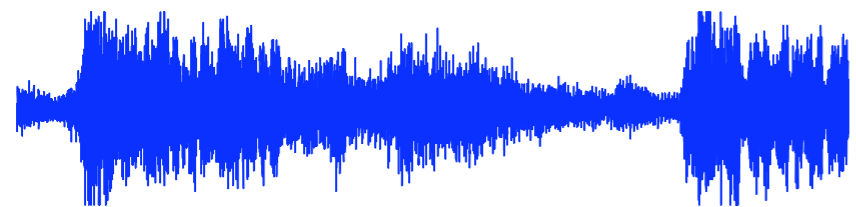


Non-Gaussianity

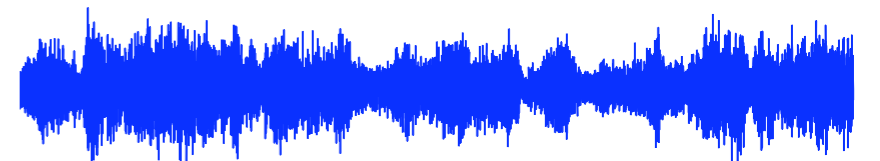
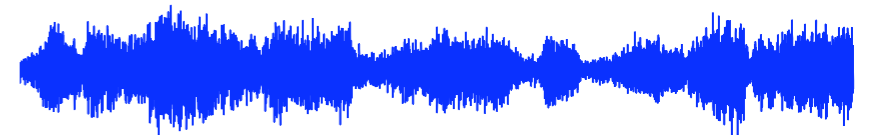
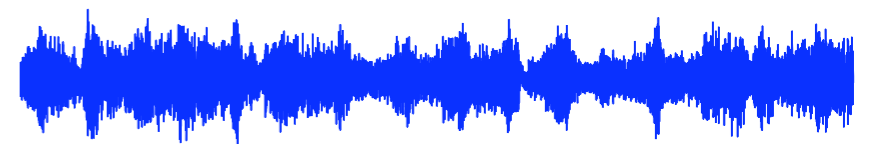




Non-Gaussianity



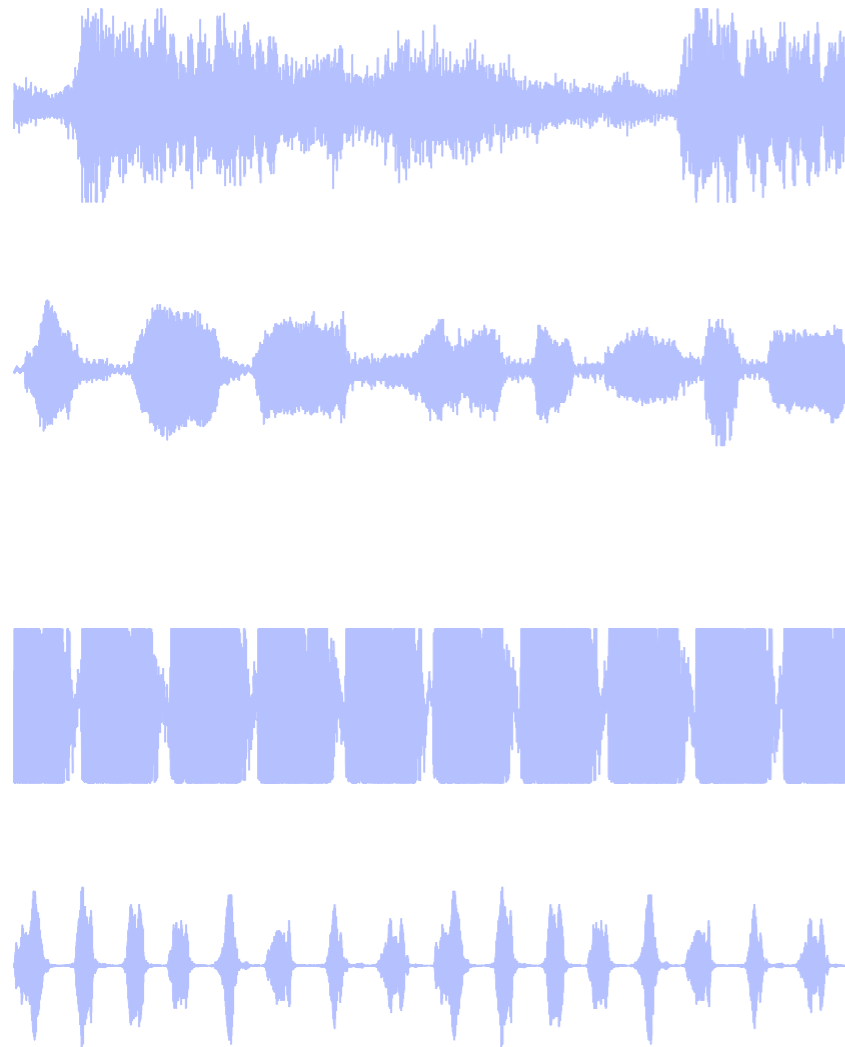
sources



mixtures



Non-Gaussianity





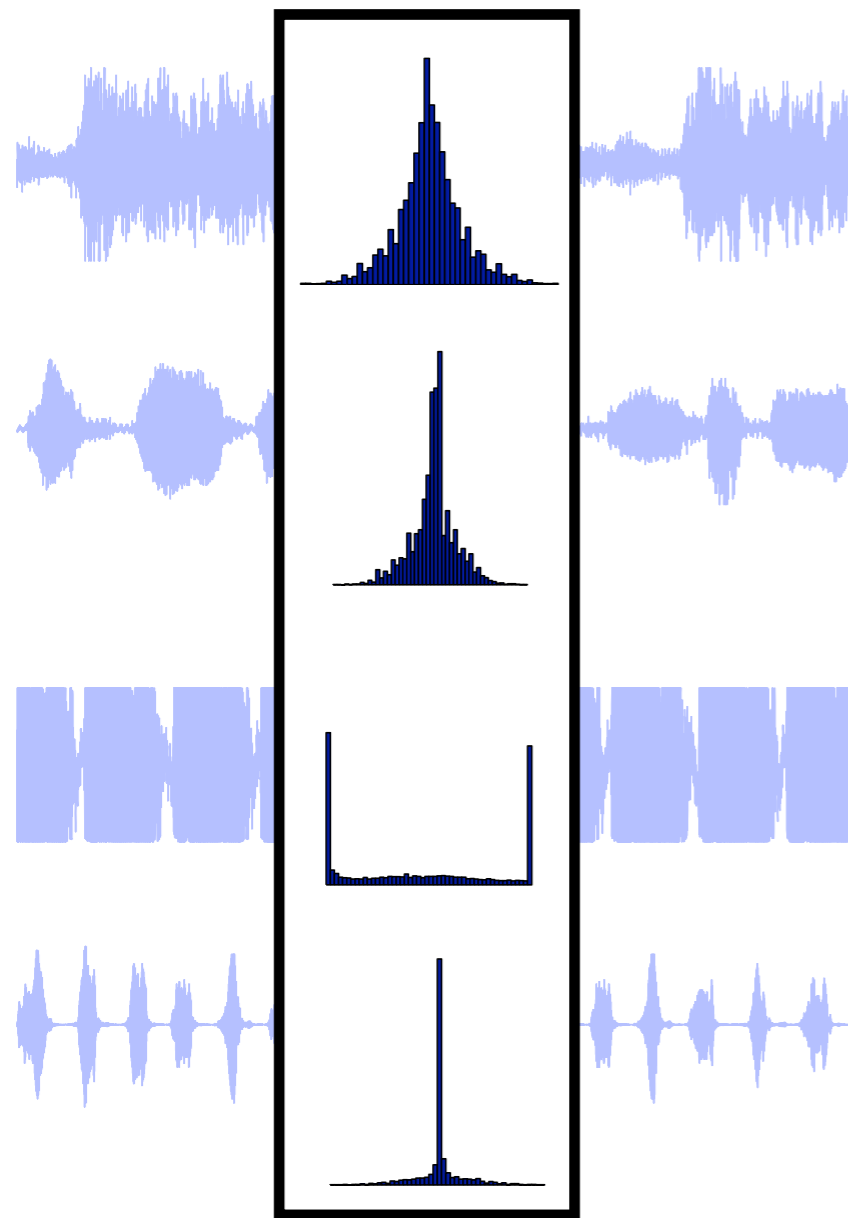
Non-Gaussianity



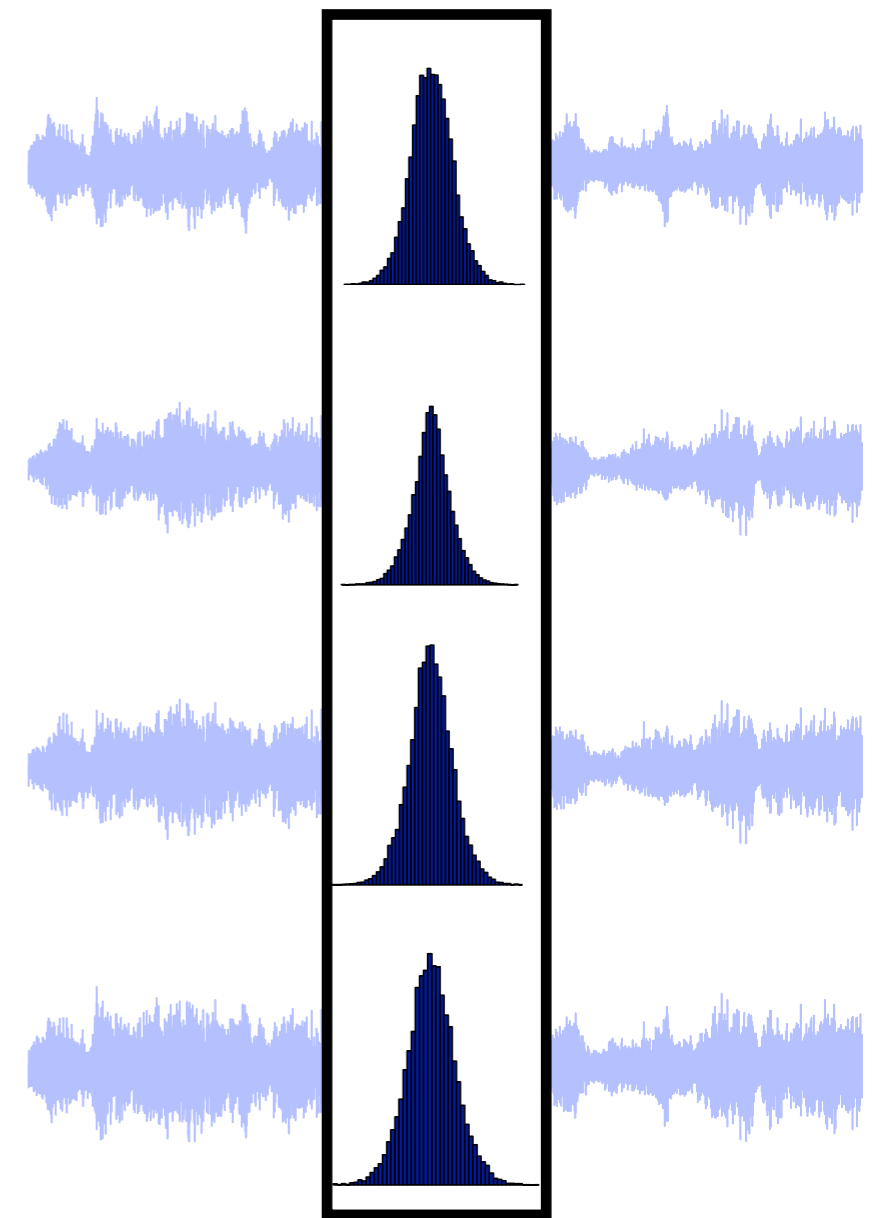
non-Gaussian



Non-Gaussianity



non-Gaussian



Gaussian



ICA estimation

- *Random* mixing results in **more** Gaussian-shaped PDFs (Central Limit Theorem)




ICA estimation

- *Random* mixing results in *more* Gaussian-shaped PDFs (Central Limit Theorem)
- conversely:
 - if mixing matrix produces *less* Gaussian-shaped PDFs this is unlikely to be a random result
 - ➔ measure non-Gaussianity

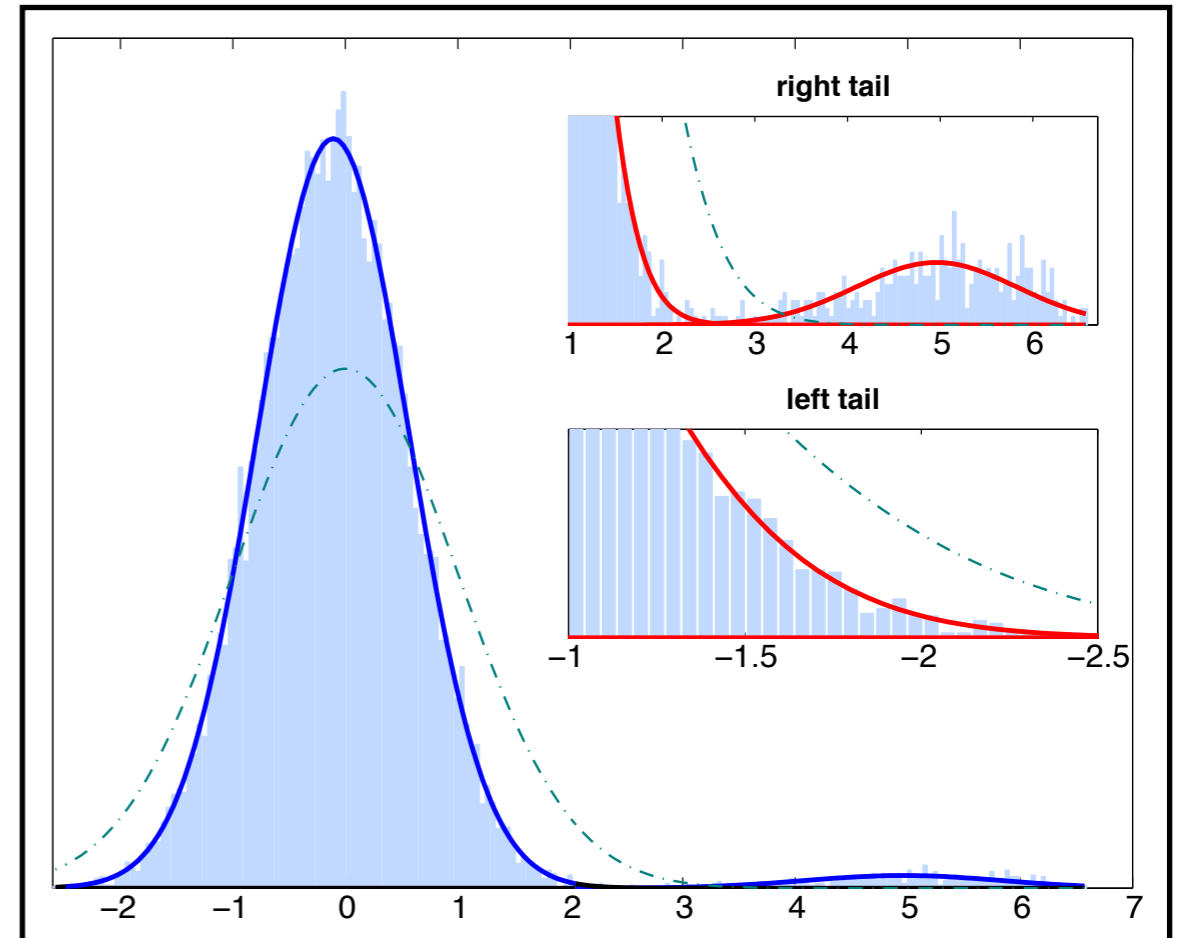


ICA estimation

- *Random* mixing results in **more** Gaussian-shaped PDFs (Central Limit Theorem)
- conversely:
 - if mixing matrix produces **less** Gaussian-shaped PDFs this is unlikely to be a random result
 - ➔ measure non-Gaussianity
- can use **neg-entropy** as a measure of non-Gaussianity  Hyvärinen & Oja 1997



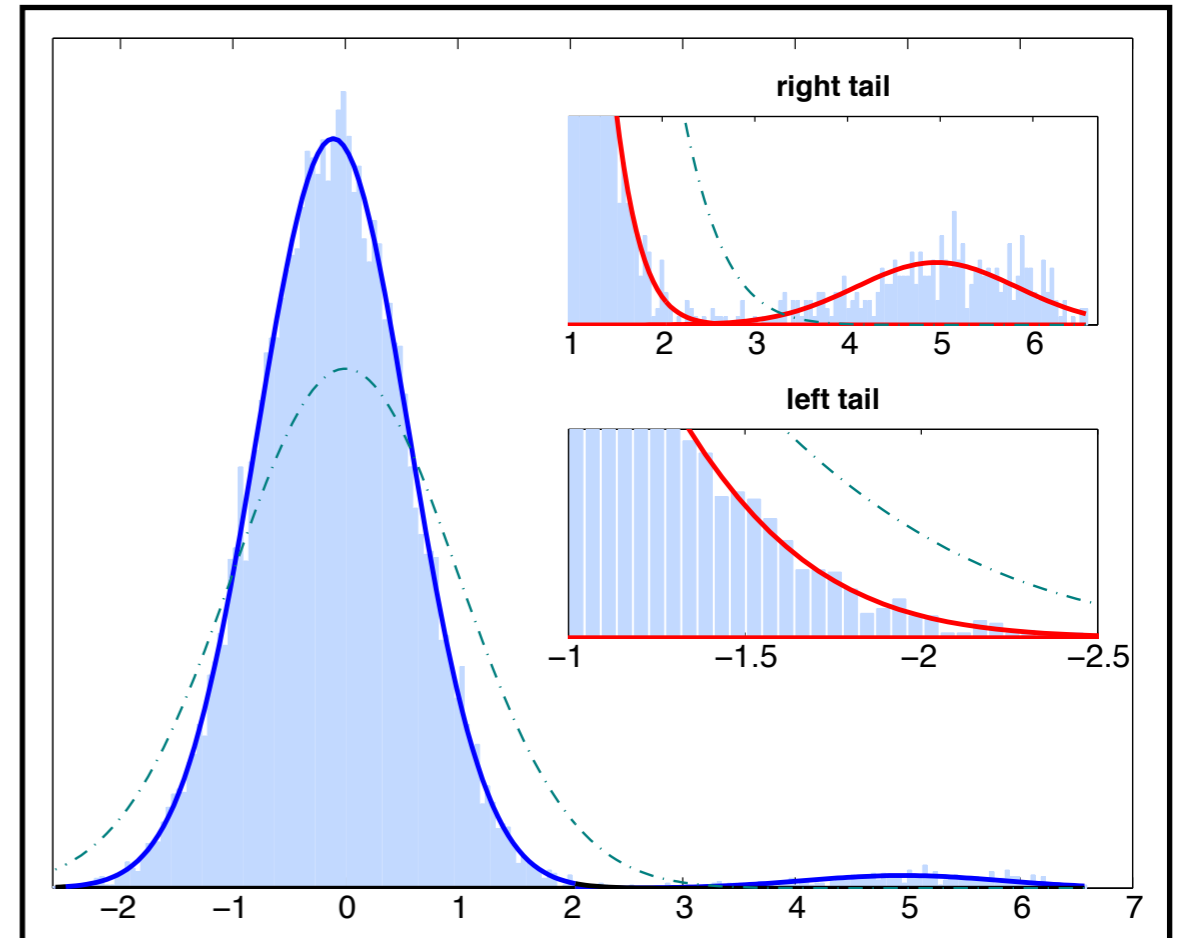
Thresholding





Thresholding

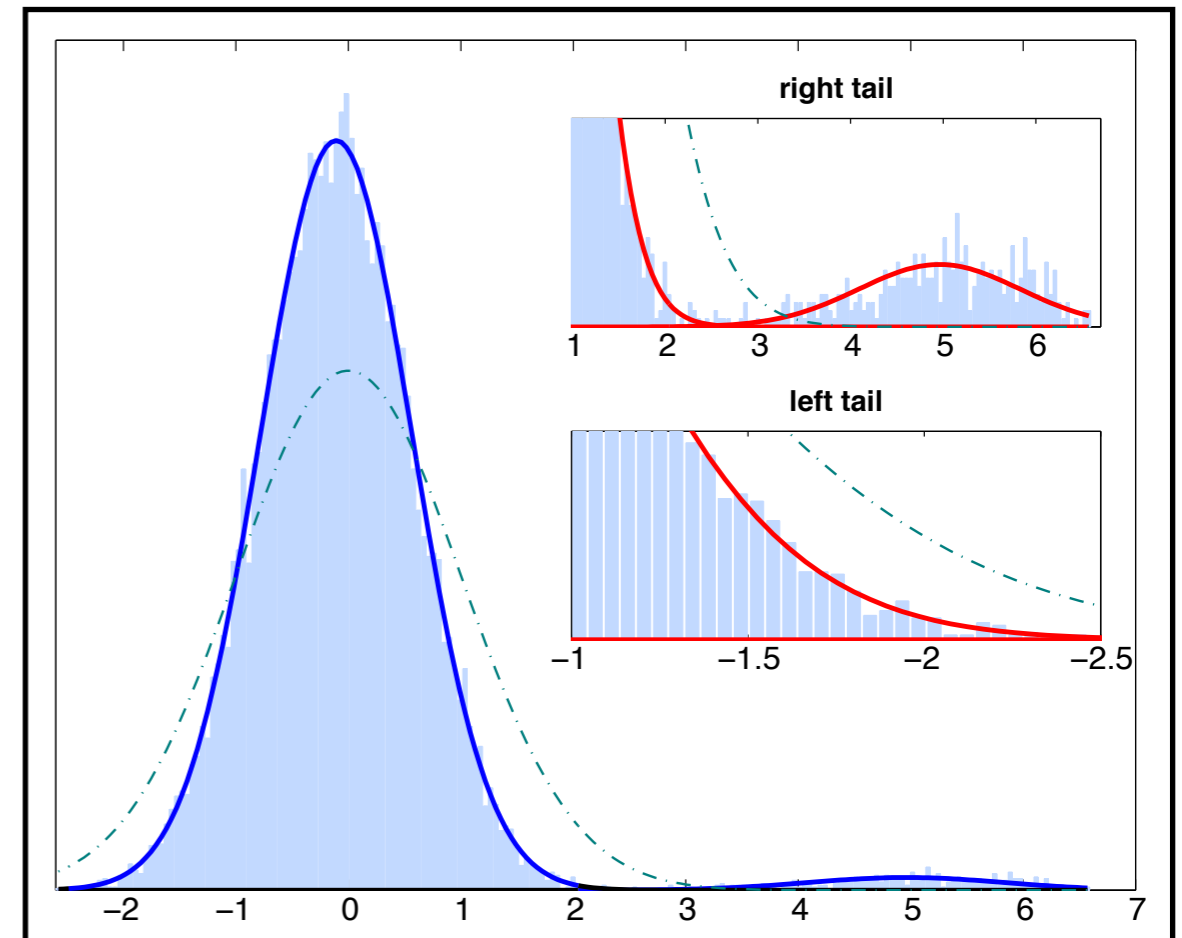
- classical null-hypothesis testing is invalid





Thresholding

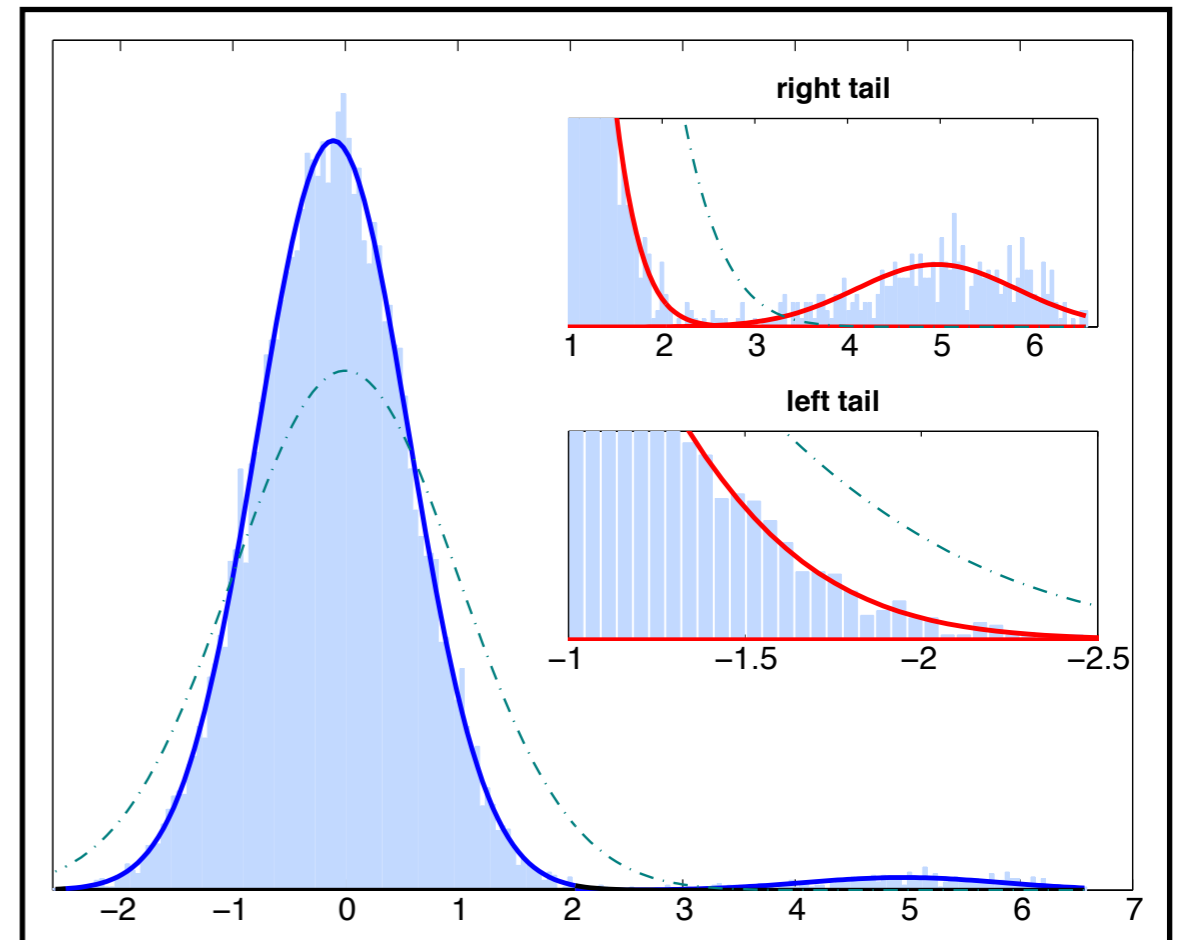
- classical null-hypothesis testing is invalid
- After estimation, the spatial maps are a projection of data on to the 'unmixing matrix'





Thresholding

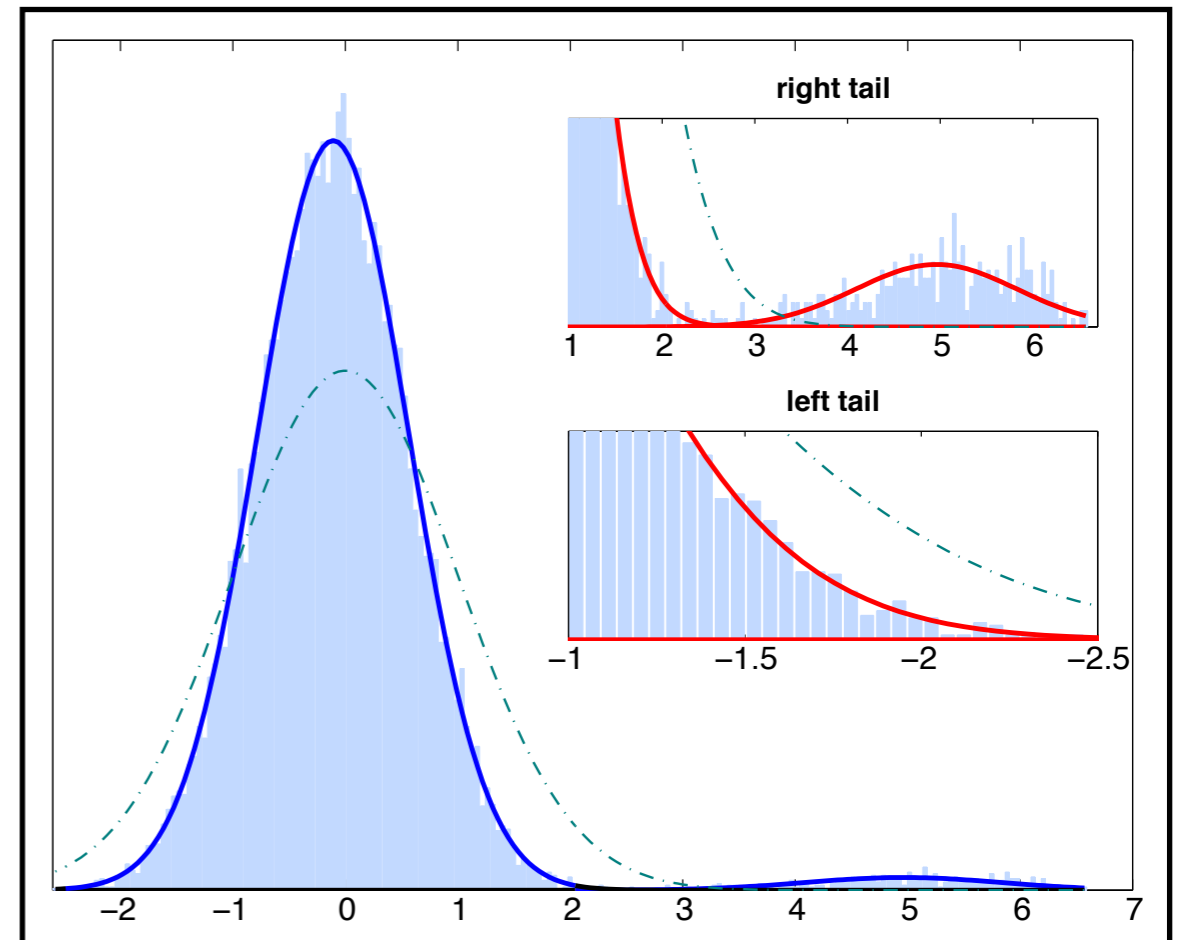
- classical null-hypothesis testing is invalid
- After estimation, the spatial maps are a projection of data on to the 'unmixing matrix'
- data is assumed to be a linear combination of signals and noise





Thresholding

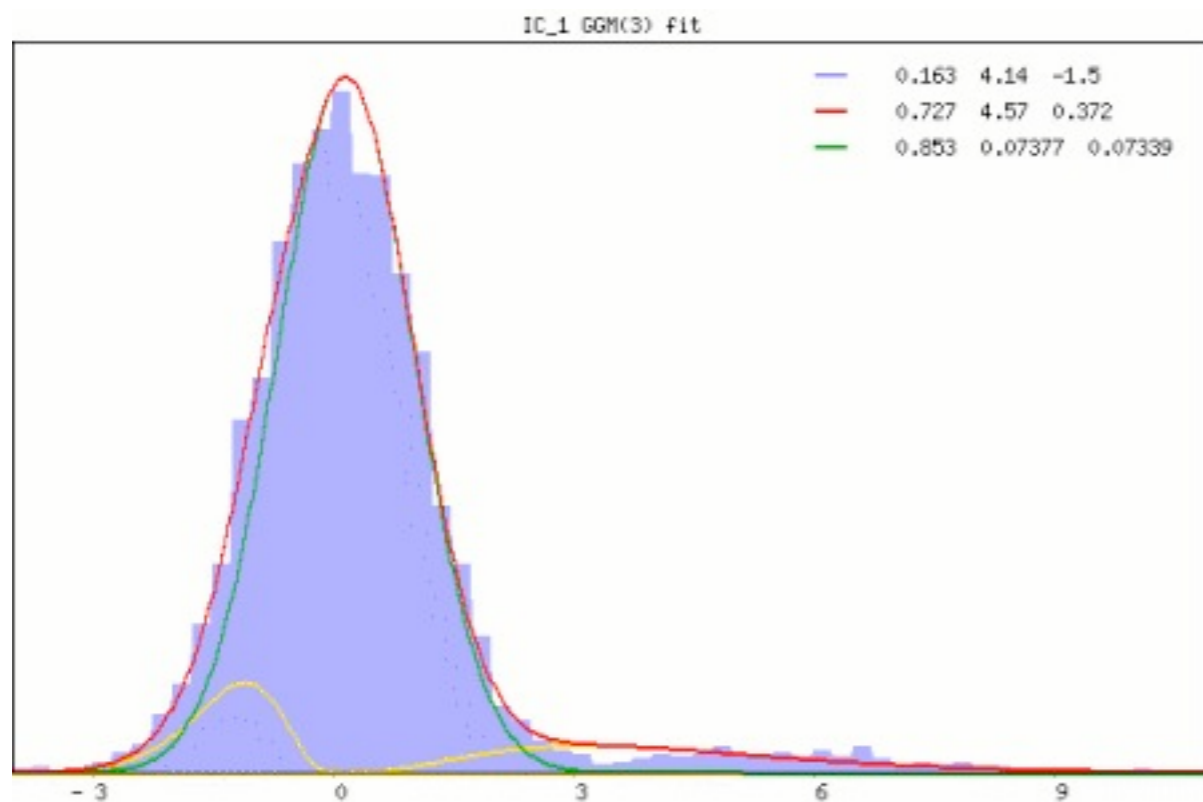
- classical null-hypothesis testing is invalid
- After estimation, the spatial maps are a projection of data on to the 'unmixing matrix'
- data is assumed to be a linear combination of signals and noise
- the distribution of the estimated spatial maps is a mixture distribution!





Alternative Hypothesis Test

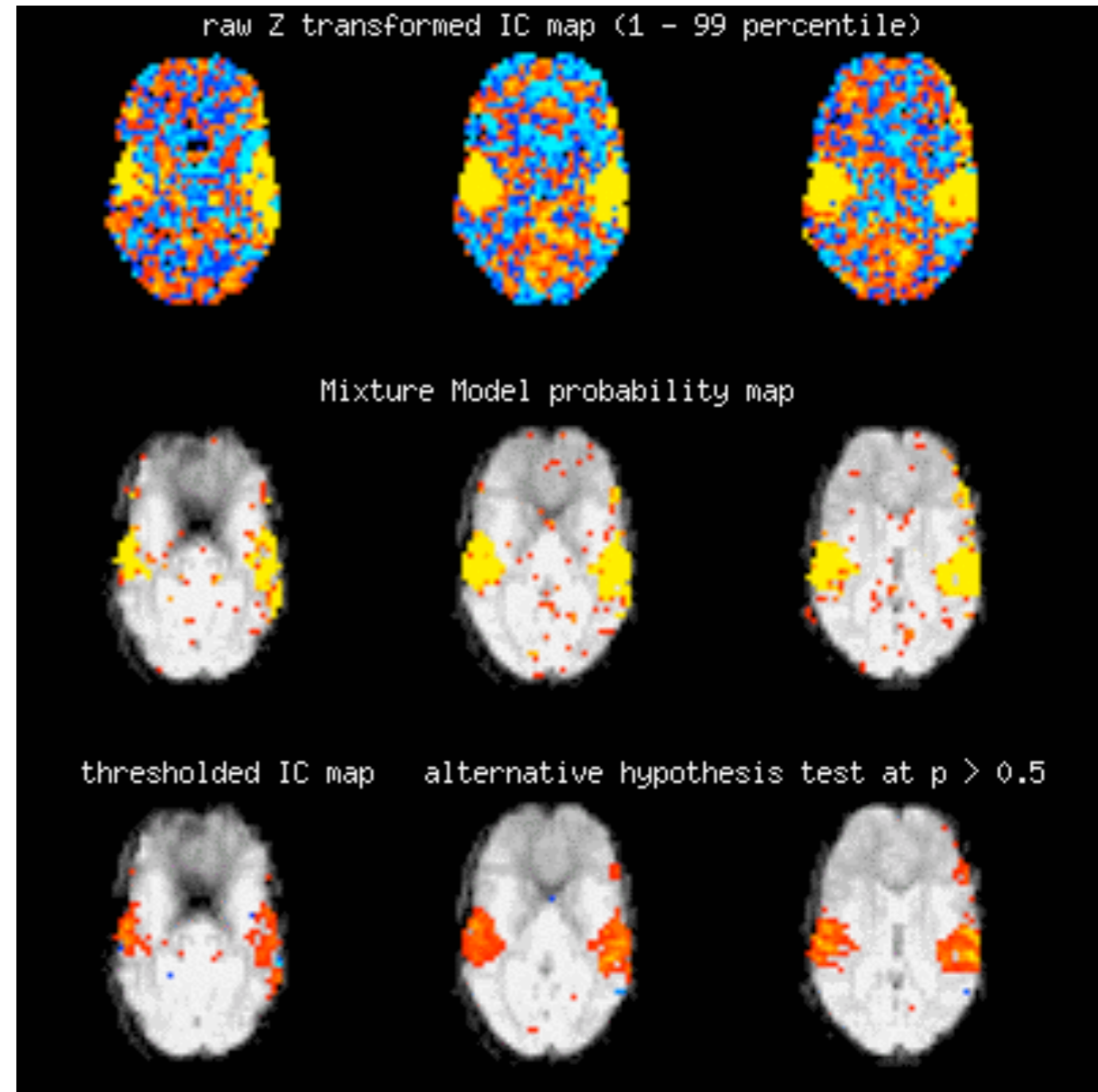
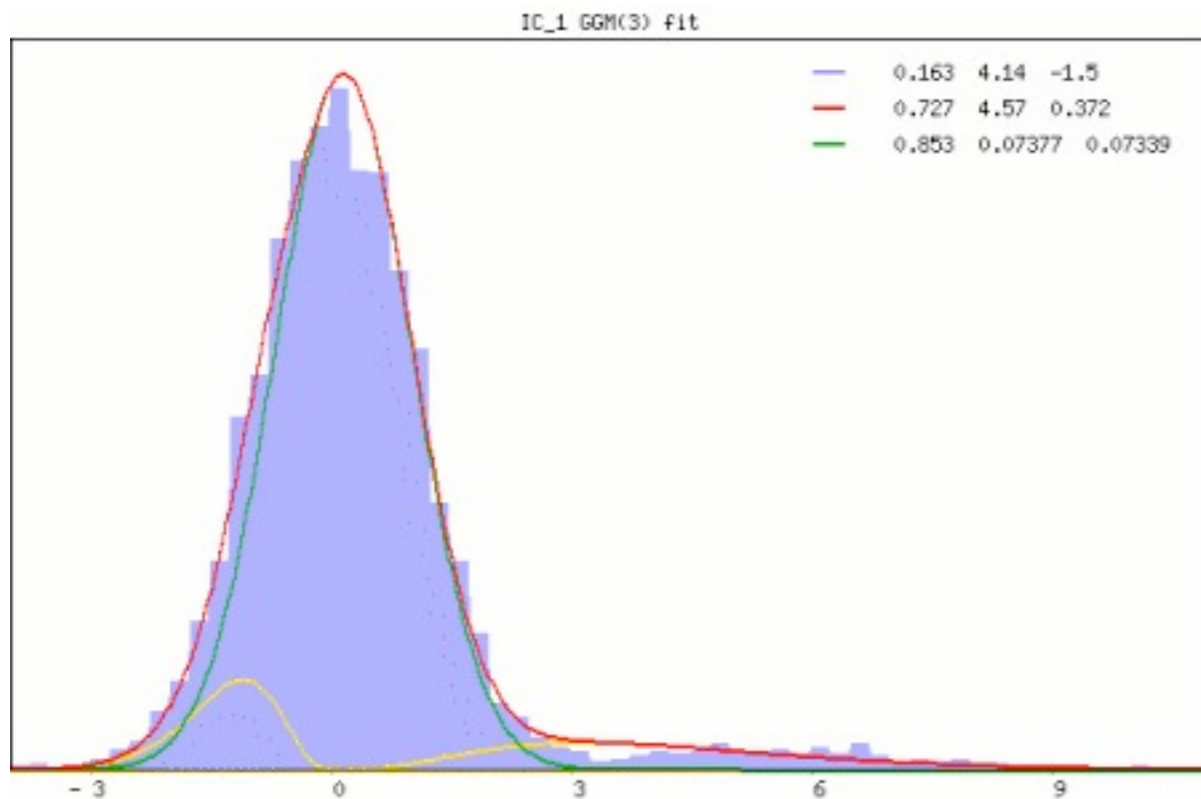
- use Gaussian/Gamma mixture model fitted to the histogram of intensity values (using EM)





Alternative Hypothesis Test

- use Gaussian/Gamma mixture model fitted to the histogram of intensity values (using EM)

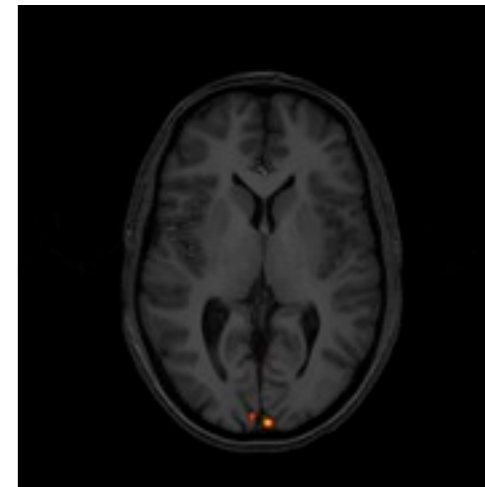
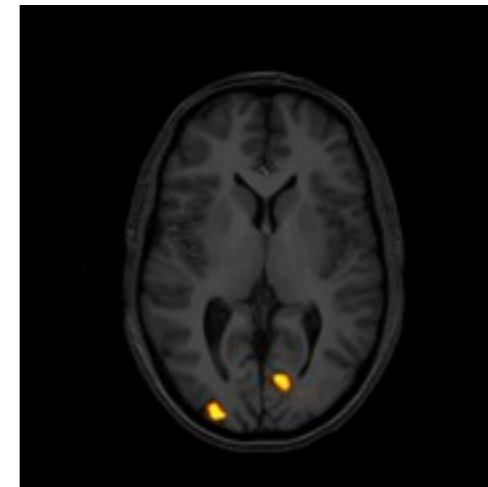
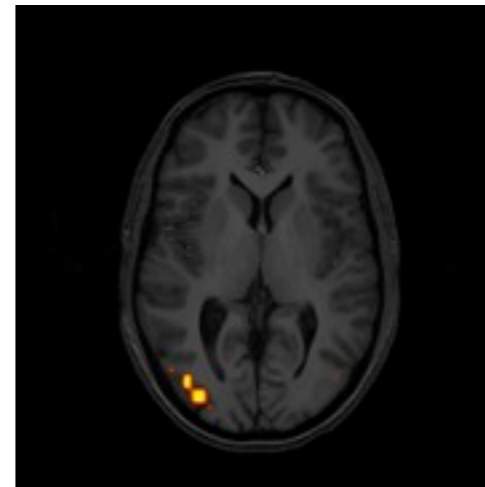
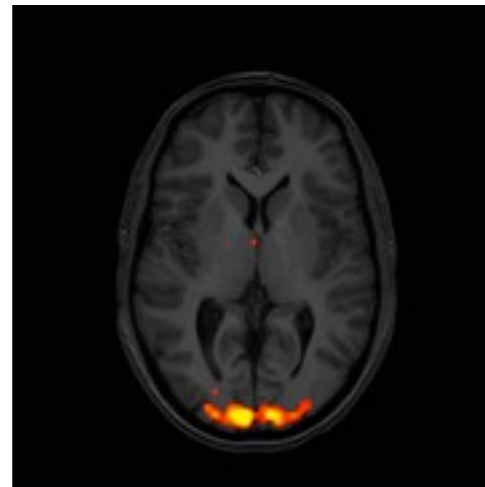
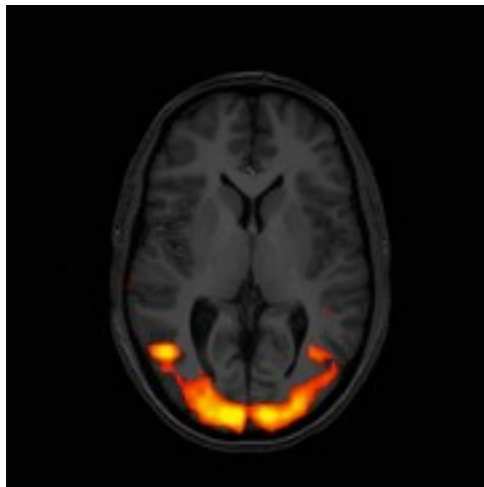




Probabilistic ICA

GLM analysis

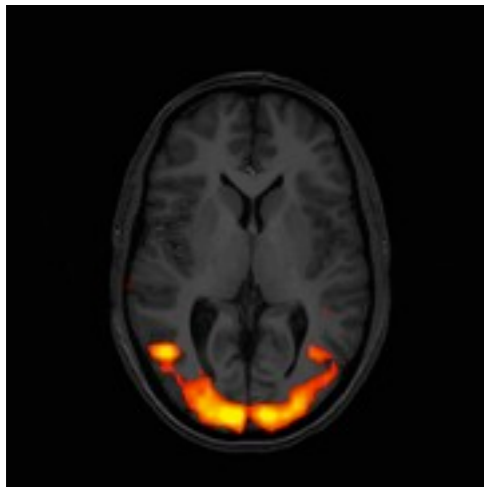
standard ICA (unconstrained)



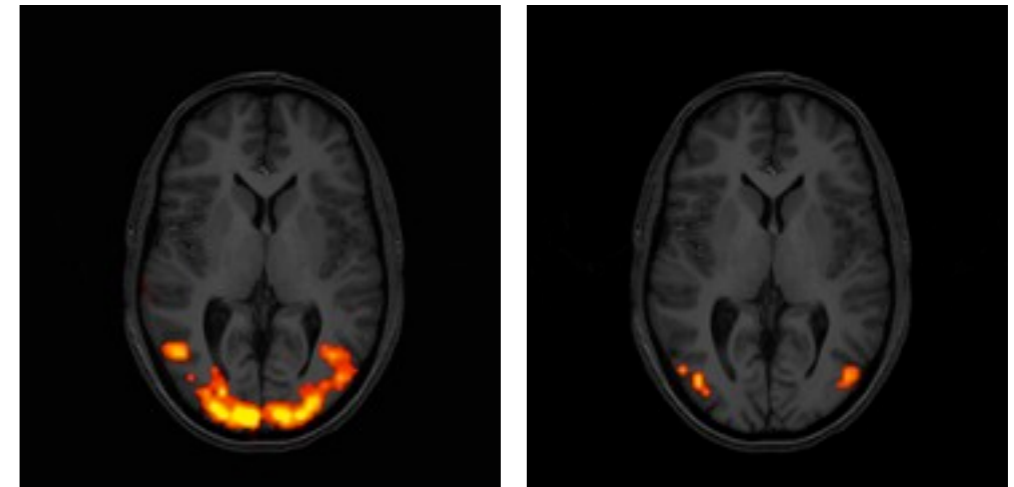


Probabilistic ICA

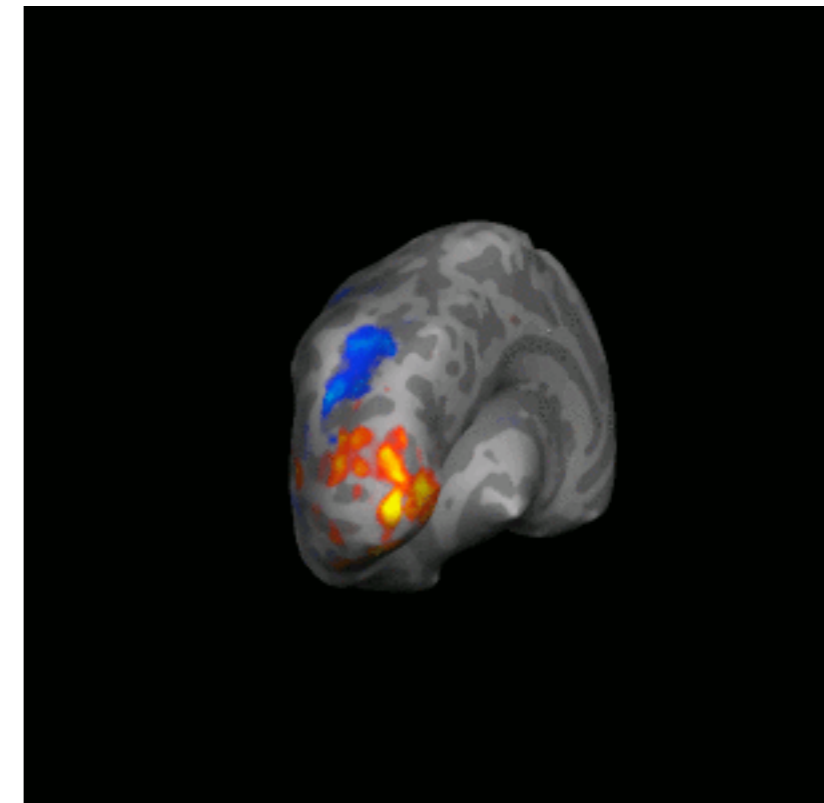
GLM analysis



probabilistic ICA



- designed to address the ‘overfitting problem’:
- tries to avoid generation of ‘spurious’ results
- high spatial sensitivity and specificity





Applications

EDA techniques can be useful to

- investigate the BOLD response
- estimate artefacts in the data
- find areas of 'activation' which respond in a non-standard way
- analyse data for which no model of the BOLD response is available



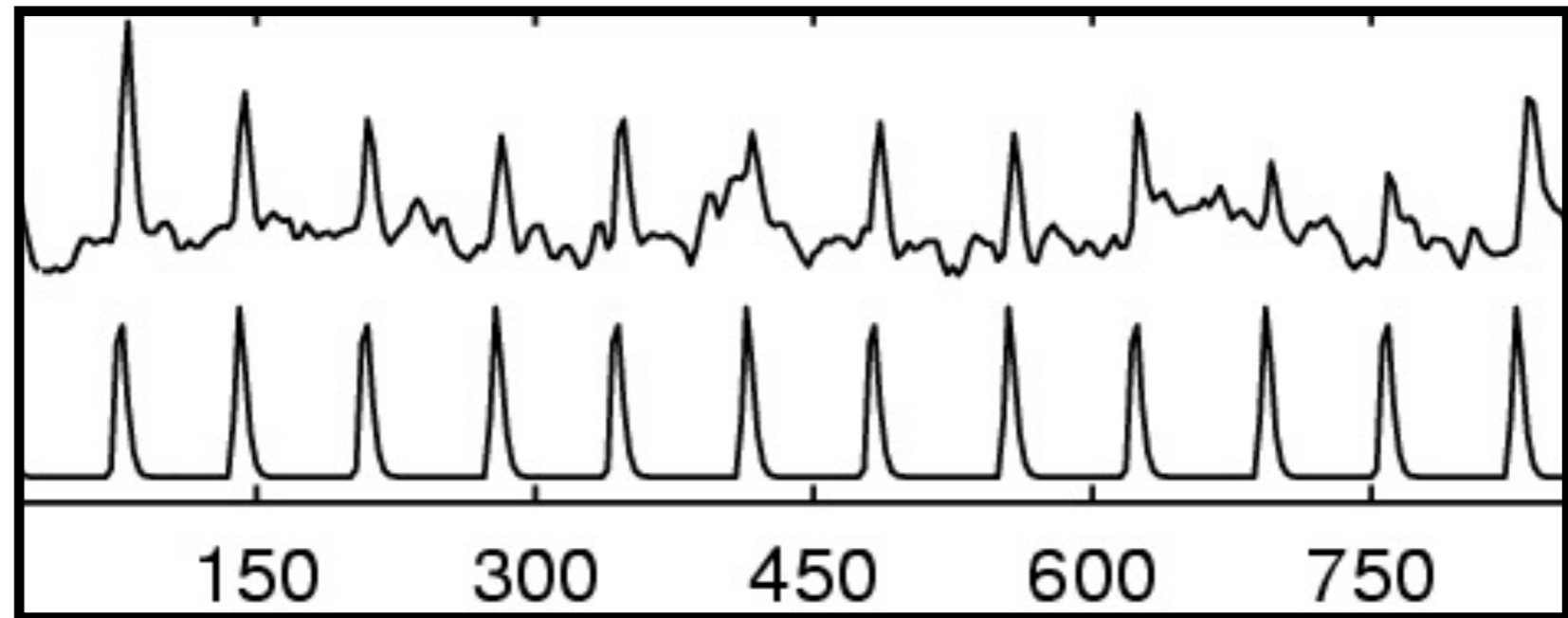
Applications

EDA techniques can be useful to

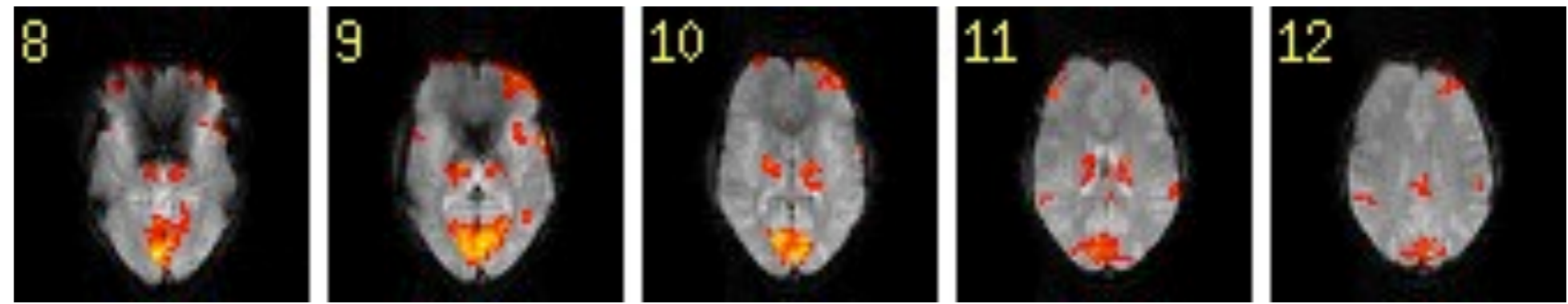
- ▶ investigate the BOLD response
- estimate artefacts in the data
- find areas of 'activation' which respond in a non-standard way
- analyse data for which no model of the BOLD response is available



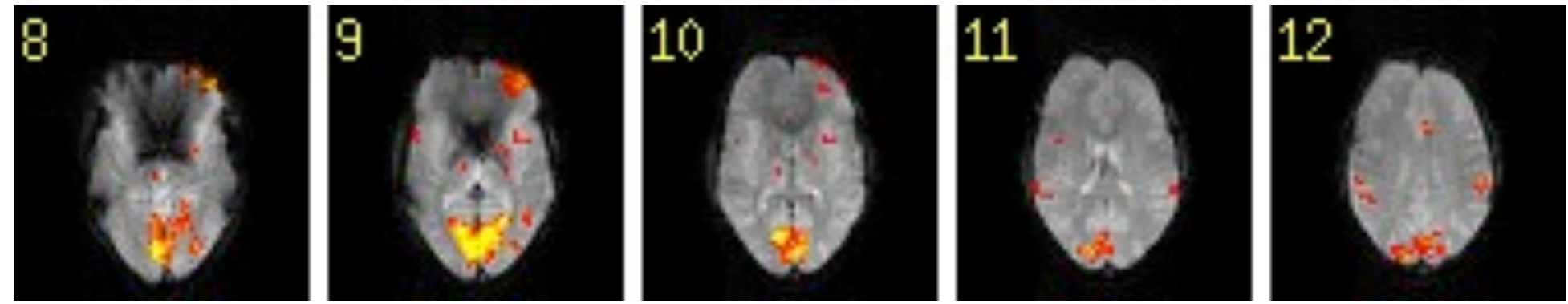
Investigate BOLD response



estimated
signal time
course



standard
hrf model





Applications

EDA techniques can be useful to

- investigate the BOLD response
- ▶ estimate artefacts in the data
- find areas of ‘activation’ which respond in a non-standard way
- analyse data for which no model of the BOLD response is available

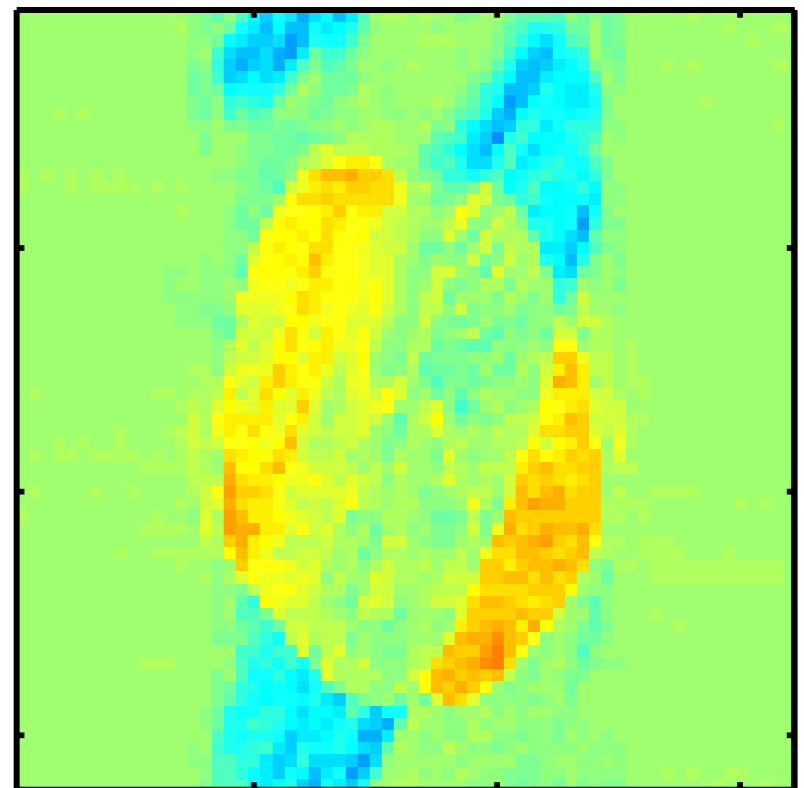
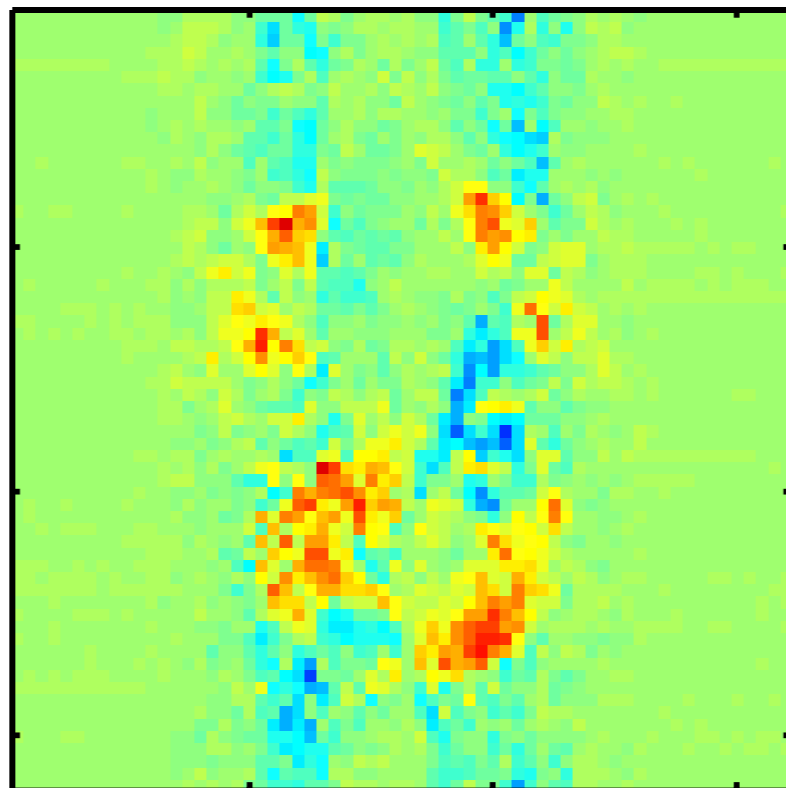
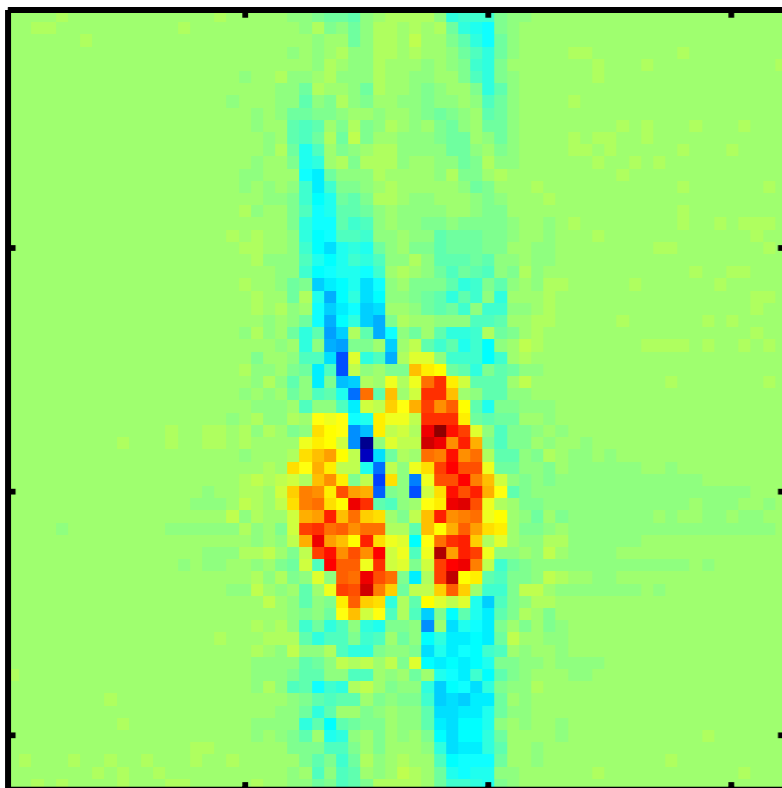
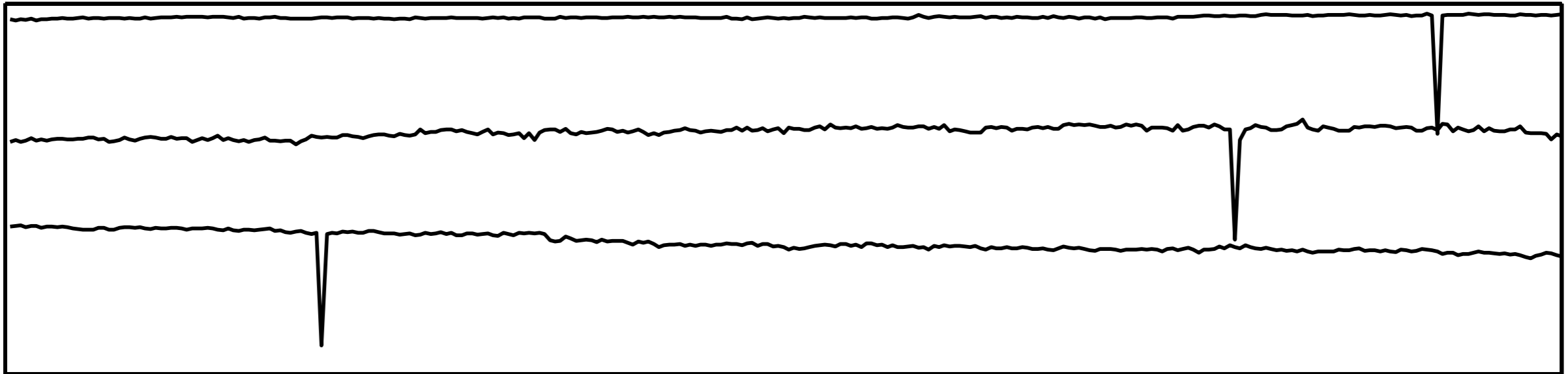


Artefact detection

- FMRI data contain a variety of source processes
- Artifactual sources typically have unknown spatial and temporal extent and cannot easily be modelled accurately
- Exploratory techniques do not require a priori knowledge of time-courses and spatial maps

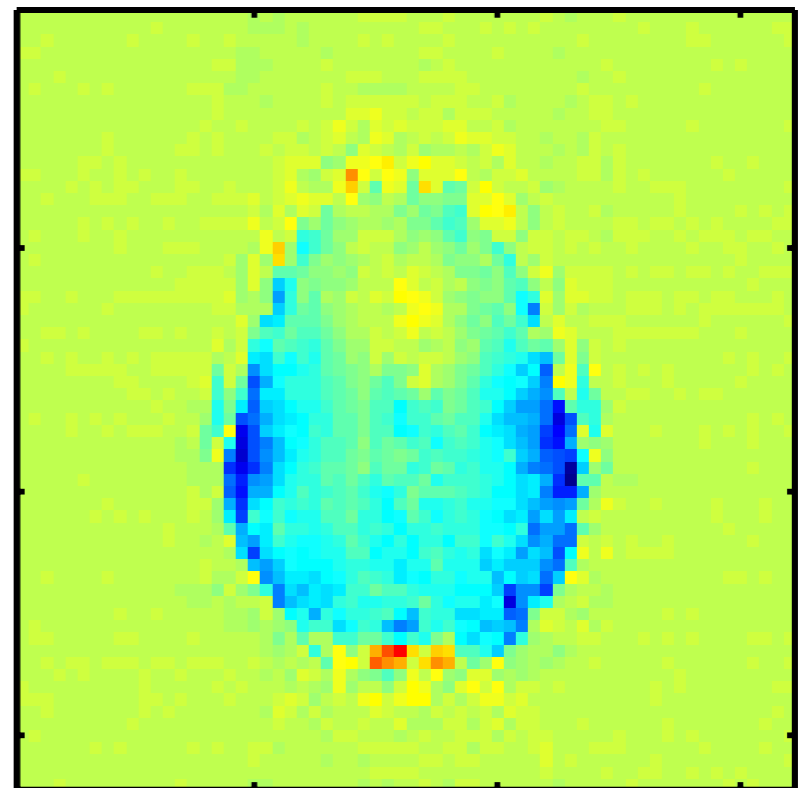
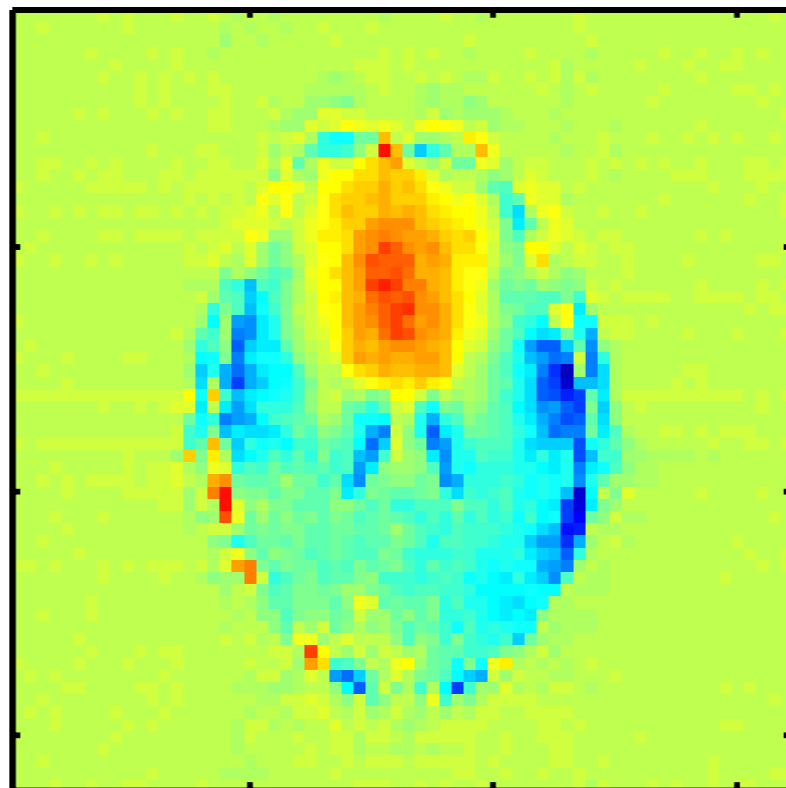
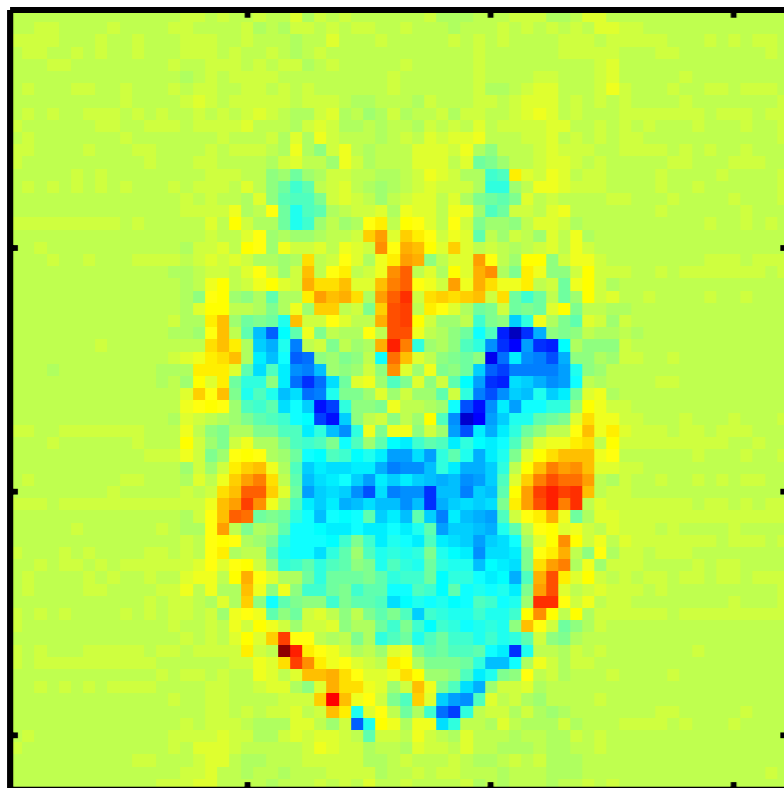


slice drop-outs



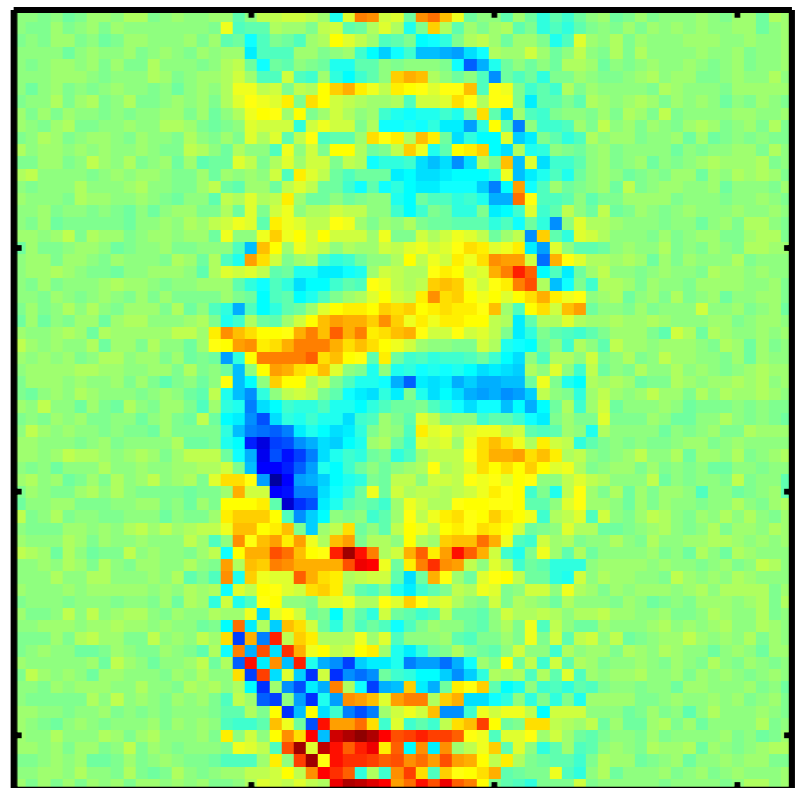
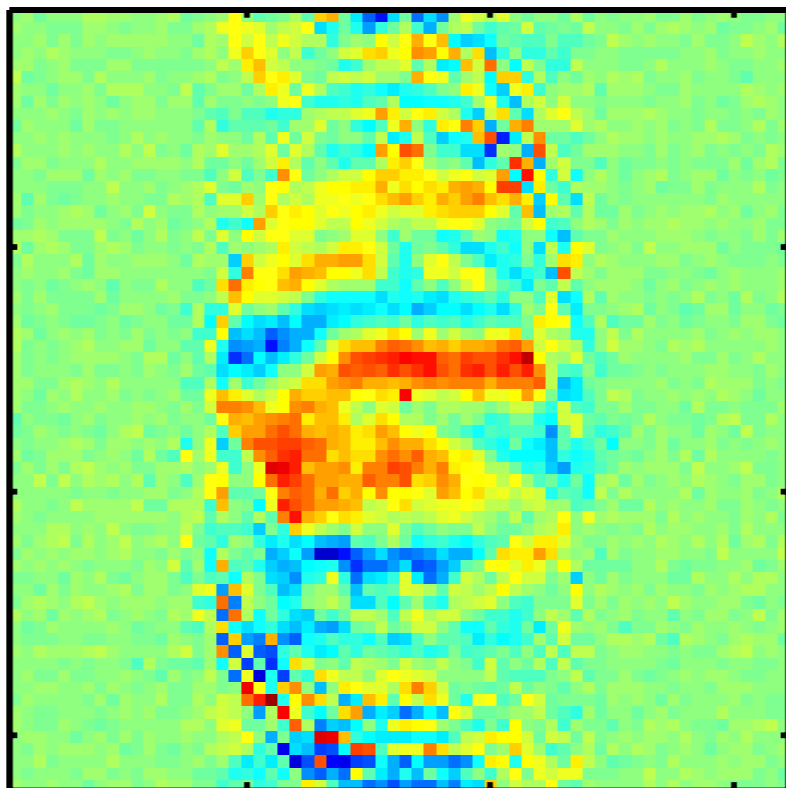
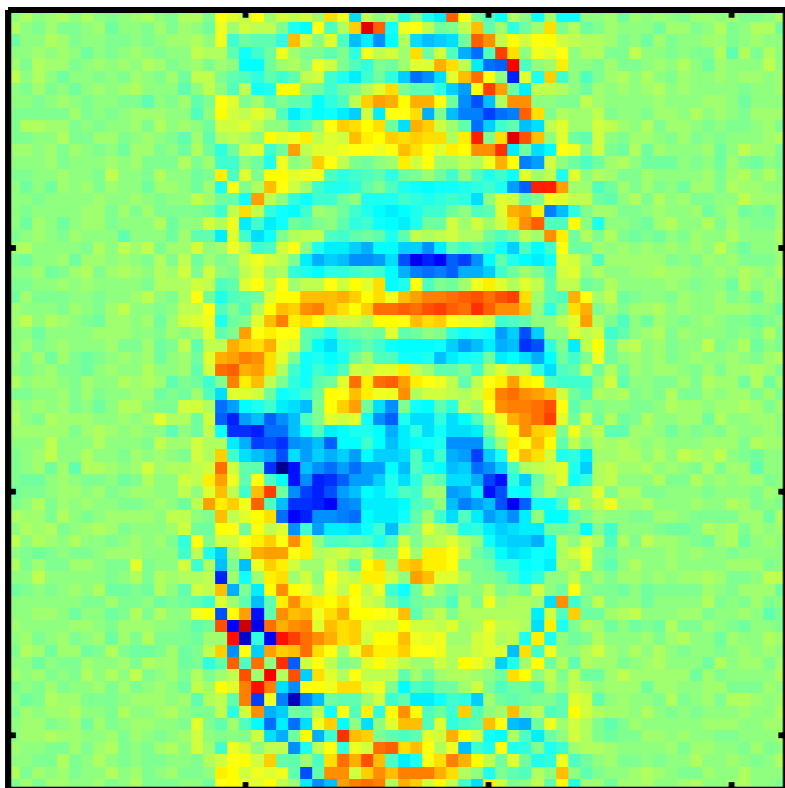
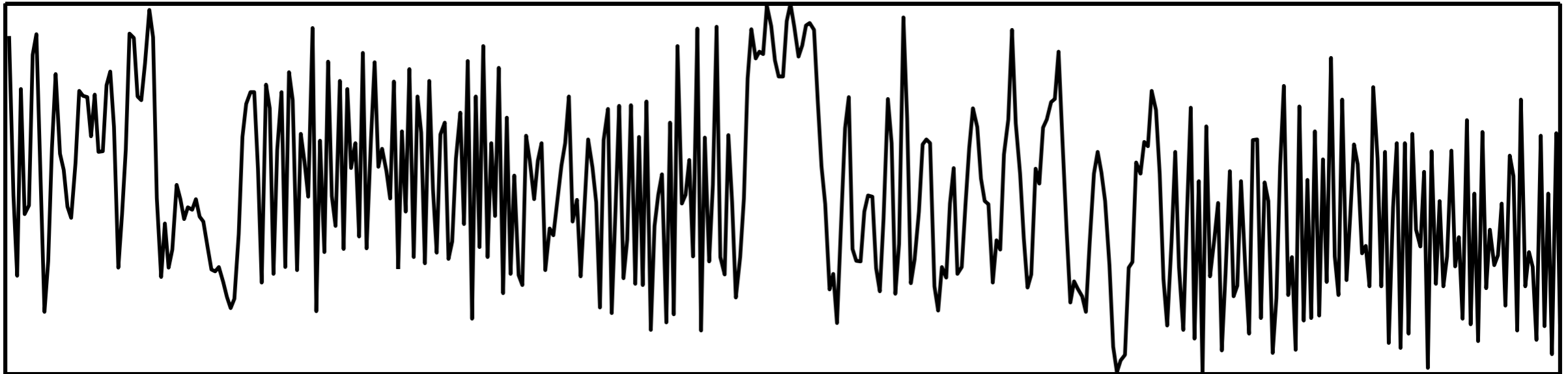


gradient instability



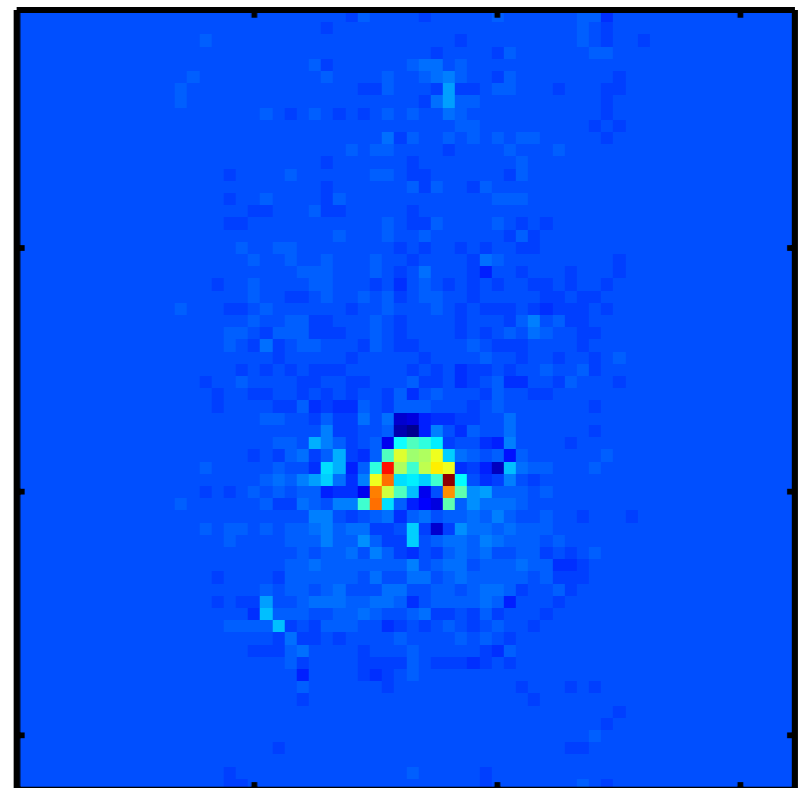
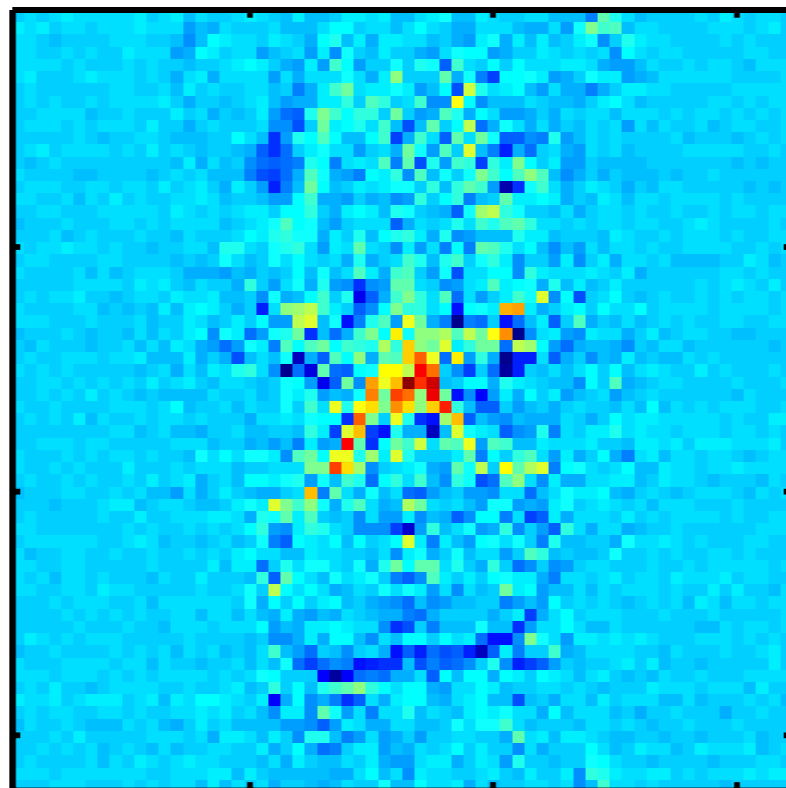
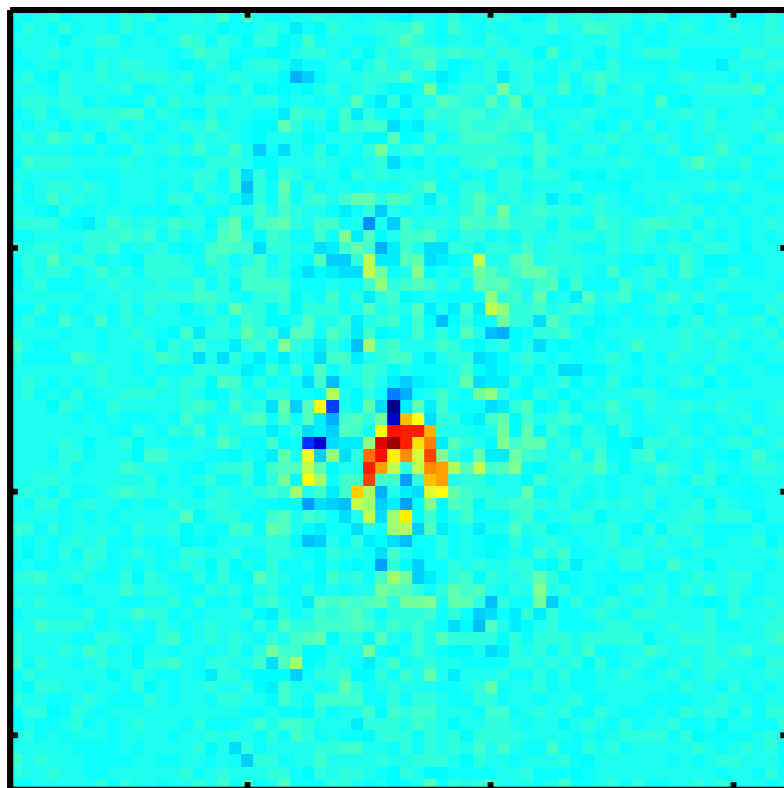
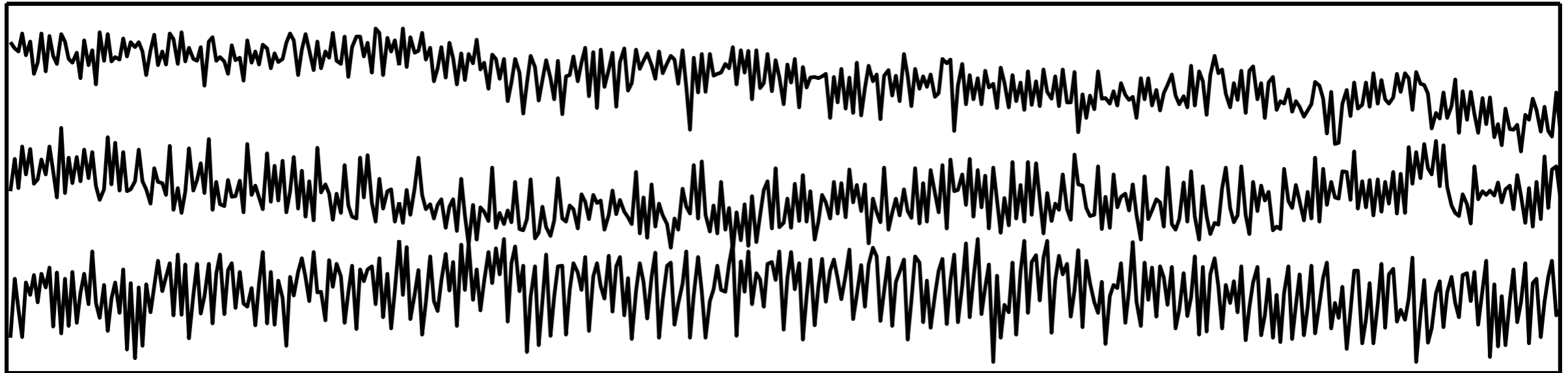


EPI ghost



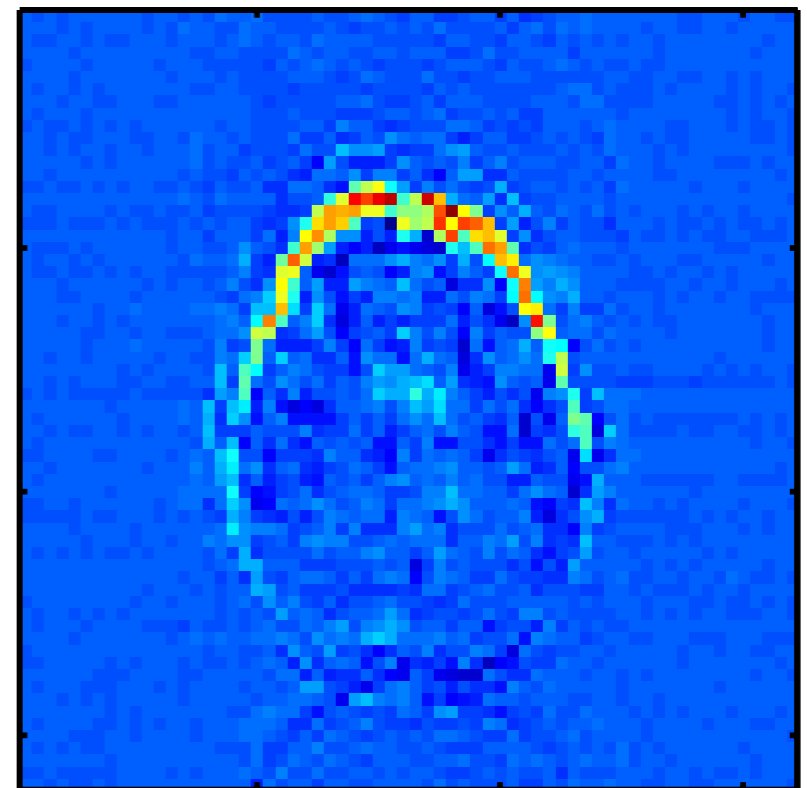
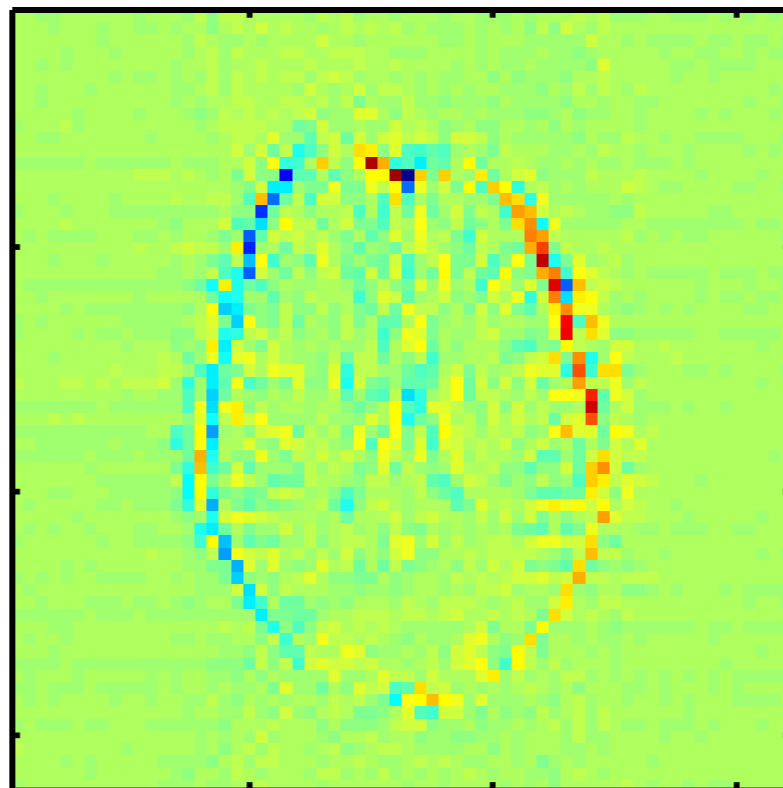
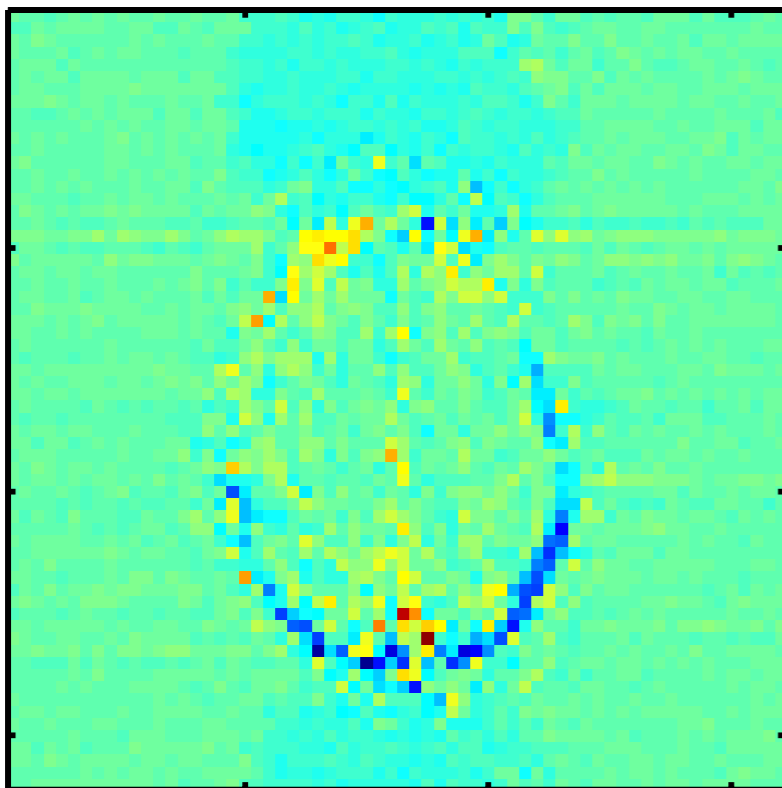
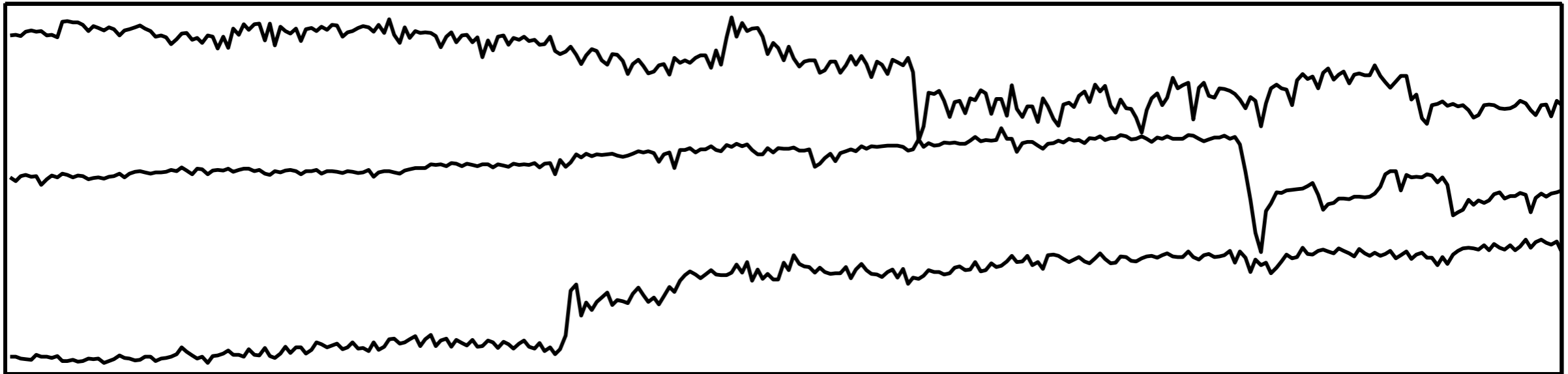


high-frequency noise



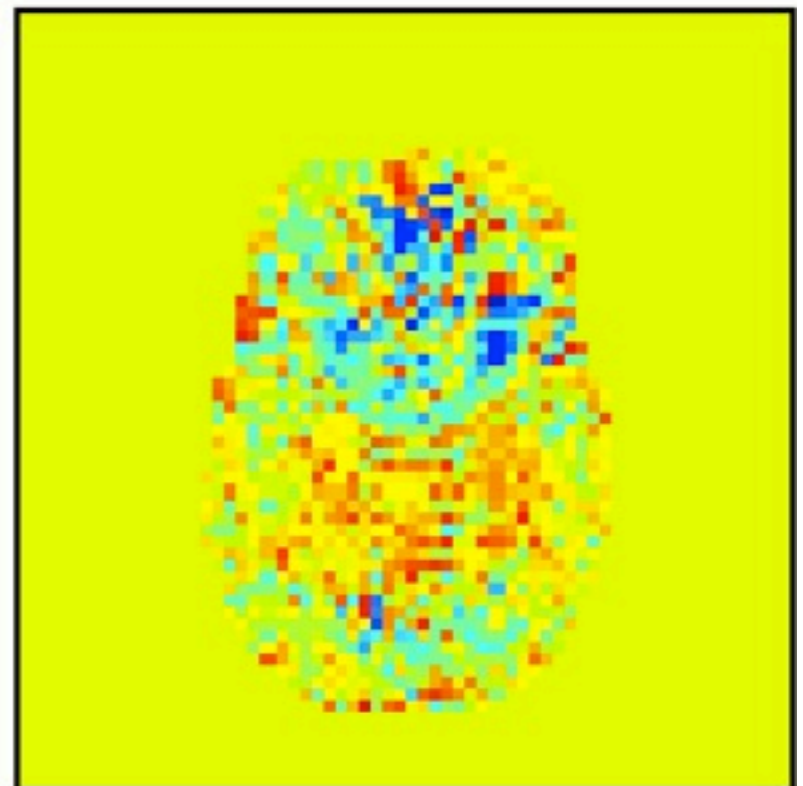
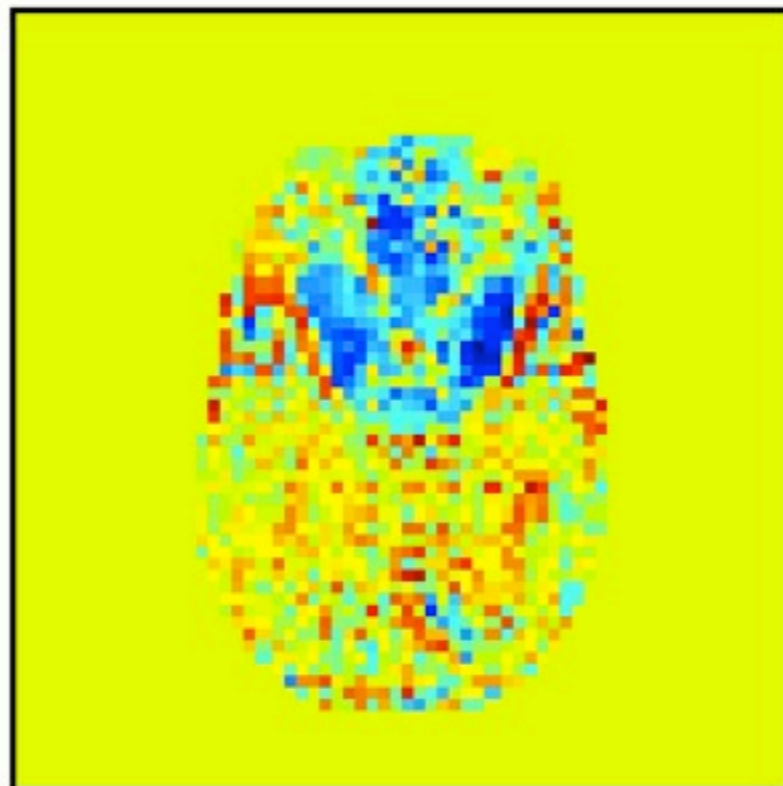
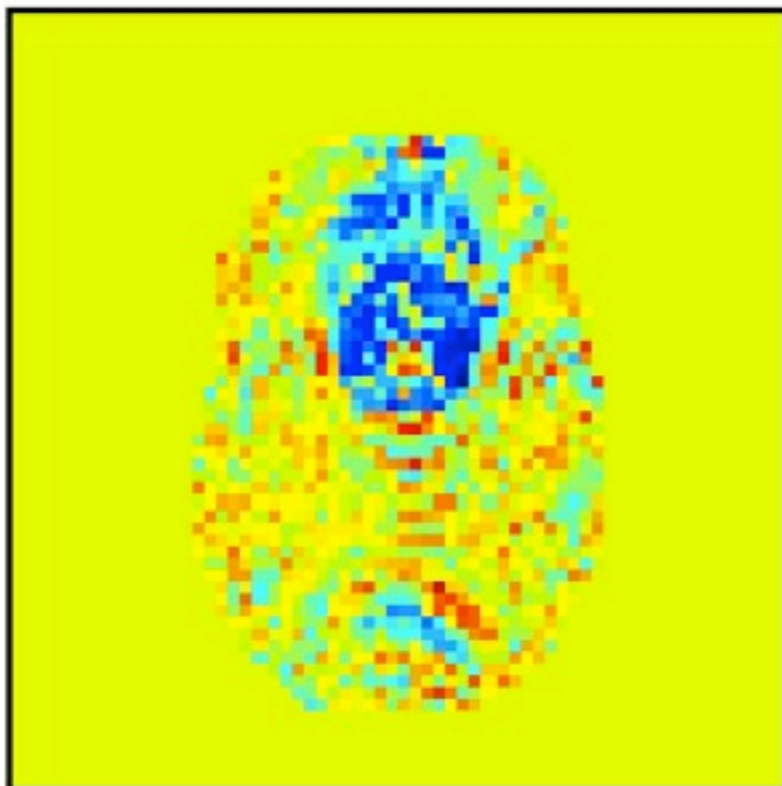
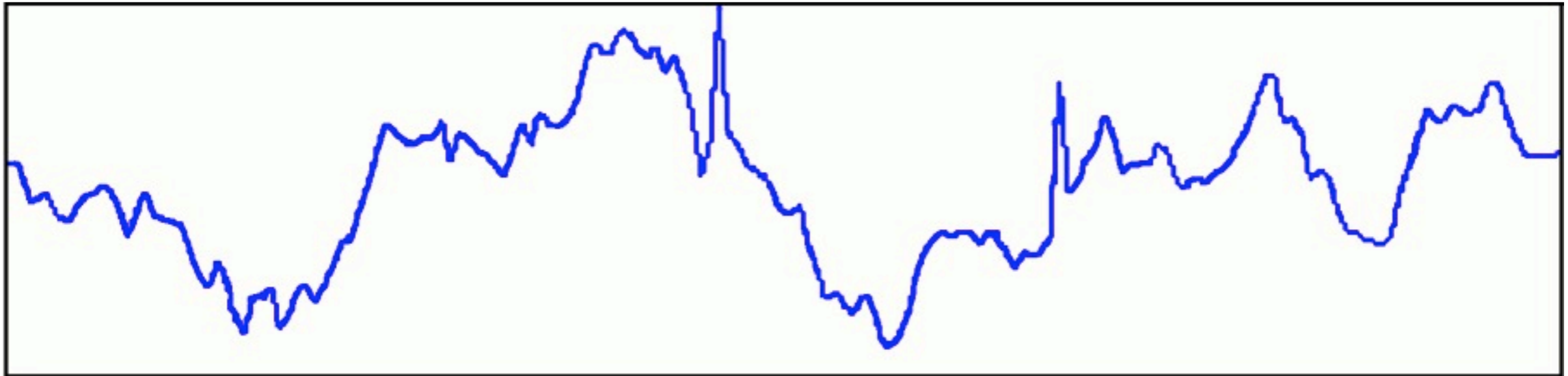


head motion



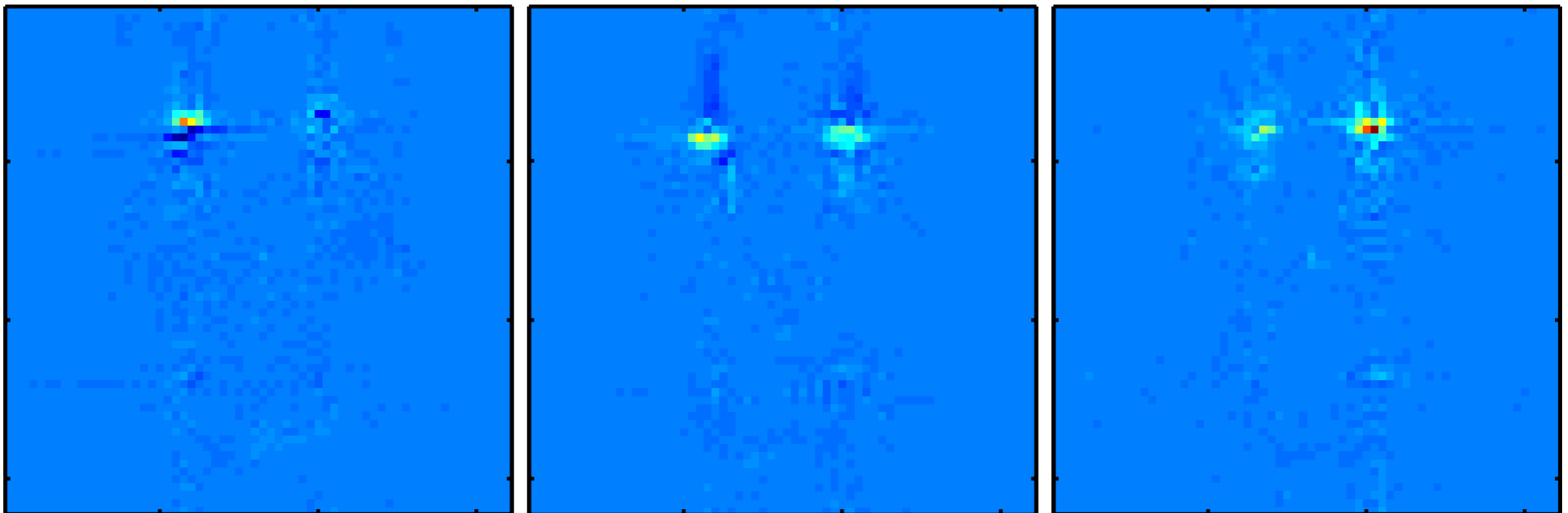
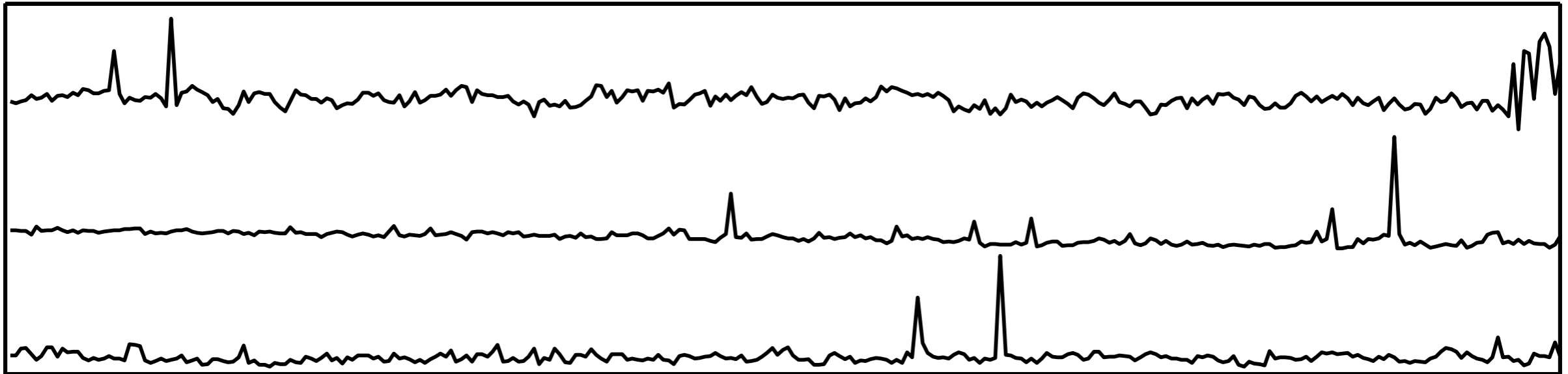


field inhomogeneity



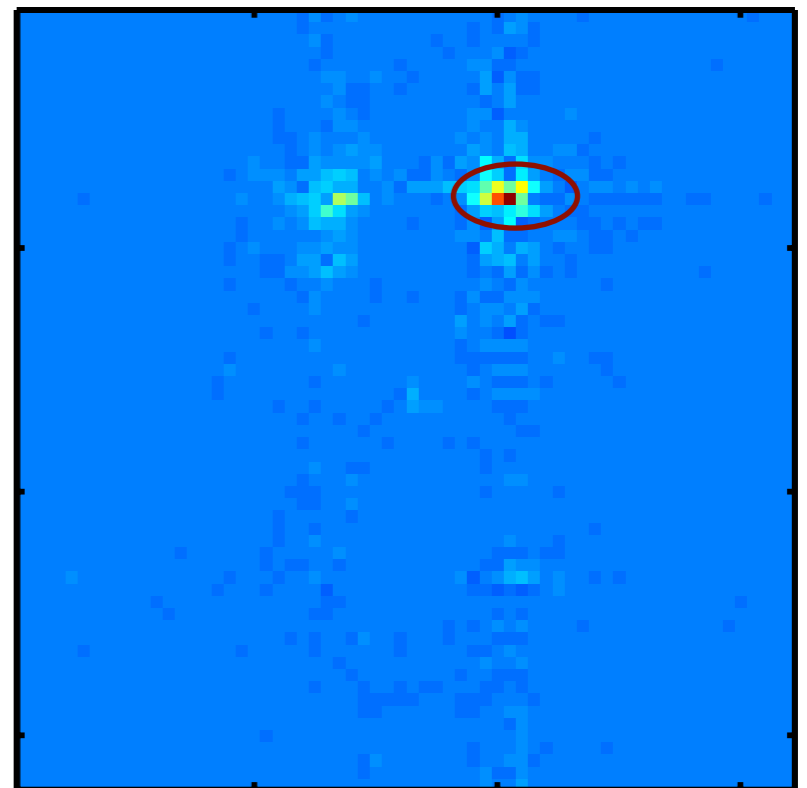
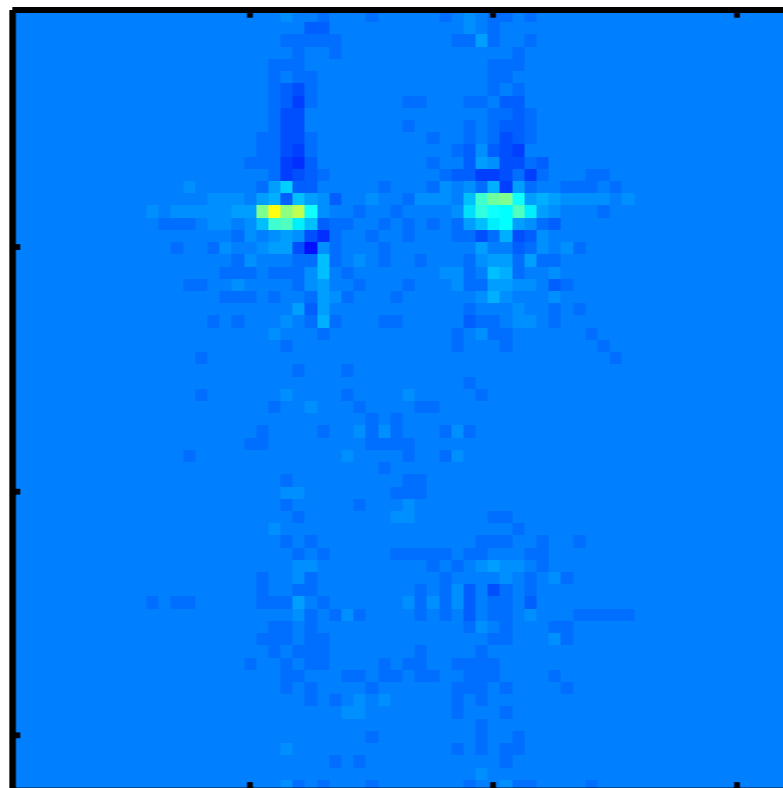
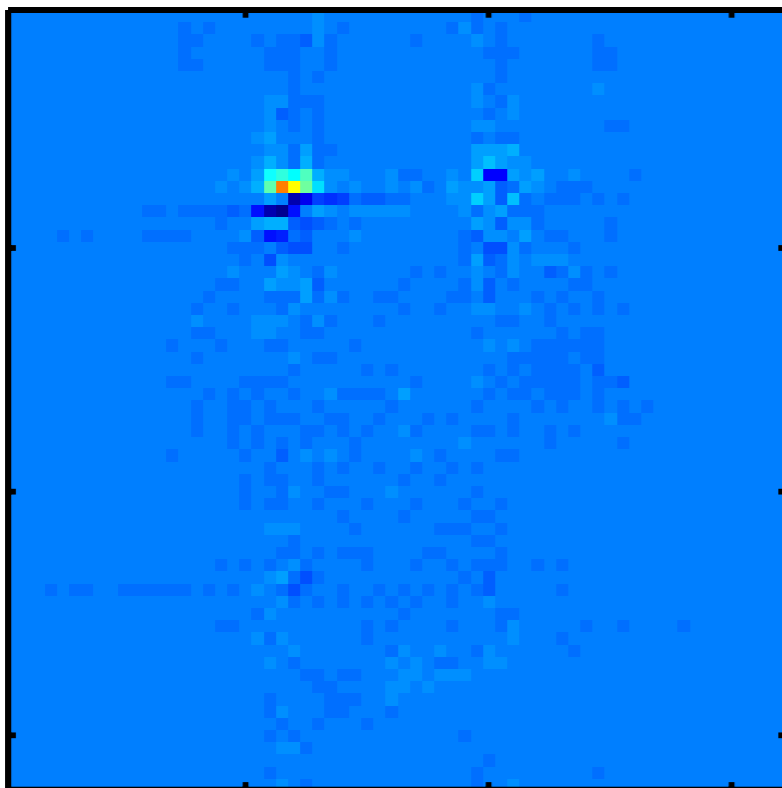
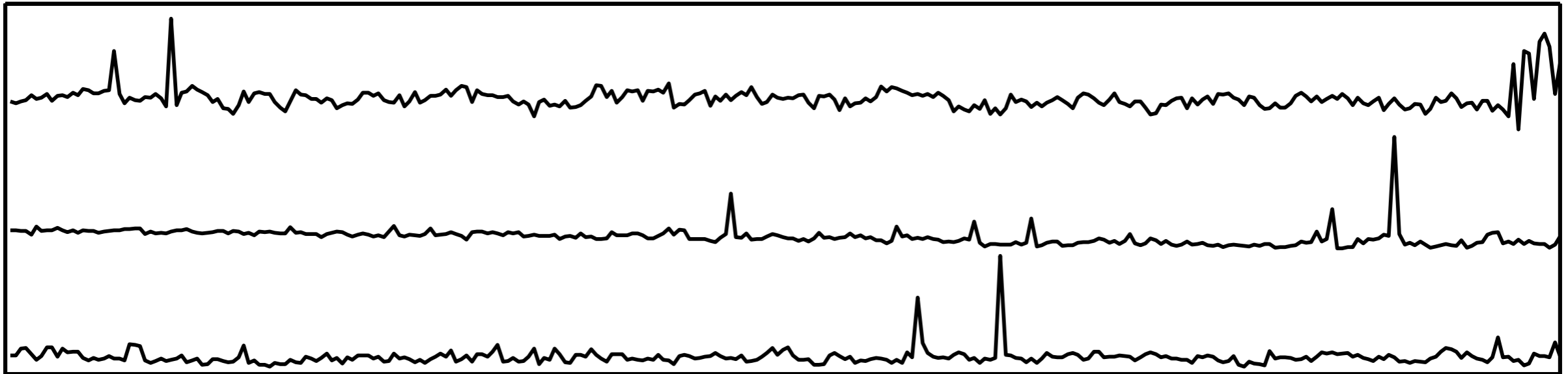


eye-related artefacts



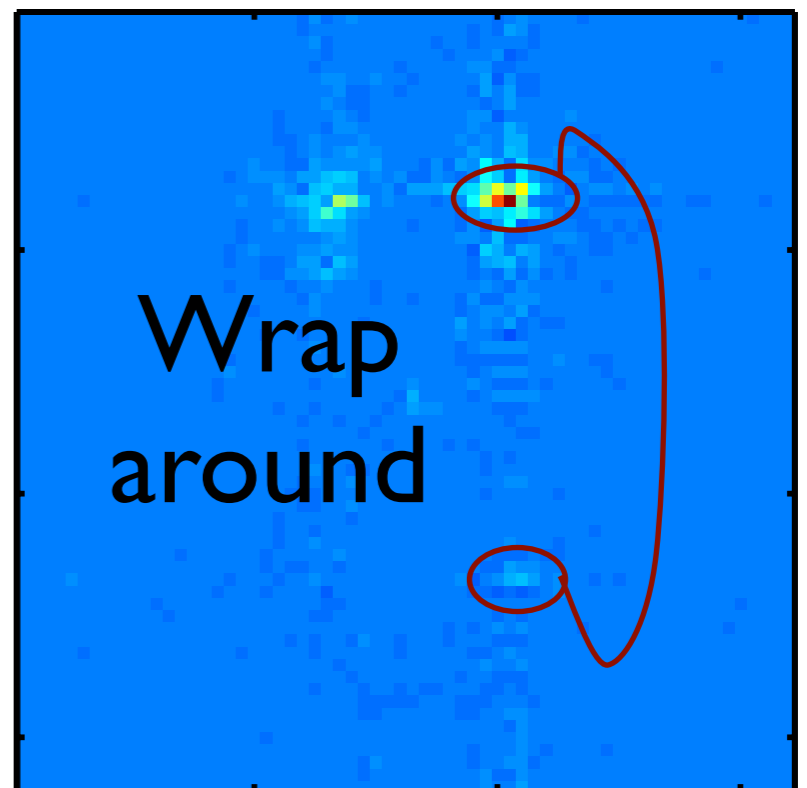
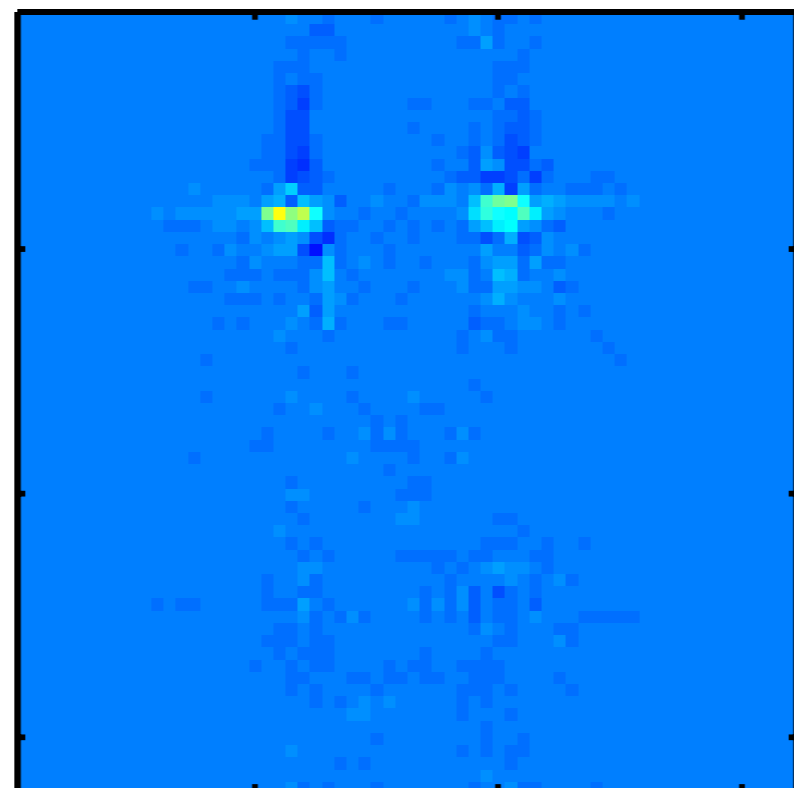
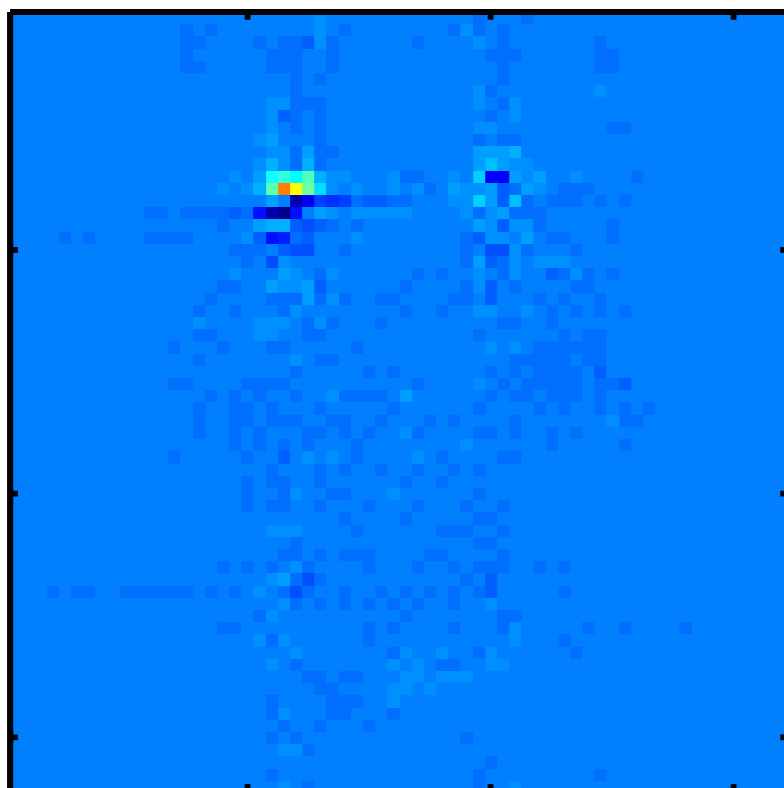
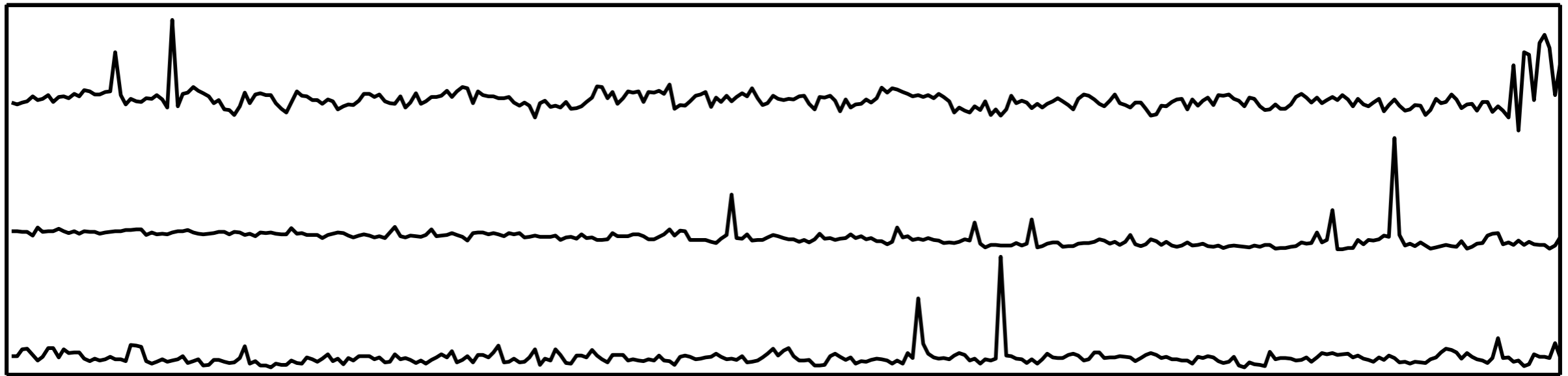


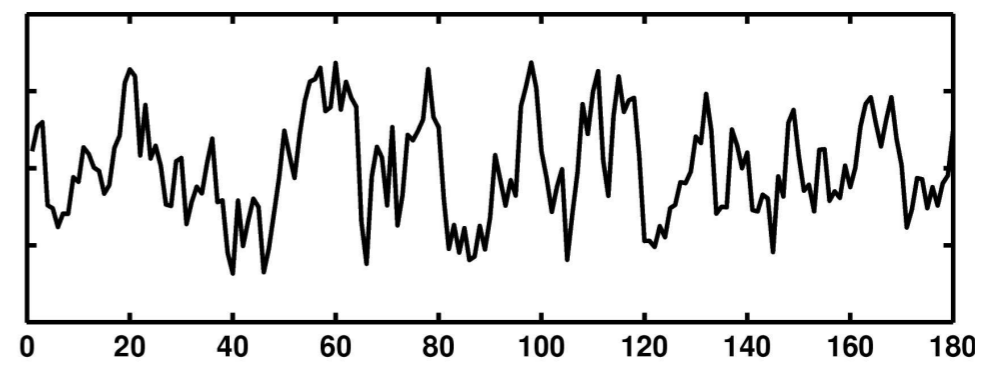
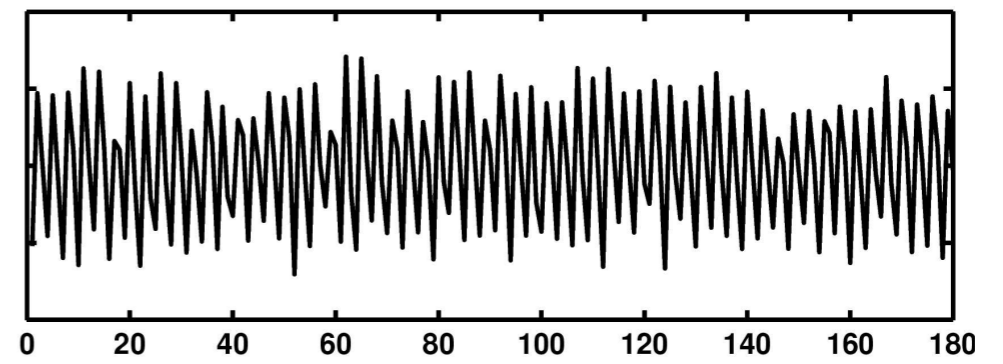
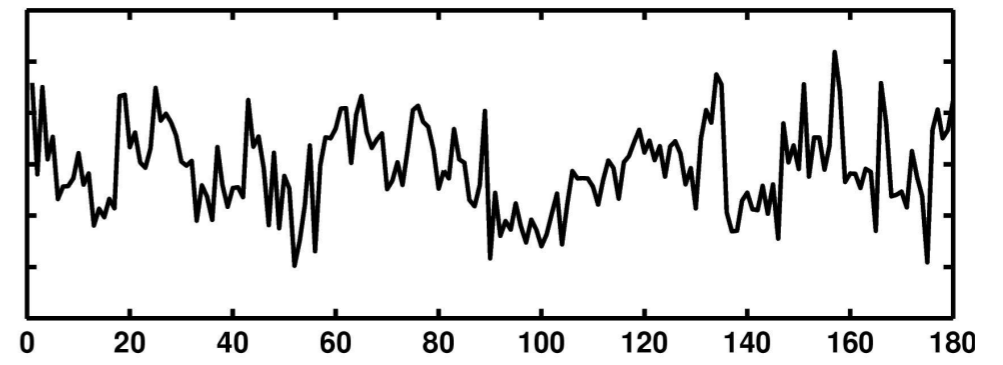
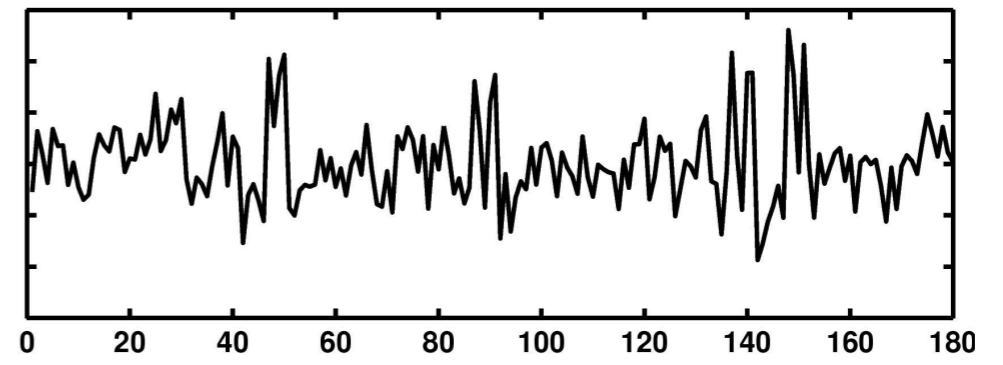
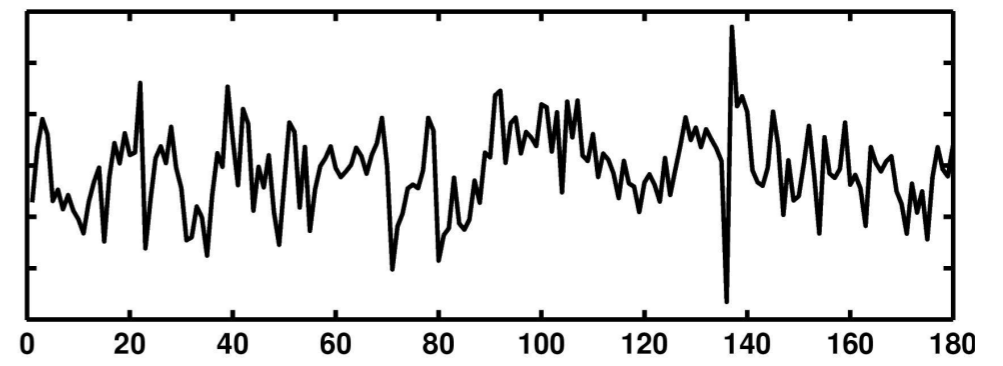
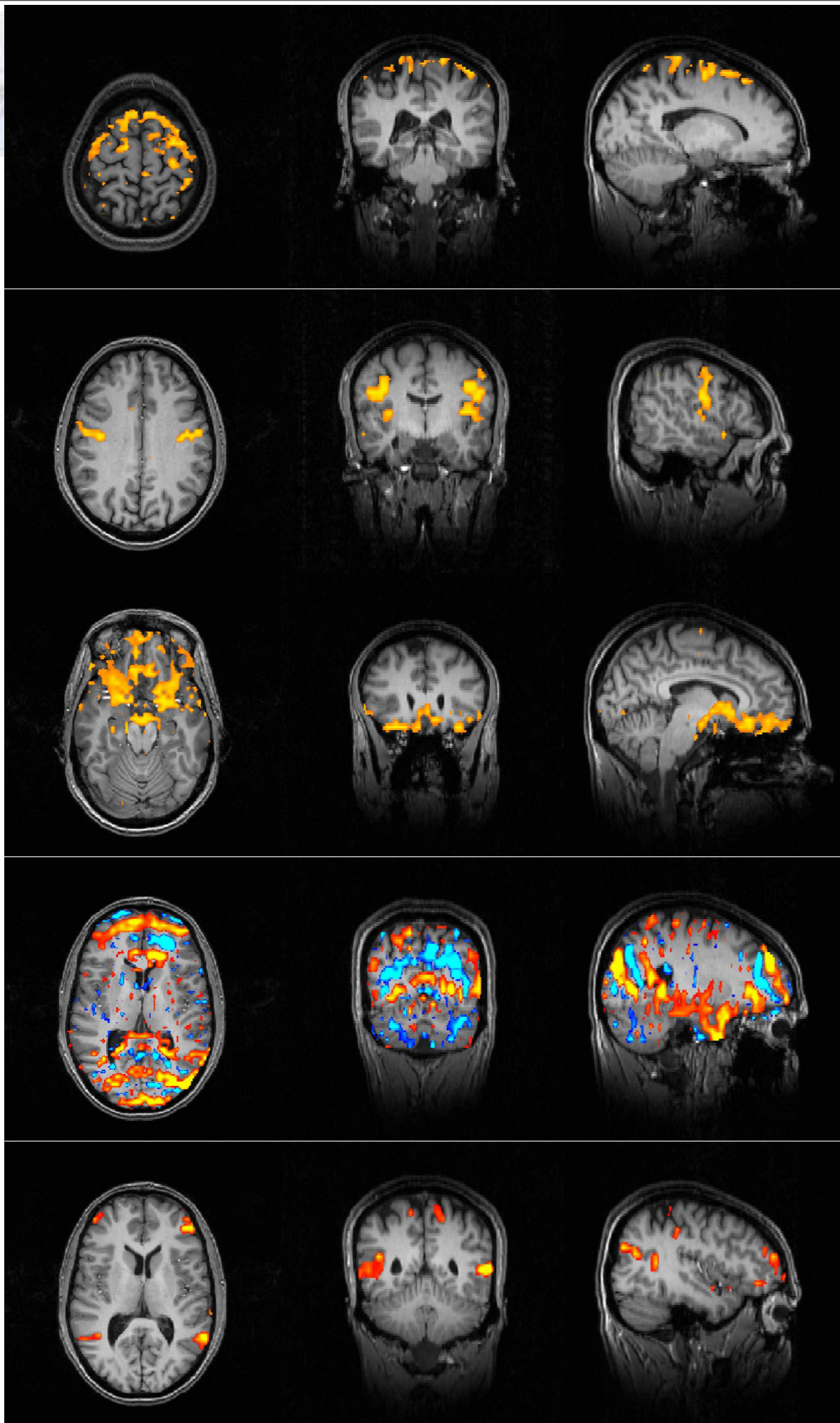
eye-related artefacts





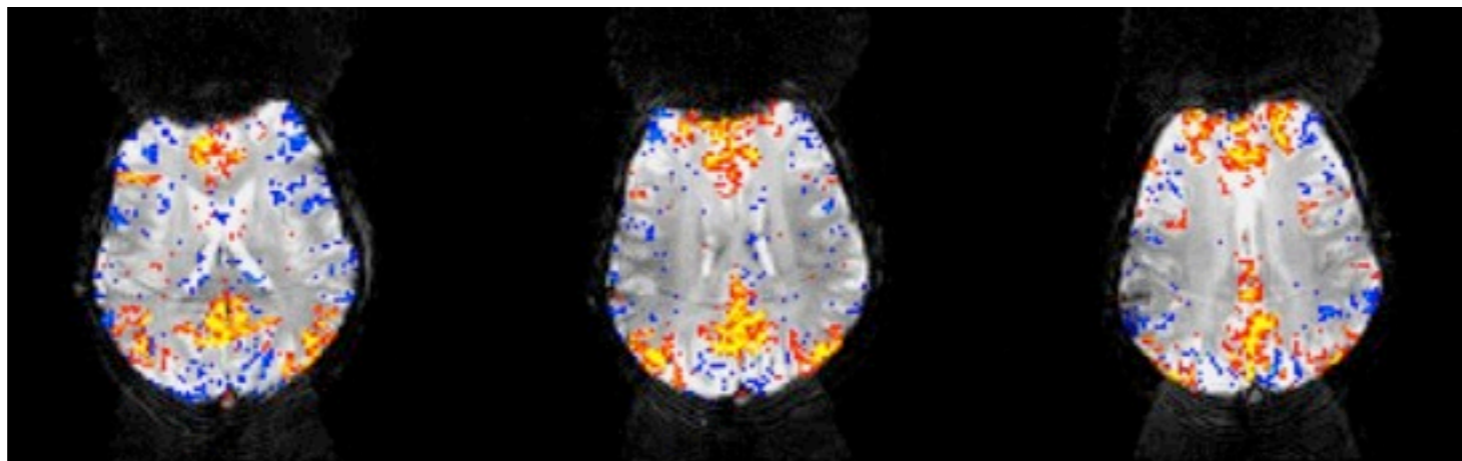
eye-related artefacts







Structured Noise and the GLM

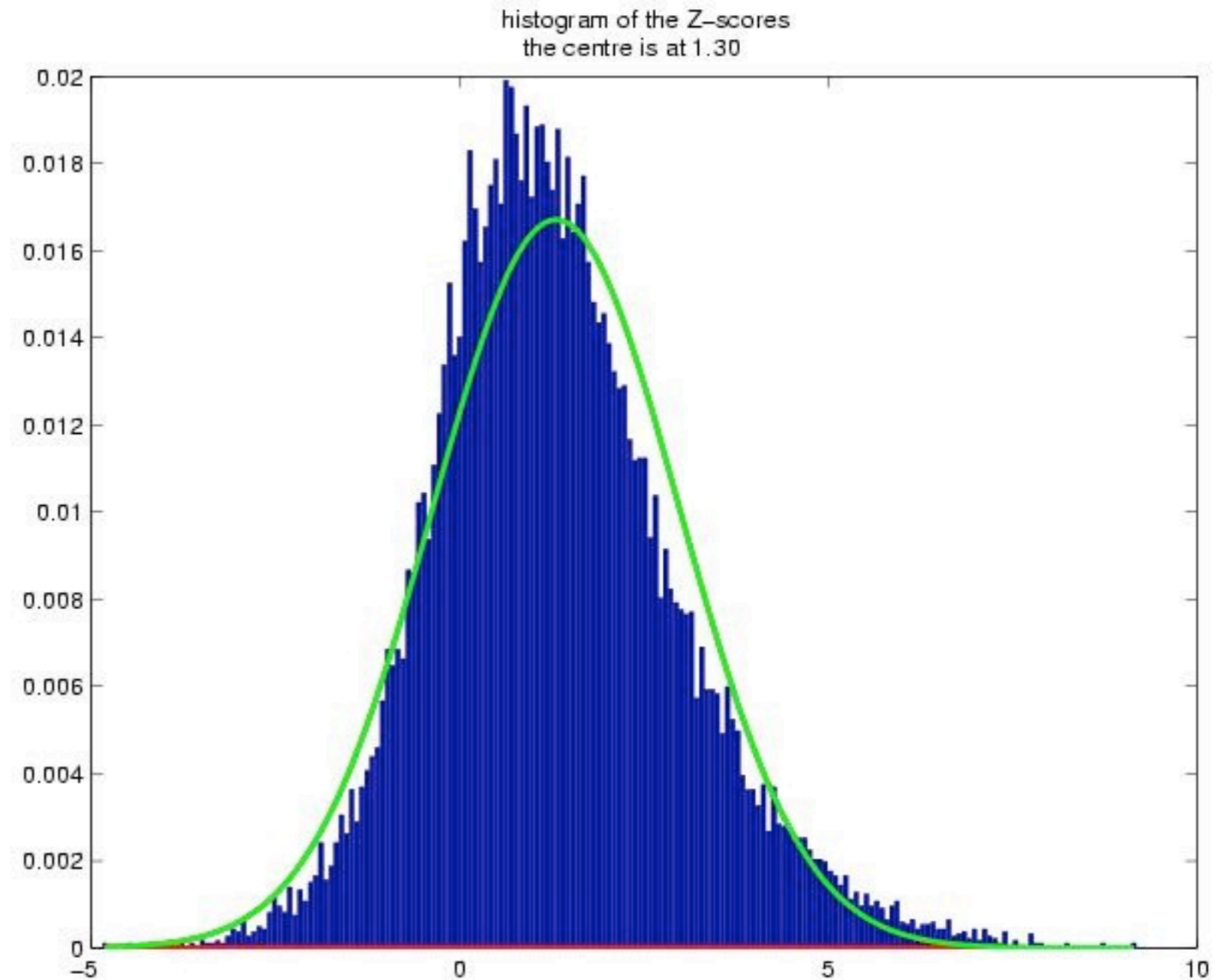


- ‘structured noise’ appears:
 - in the GLM residuals - inflates variance estimates (*more false negatives*)
 - in the parameter estimates (*more false positives and/or false negatives*)
- In either case leads to suboptimal estimates and wrong inference!



Structured noise and GLM Z-stats bias

- Correlations of the noise time courses with 'typical' FMRI regressors can cause a shift in the histogram of the Z-statistics
- Thresholded maps will have wrong false-positive rate

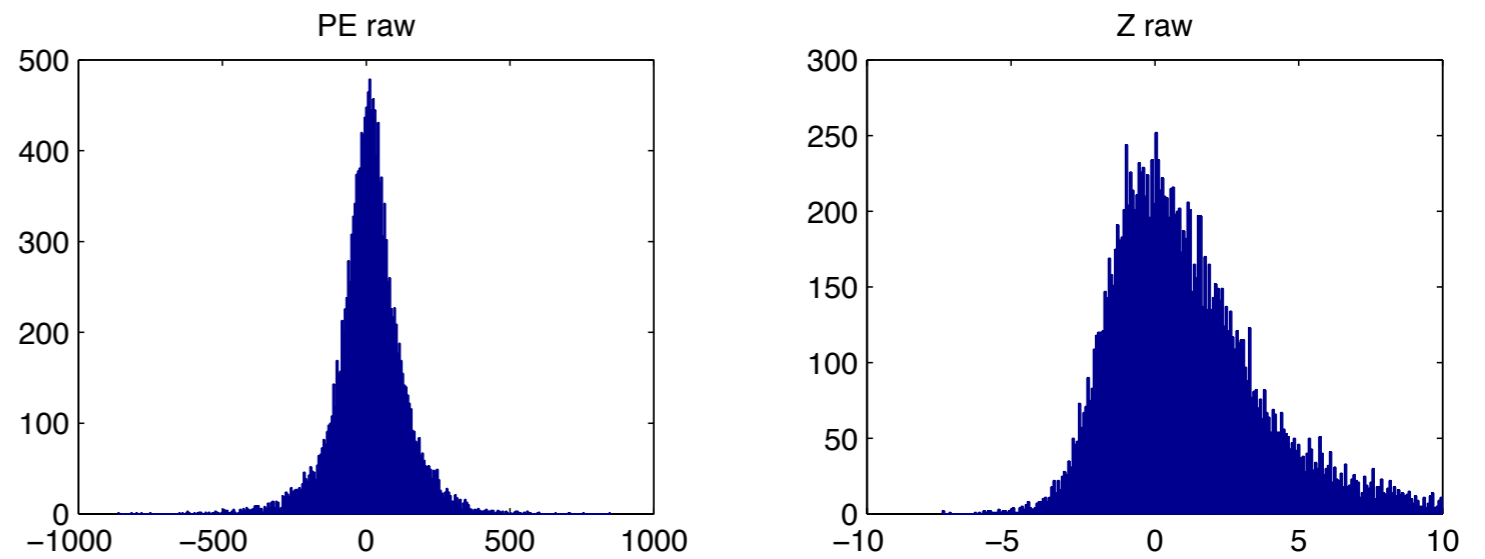




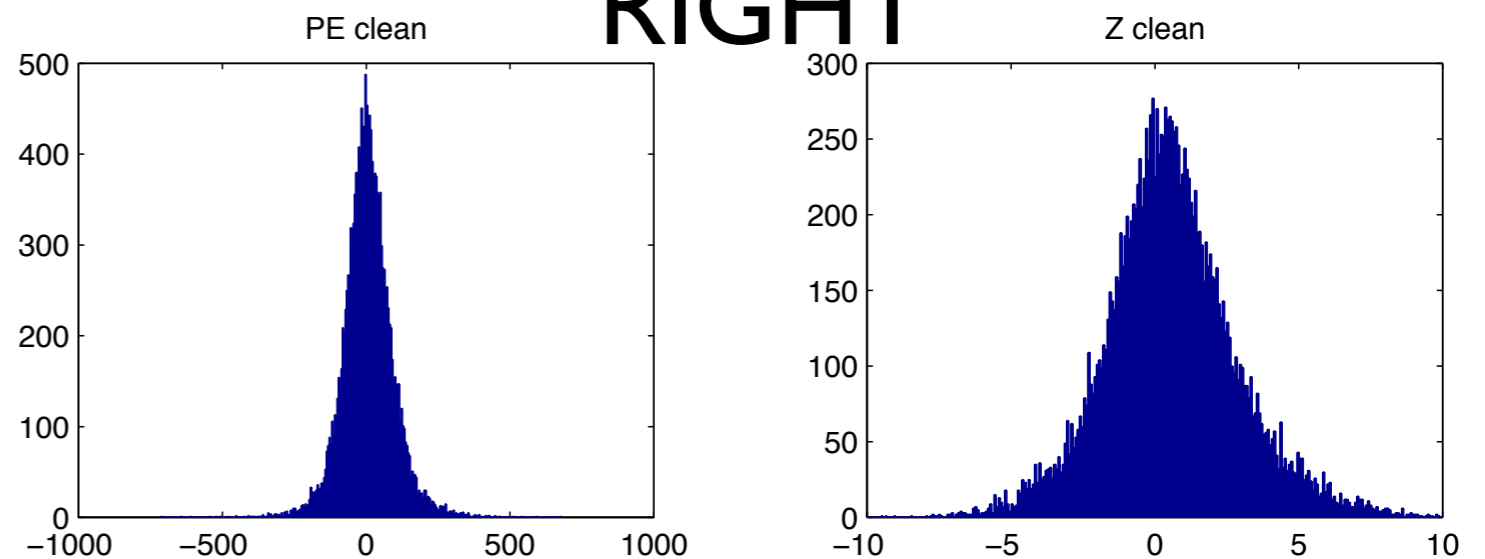
Denoising FMRI

- Example: left vs right hand finger tapping

before denoising



RIGHT



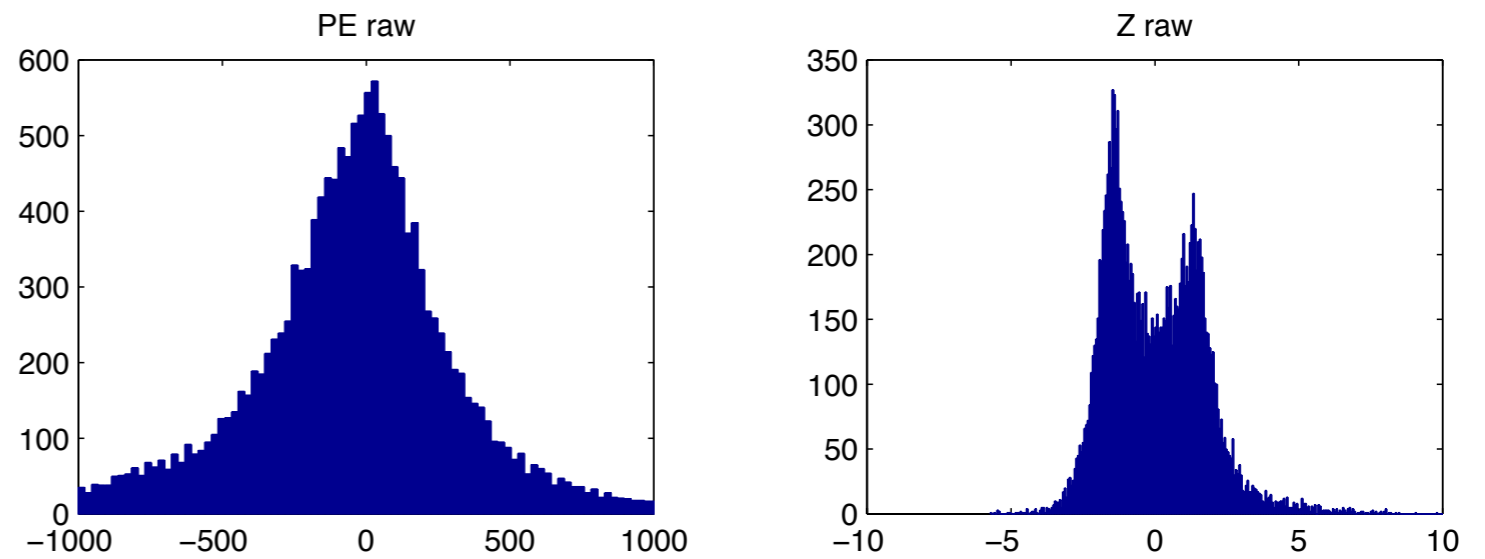
after denoising



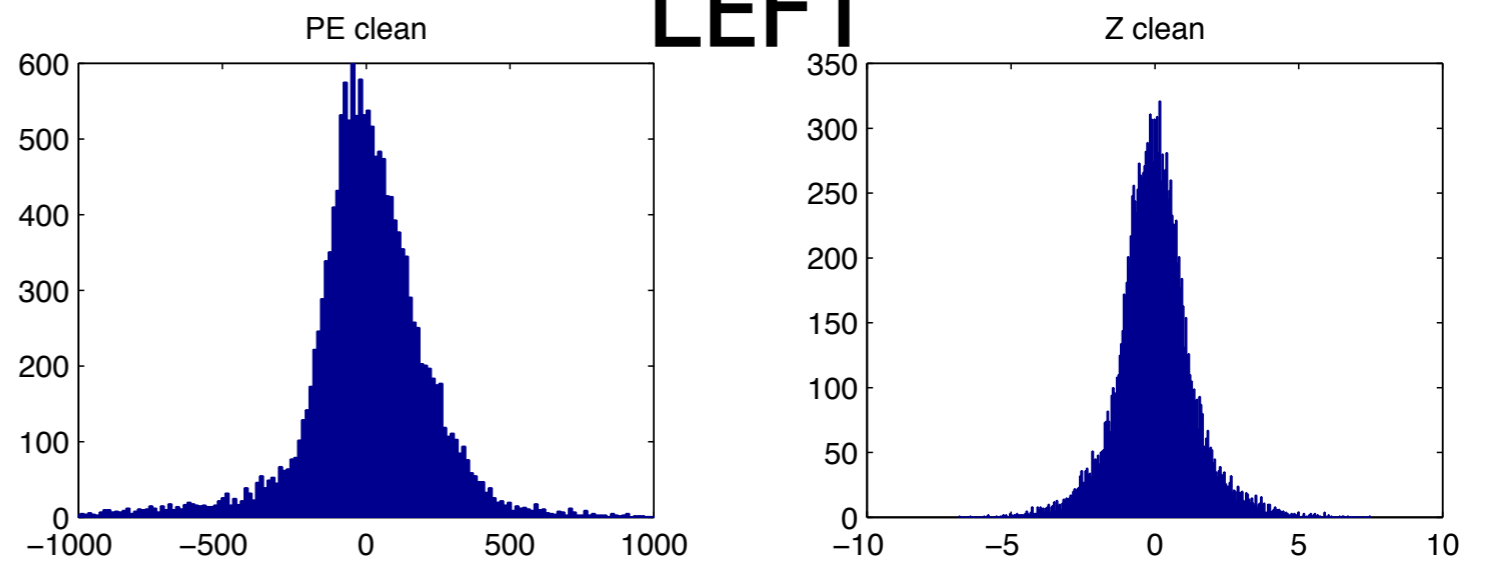
Denoising FMRI

- Example: left vs right hand finger tapping

before denoising



LEFT



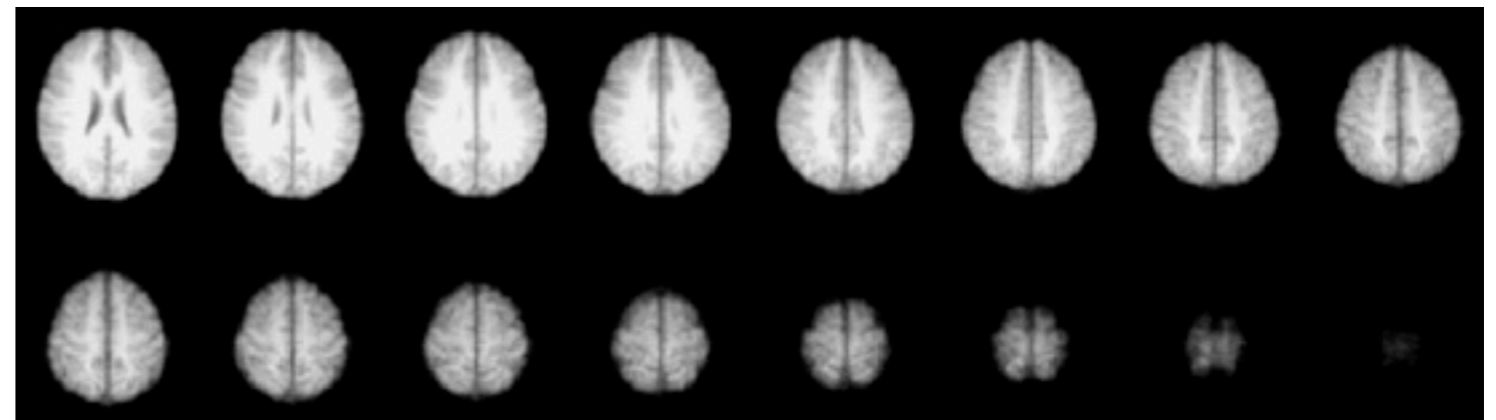
after denoising



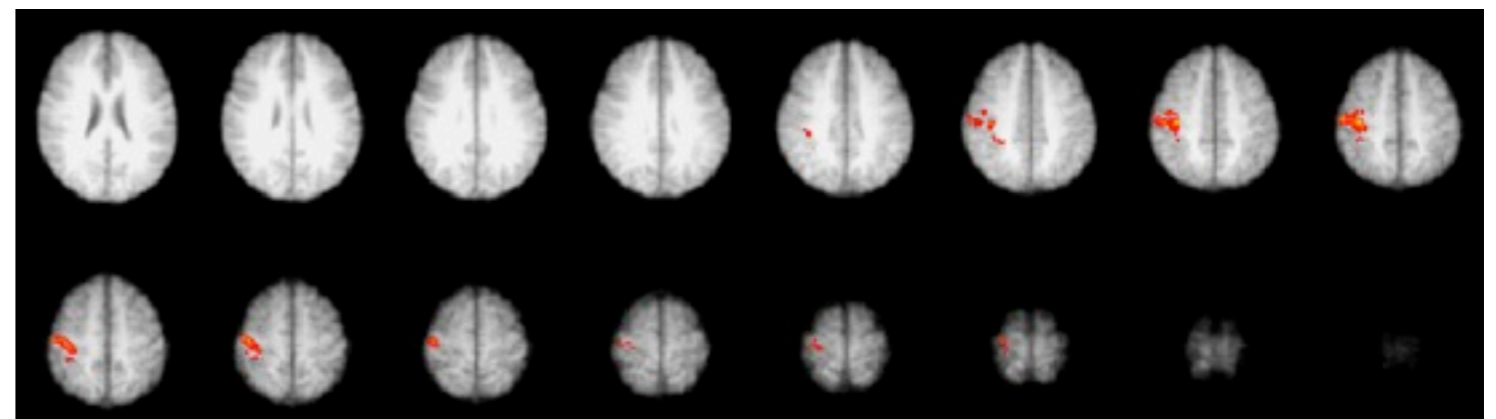
Denoising FMRI

- Example: left vs right hand finger tapping

before denoising

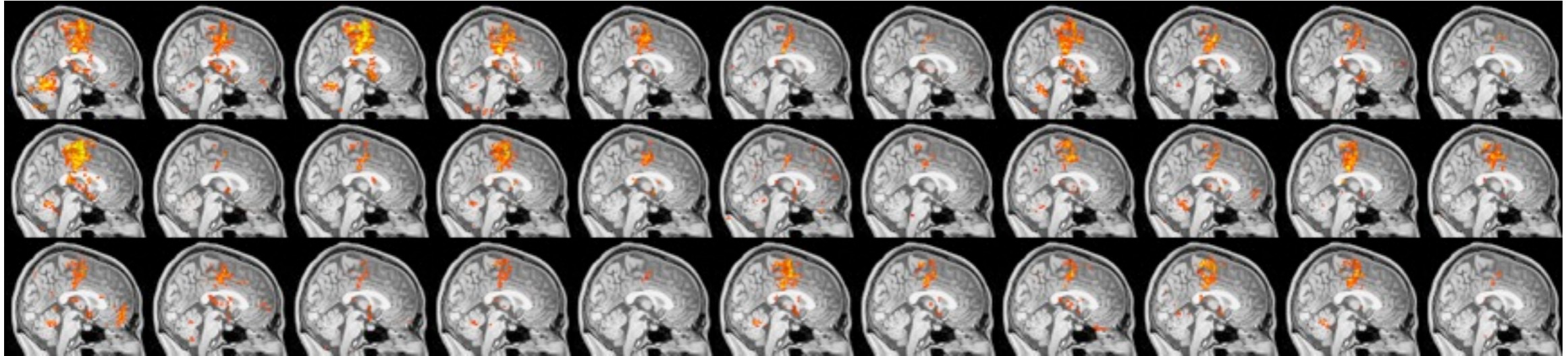


LEFT - RIGHT contrast

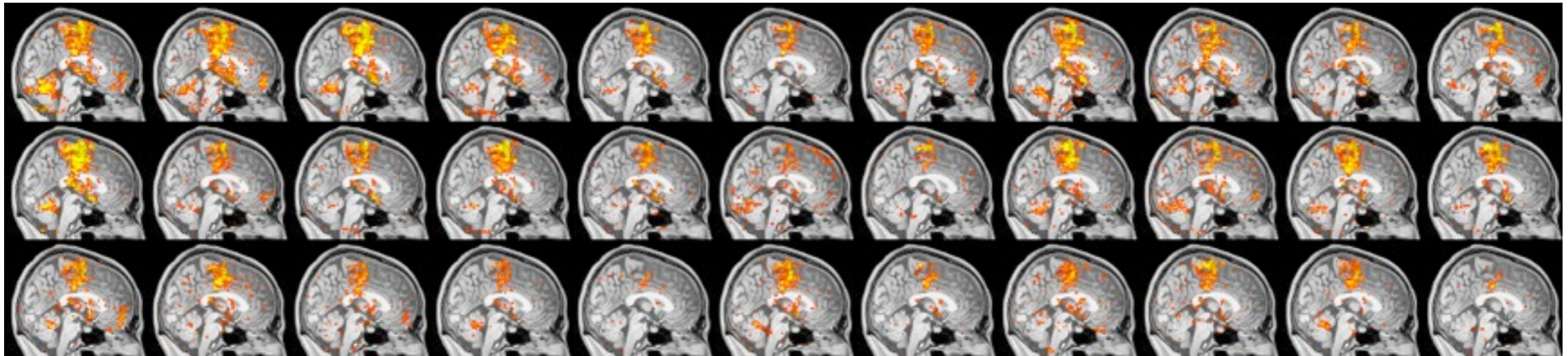


after denoising

Apparent variability



McGonigle et al.: 33 Sessions under motor paradigm



‘de-noising’ data by regressing out noise:
reduced ‘apparent’ session variability



Applications

EDA techniques can be useful to

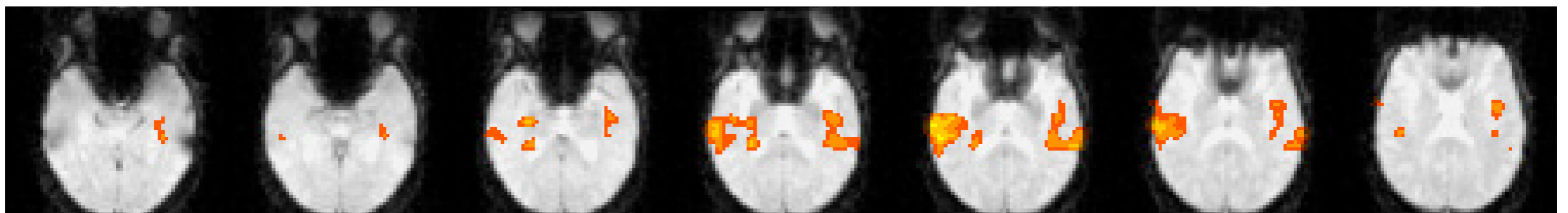
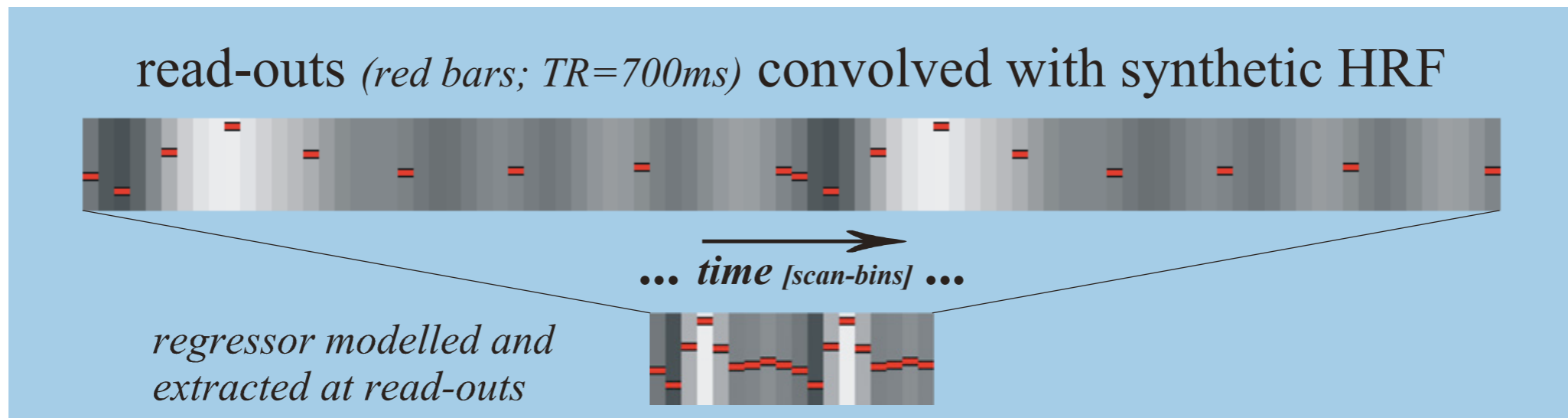
- investigate the BOLD response
- estimate artefacts in the data
- ▶ find areas of 'activation' which respond in a non-standard way
- analyse data for which no model of the BOLD response is available



Example of nonstandard temporal response

- use EPI readout gradient noise to evoke auditory responses in patients before cochlear implant surgery

MODIFIED EPI GRADIENT-TRAIN WITH READ-OUT OMISSIONS & EXPECTED AUDITORY BOLD SIGNAL MODULATIONS:



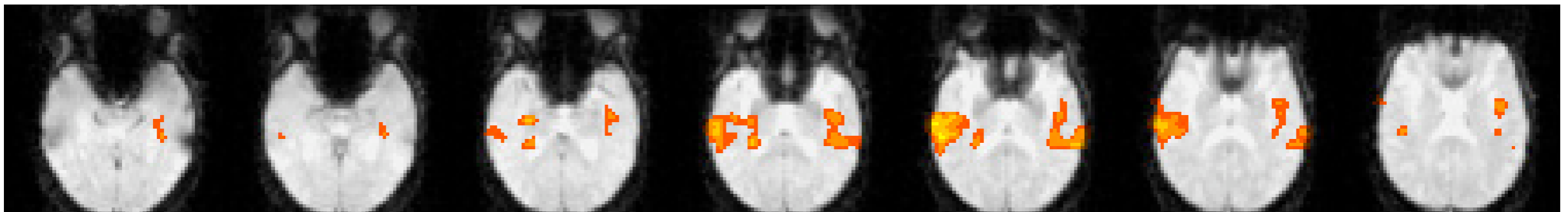
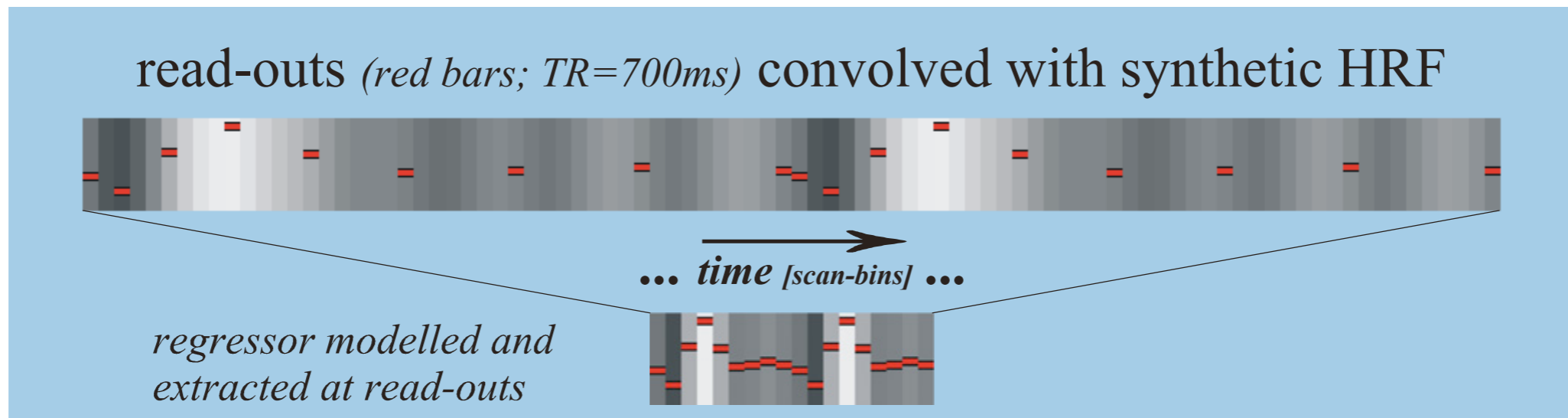
 Bartsch et al. HBM 2004



Example of nonstandard temporal response

- use EPI readout gradient noise to evoke auditory responses in patients before cochlear implant surgery

MODIFIED EPI GRADIENT-TRAIN WITH READ-OUT OMISSIONS & EXPECTED AUDITORY BOLD SIGNAL MODULATIONS:



 Bartsch et al. HBM 2004



Applications

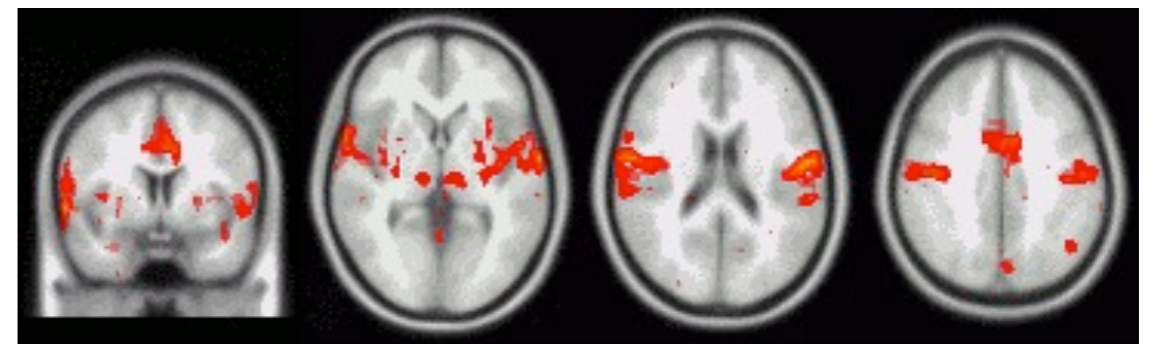
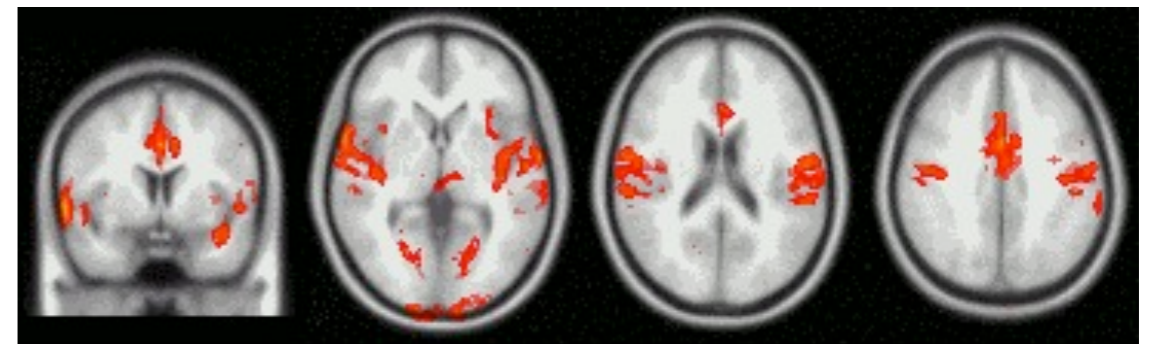
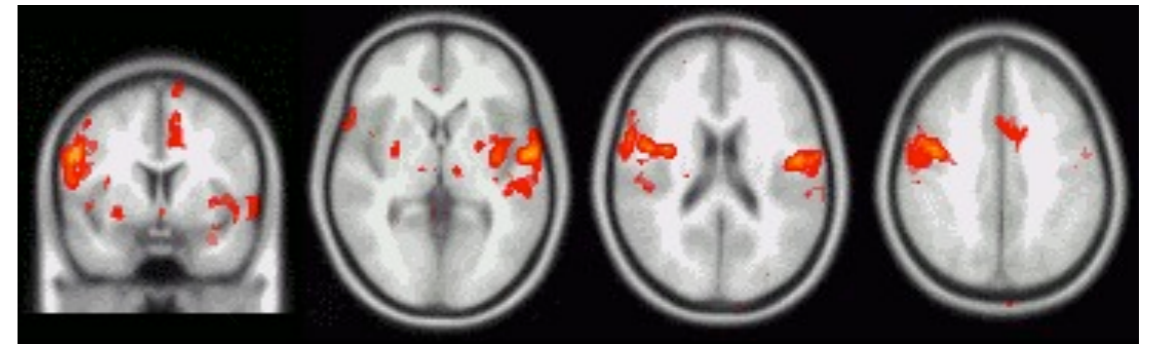
EDA techniques can be useful to

- investigate the BOLD response
- estimate artefacts in the data
- find areas of 'activation' which respond in a non-standard way
- ▶ analyse data for which no model of the BOLD response is available



PICA on resting data

- perform ICA on null data and compare spatial maps between subjects/scans
- ICA maps depict spatially localised and temporally coherent signal changes



**Example: ICA maps -
1 subject at 3
different sessions**



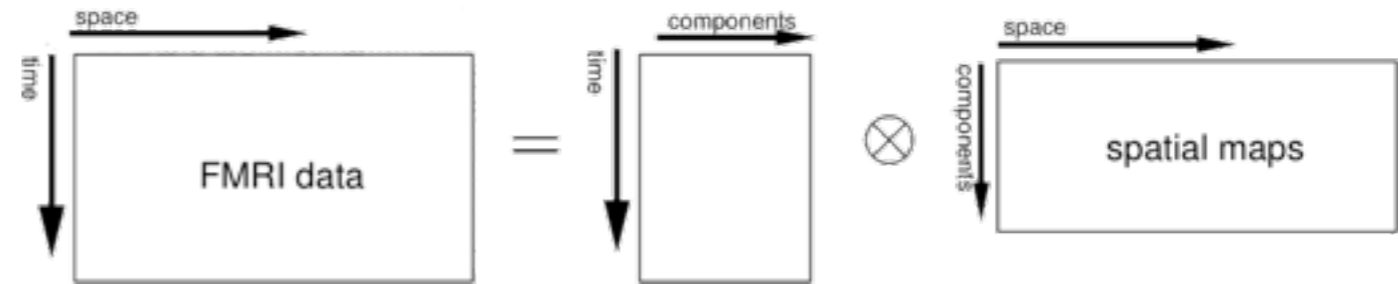
Multi-Subject ICA



Different ICA models

Single-Session ICA

each ICA component comprises:
spatial map & timecourse

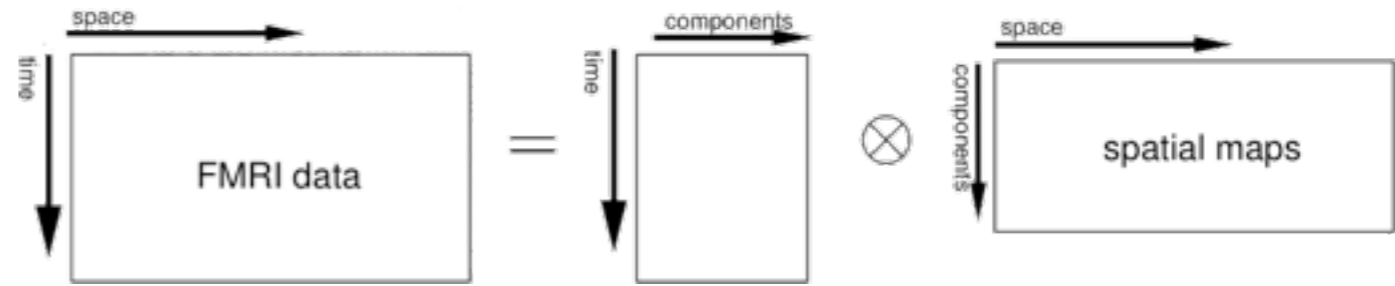




Different ICA models

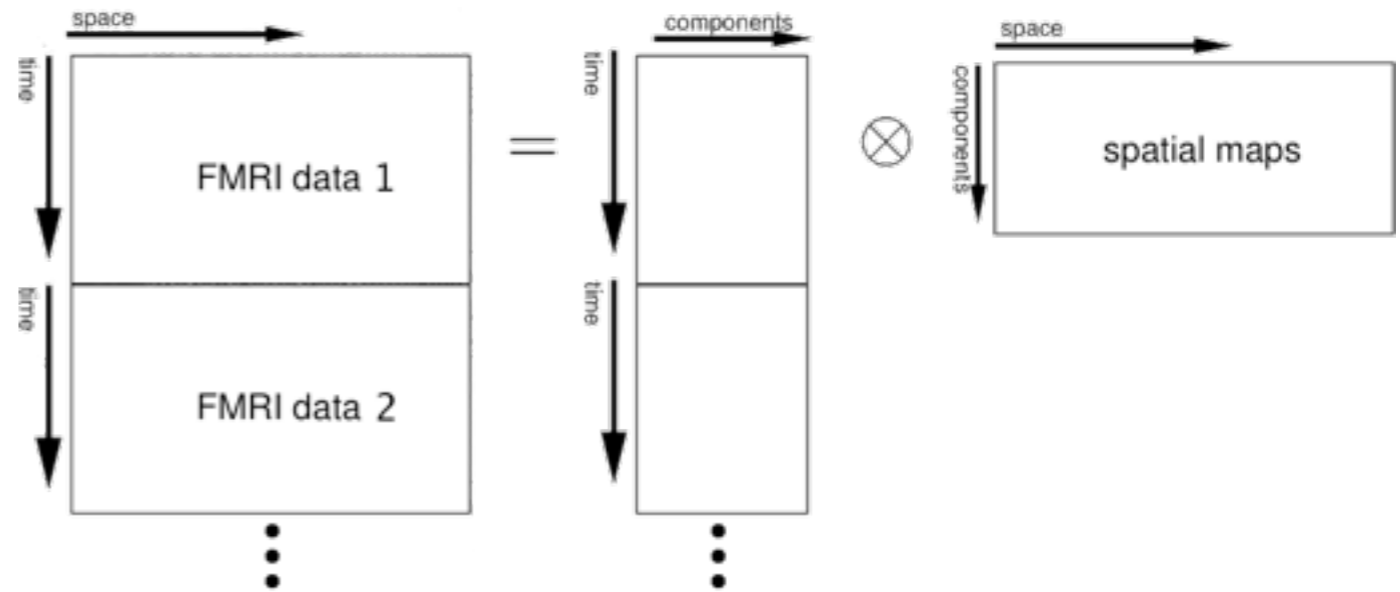
Single-Session ICA

each ICA component comprises:
spatial map & timecourse



Multi-Session or Multi-Subject ICA: Concatenation approach

each ICA component comprises:
spatial map & timecourse
(that can be split up into subject-specific
chunks)

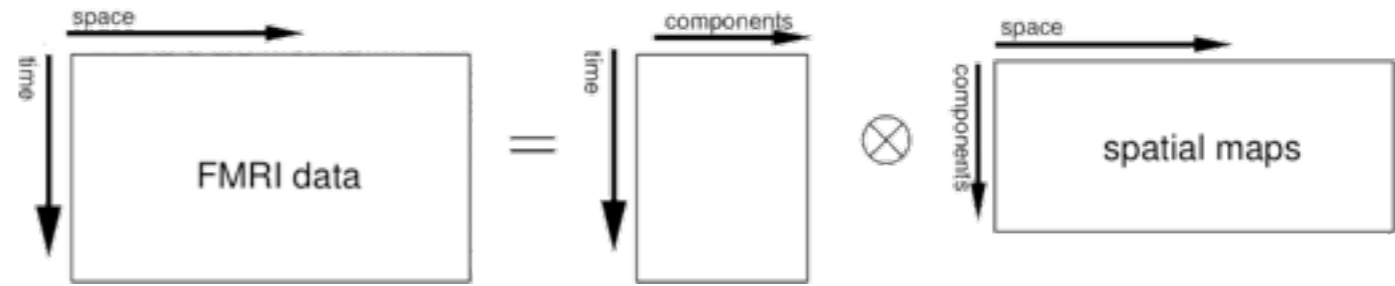




Different ICA models

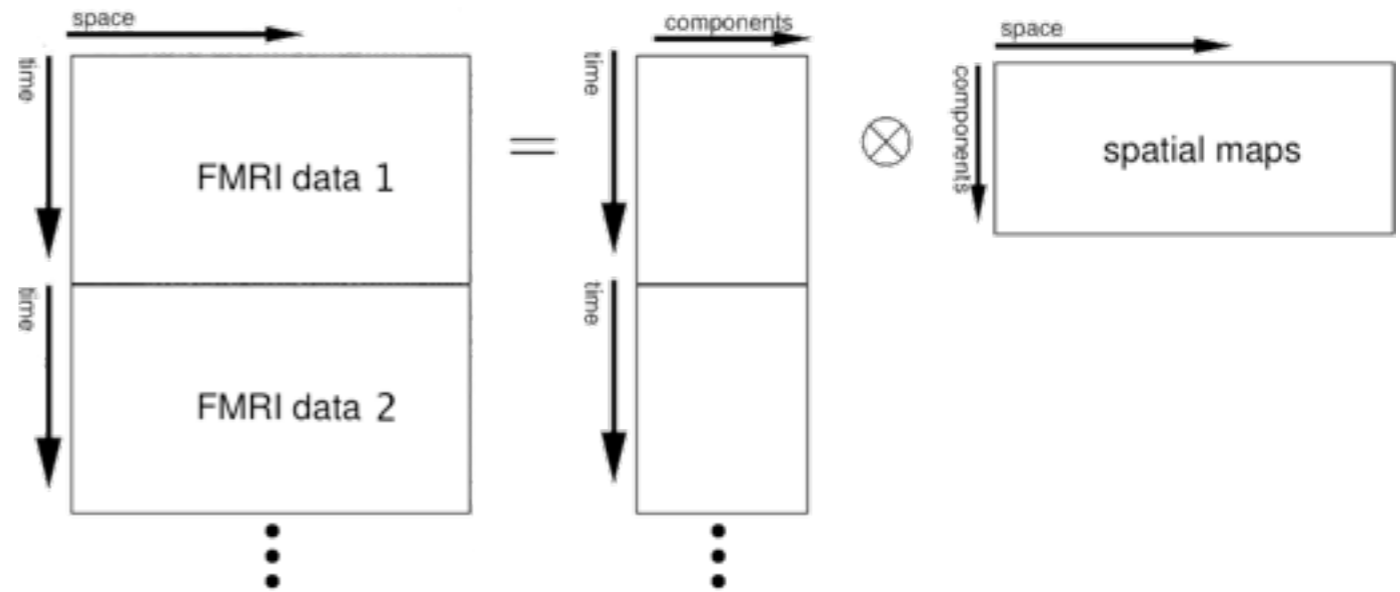
Single-Session ICA

each ICA component comprises:
spatial map & timecourse



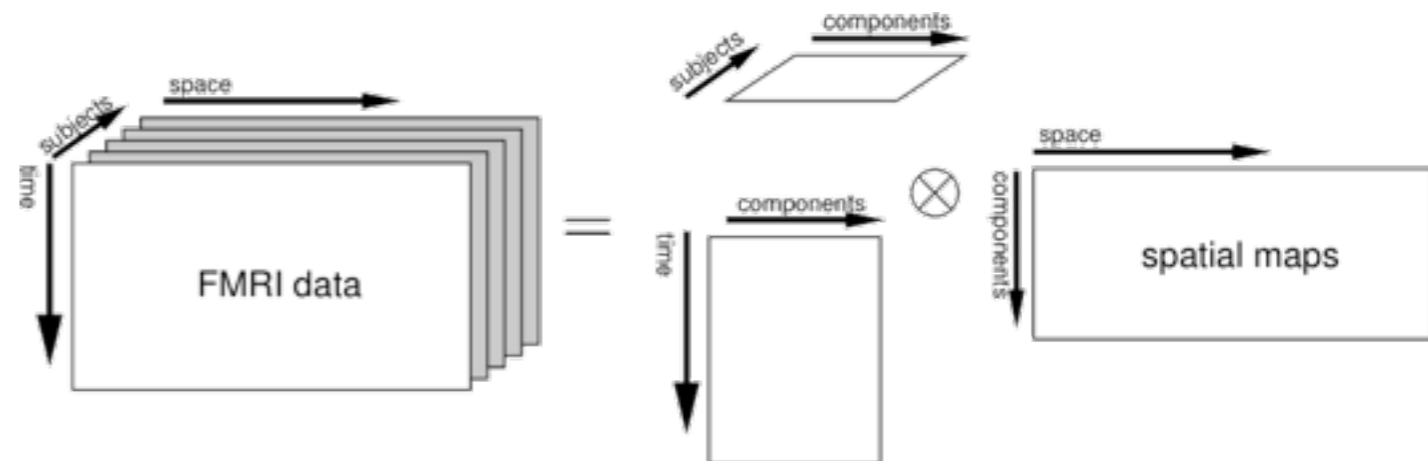
Multi-Session or Multi-Subject ICA: Concatenation approach

each ICA component comprises:
spatial map & timecourse
(that can be split up into subject-specific
chunks)



Multi-Session or Multi-Subject ICA: Tensor-ICA approach

each ICA component comprises:
spatial map, session-long-timecourse
& subject-strength plot





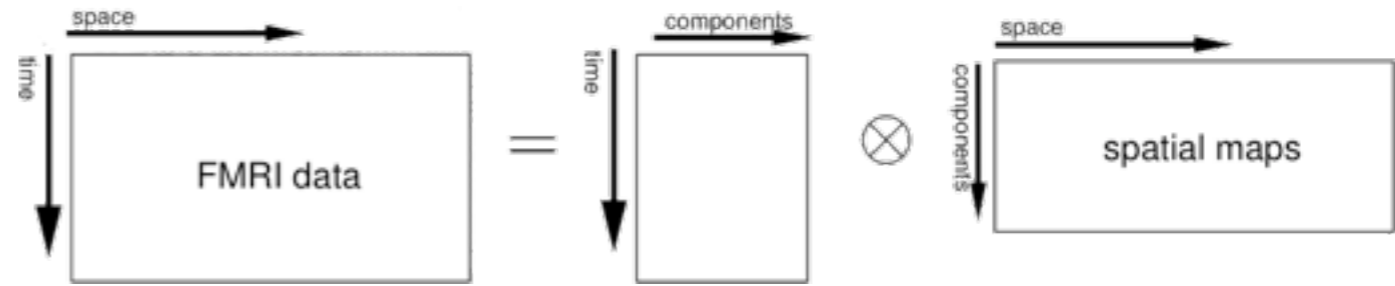
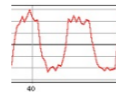
Different ICA models

Single-Session ICA

each ICA component comprises:



spatial map & timecourse



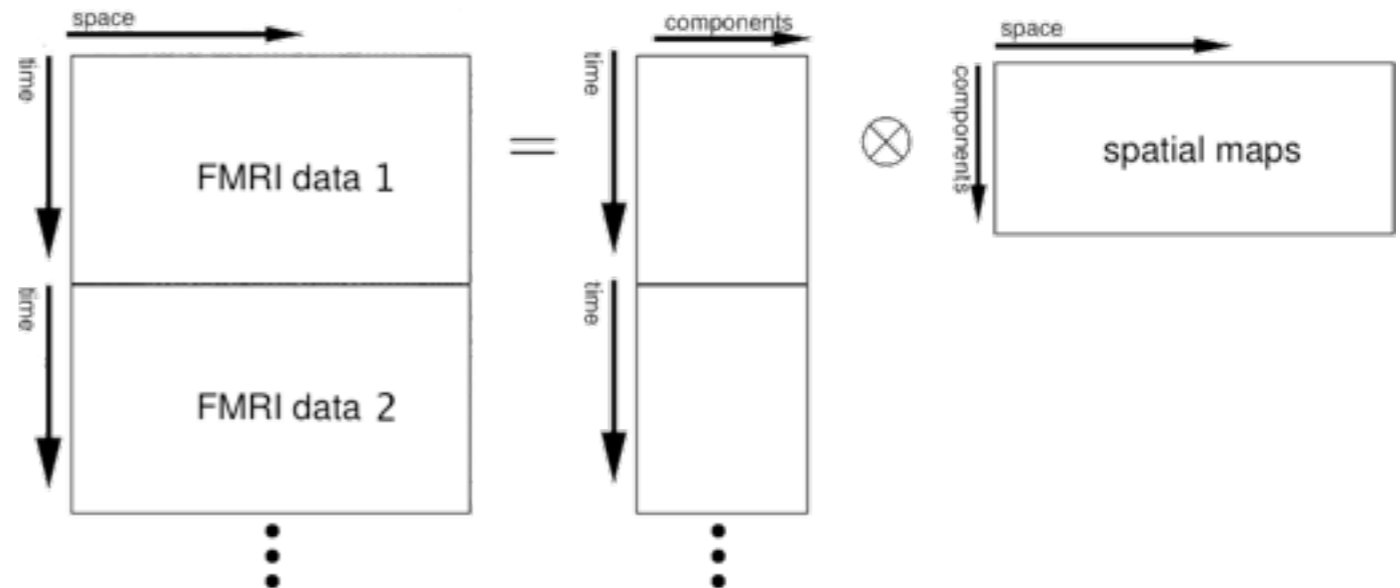
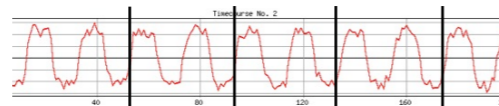
Multi-Session or Multi-Subject ICA: Concatenation approach

each ICA component comprises:



spatial map & timecourse

(that can be split up into subject-specific chunks)

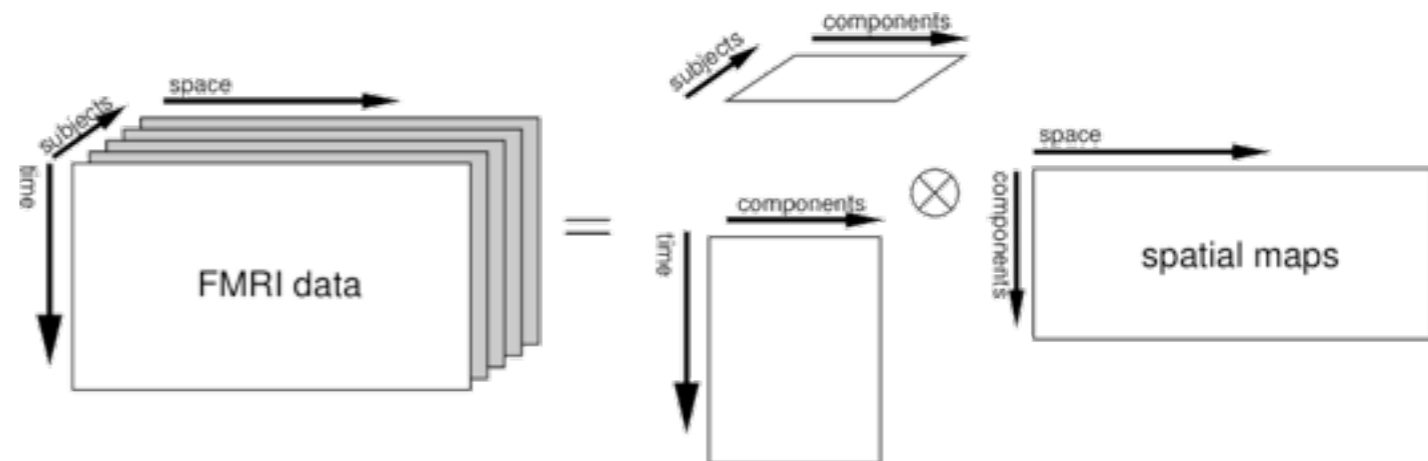
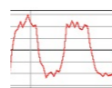


Multi-Session or Multi-Subject ICA: Tensor-ICA approach

each ICA component comprises:



spatial map, session-long-timecourse & subject-strength plot

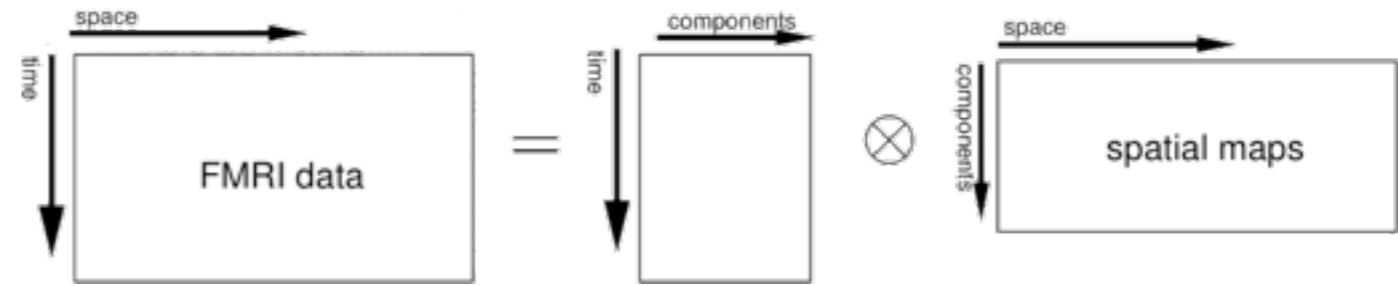




Different ICA models

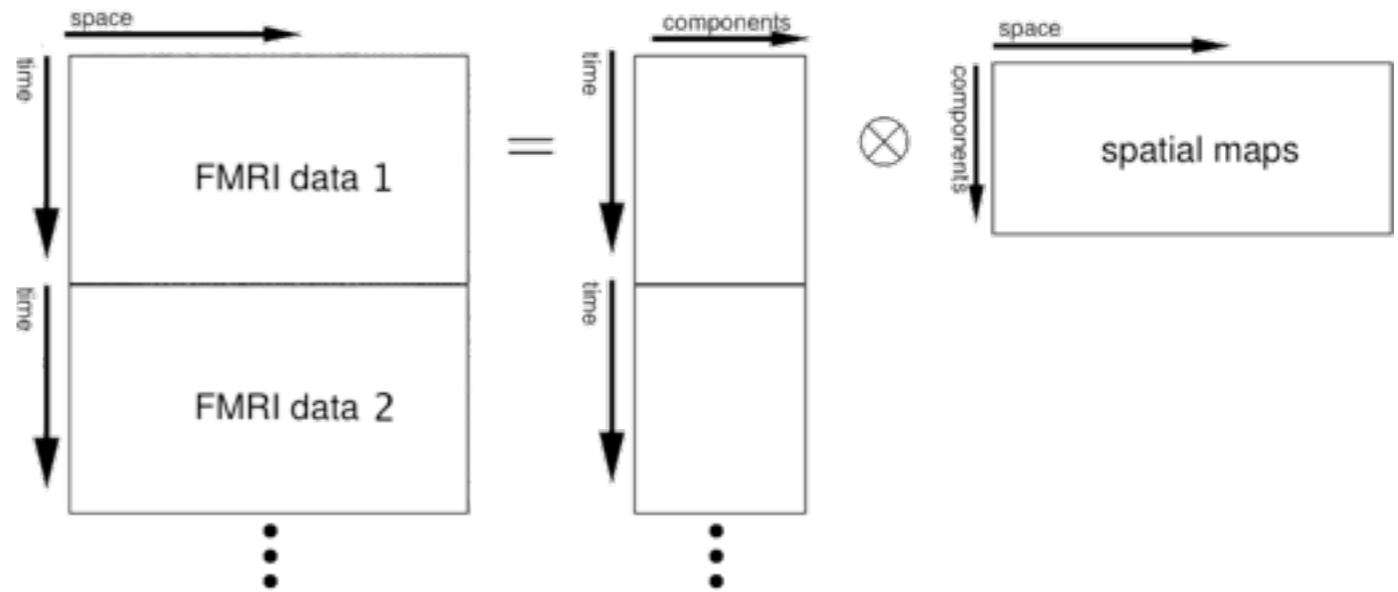
Single-Session ICA

each ICA component comprises:
spatial map & timecourse



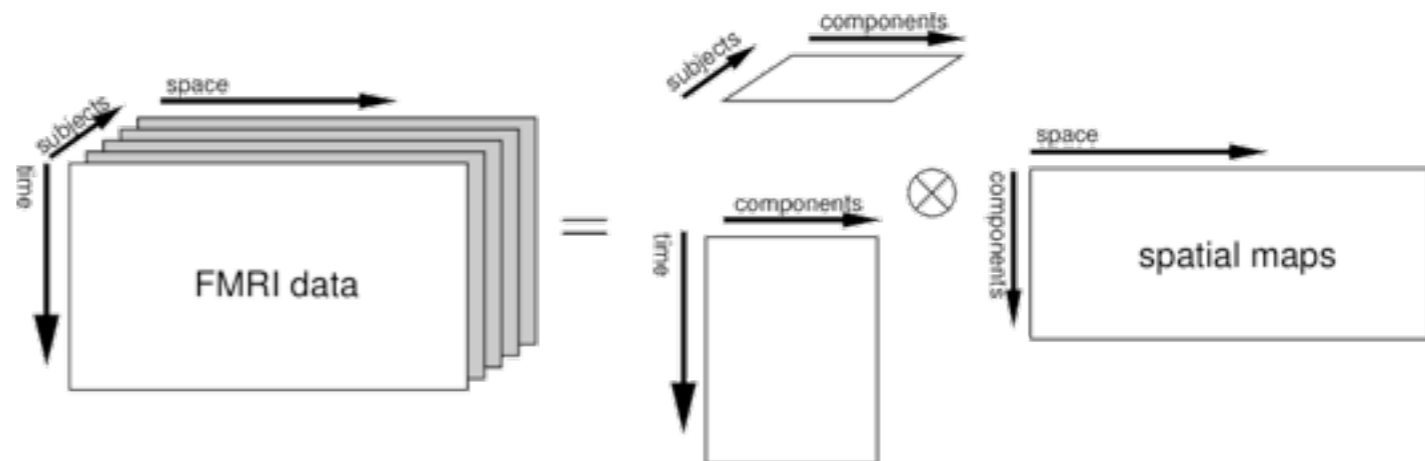
Multi-Session or Multi-Subject ICA: Concatenation approach

good when:
each subject has **DIFFERENT** timeseries
e.g. resting-state FMRI



Multi-Session or Multi-Subject ICA: Tensor-ICA approach

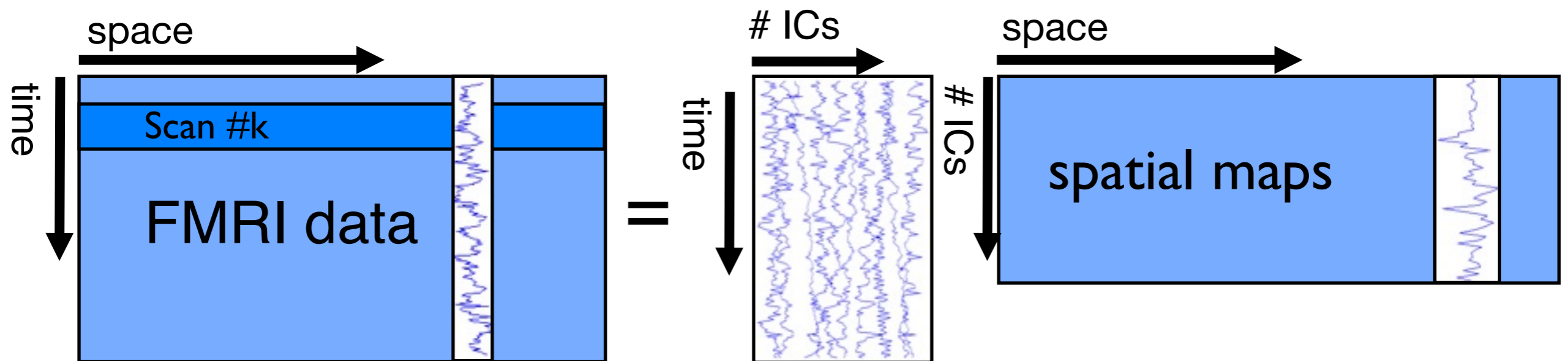
good when:
each subject has **SAME** timeseries
e.g. activation FMRI





ICA Group analysis

Extend single ICA to higher dimensions

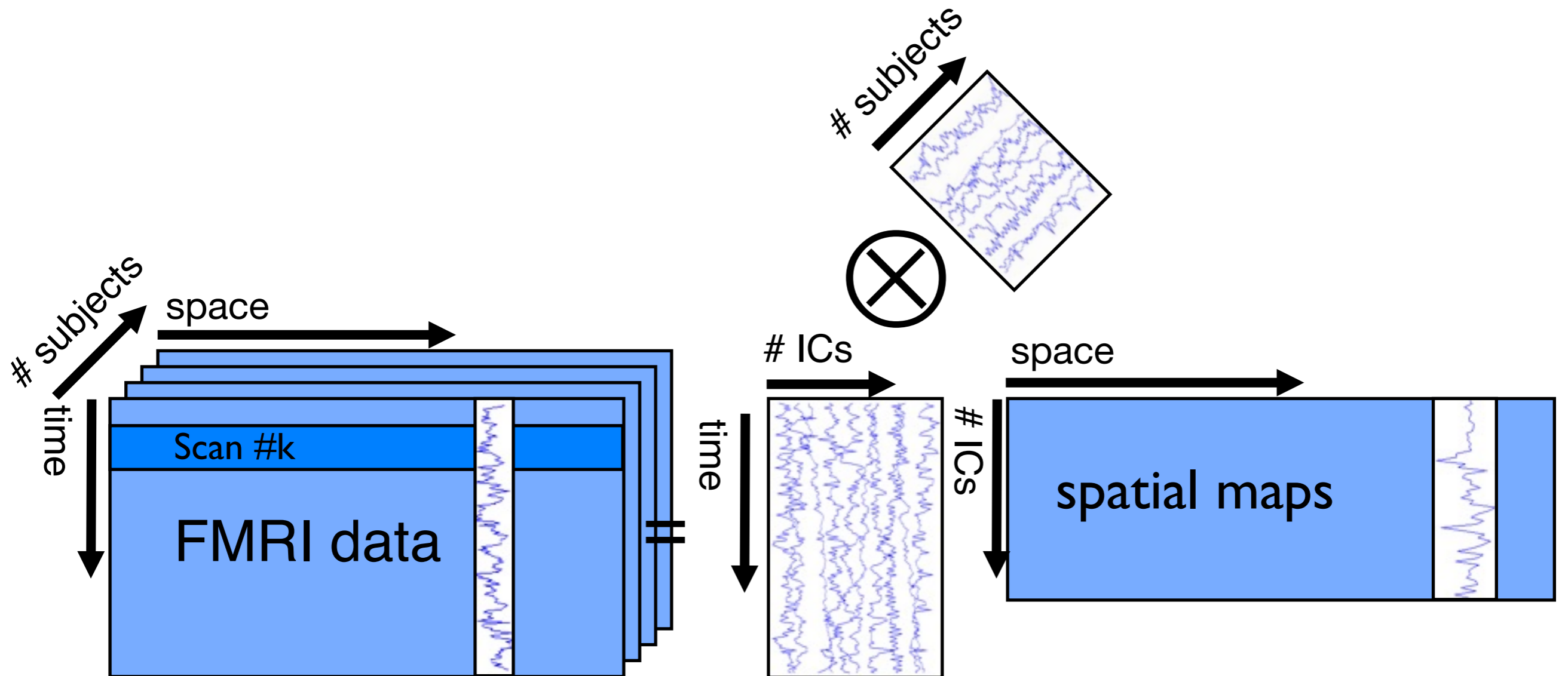


Bi-linear model



ICA Group analysis

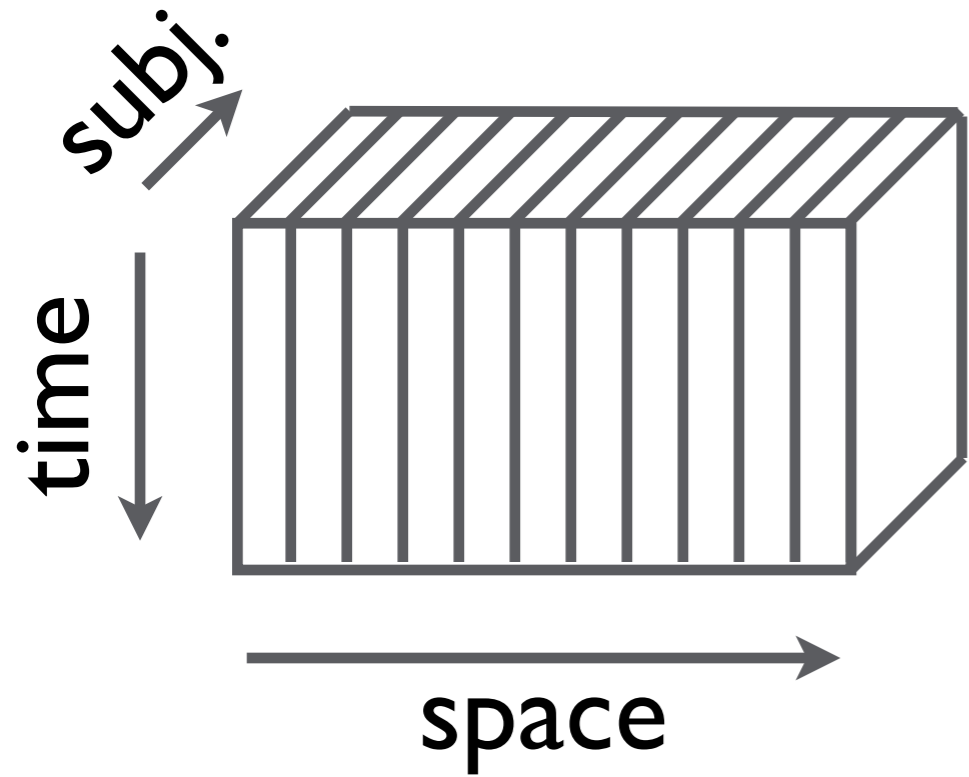
Extend single ICA to higher dimensions



Tri-linear model

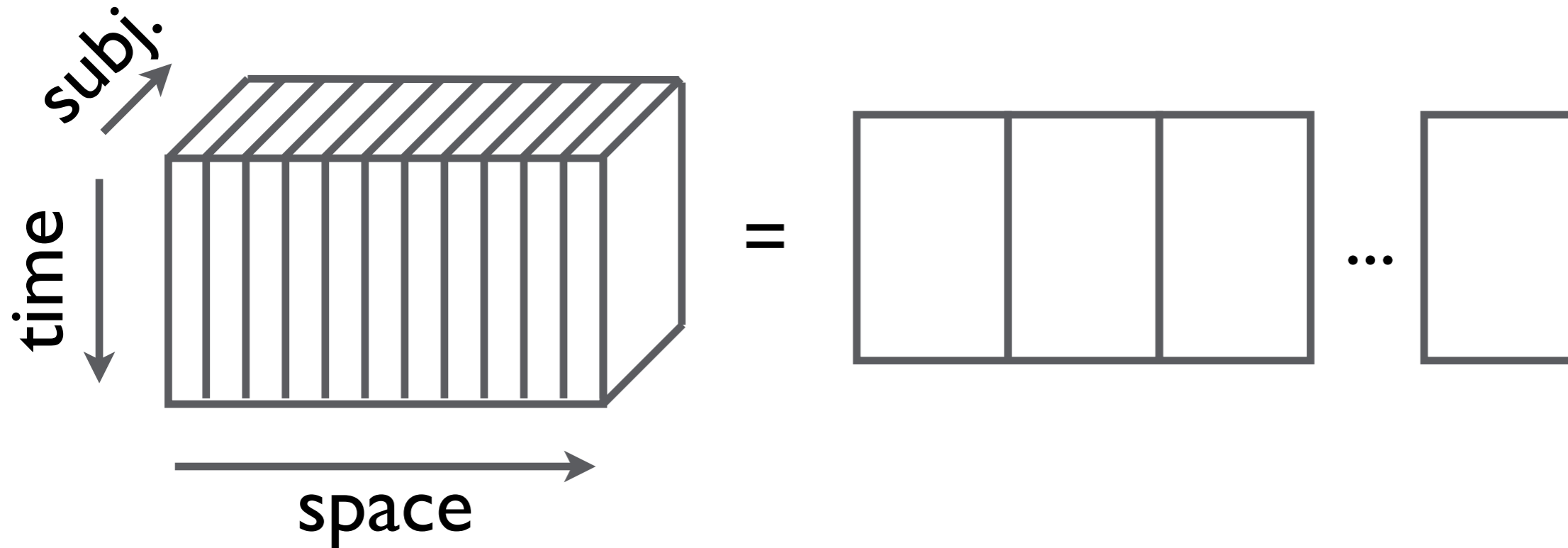


Unfolding





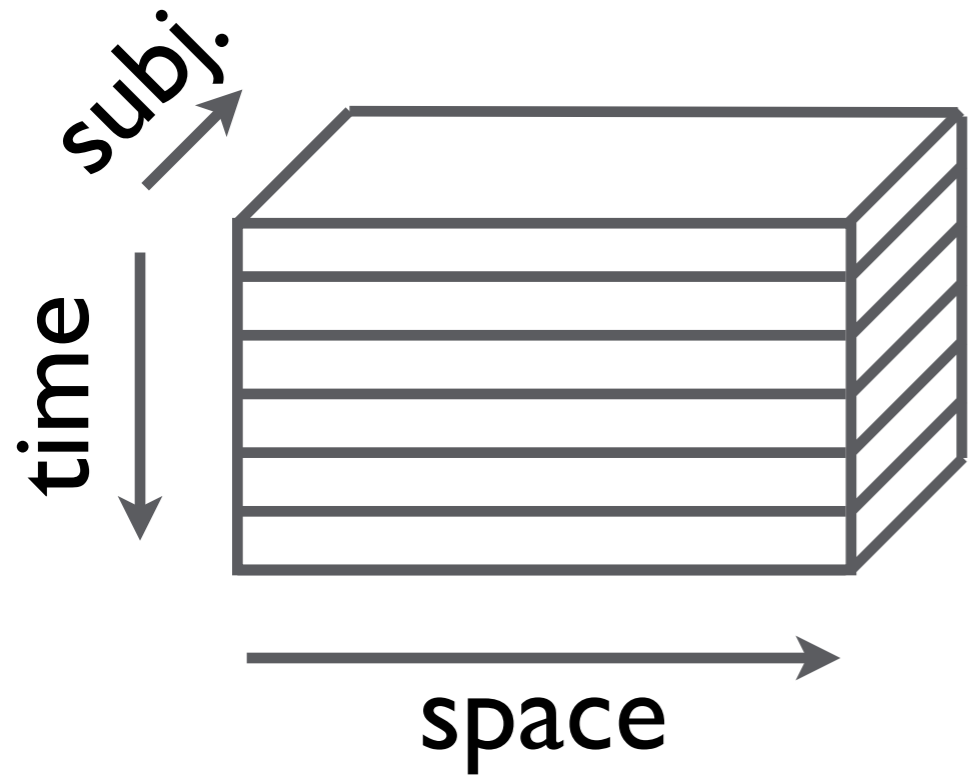
Unfolding



create (#vols × #subj.) matrix for each voxel and concatenate

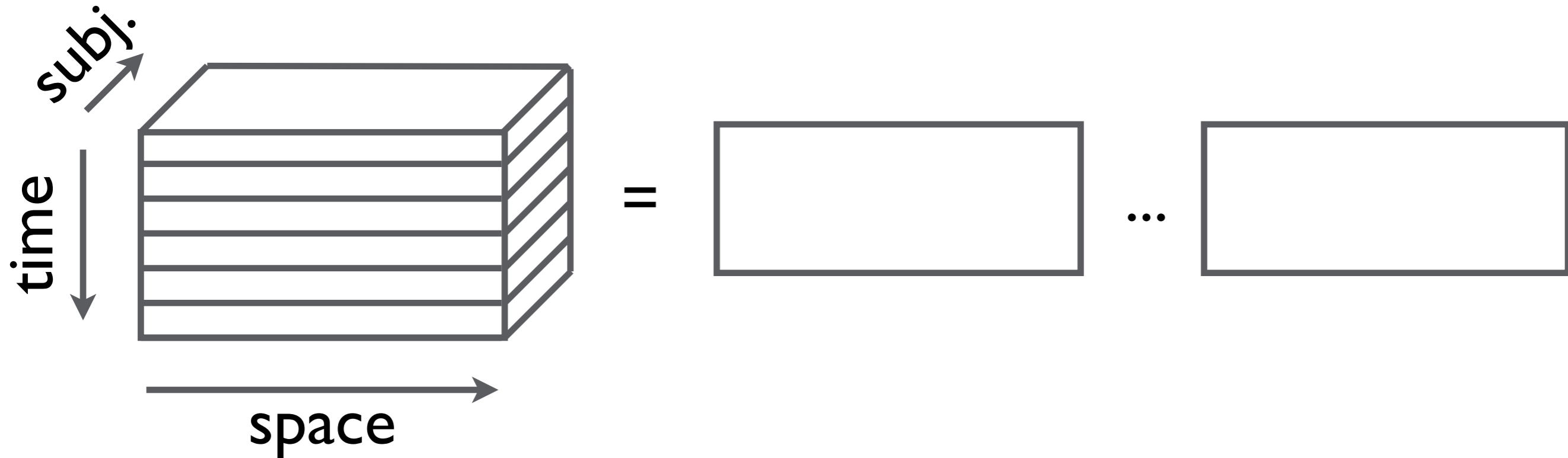


Unfolding





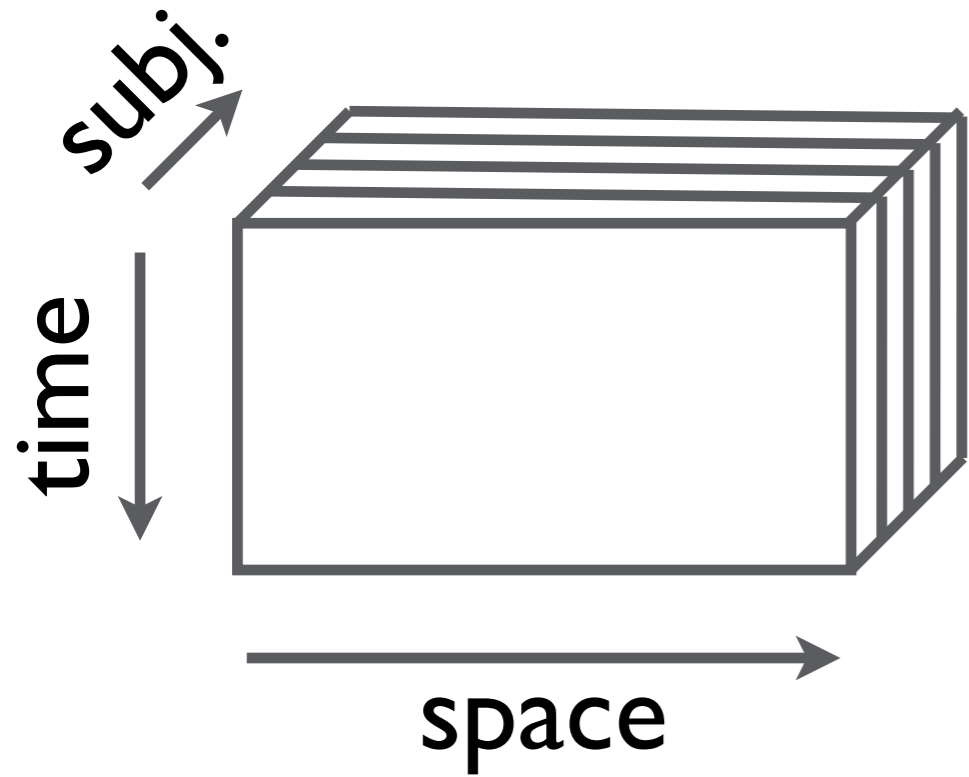
Unfolding



create a ($\#subj. \times \#voxels$) matrix for each time point and concatenate

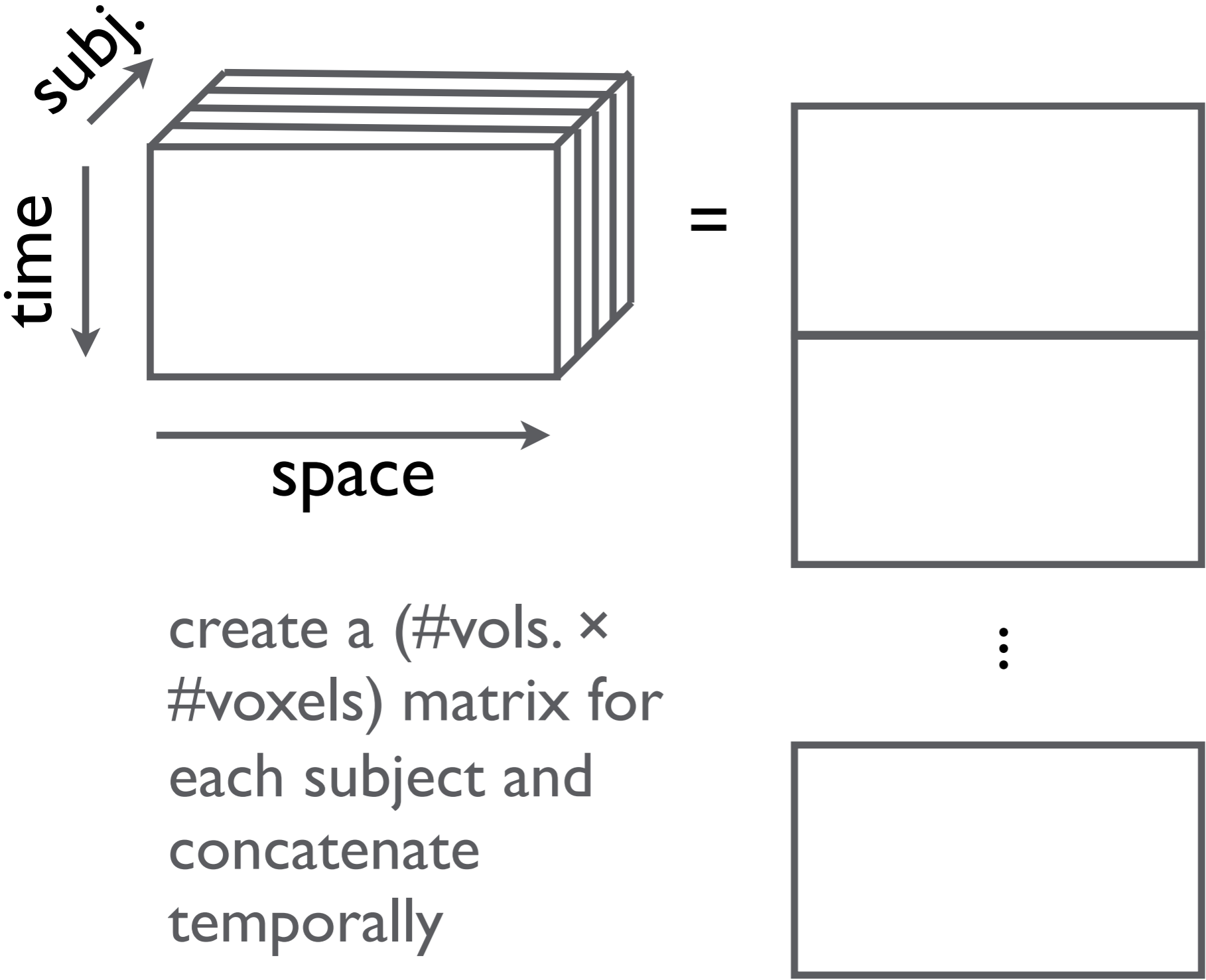


Unfolding





Unfolding




create a (#vols. × #voxels) matrix for each subject and concatenate temporally



PARAFAC




PARAFAC

- as a symmetric least-square problem this is known as *Parallel Factor Analysis*  *Harshman 1970*



PARAFAC

- as a symmetric least-square problem this is known as *Parallel Factor Analysis*  *Harshman 1970*
- can be solved using *Alternating Least Squares (ALS)*, i.e. iterating between least-squares solution for each one of the three different representations
- *Problem:* treats all modes the same



Tensor-PICA

- can be treated as a 2-stage estimation problem



Tensor-PICA

- can be treated as a 2-stage estimation problem
 - I. (2D) PICA estimation of the spatial maps from the concatenated data (volumes * subjects) × voxels



Tensor-PICA

- can be treated as a 2-stage estimation problem
 1. (2D) PICA estimation of the spatial maps from the concatenated data (volumes * subjects) × voxels
 2. rank-1 Eigen-decomposition of each column of the mixing matrix, reshaped into a (volumes × subjects) matrix, in order to find underlying factors

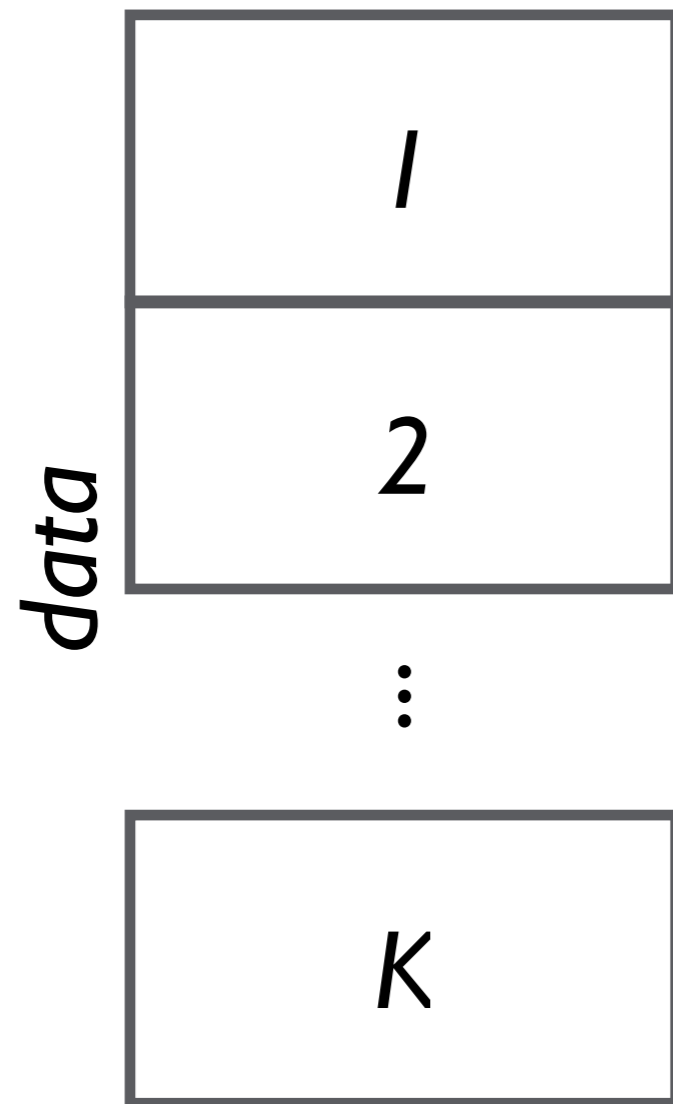




Tensor-ICA

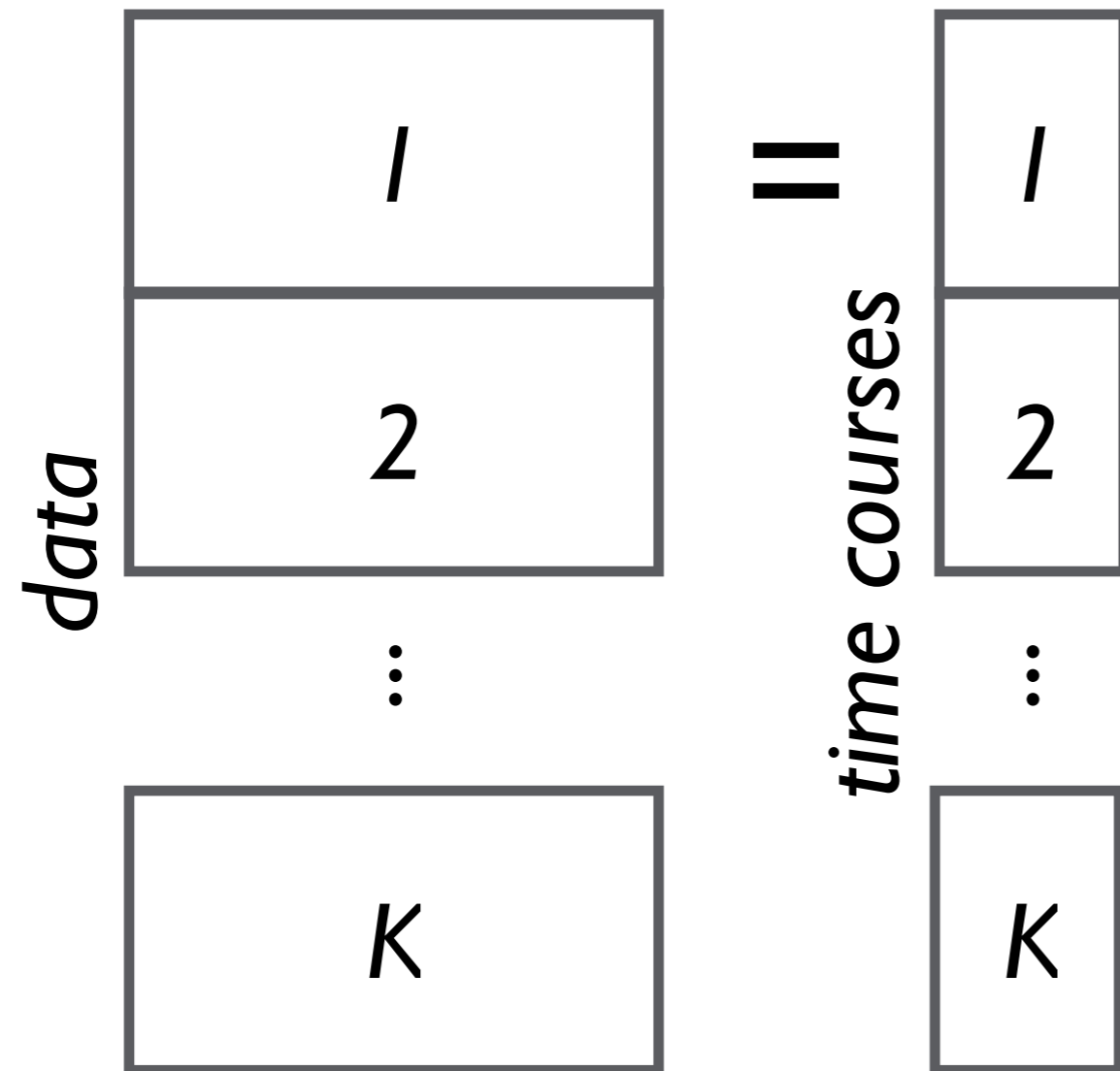


Tensor-ICA



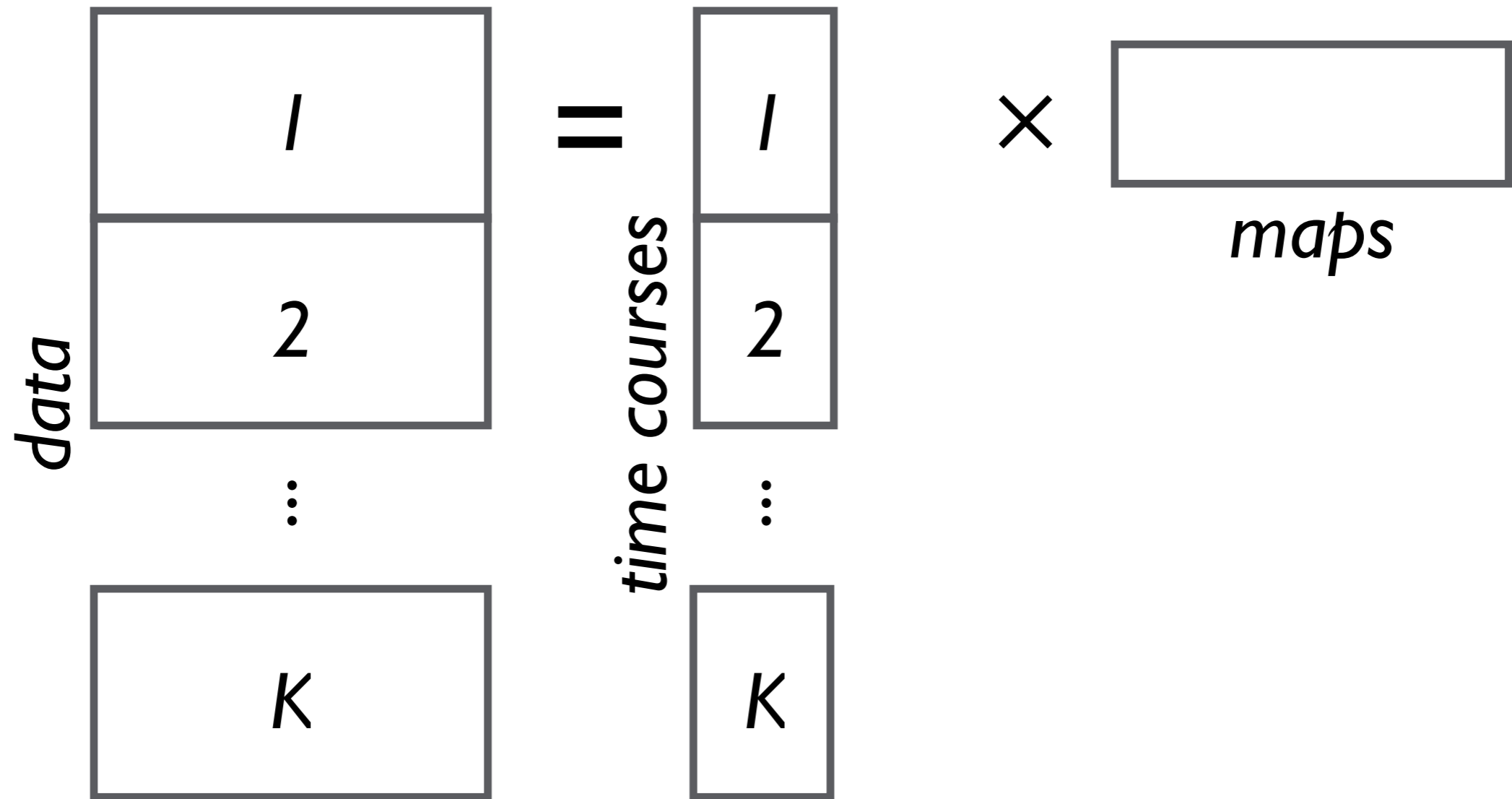


Tensor-ICA



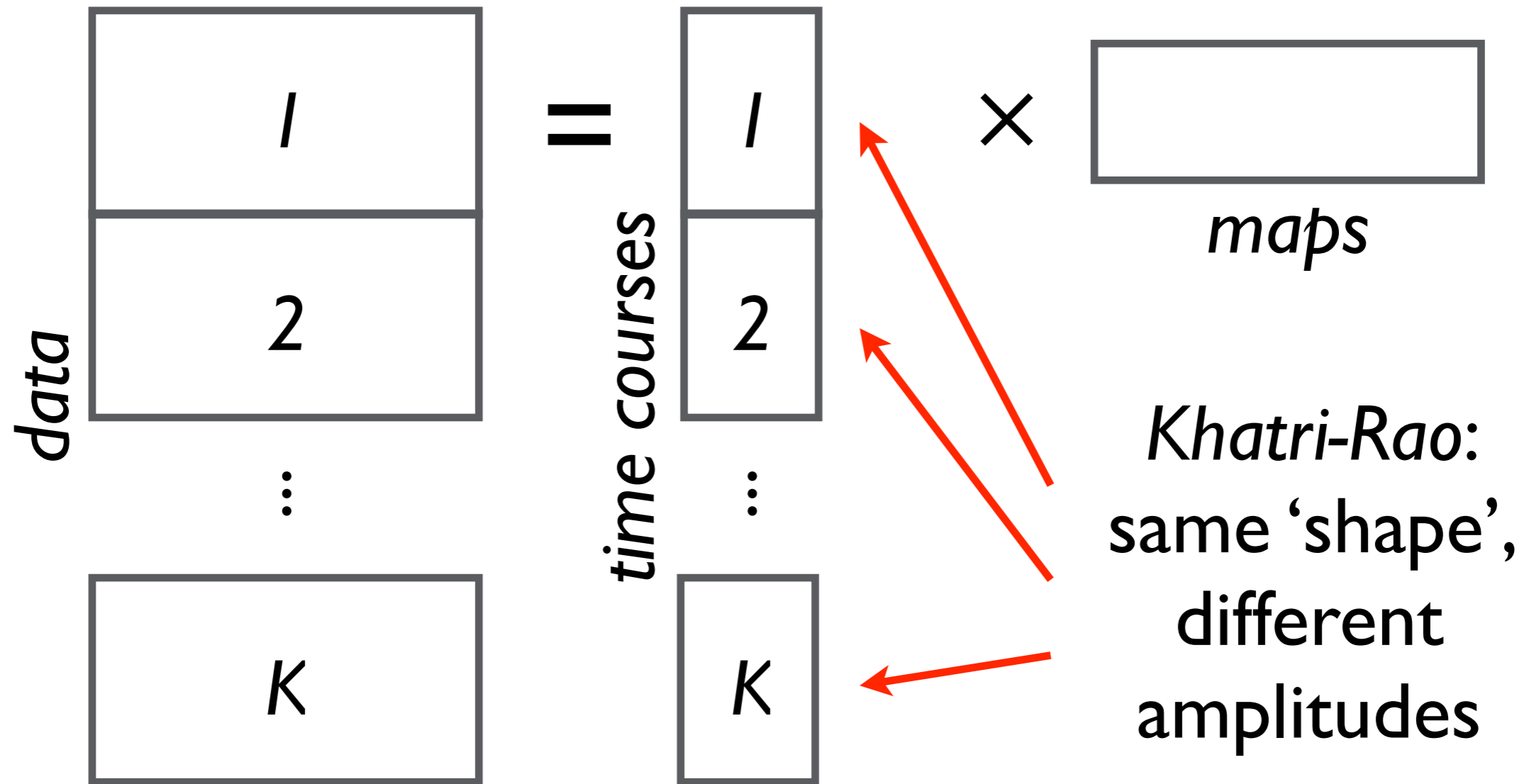


Tensor-ICA



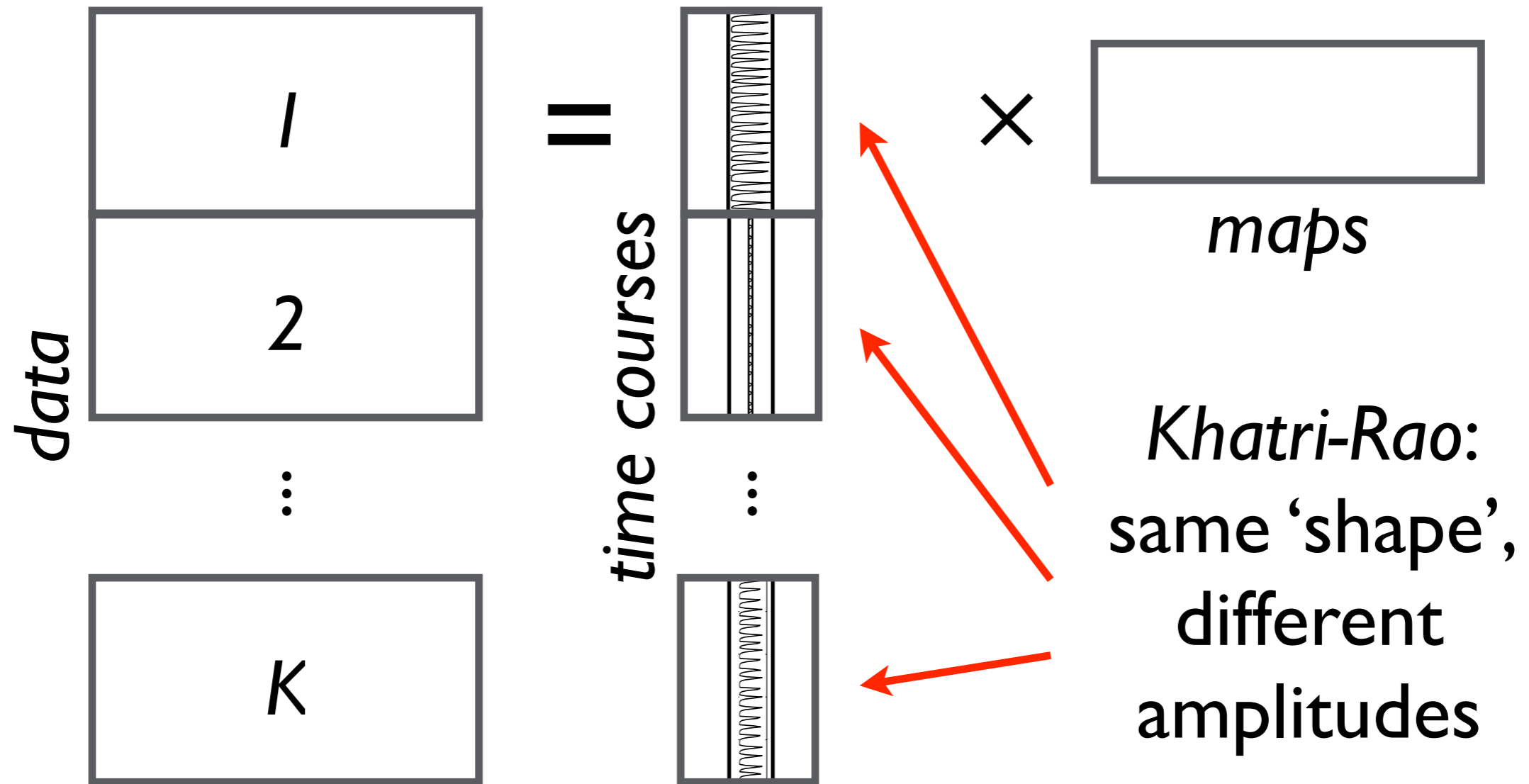


Tensor-ICA





Tensor-ICA





Tensor-PICA

- can be treated as a 2-stage estimation problem



Tensor-PICA

- can be treated as a 2-stage estimation problem
- **jointly** estimate modes which describe signal in the temporal/ spatial and subject domain



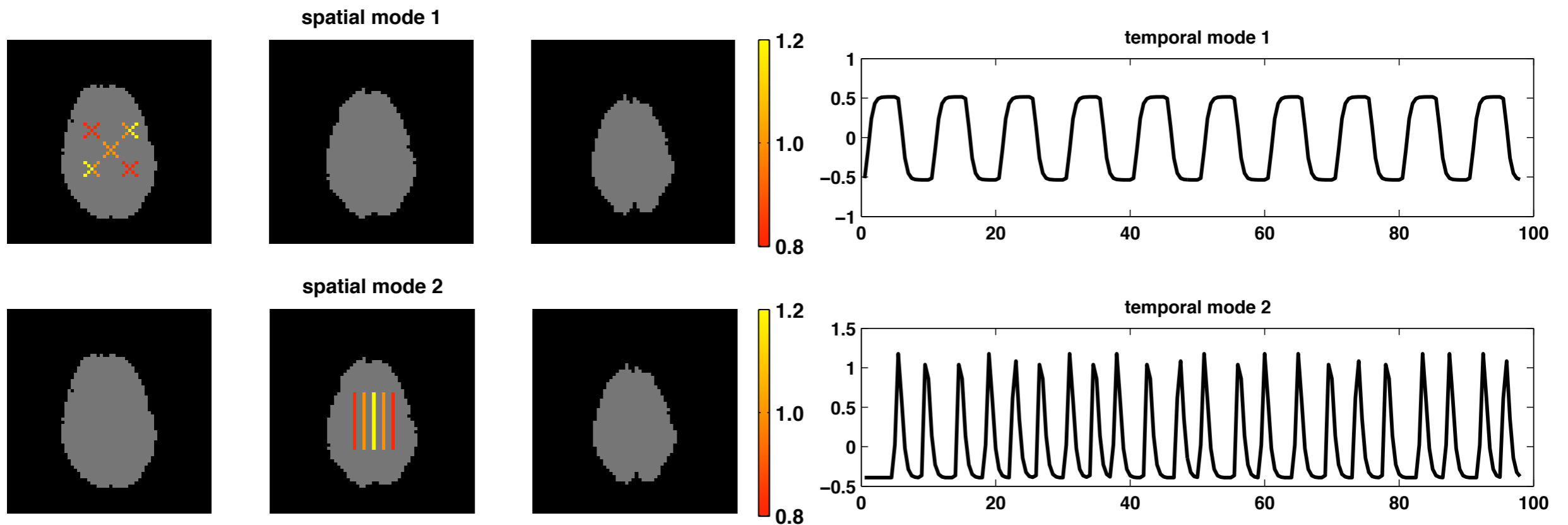
Tensor-PICA

- can be treated as a 2-stage estimation problem
- **jointly** estimate modes which describe signal in the temporal/ spatial and subject domain
- provides a **mixed-effects** model (incorporates within-session and between session variations)



Tensor-ICA

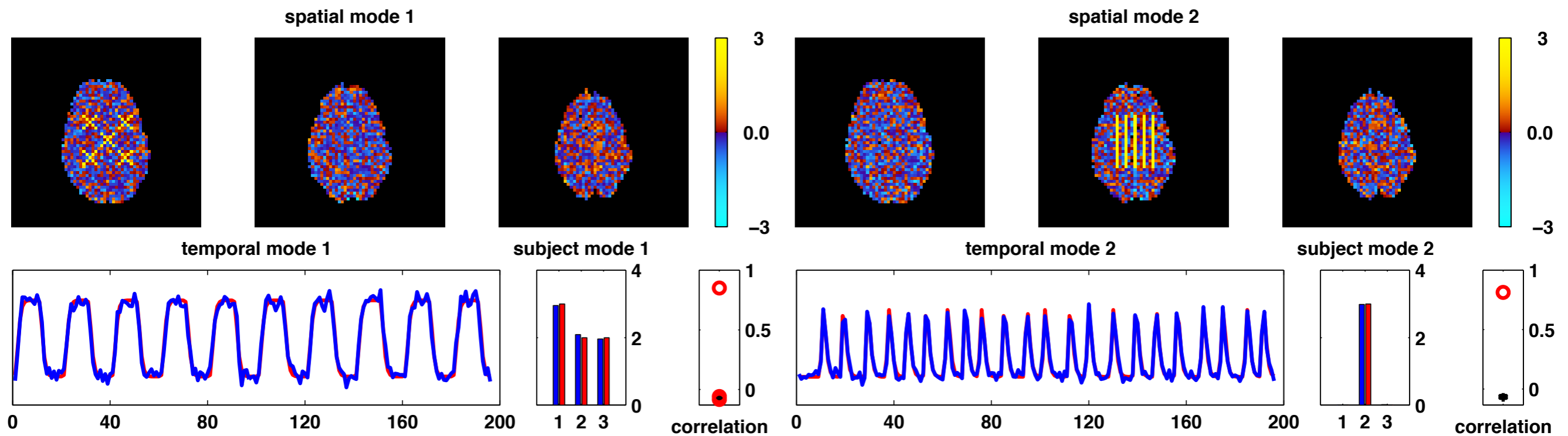
- ‘robust’ statistics: can estimate common activation pattern in the presence of subject-specific processes





Tensor-ICA

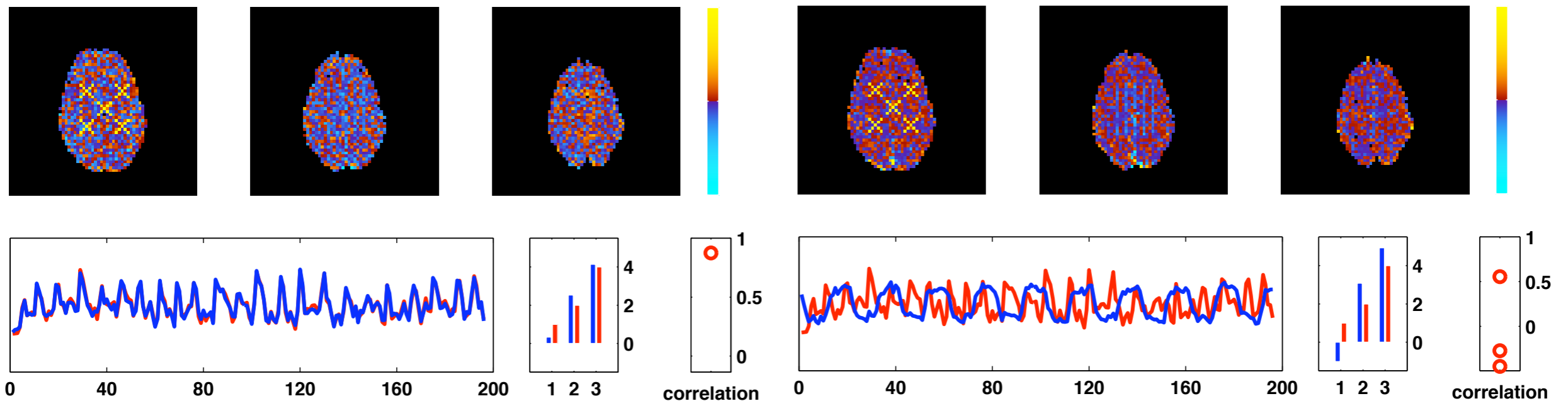
- ‘robust’ statistics: can estimate common activation pattern in the presence of subject-specific processes





Tensor-ICA vs. PARAFAC

- more accurate (lower RMSE; less cross-talk)



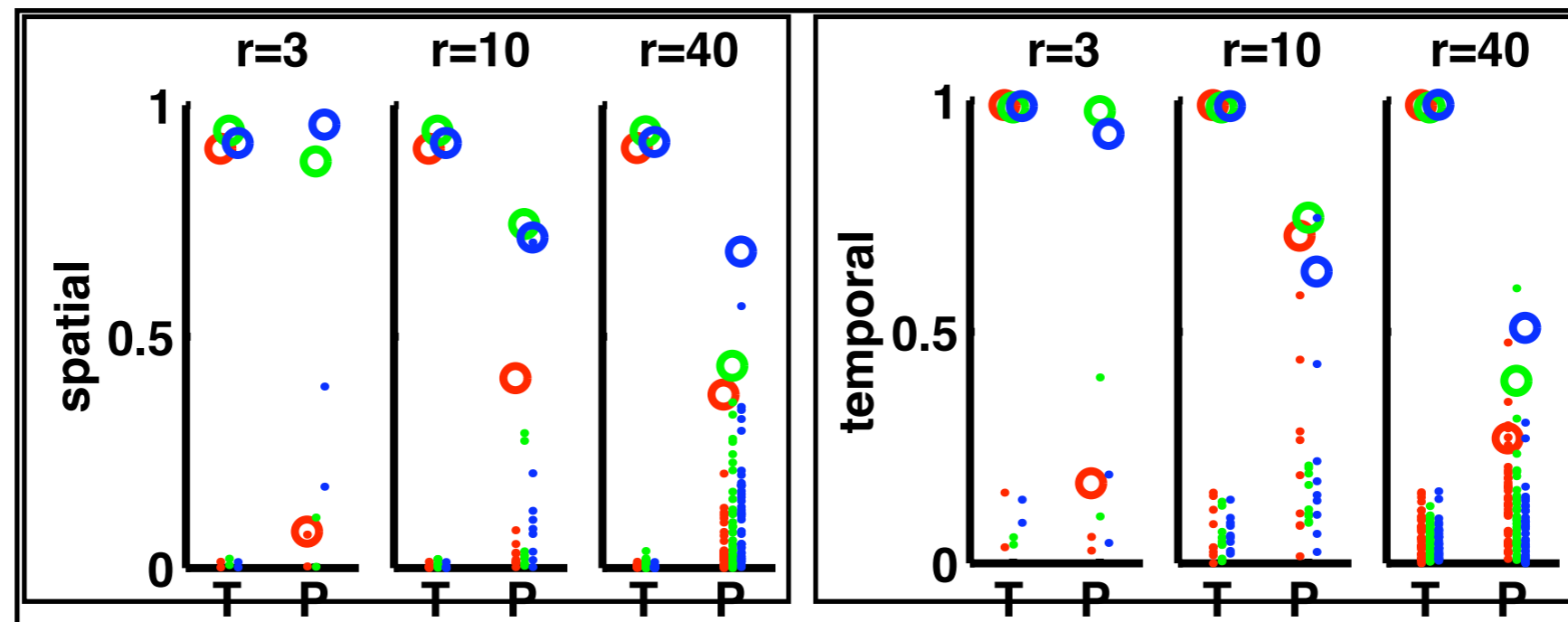
Tensor-ICA

PARAFAC



Tensor-ICA vs. PARAFAC

- more accurate (lower RMSE; less cross-talk)
- more robust against overfitting (less sensitive to model order selection)





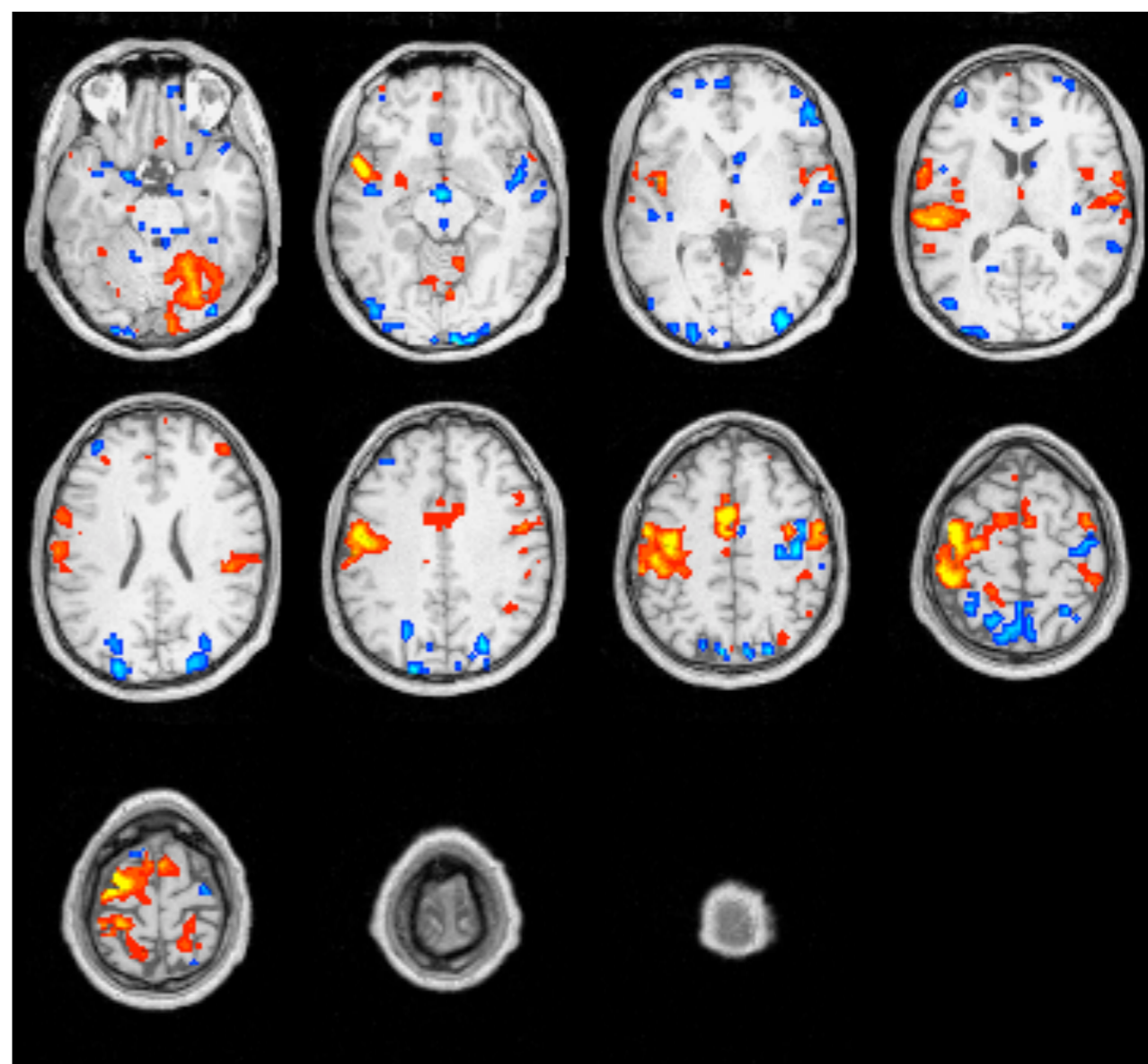
Tensor-ICA vs. PARAFAC

- more accurate (lower RMSE; less cross-talk)
- more robust against overfitting (less sensitive to model order selection)
- less computationally demanding



Tensor-ICA multi-session example

- 10 sessions under motor paradigm (right index finger tapping)
- Group-level GLM results (mixed-effects)

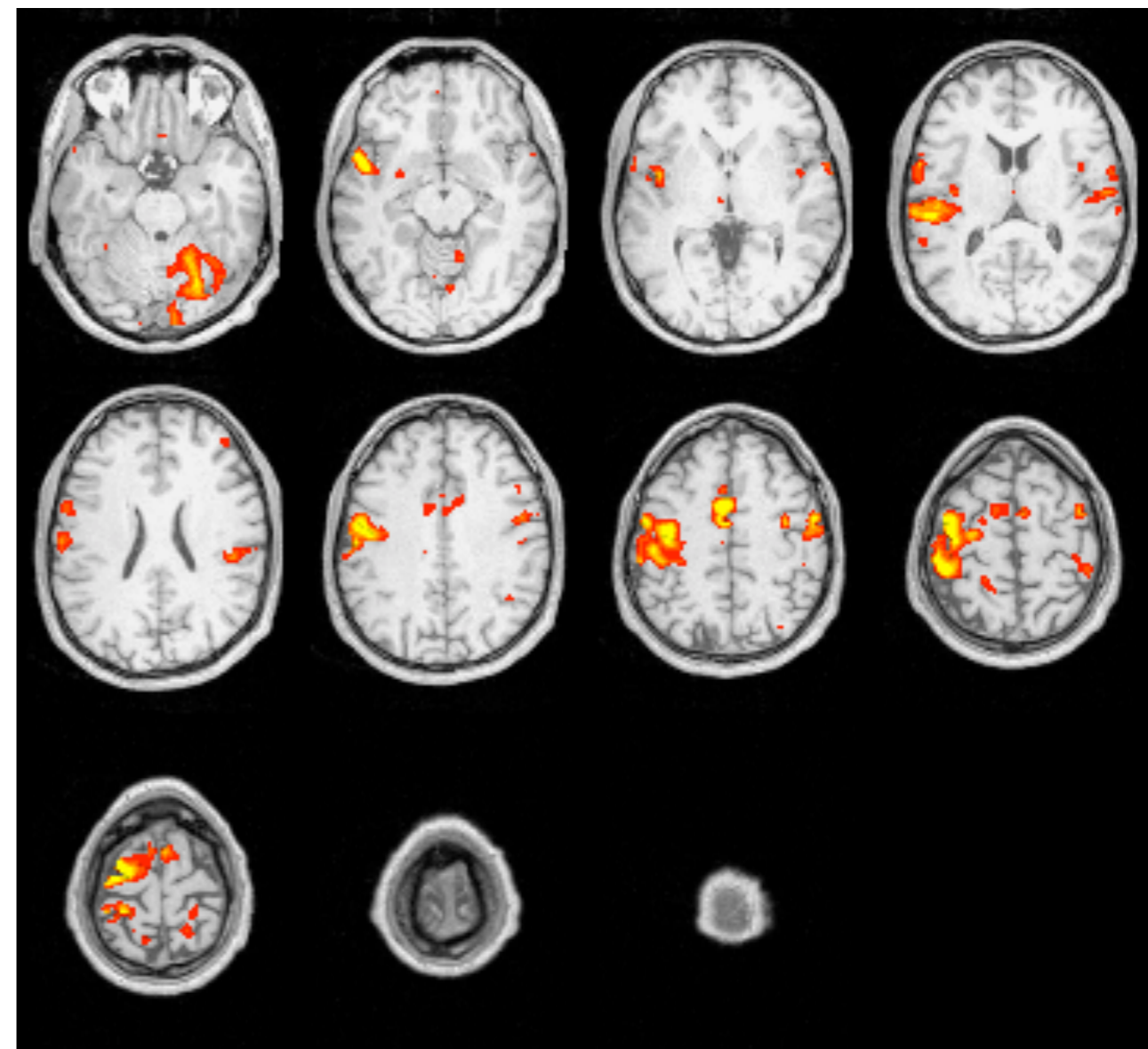
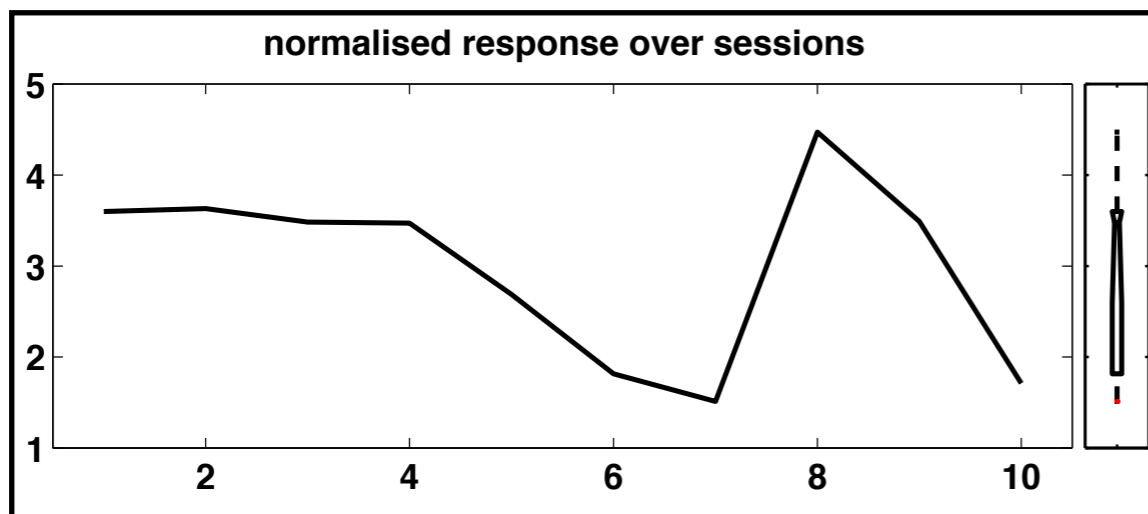
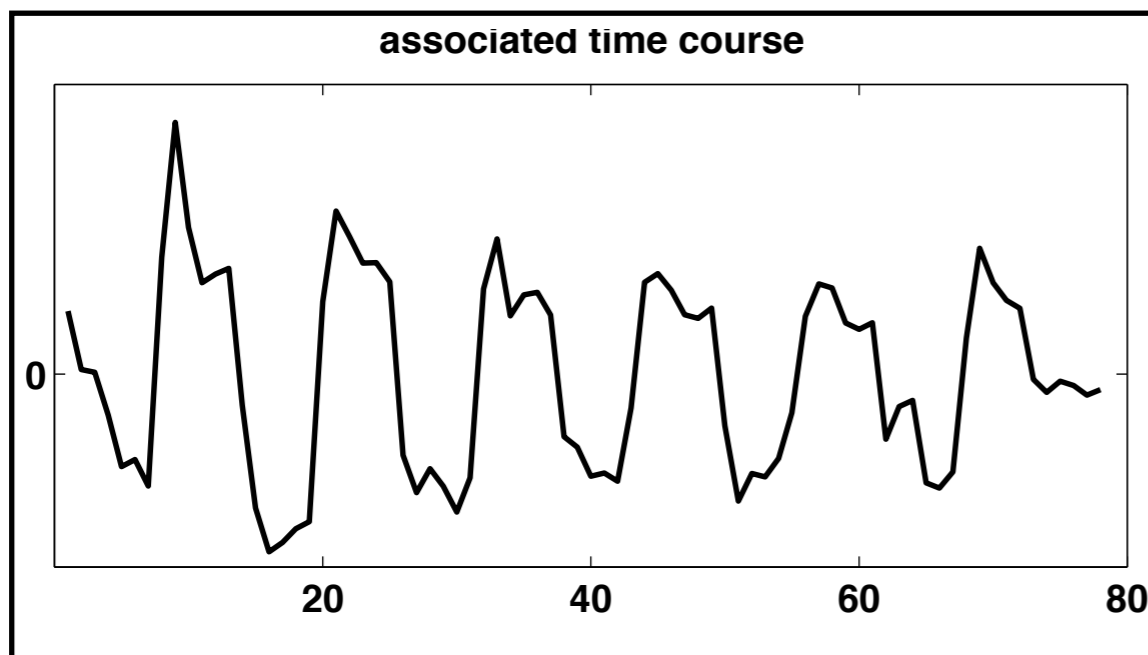


McGonigle et al.
NI 2000



Tensor-ICA multi-session example

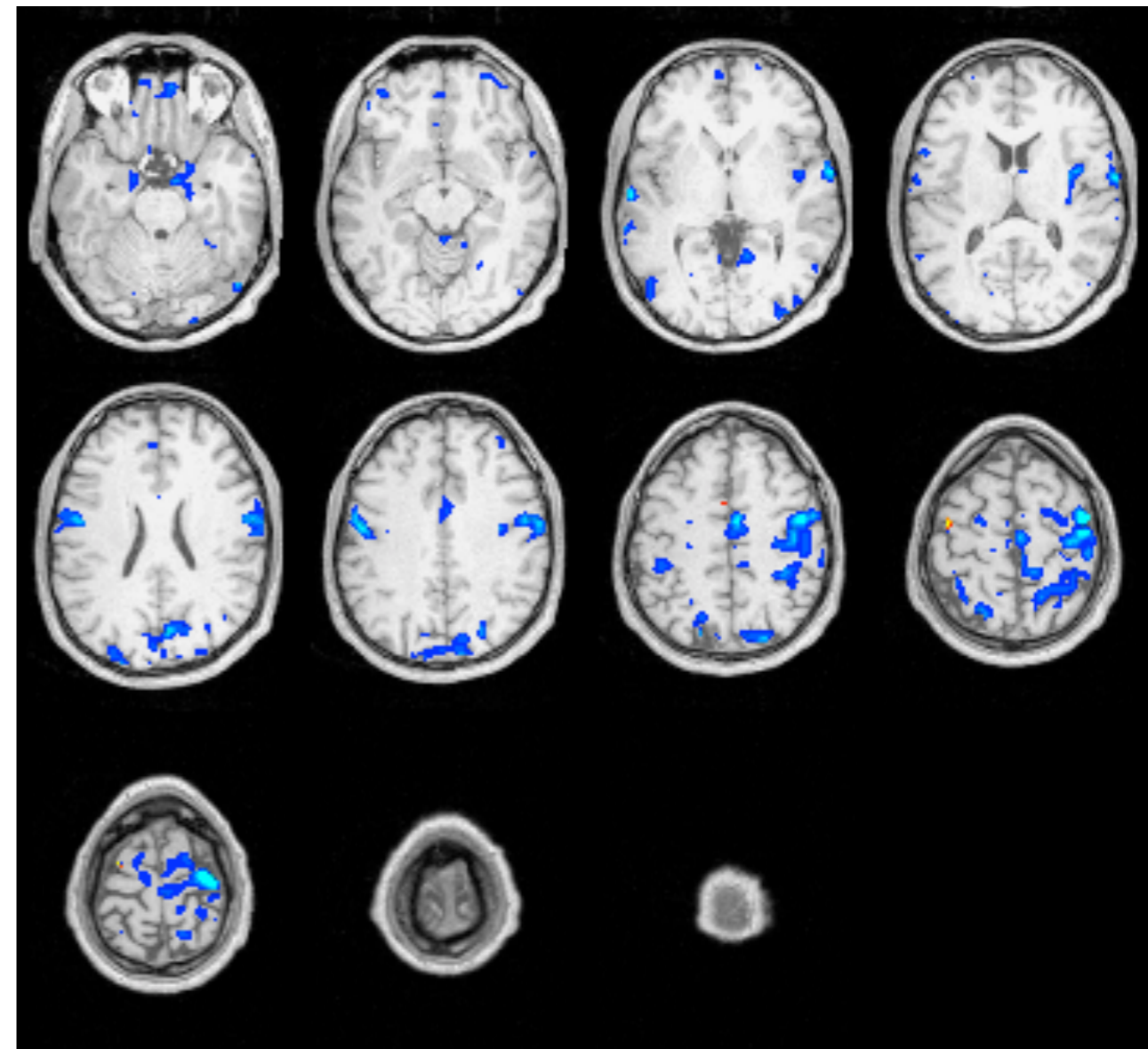
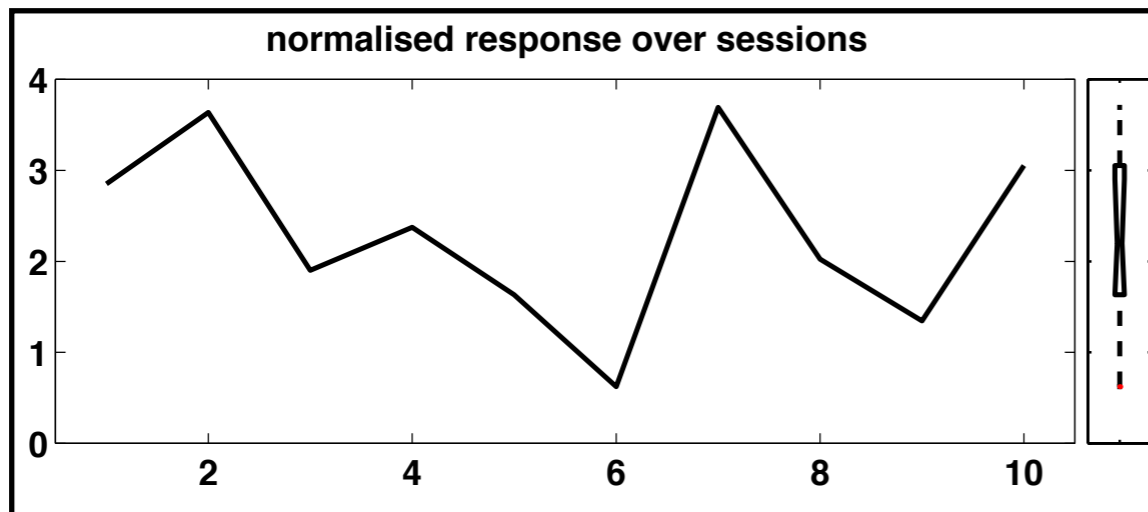
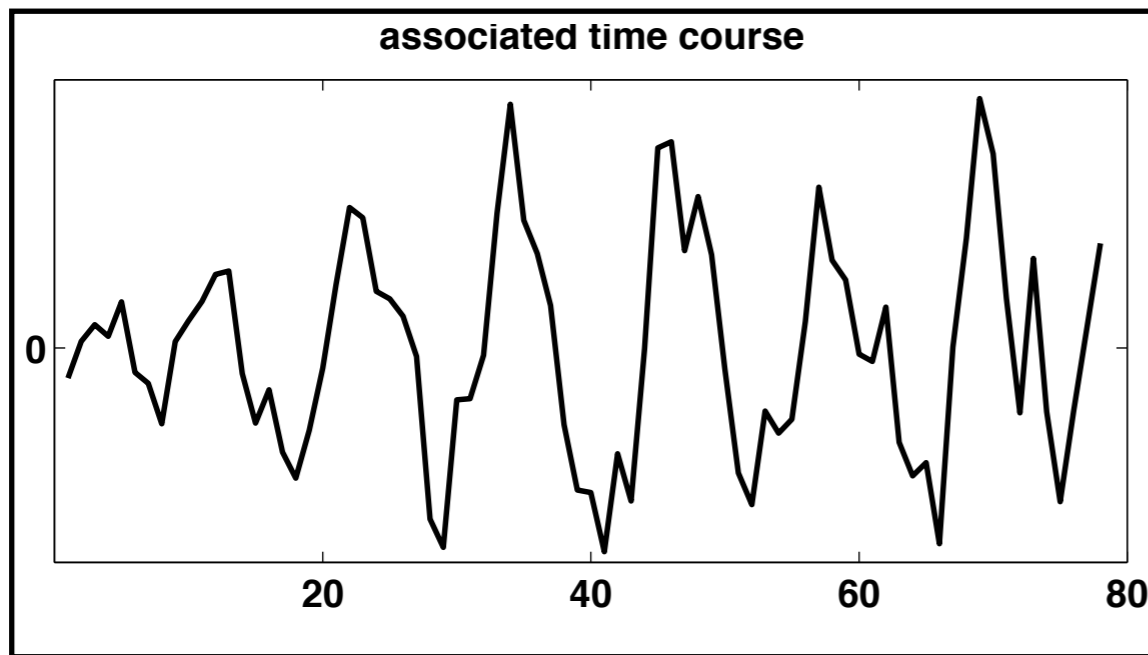
- tensor-ICA map





Tensor-ICA multi-session example

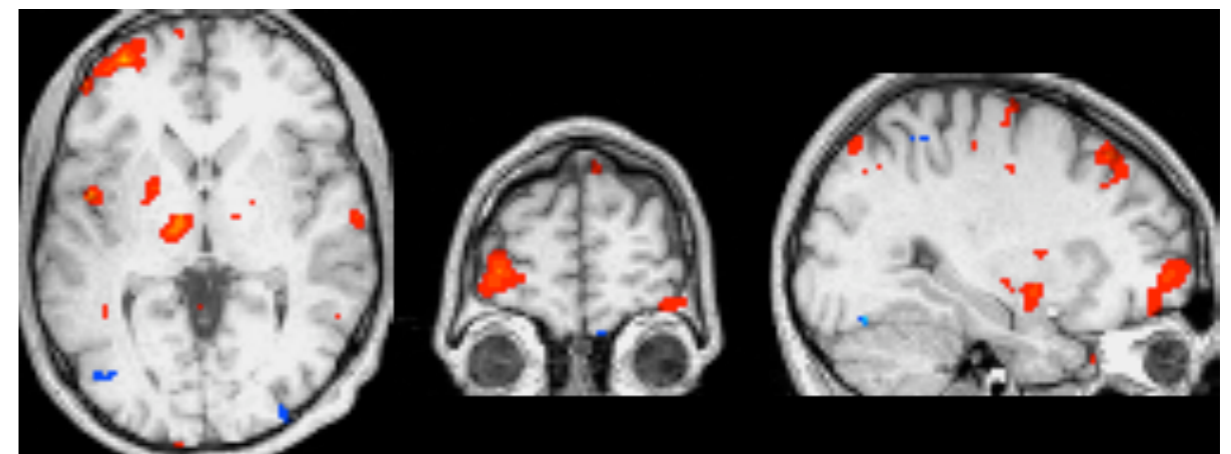
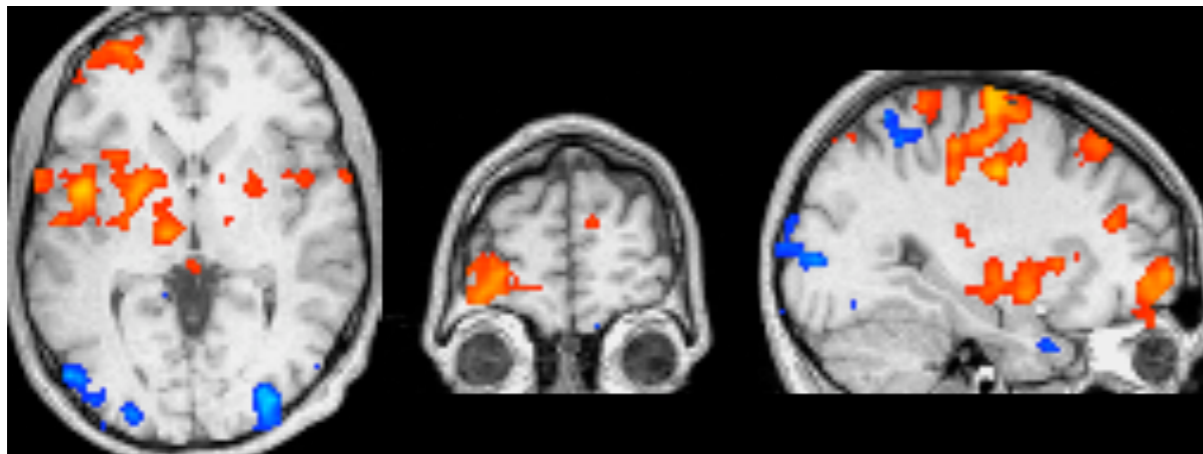
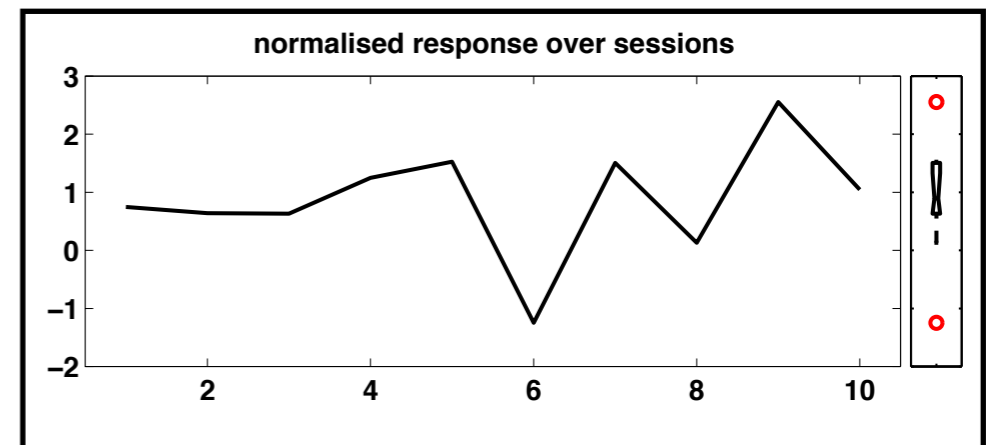
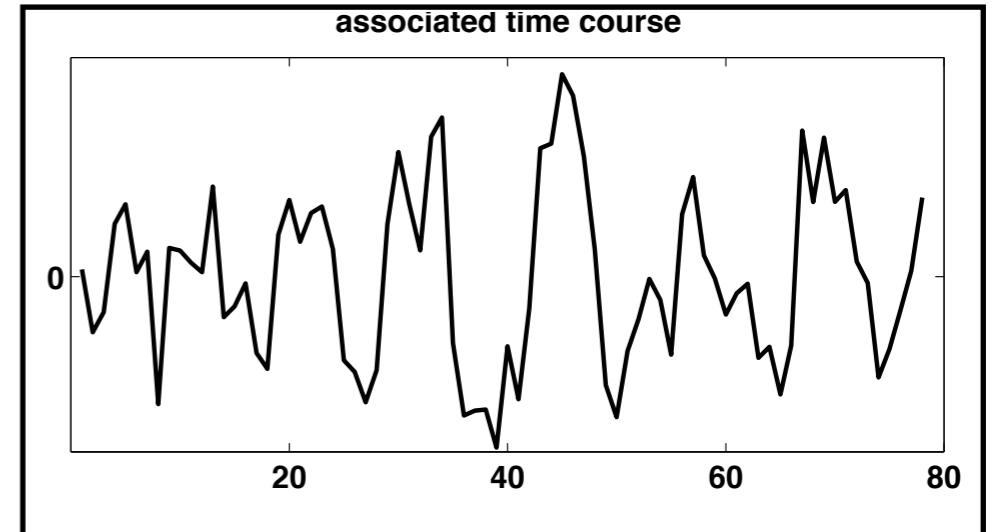
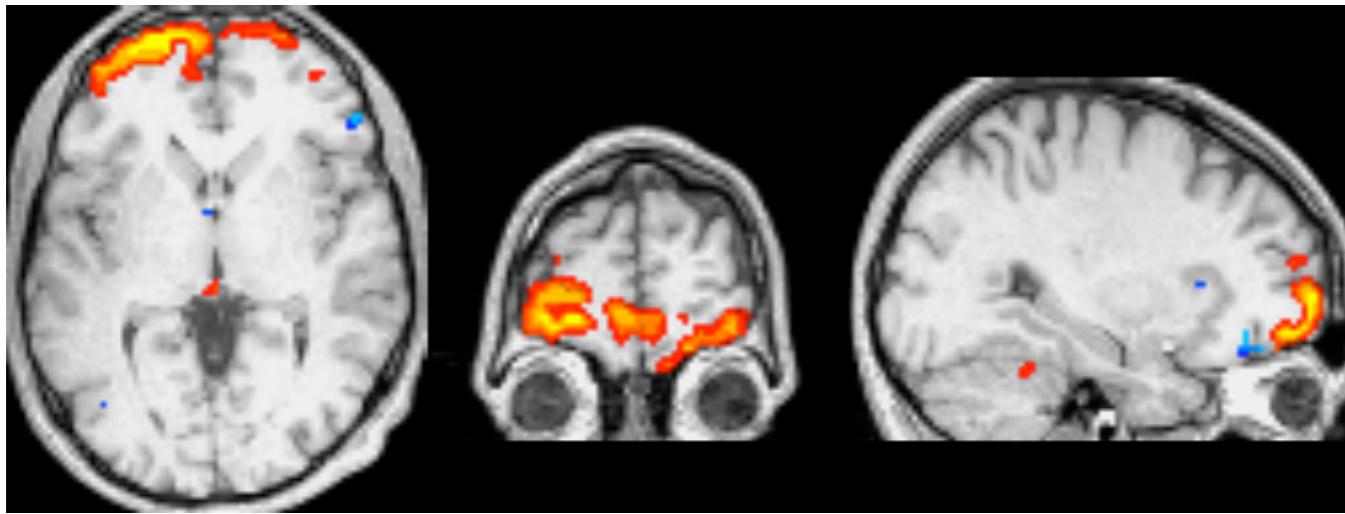
- estimated 'de-activation'





Tensor-ICA multi-session example

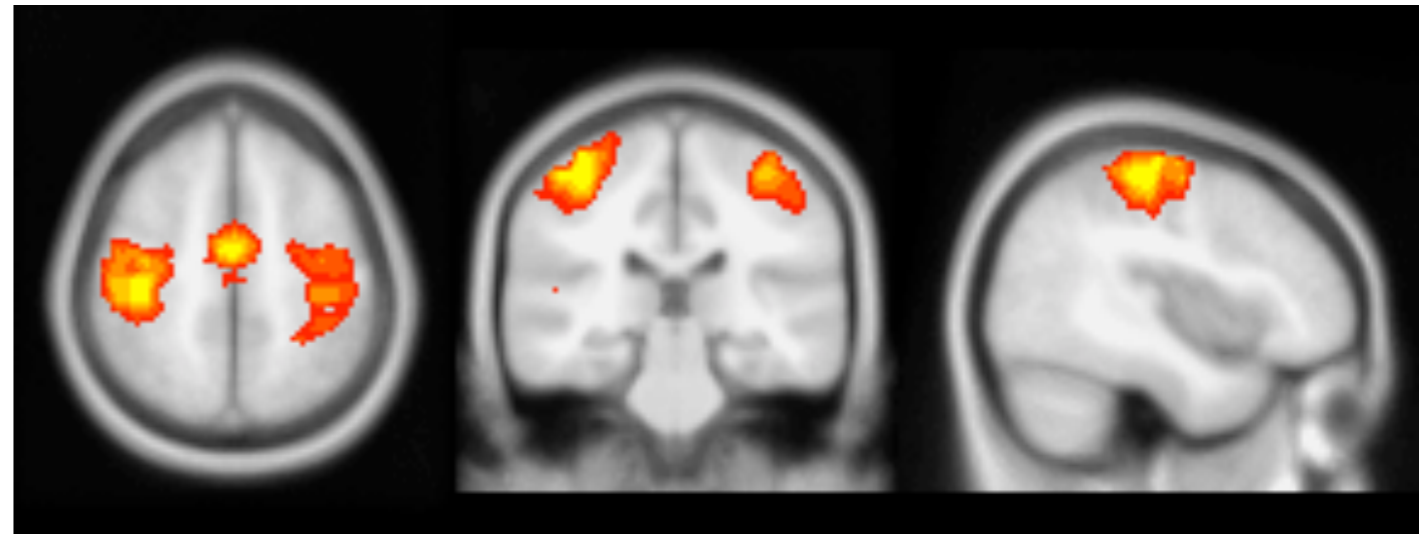
- residual stimulus correlated motion





Tensor-ICA multi-subject example

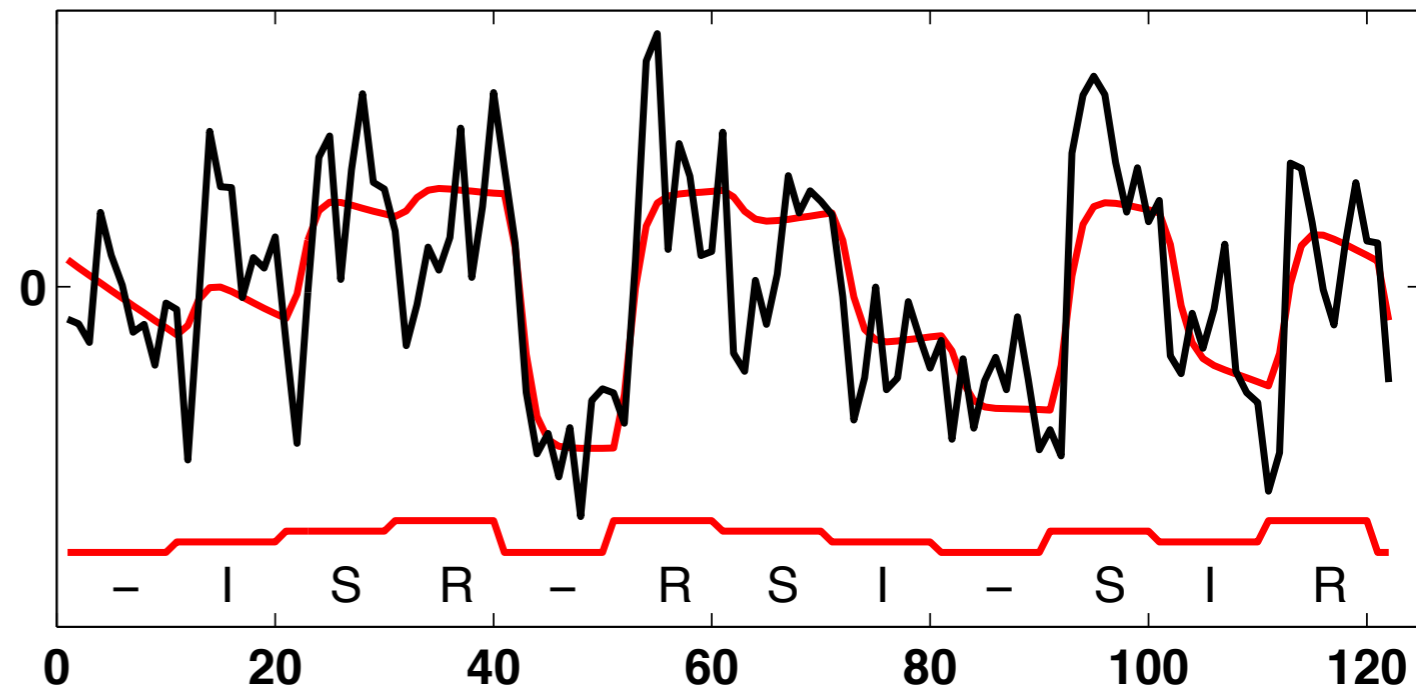
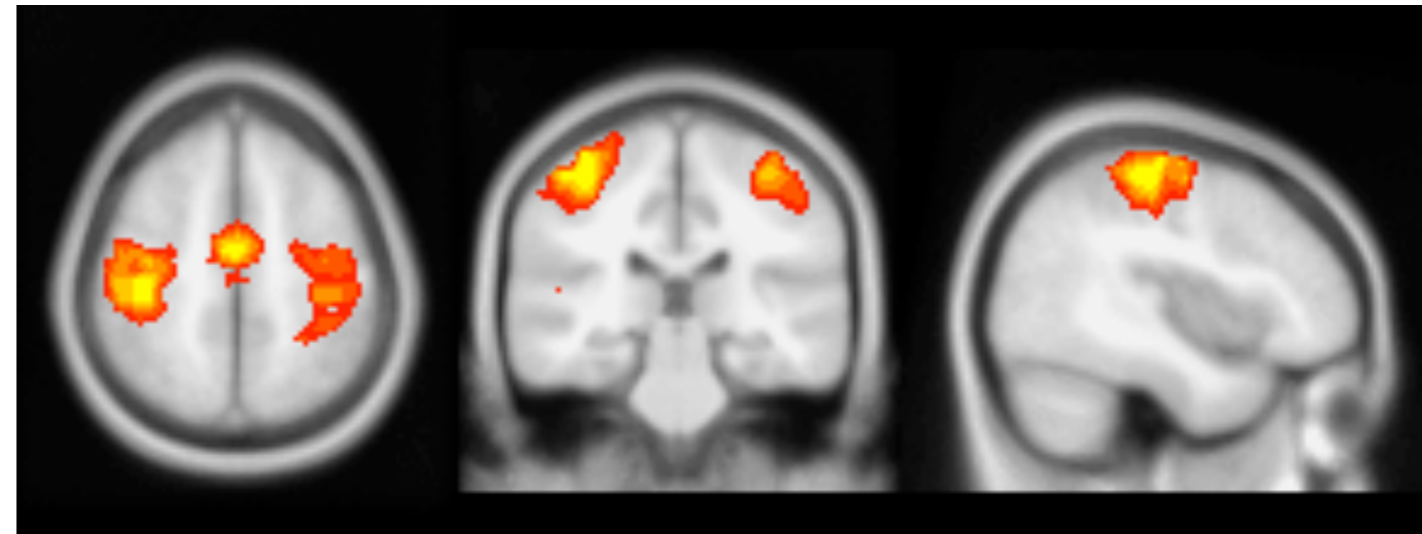
- reaction-time task (index vs. sequential vs. random finger movement)





Tensor-ICA multi-subject example

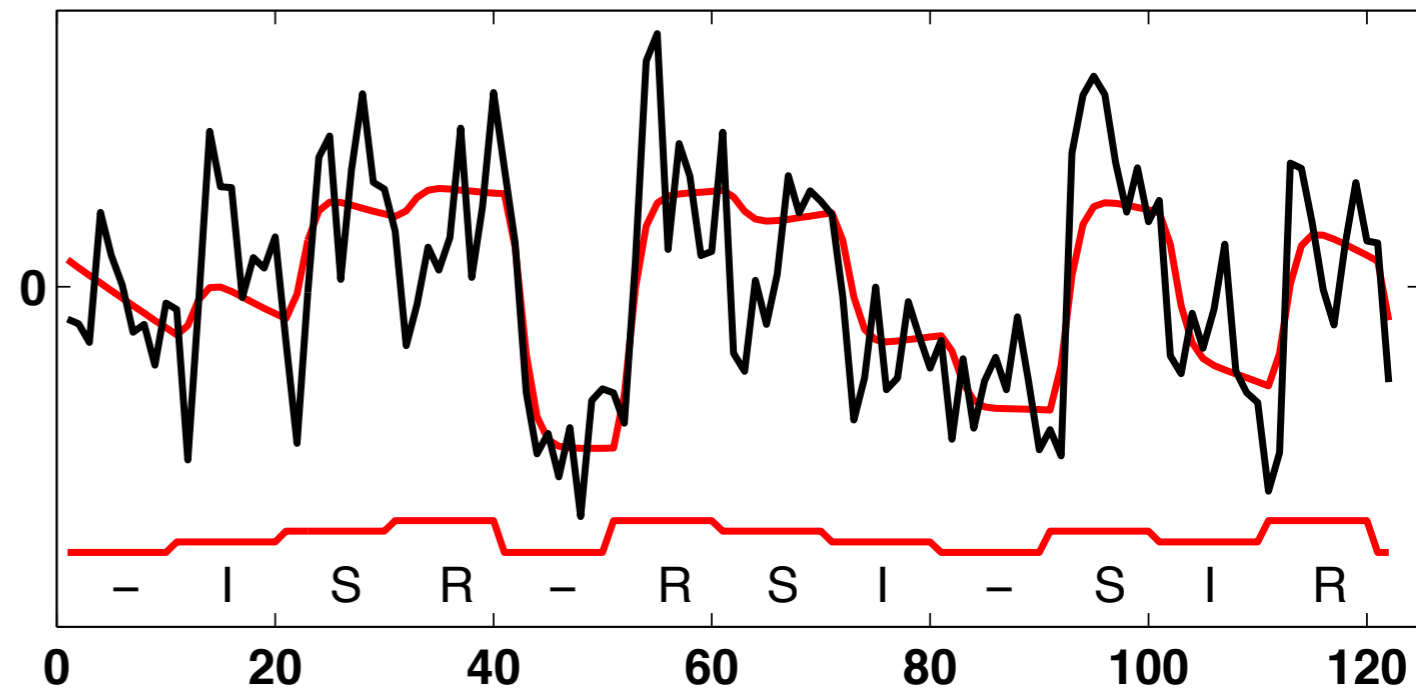
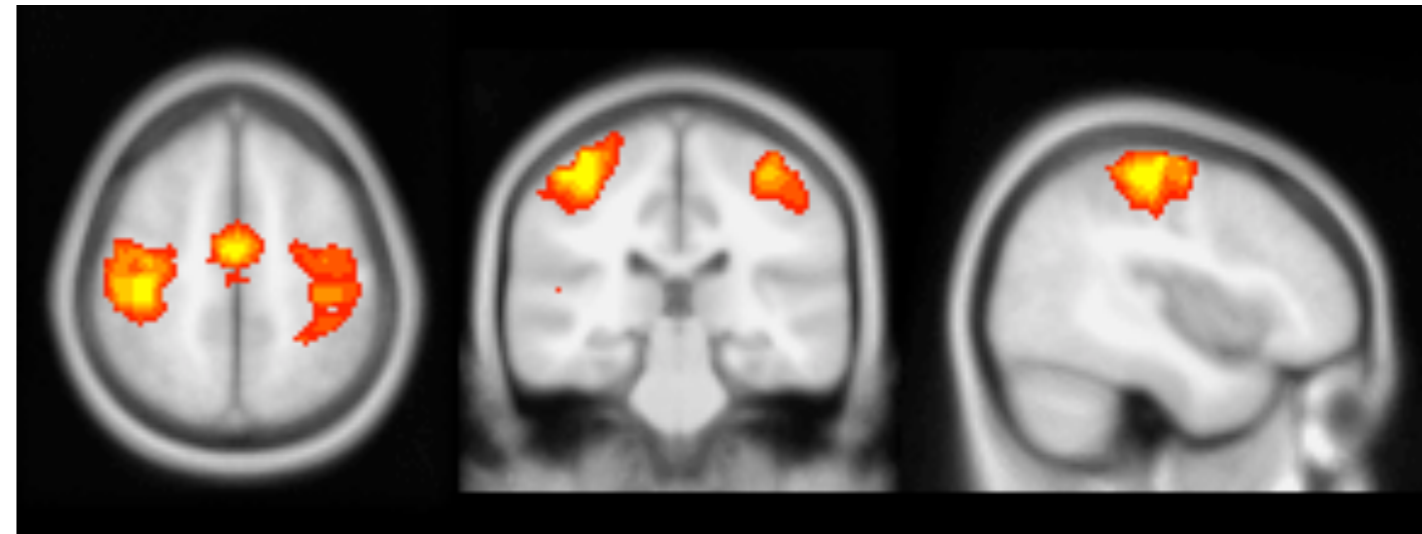
- reaction-time task (index vs. sequential vs. random finger movement)
- $r > 0.84$ regression fit of GLM model against time course





Tensor-ICA multi-subject example

- reaction-time task (index vs. sequential vs. random finger movement)
- $r > 0.84$ regression fit of GLM model against time course
- GLM on associated time-course shows significant ($p < 0.01$) differences:
 $I < S < R$





Tensor-ICA



Tensor-ICA

- *simultaneously* estimates processes in the temporal & spatial & session/subject domain



Tensor-ICA

- *simultaneously* estimates processes in the temporal & spatial & session/subject domain
- full mixed-effects model



Tensor-ICA

- *simultaneously* estimates processes in the temporal & spatial & session/subject domain
- full mixed-effects model
- can use parametric stats on estimated time courses and session/subject modes



Tensor-ICA

- *simultaneously* estimates processes in the temporal & spatial & session/subject domain
- full mixed-effects model
- can use parametric stats on estimated time courses and session/subject modes
- robust statistics: can deal with outlier processes



Tensor-ICA

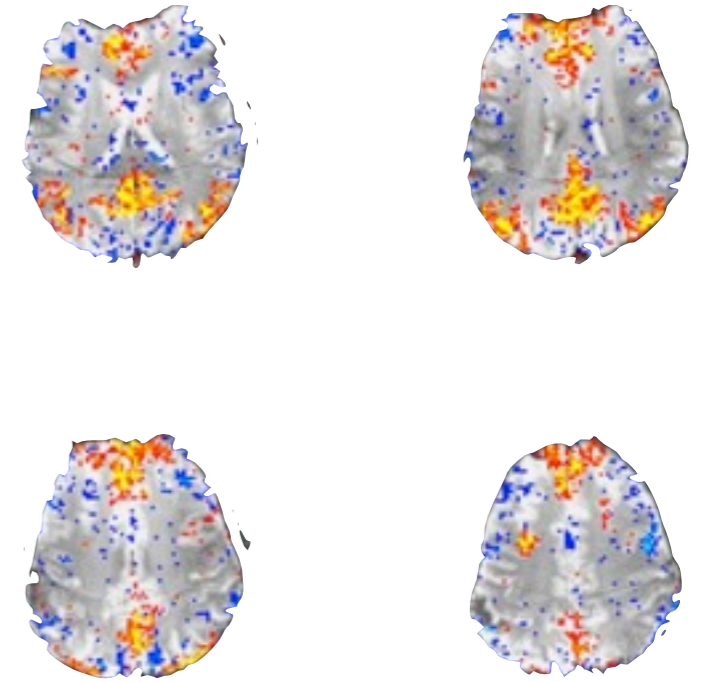
- *simultaneously* estimates processes in the temporal & spatial & session/subject domain
- full mixed-effects model
- can use parametric stats on estimated time courses and session/subject modes
- robust statistics: can deal with outlier processes
- *MELODIC*³



Resting-State Networks

Multiple spatial patterns of correlated temporal dynamics, resembling activation maps

- can be found in fMRI data (BOLD & ASL) obtained at rest *and* in activation data
- characterised by (apparent) low frequency power spectra...
- seen when awake/sleep/anaesthesia, human/animals

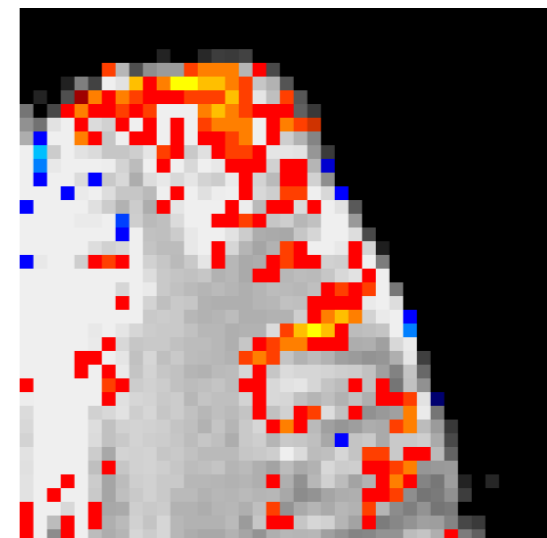
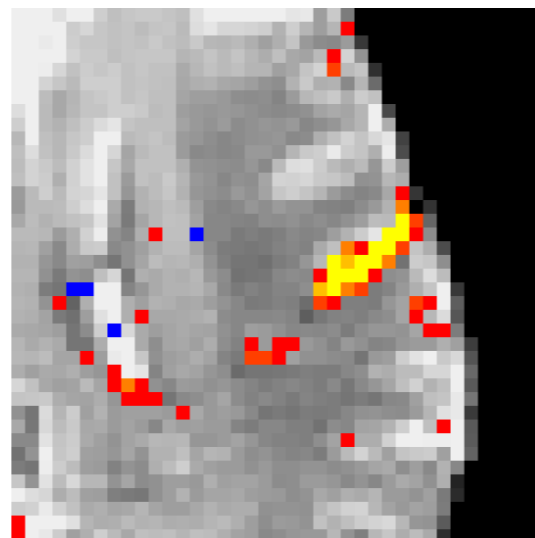
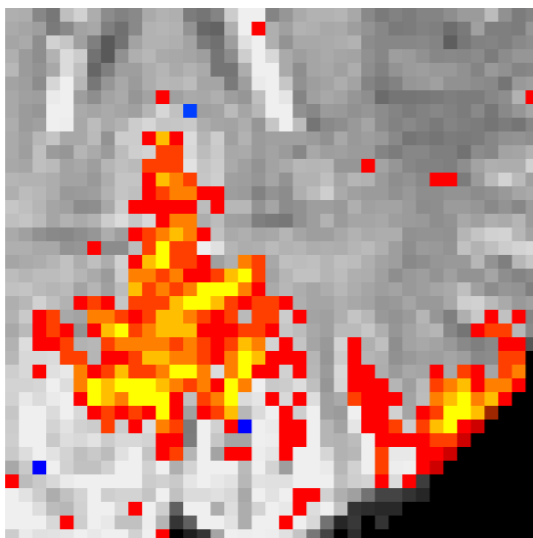
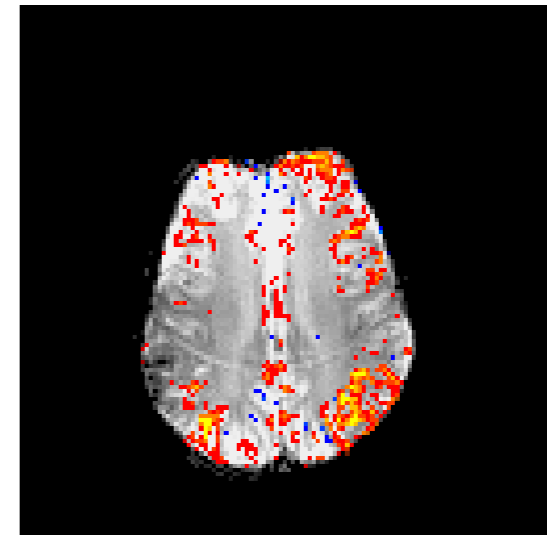
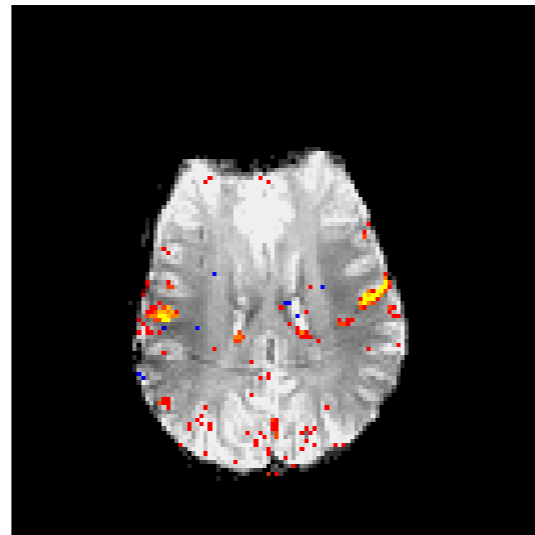
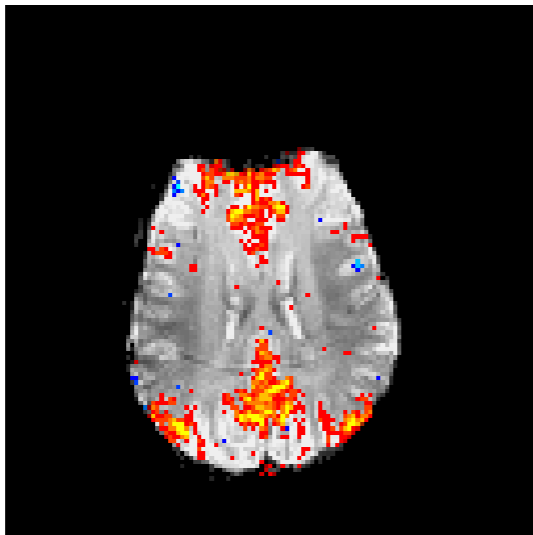


Also referred to as: “low-frequency correlations”, “default activity”, “default mode”, “spontaneous network correlations”, “intrinsic connectivity networks” ...



Spatial characteristics

- RSNs are grey-matter networks

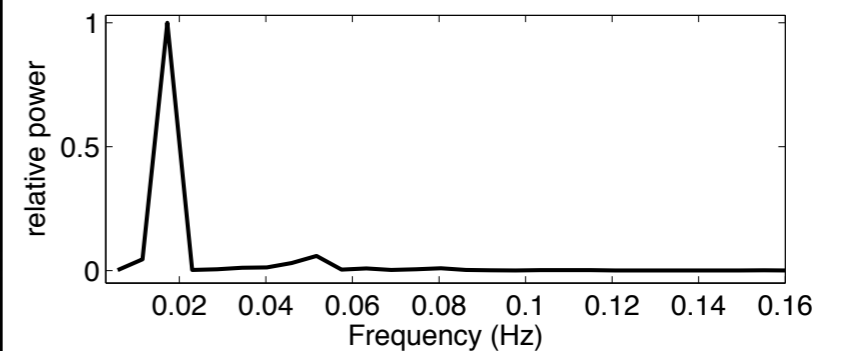
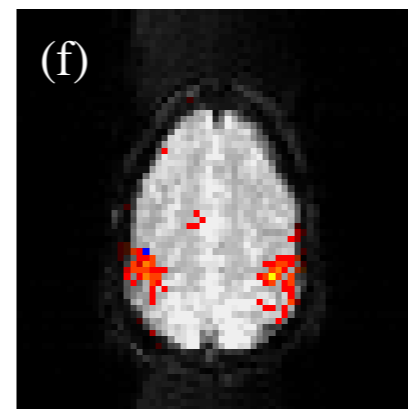
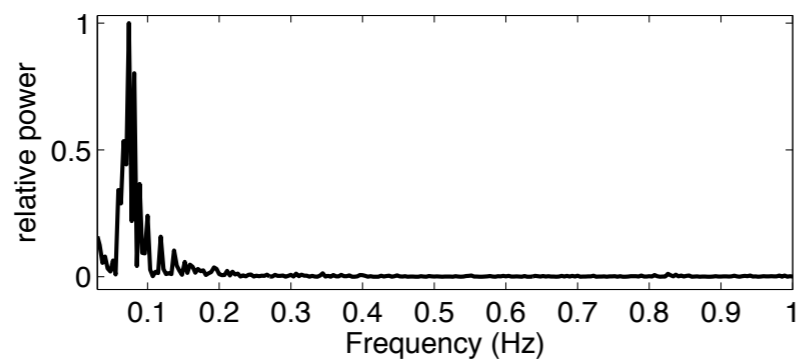
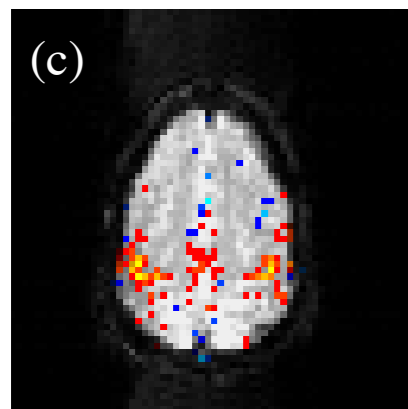
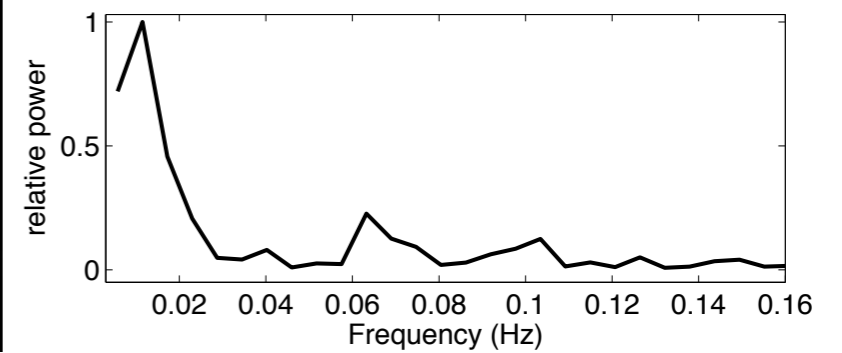
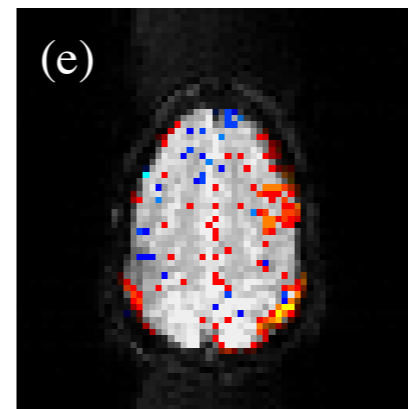
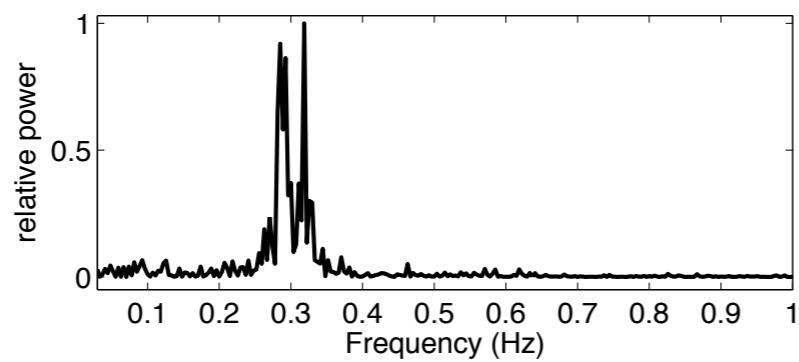
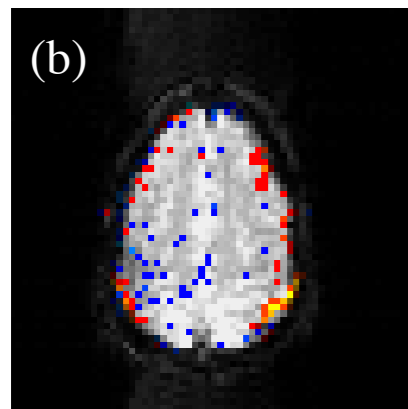
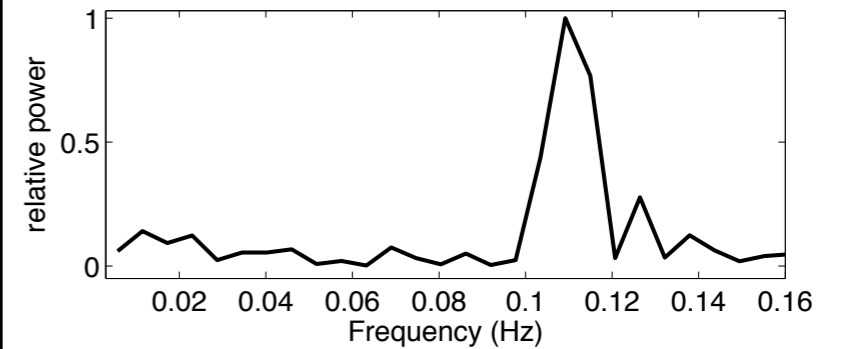
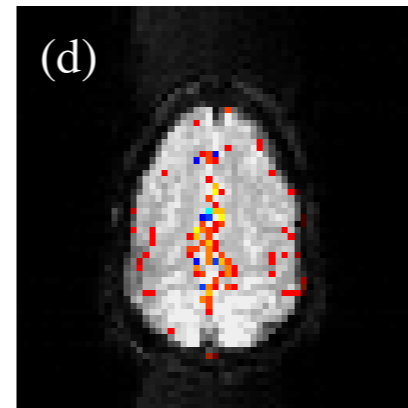
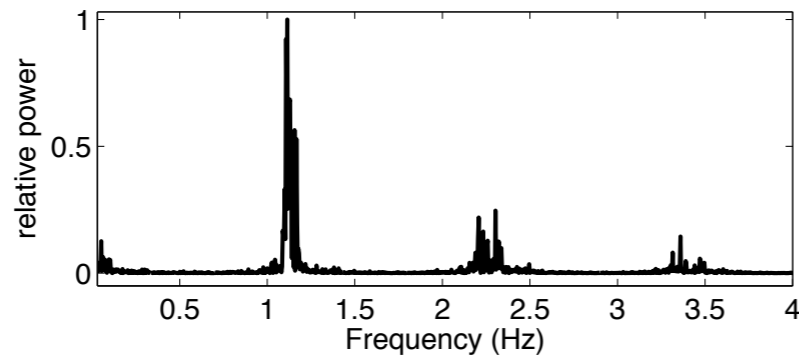
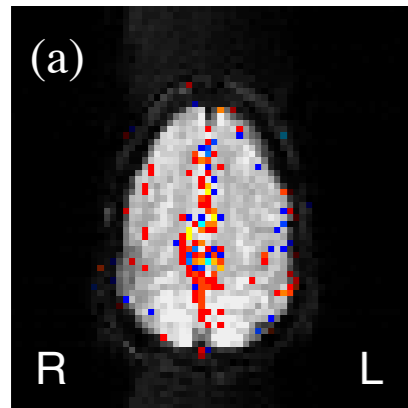




RSNs vs cardiac and respiration

low TR (120ms)

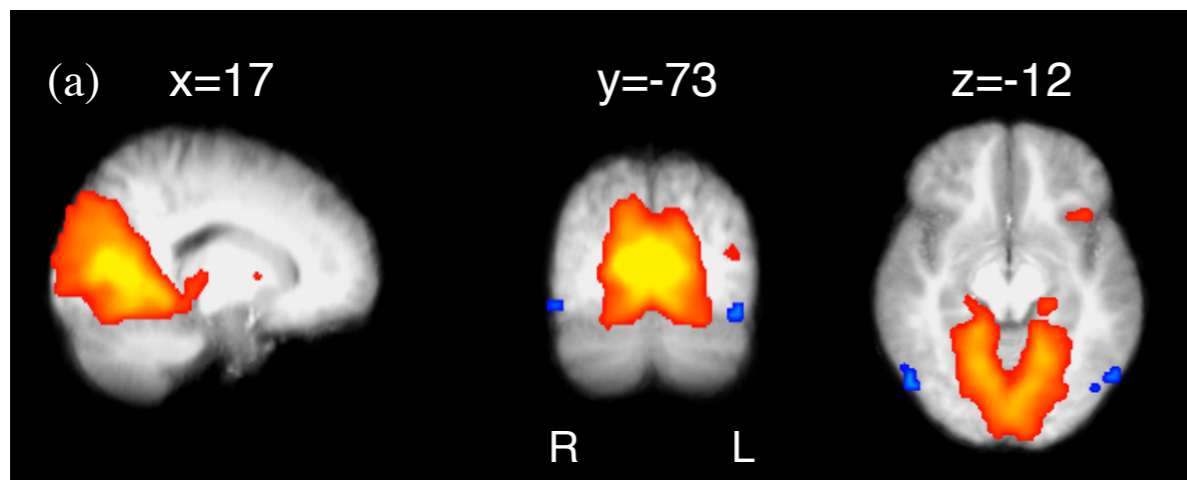
normal TR (3s)



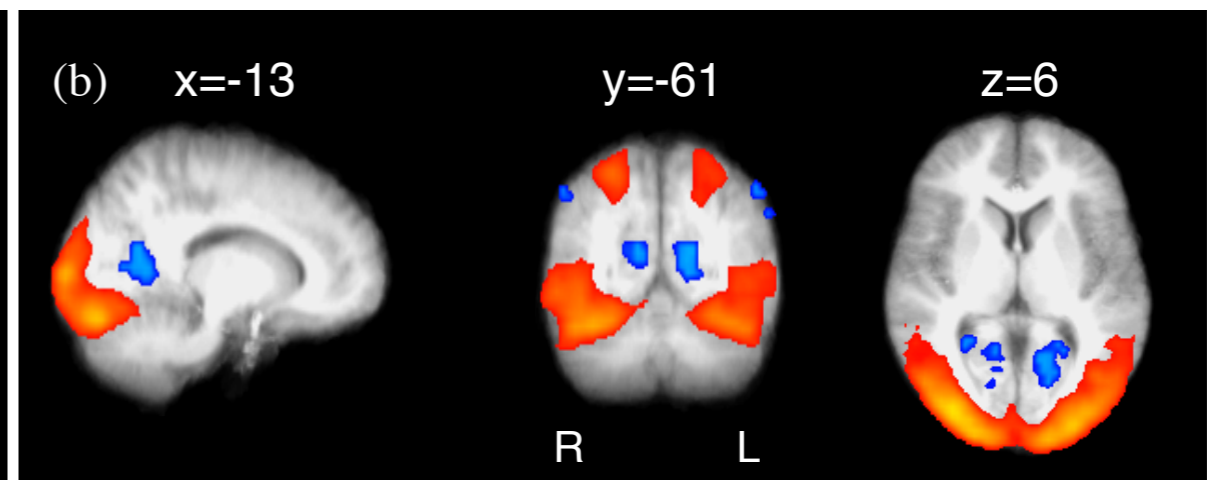
ICA separates RSNs from non-neural physiological and scanner artefacts
(also see Birn, Human Brain Mapping, 2007)



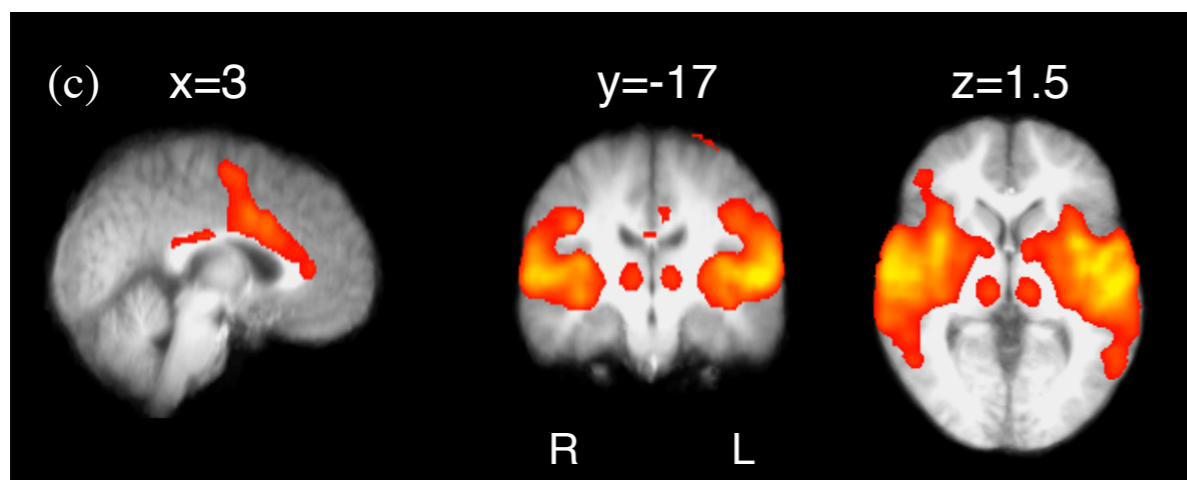
Spatial characteristics



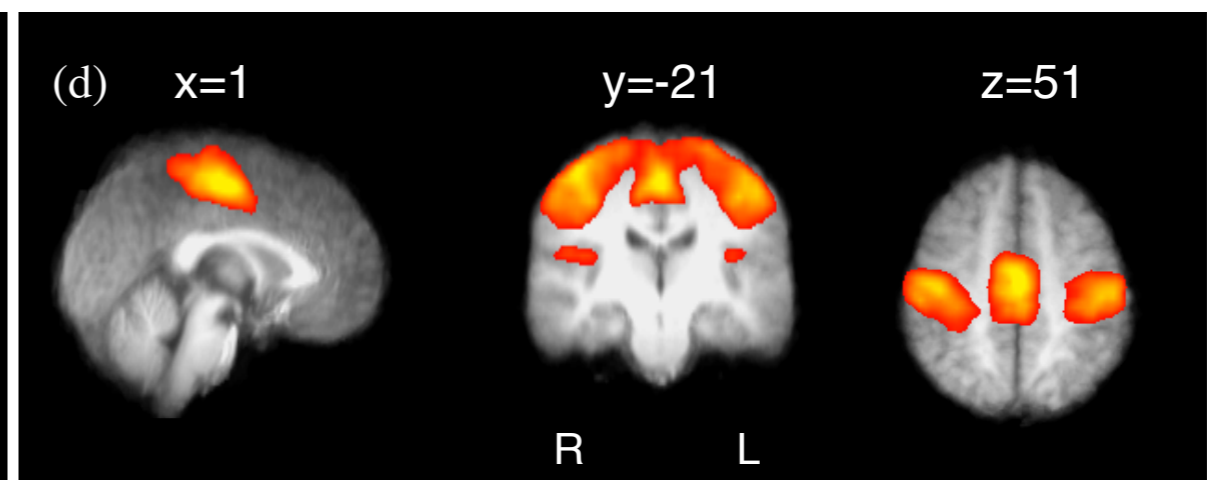
Medial visual cortex



Lateral Visual Cortex



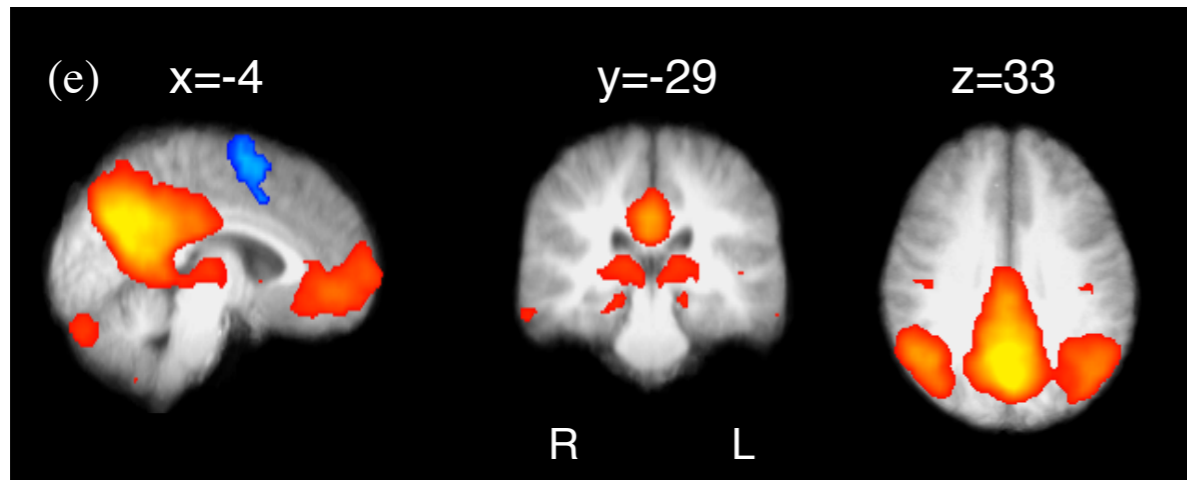
Auditory system



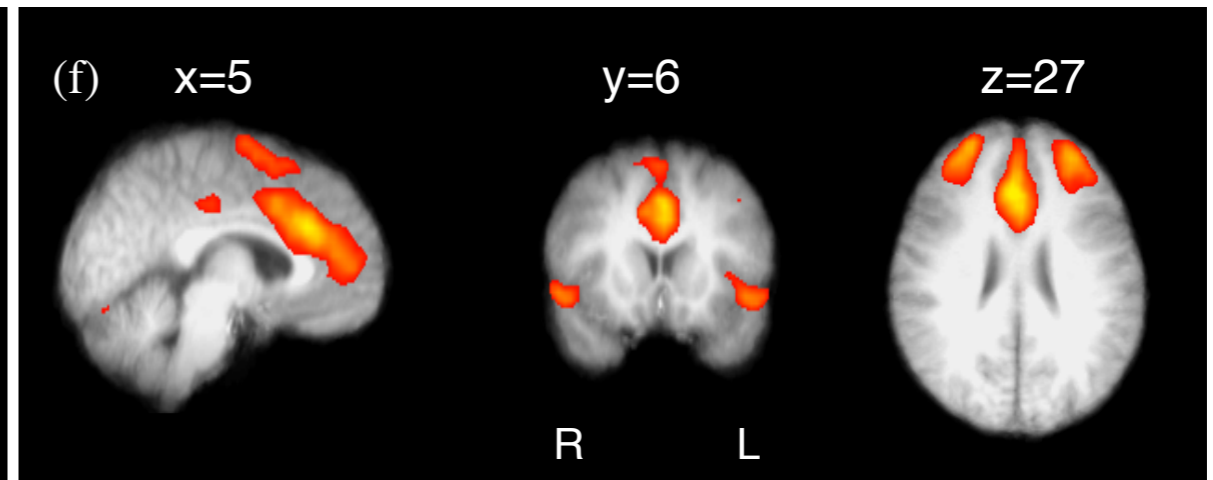
Sensori-motor system



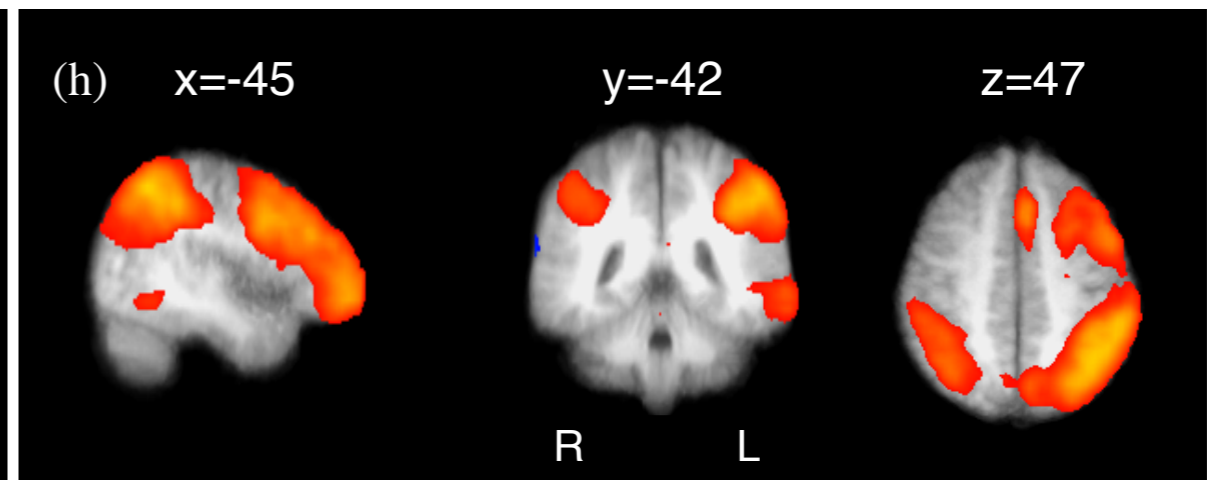
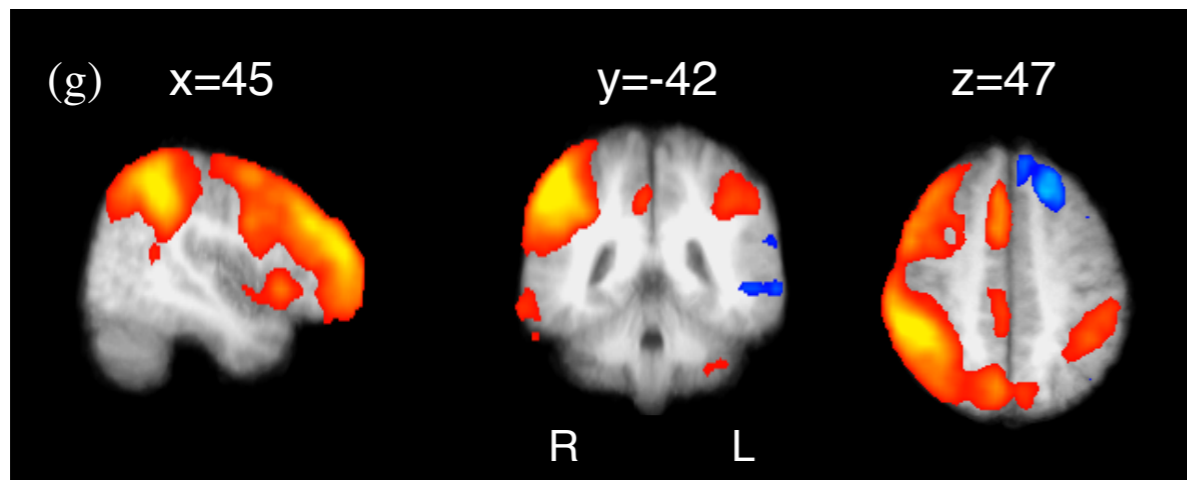
Spatial characteristics



'default mode network'



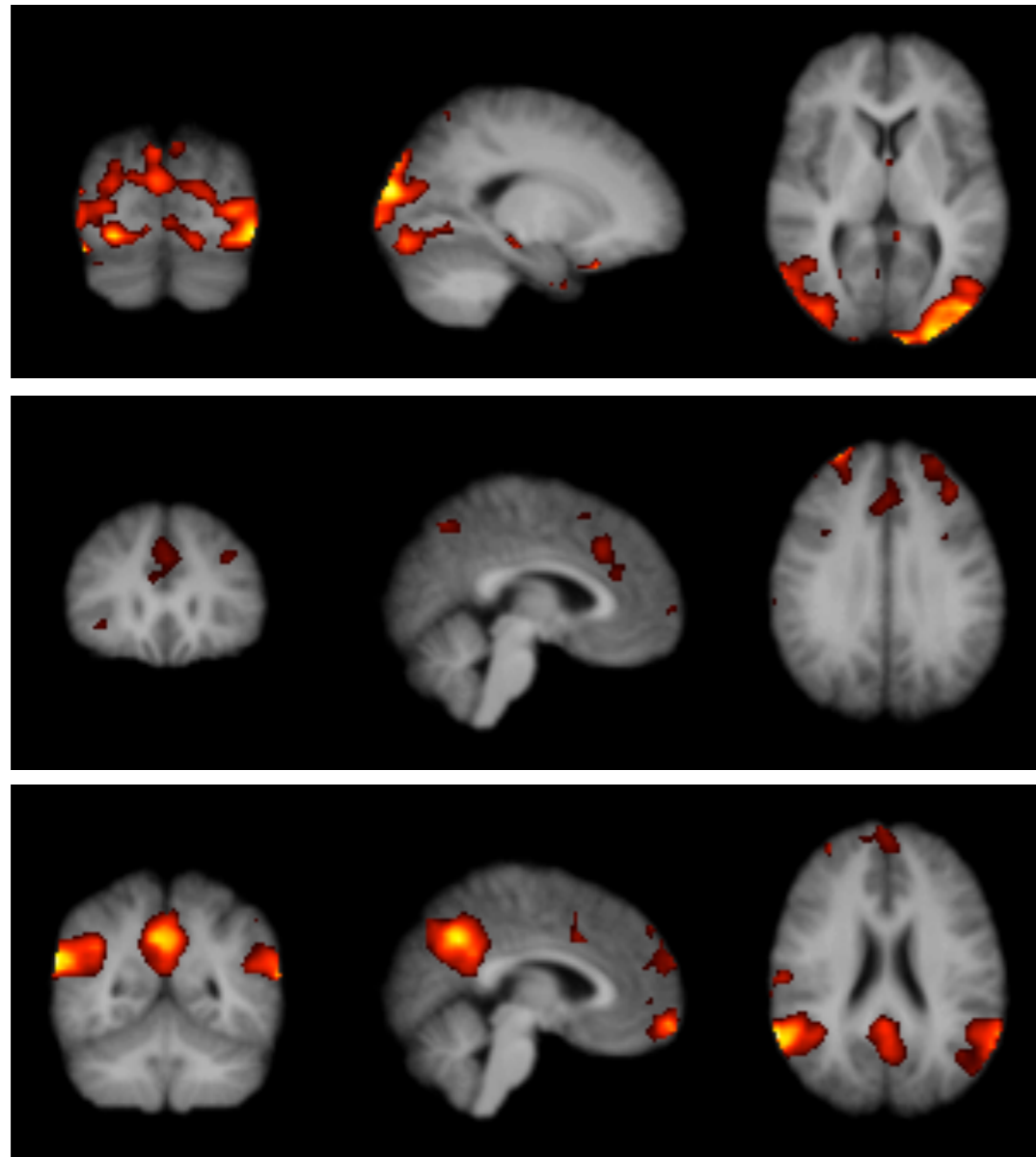
Executive control



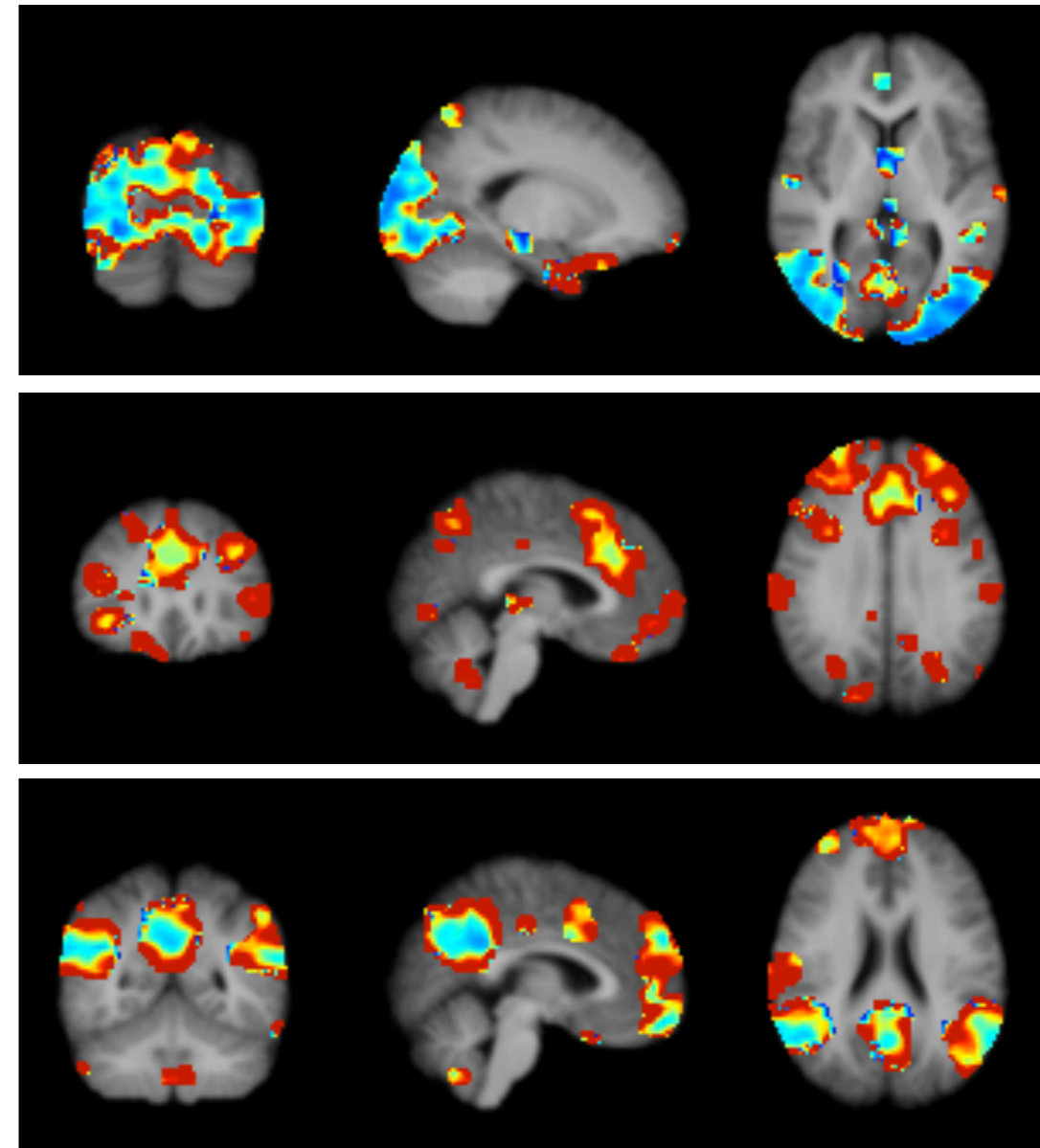
fronto-parietal



RSN consistency



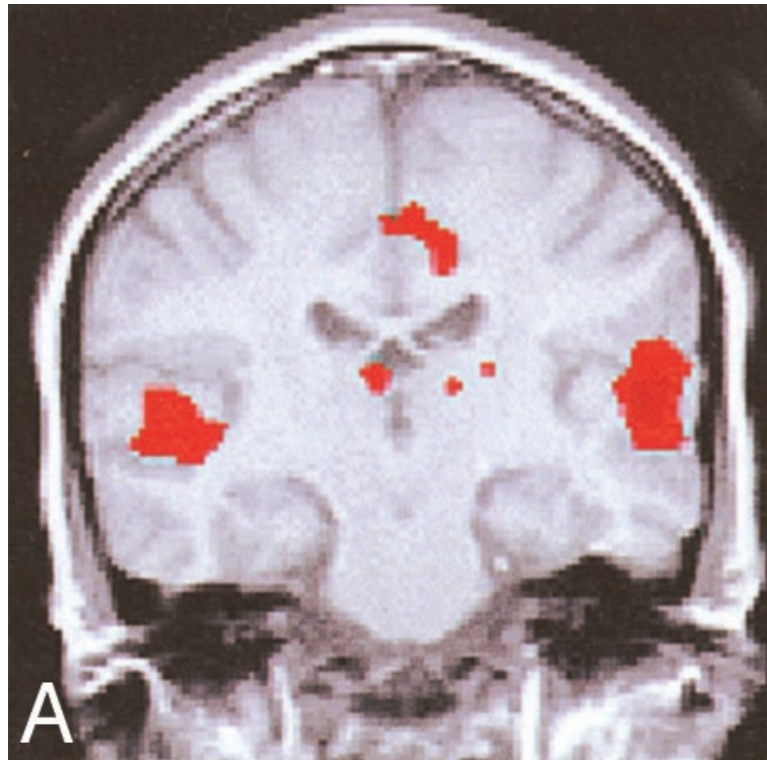
0.5%  3%



0.1%  CoV 50%

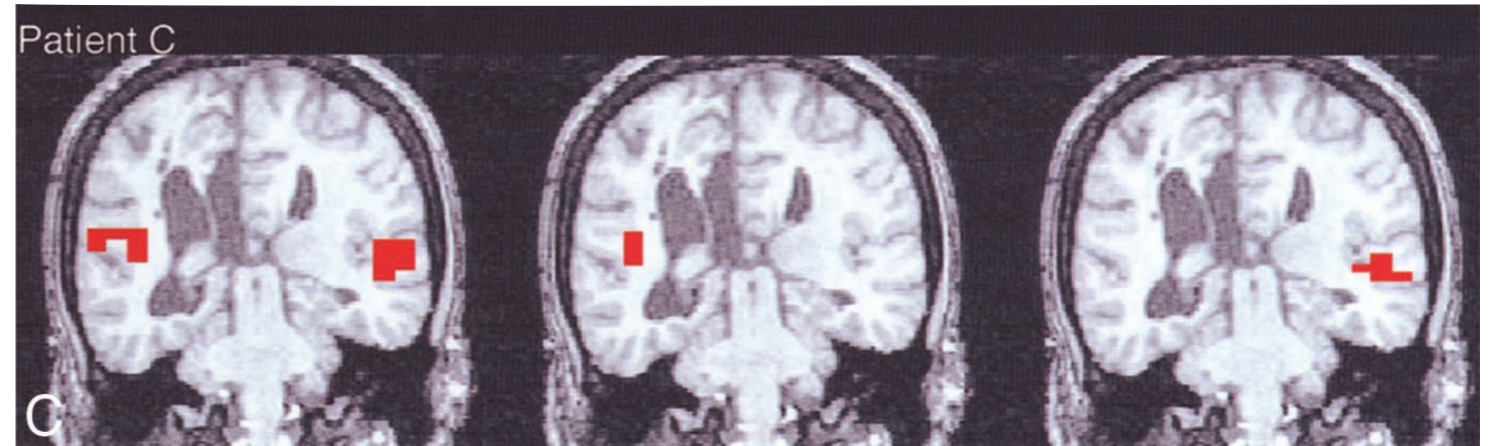
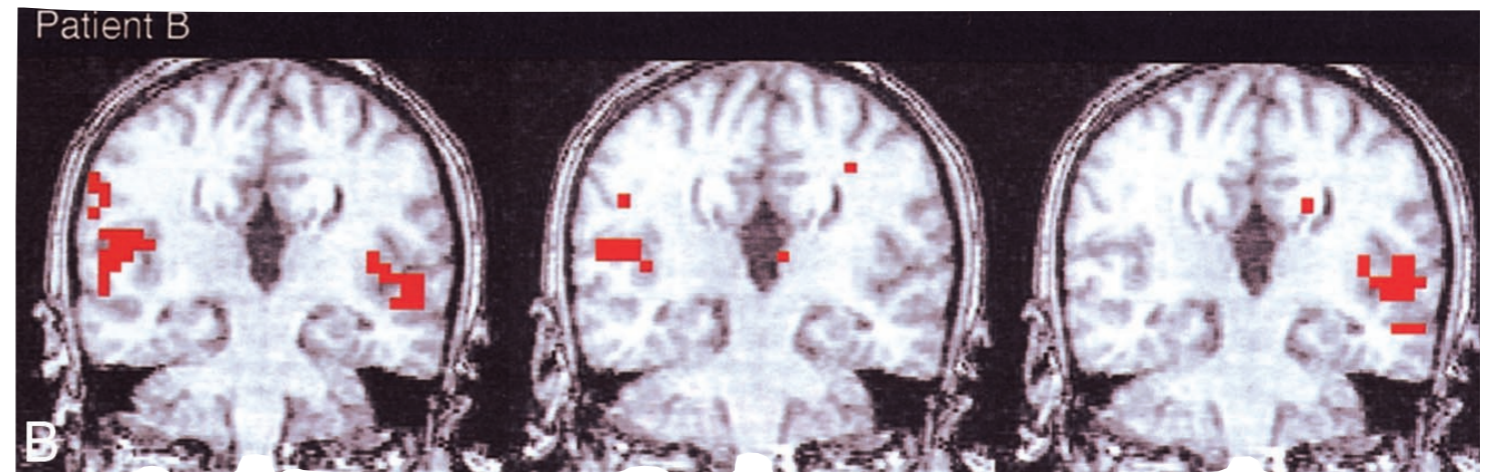
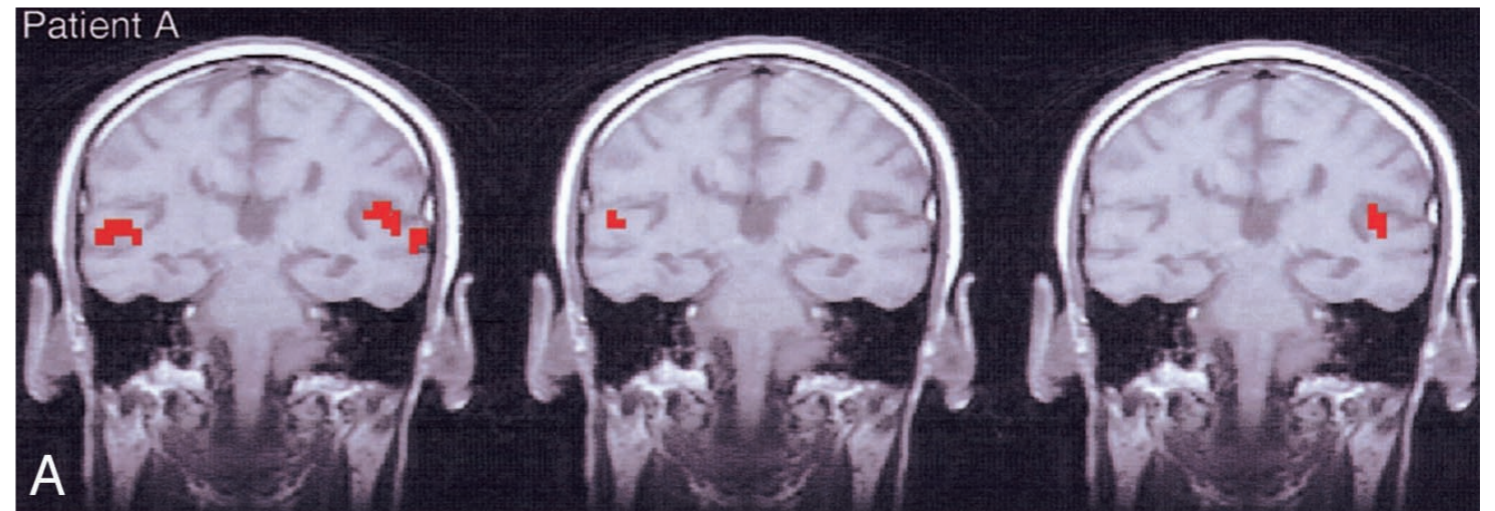
 Damoiseaux et al., PNAS 2006

functional & structural conn.



control

- absent contra-lateral connectivity in agenesis of CC



task

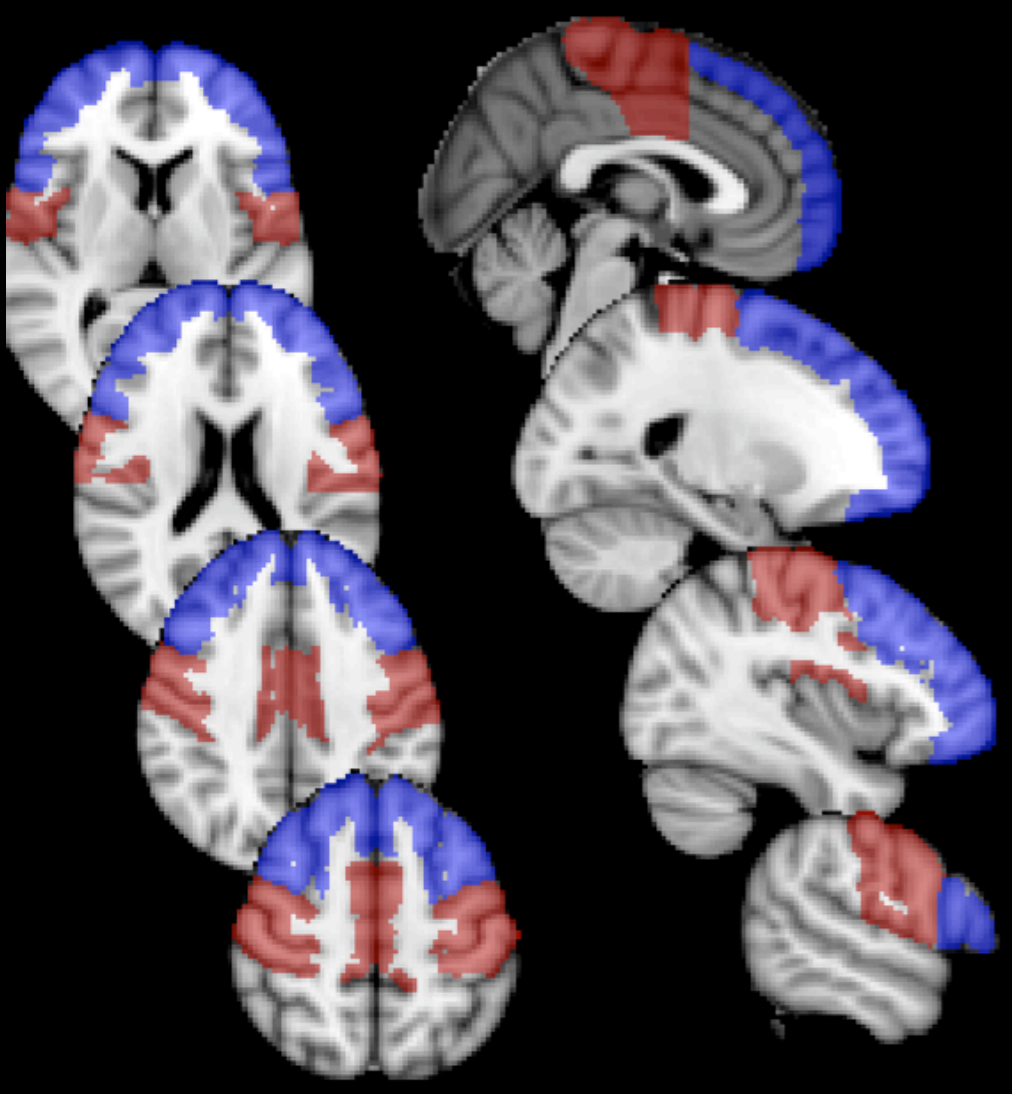
seed R

seed L

 Quigley et al., *Am J Neuroradiol*, 2003

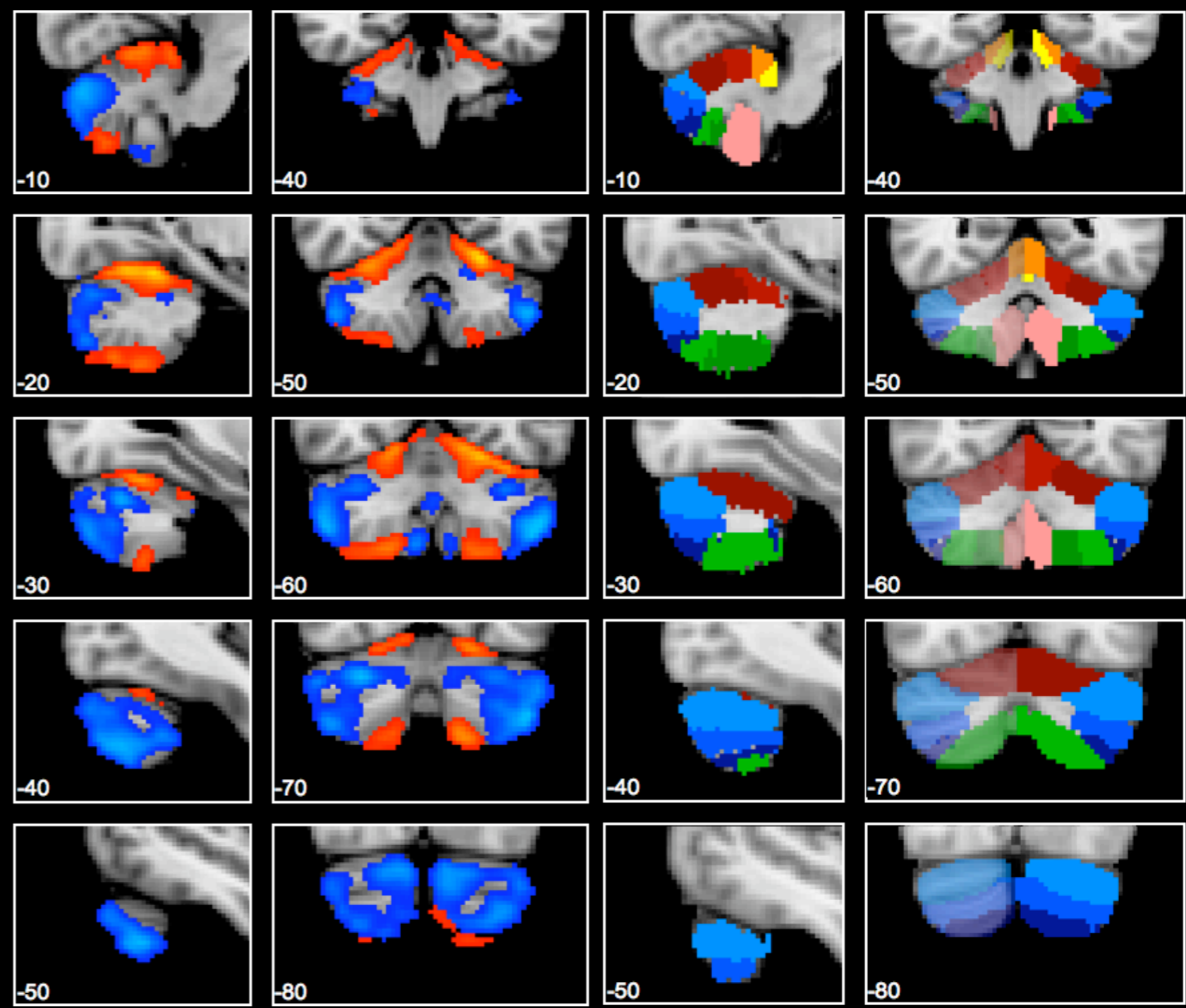


Parcellation of Cerebellum

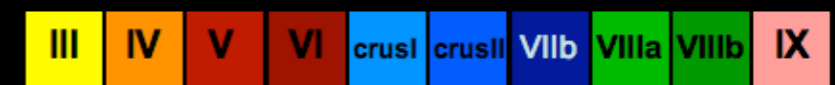


Target masks

- Motor, premotor and somatosensory
- Prefrontal

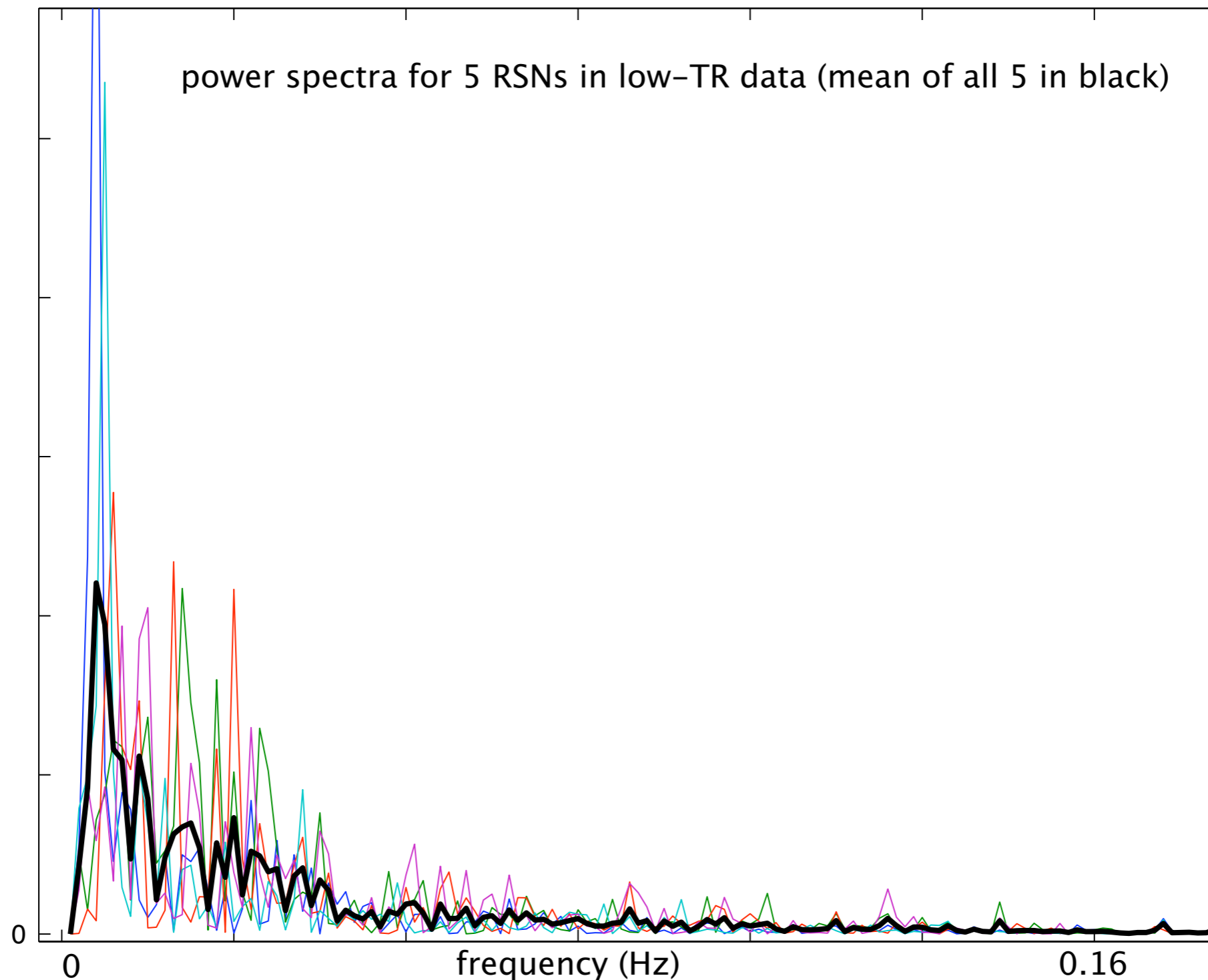


Prefrontal mask
Sensorimotor mask

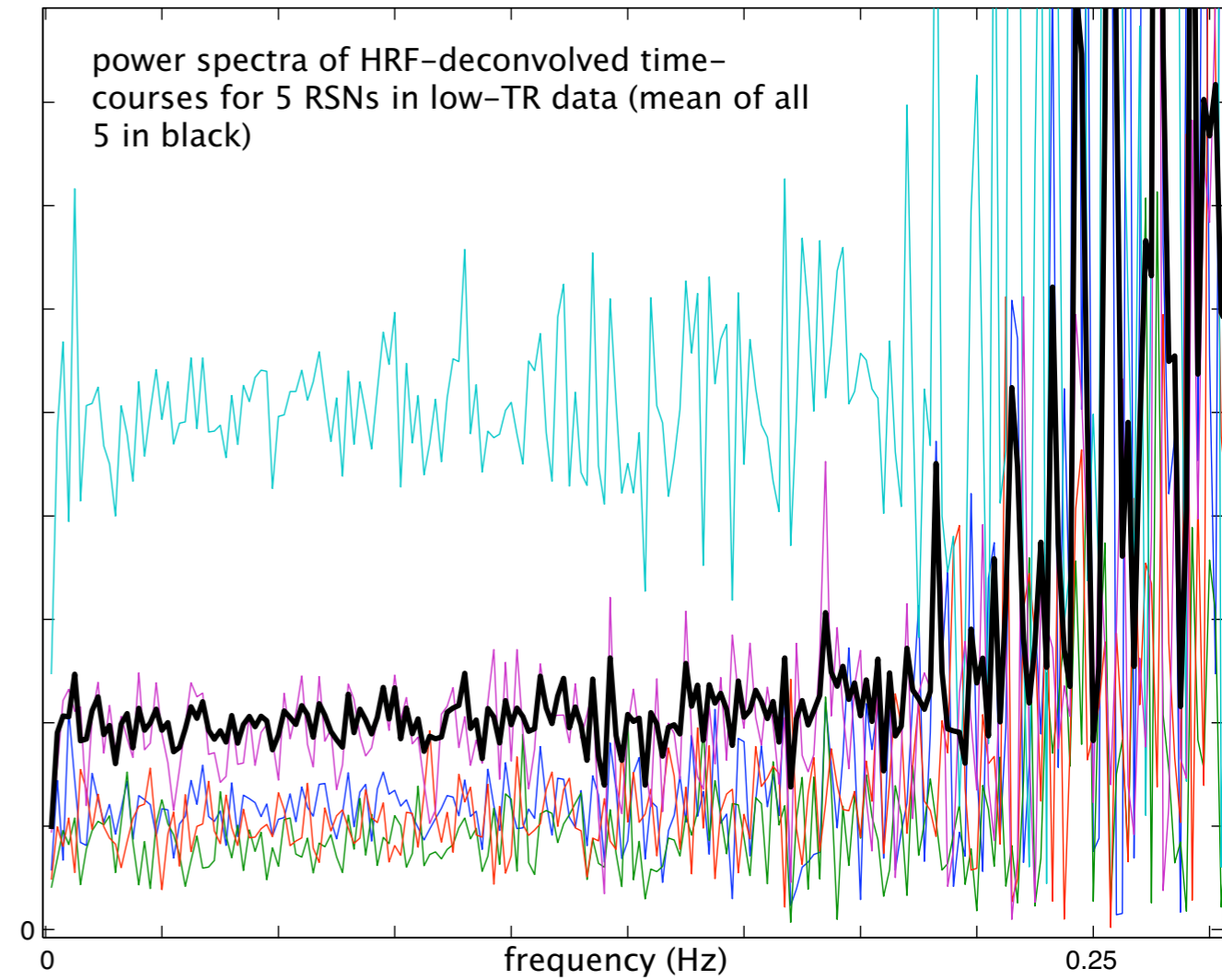
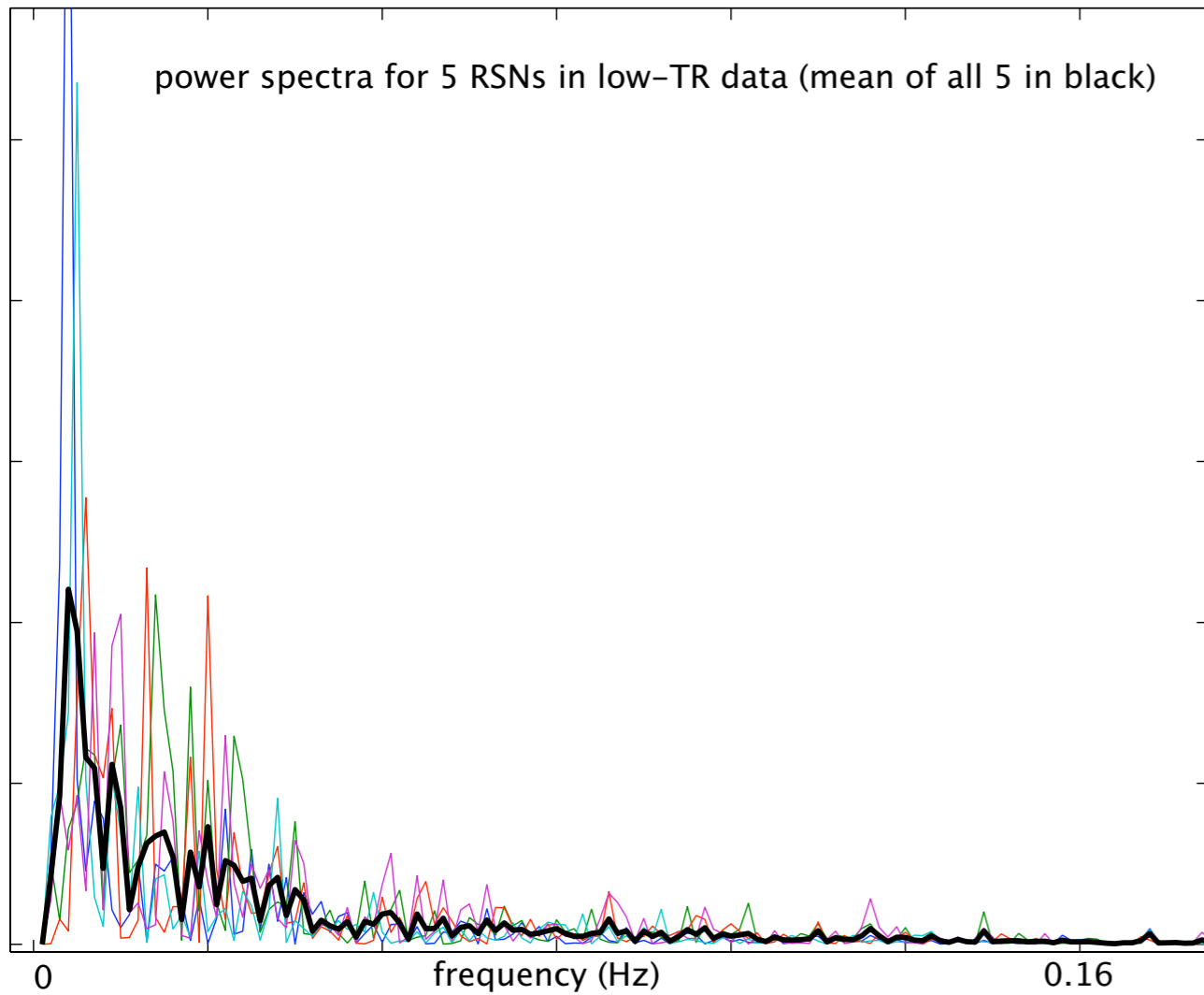


O'Reilly et al
Cerb Cort 2009

RSNs are 'low-frequency oscillations'?

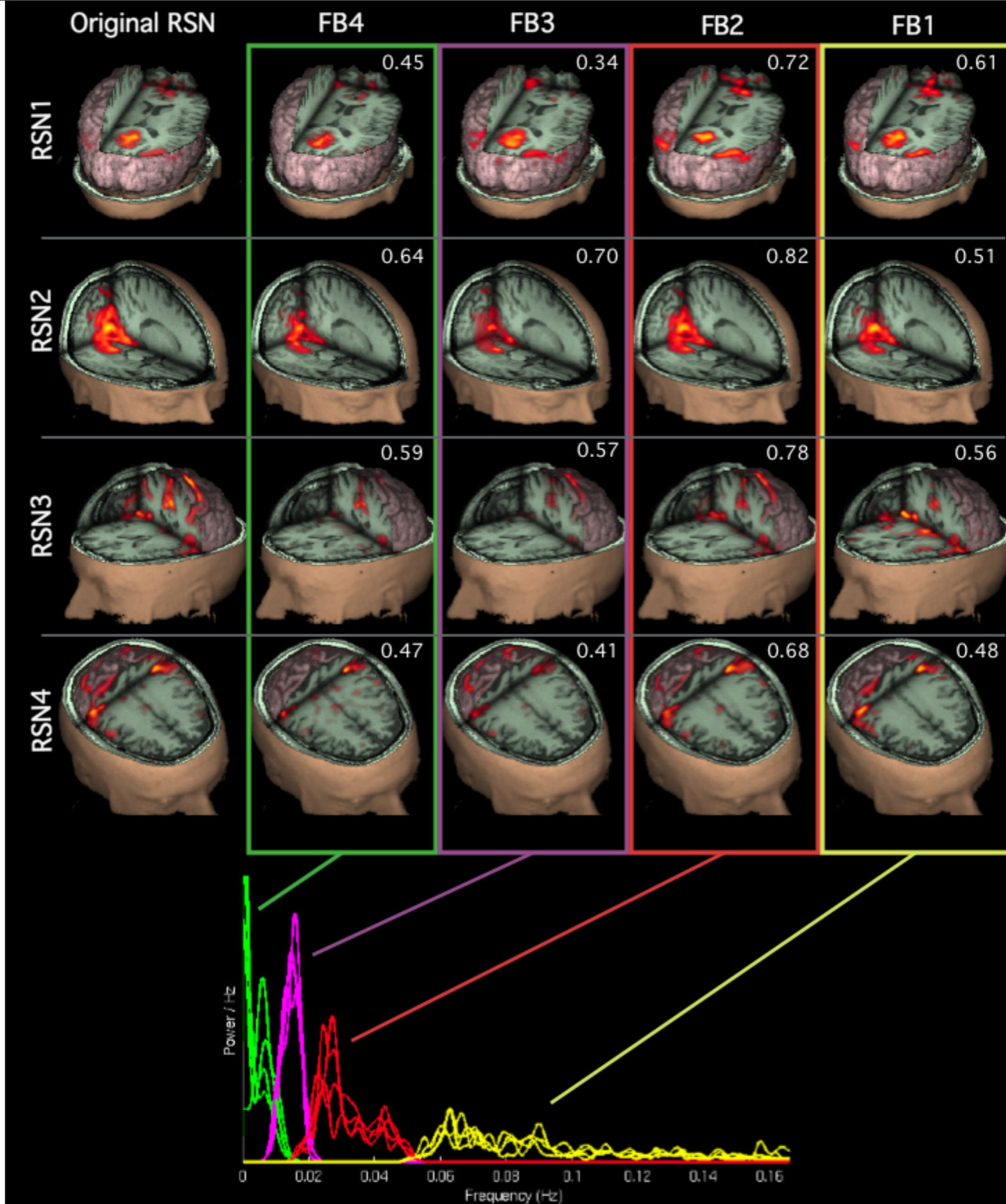


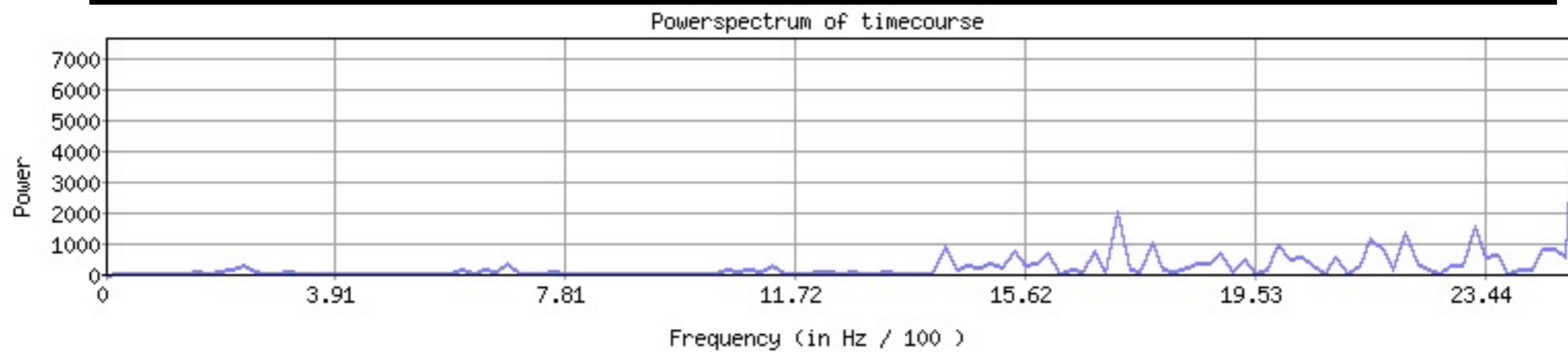
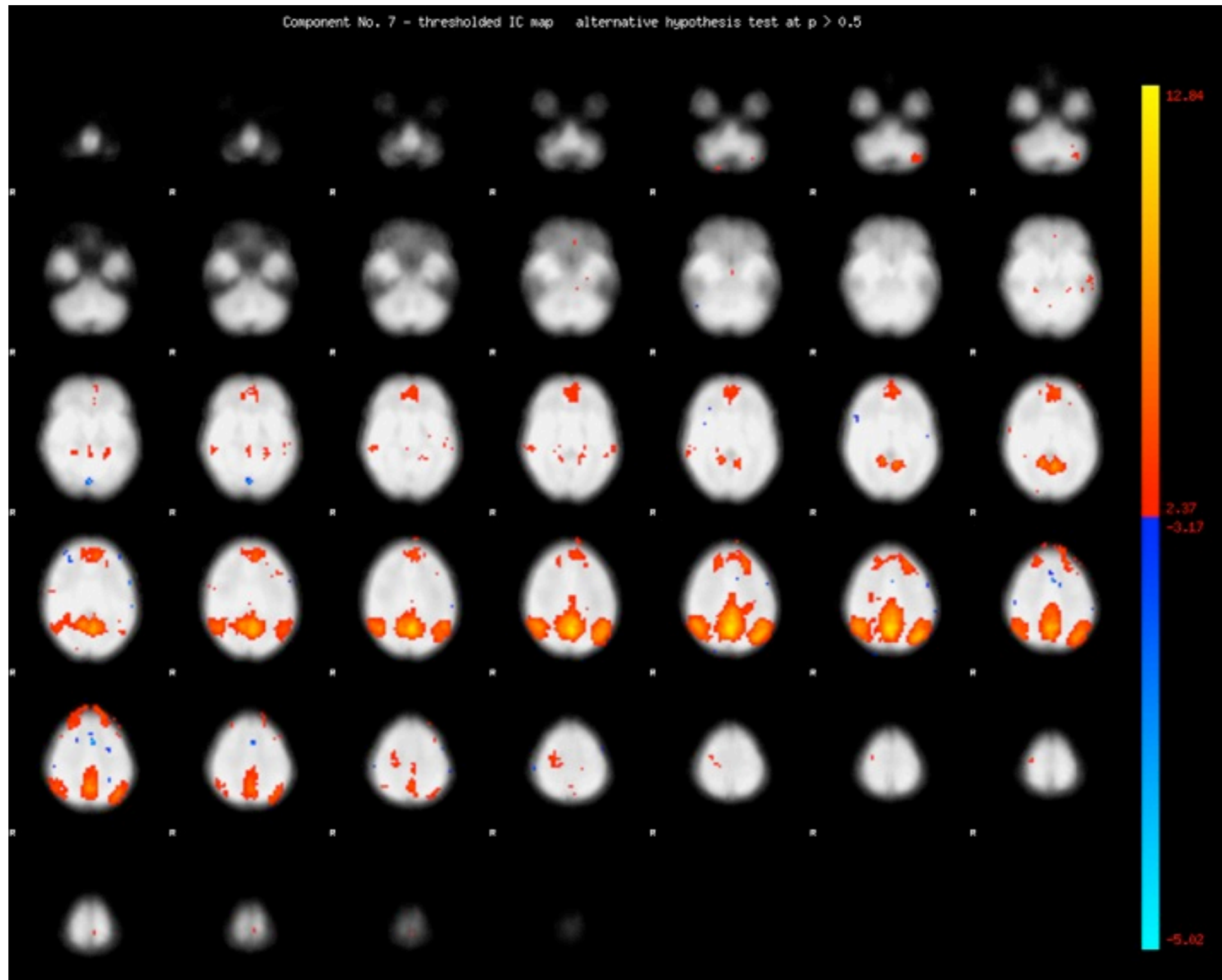
Temporal characteristics



- Resting-state Networks are broadband processes!
- no 1/f characteristics!

 Niazy, R et al. (2011)





RSN analysis

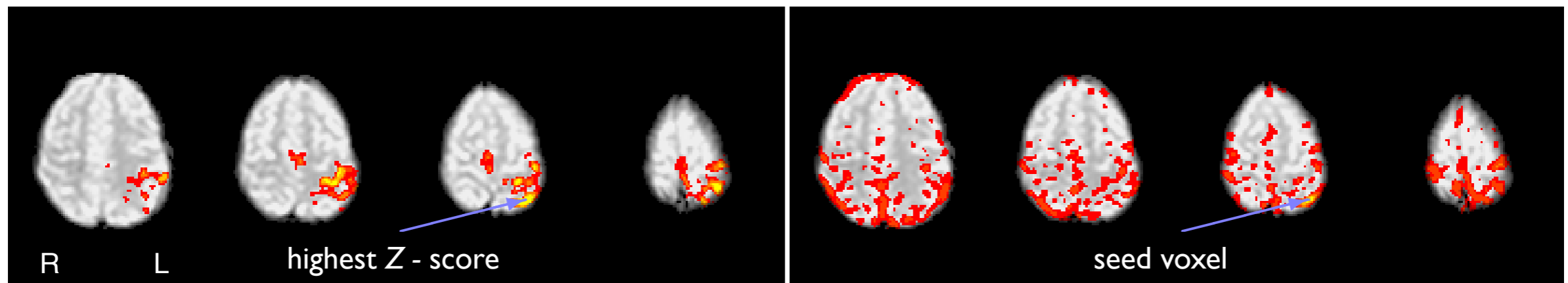
Need to estimate whole-brain temporal correlations...

Two popular approaches for RSN analysis:

- Seed-based correlation (Biswal, Raichle/Fox)
- ICA-based analysis (Kiviniemi, Beckmann, Calhoun)

Need to define consistent methods for group analysis

Seed-based analysis




Activation maps from a
finger tapping experiment

Correlation maps from a
resting state experiment

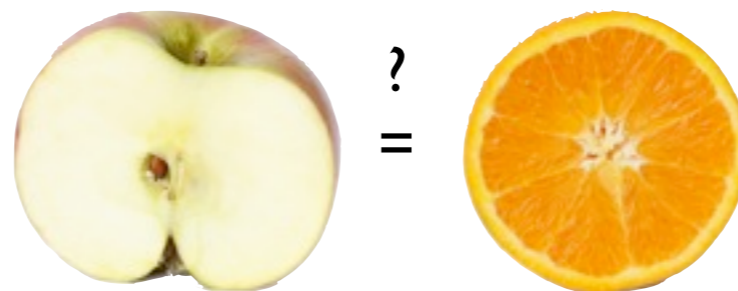
- Biswal (1995) first studied resting correlations through reference time course:

Functional Connectivity maps

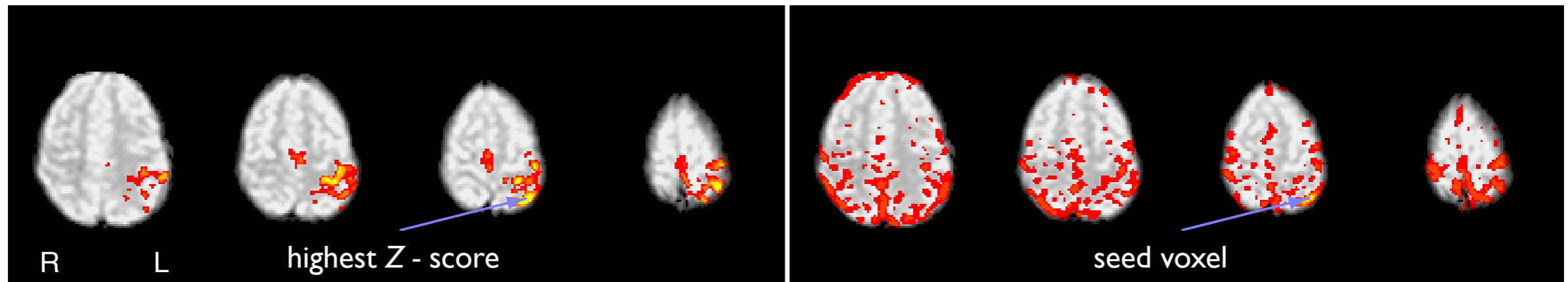
 *Biswal et al. (1995) MRM*

... and the seed-selection bias

- models only effect of interest
 - potentially ignoring secondary effect and/or nuisance effects
- analysis becomes conditional on the specific seed location
 - need to define *consistent* way of defining seed within and across subjects



Seed-selection

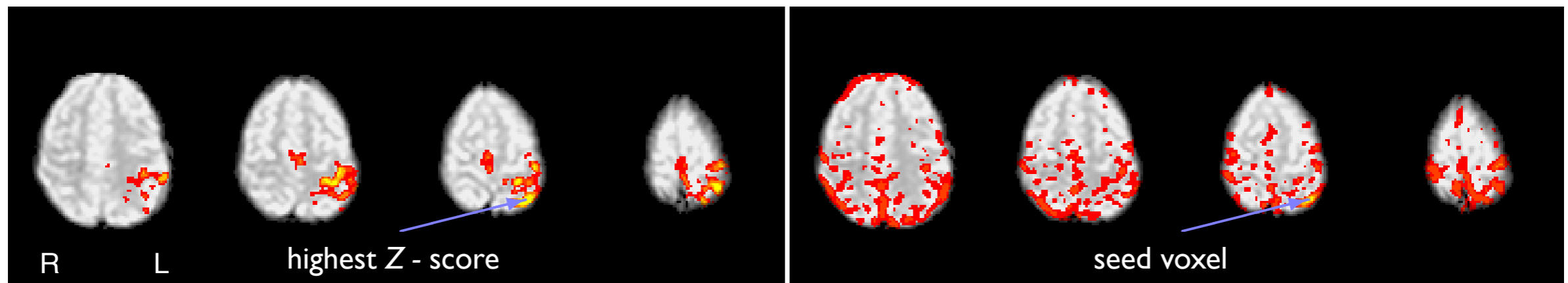


Activation maps from a
finger tapping experiment

Correlation maps from a
resting state experiment

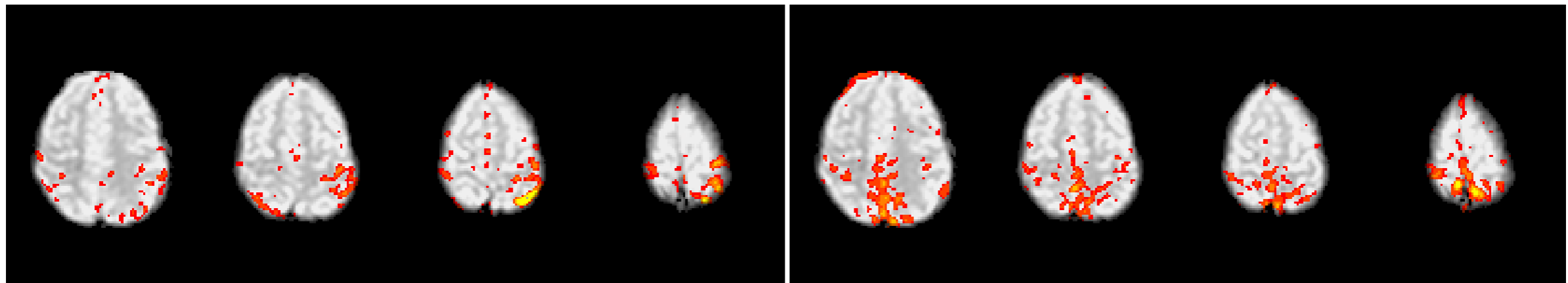
 Beckmann et al (2005)
Philos Trans R Soc Lond, B, Biol Sci

Seed-selection



Activation maps from a
finger tapping experiment

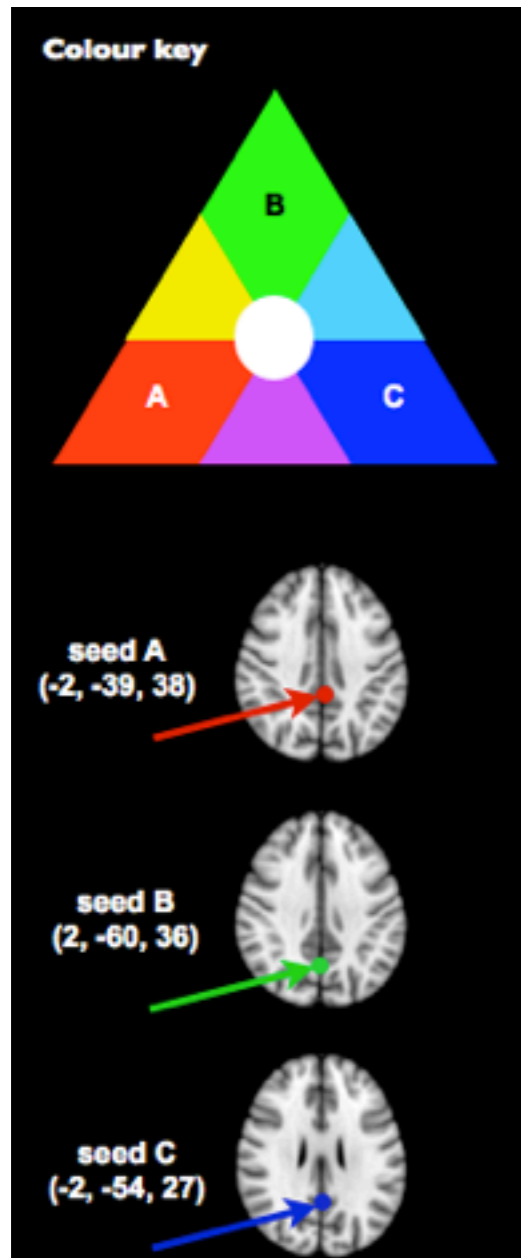
Correlation maps from a
resting state experiment




Spatial maps from an ICA decomposition

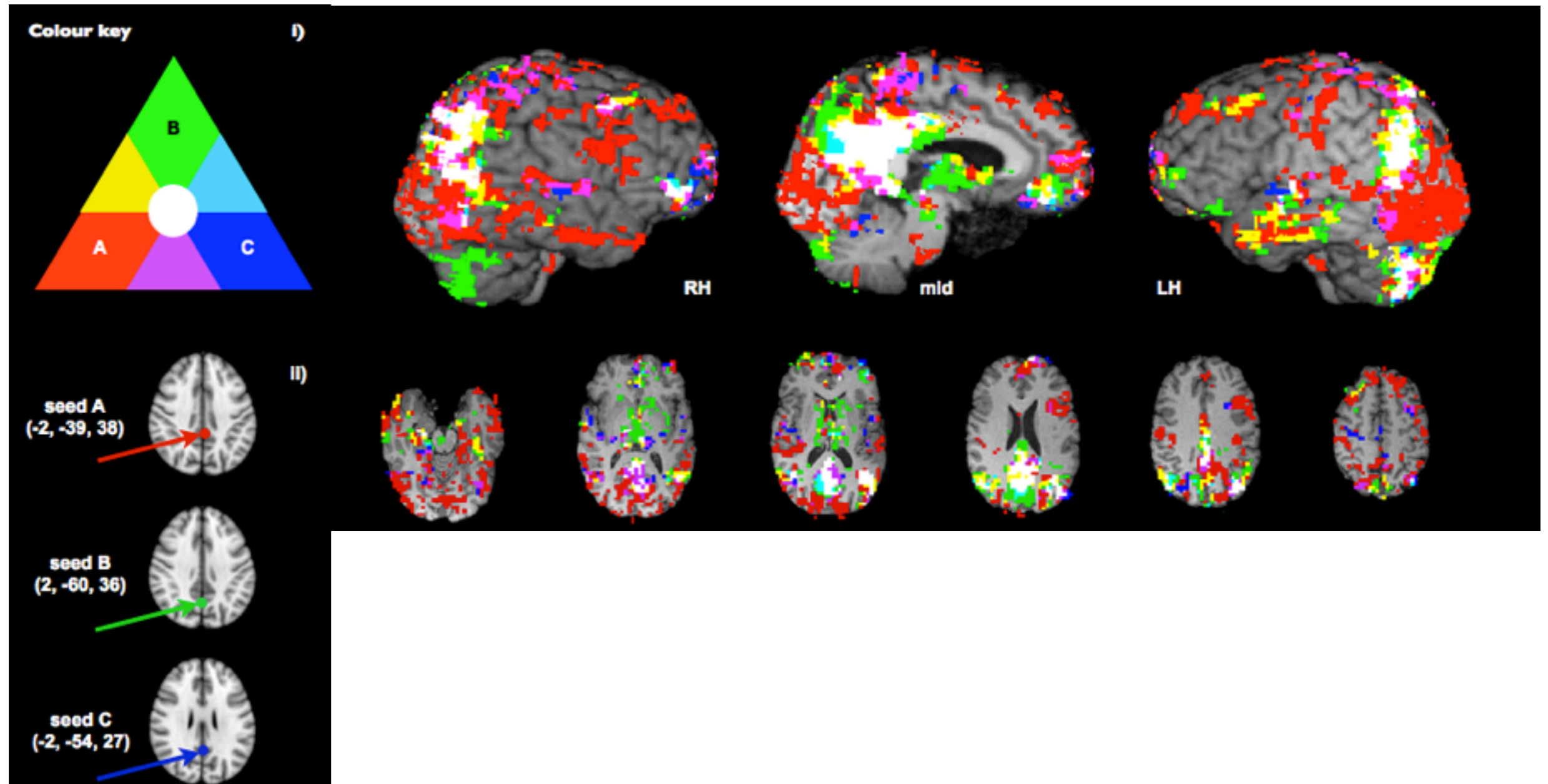
 Beckmann et al (2005)
Philos Trans R Soc Lond, B, Biol Sci


Seed-selection bias



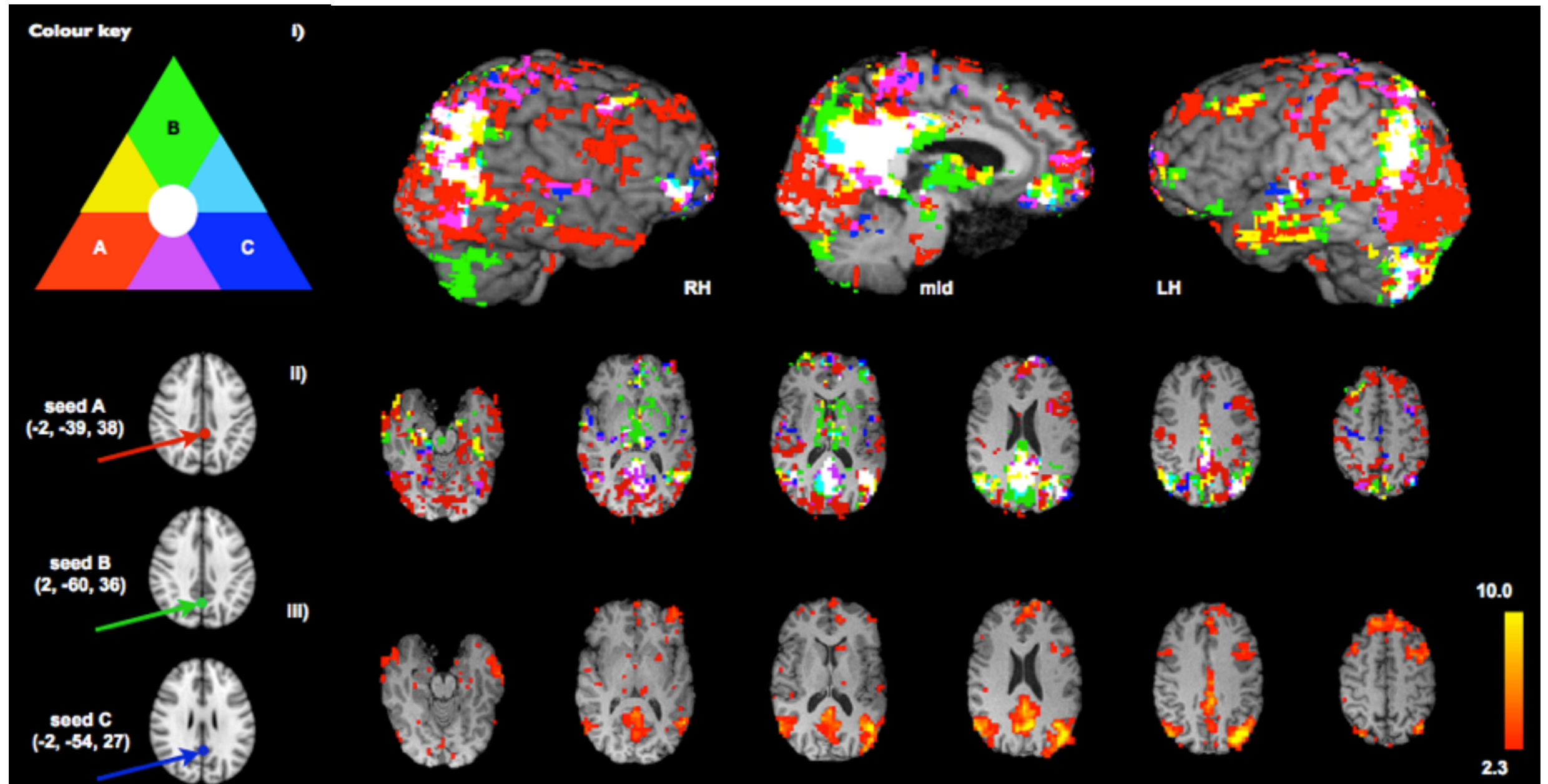
 *Cole et al (2010)*
Front Syst Neurosci


Seed-selection bias



 Cole et al (2010)
Front Syst Neurosci

Seed-selection bias

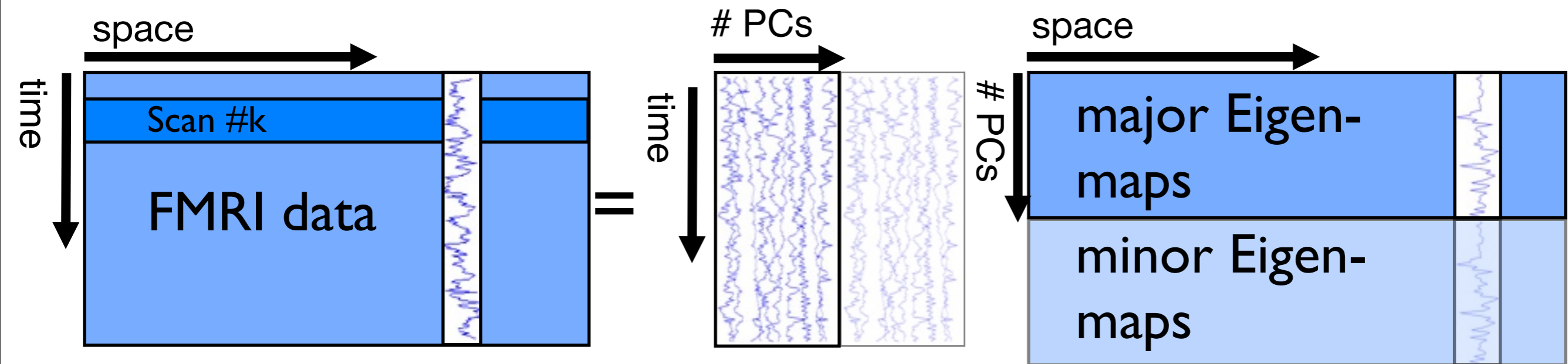


 Cole et al (2010)
Front Syst Neurosci

ICA bias & dimensionality reduction

- FMRI data are large...
- ...particularly at the group level
- common to reduce dimensionality by means of a *Principal Component Analysis*

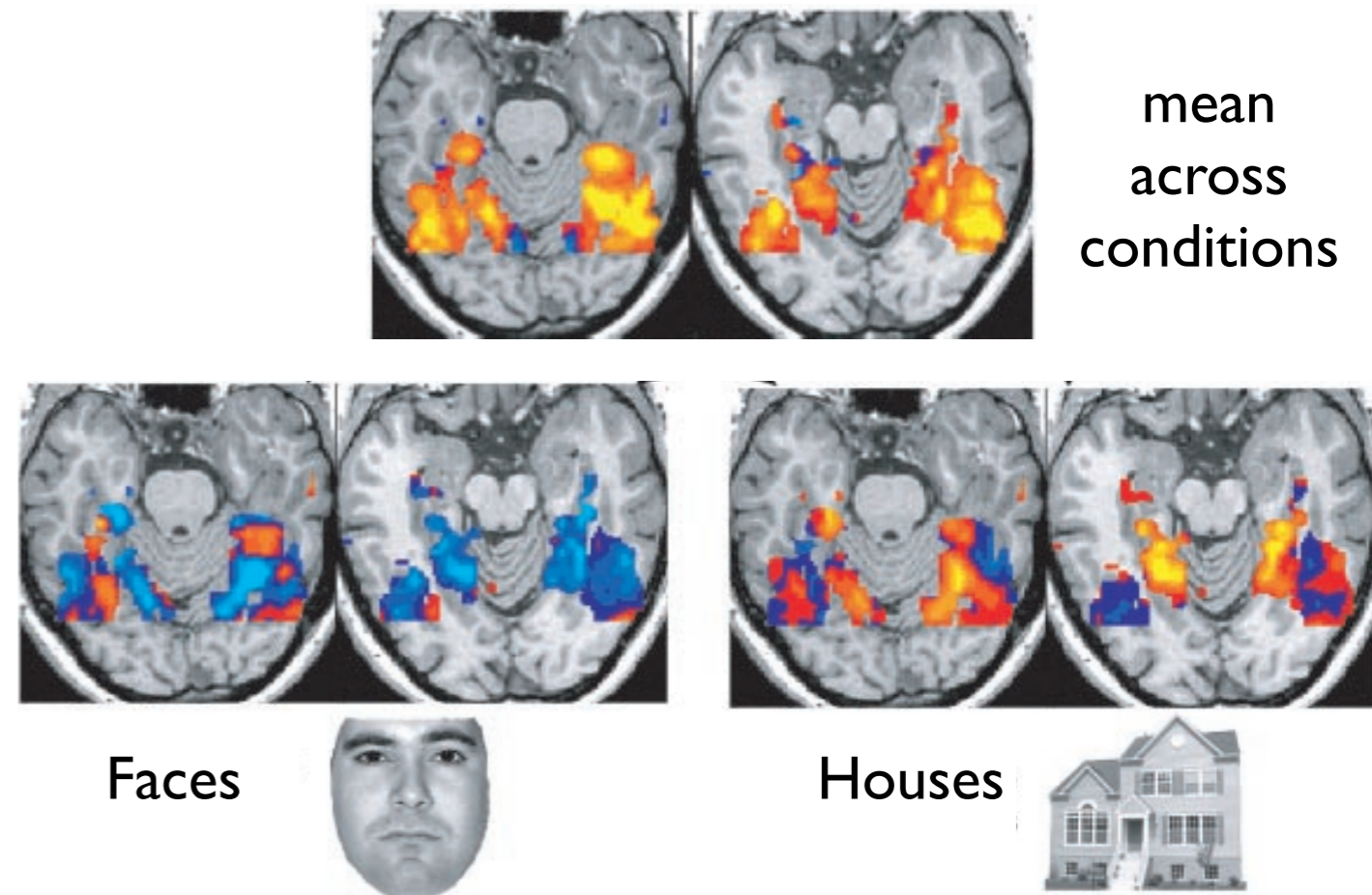
Principal Component Analysis



- PCA: data is decomposed into a set of uncorrelated spatial maps and uncorrelated time courses such that a *maximal amount of variance* is retained
- *lossy process!*

Detour: primary vs. differential contrasts

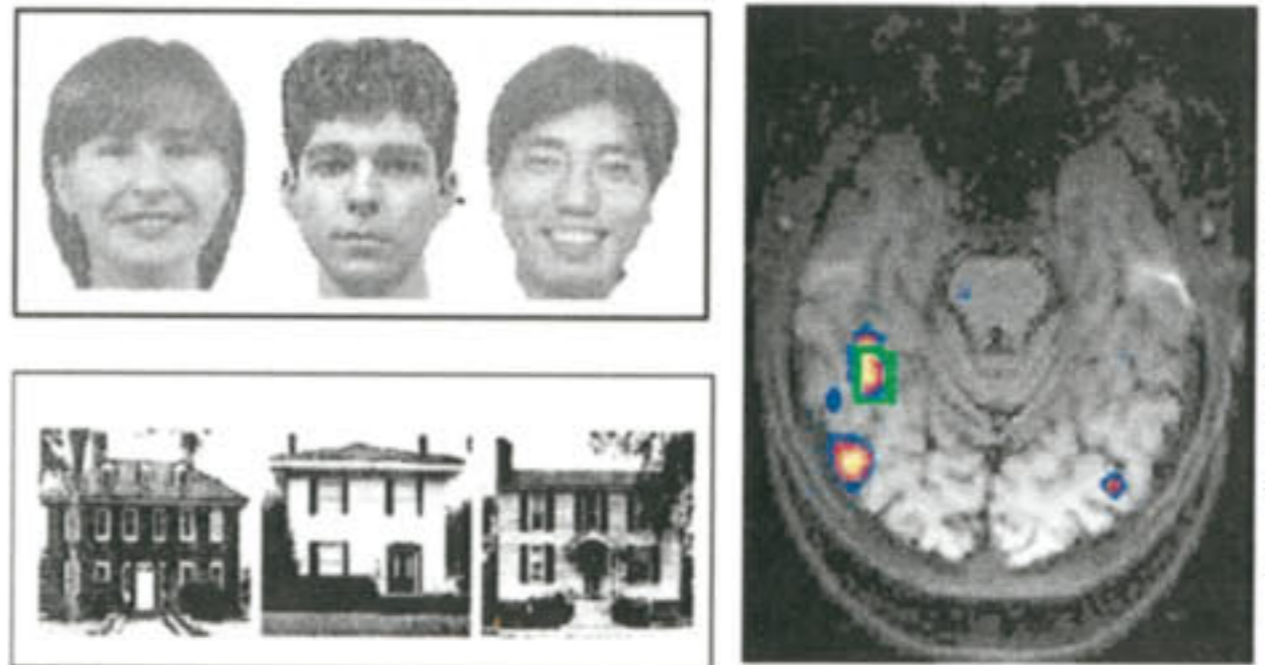
- Even when primary effects are large...
(*express loads of variance*)



 *Haxby et al. (2001)*
Science

Detour: primary vs. differential contrasts

- Even when primary effects are large...
(*express loads of variance*)
- ... *differential* effects can be very small
(*express little variance*)



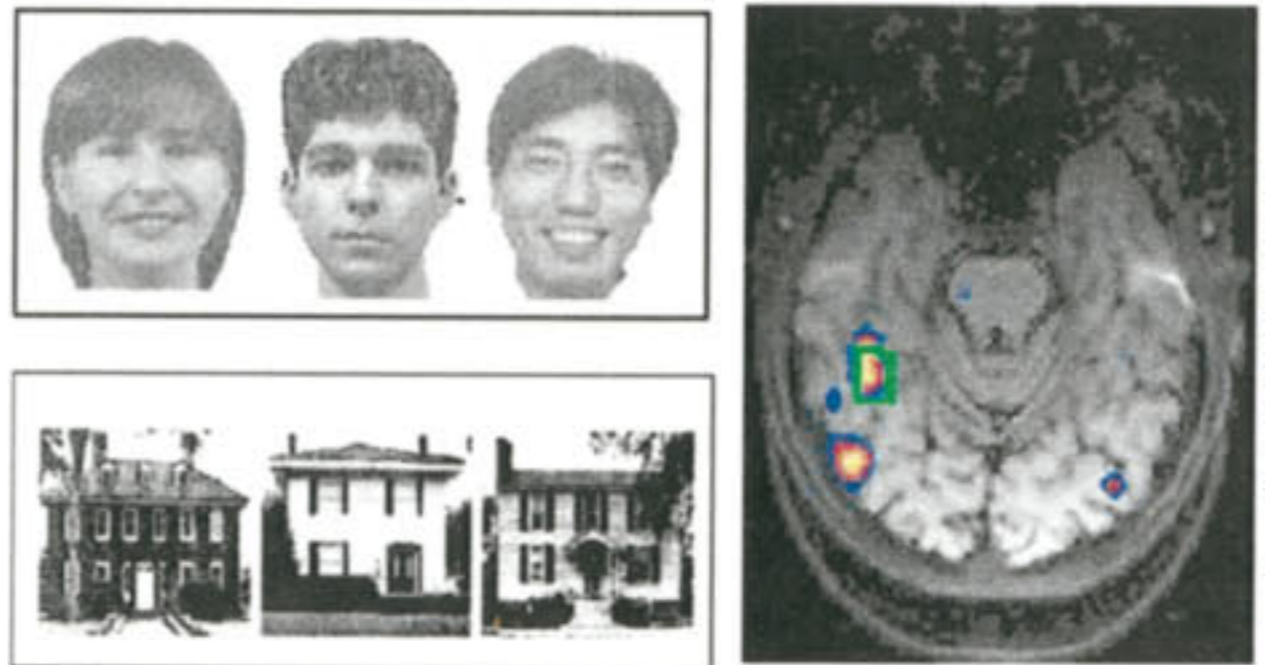
Faces > Houses



Kanwisher et al. (1997)
J NeuroSci

Detour: primary vs. differential contrasts

- Even when primary effects are large...
(*express loads of variance*)
- ... *differential* effects can be very small
(*express little variance*)
- PCA suitable for the former, less suitable for the latter!



Faces > Houses



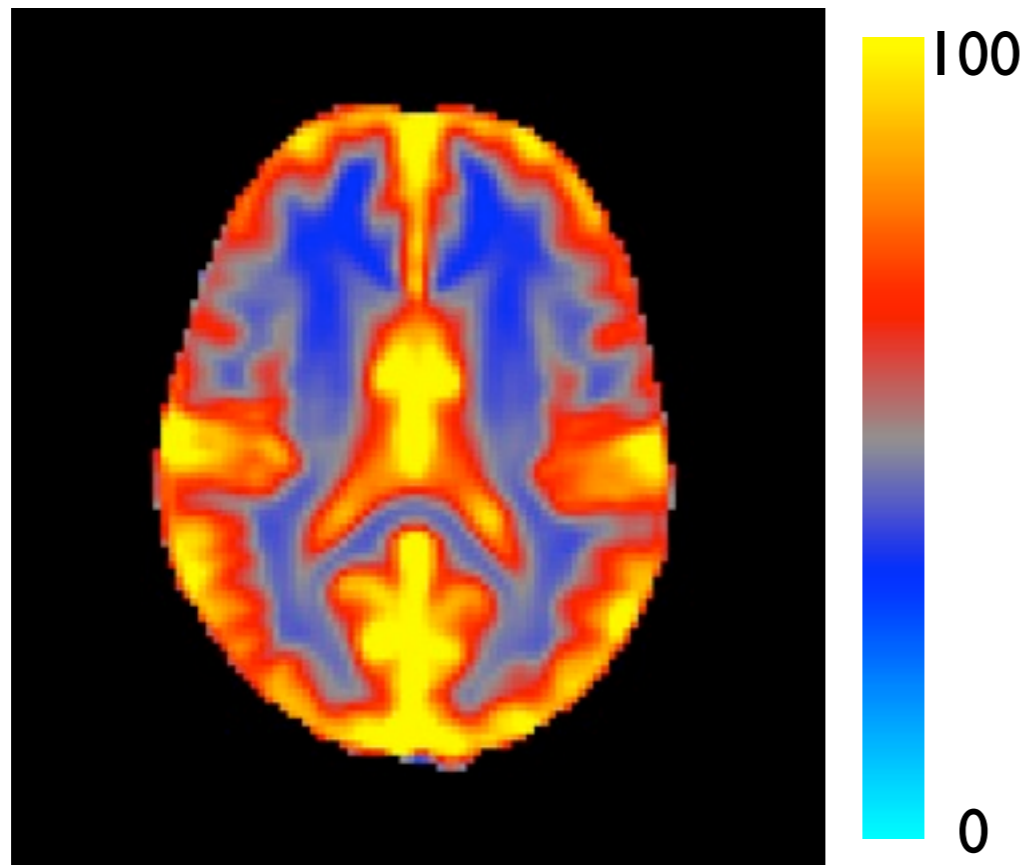
Kanwisher et al. (1997)
J NeuroSci

PCA bias

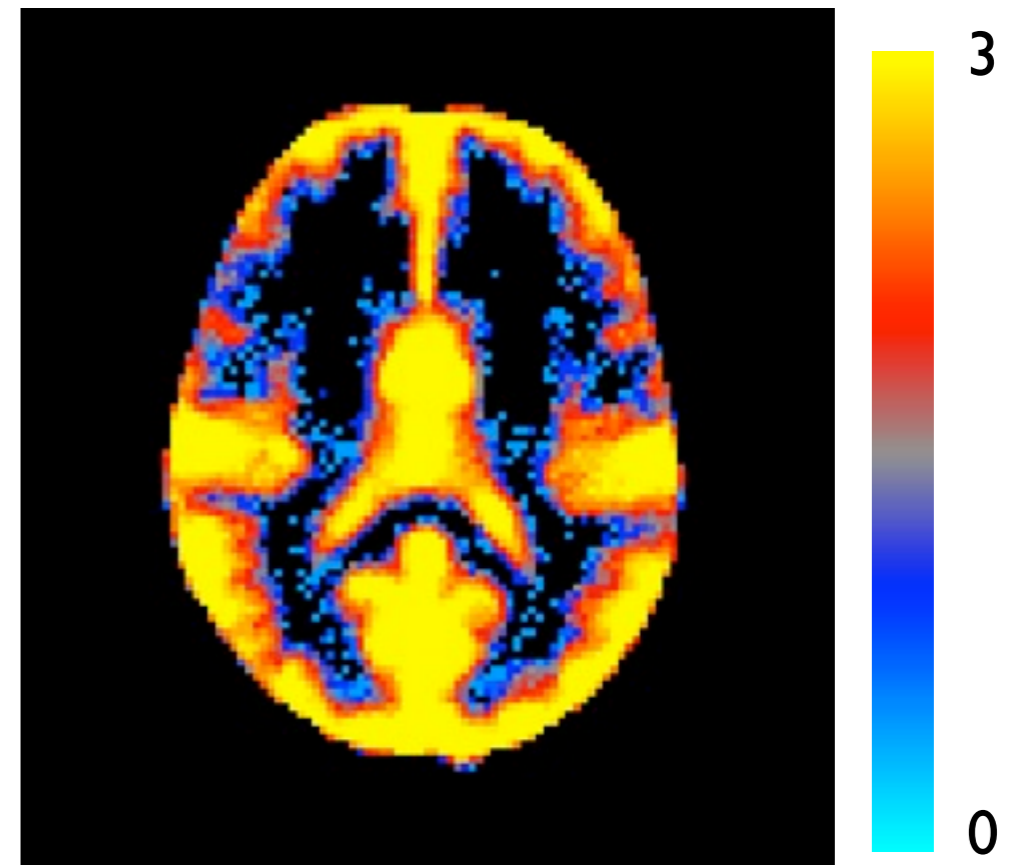
- within-subject bias:
 - *tissue-type bias & variance normalisation*
- between-subject bias:
 - *between major & minor subspace*
 - *within the major subspace*

PCA tissue-type bias

voxel-wise temporal
standard deviations



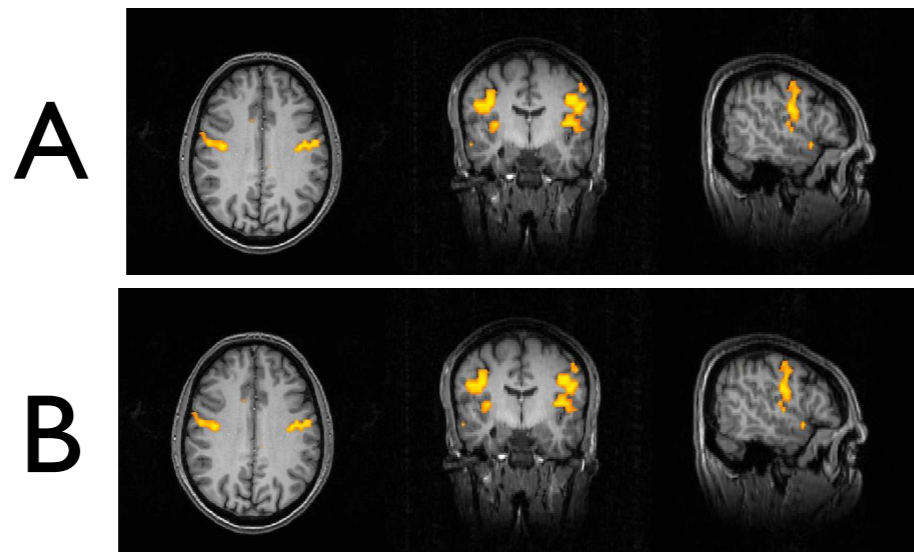
(log) - frequency of voxels
appearing in major PCA space



- Without normalisation PCA is **biased** towards tissue exhibiting strong temporal variation
- use variance-normalisation to obtain uniform specificity

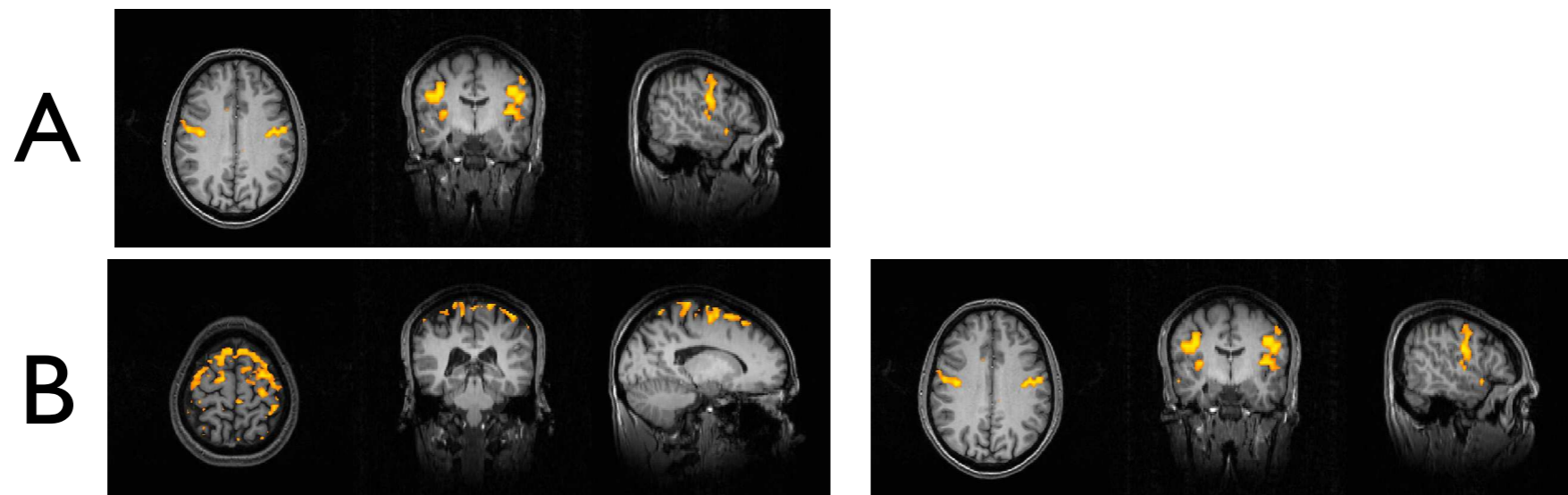
between major & minor subspace

- 2 subjects, only a single (identical) RSN



between major & minor subspace

- 2 subjects, only a single (identical) RSN
- one of the subjects has strong motion



between major & minor subspace

- 2 subjects, only a single (identical) RSN
- one of the subjects has strong motion

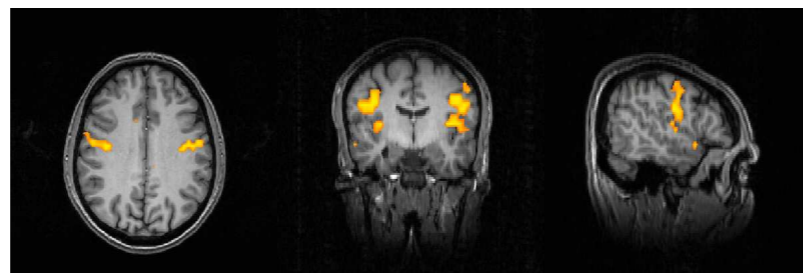


between major & minor subspace

- 2 subjects, only a single (identical) RSN
- one of the subjects has strong motion



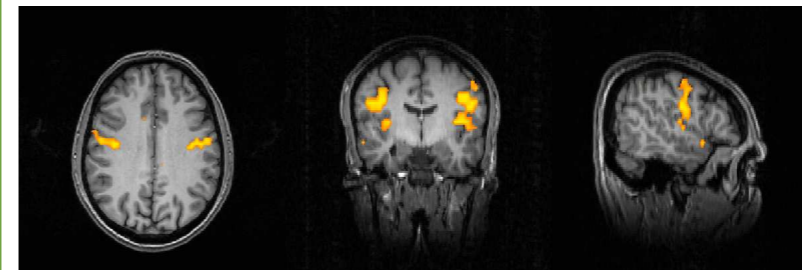
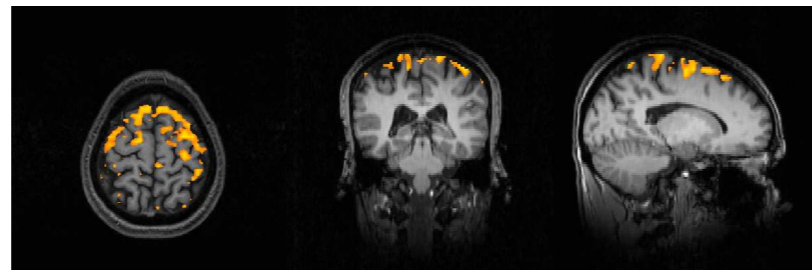
A



PCA cut-off



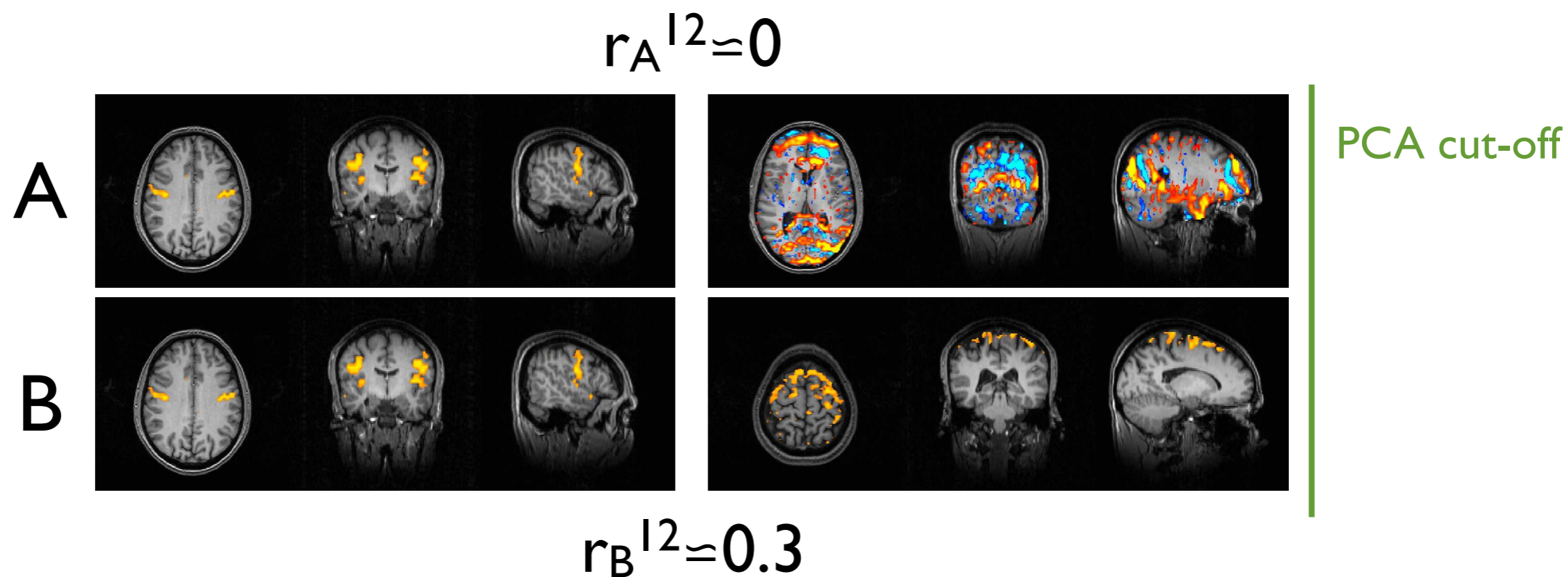
B



- Inverting PCA will find significant difference wrt RSN

False-positive detection!

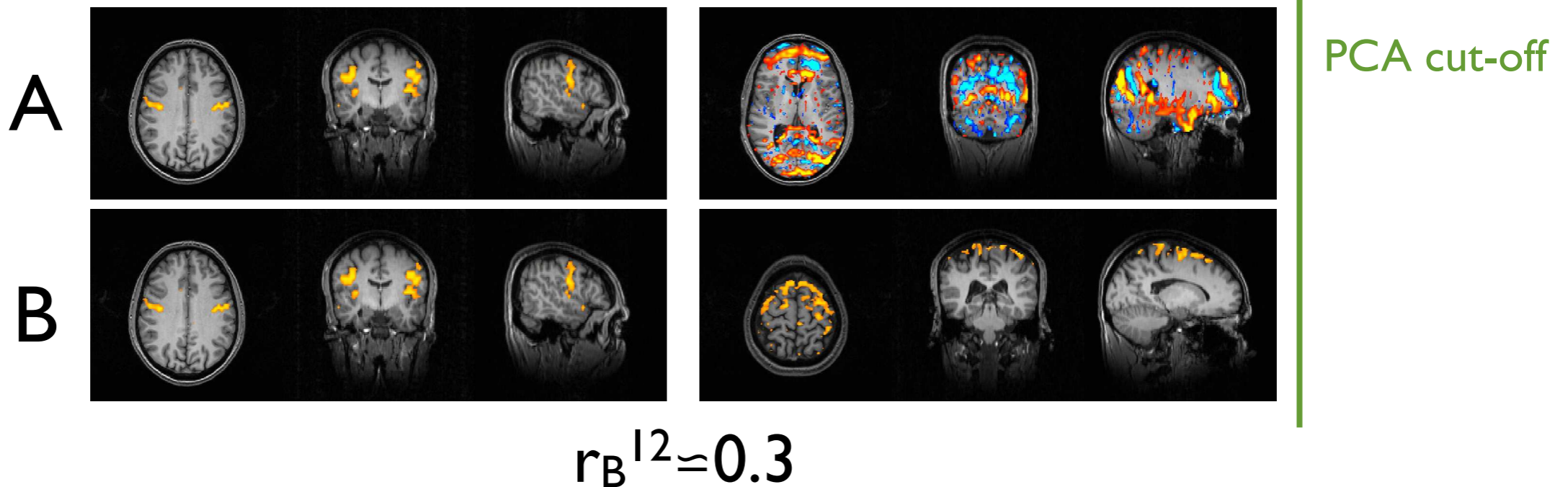
within the major subspace



within the major subspace

- 2 subjects, 2 effects, different degree of temporal correlation

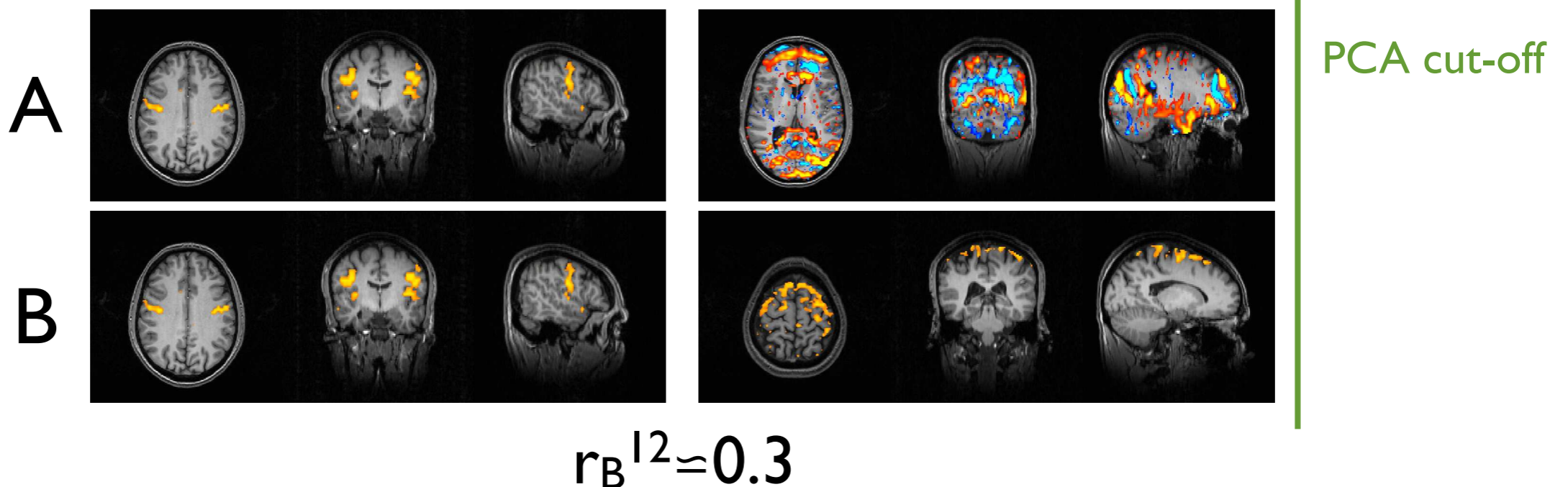
$$r_A^{I2} \approx 0$$



within the major subspace

- 2 subjects, 2 effects, different degree of temporal correlation

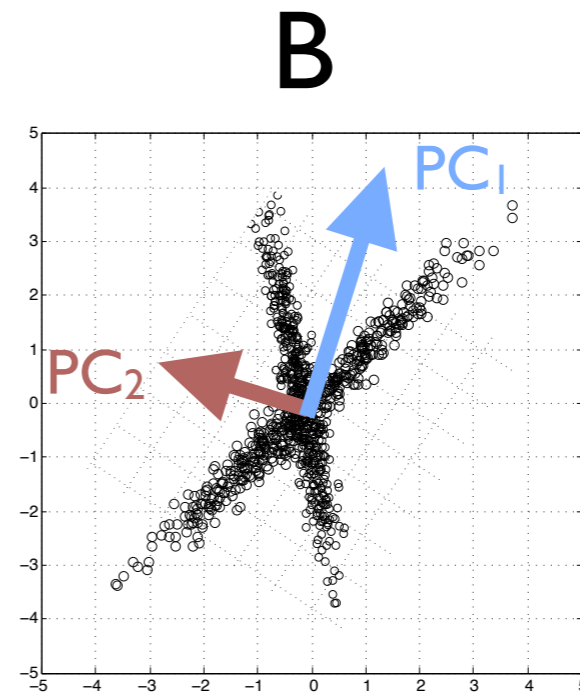
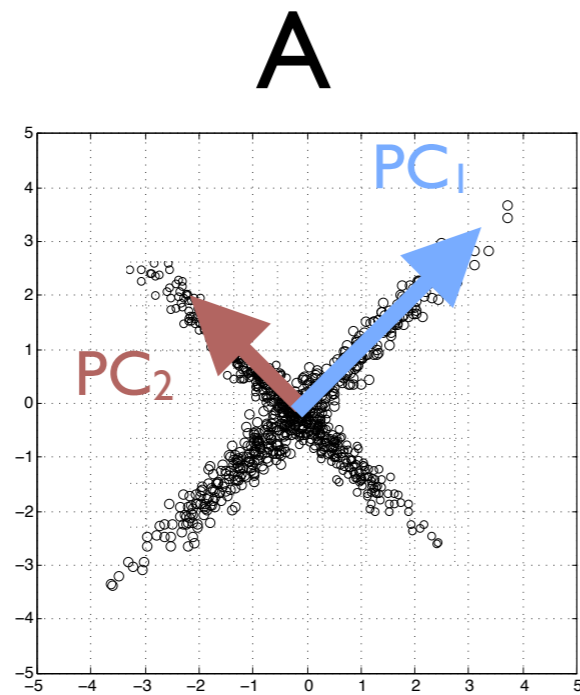
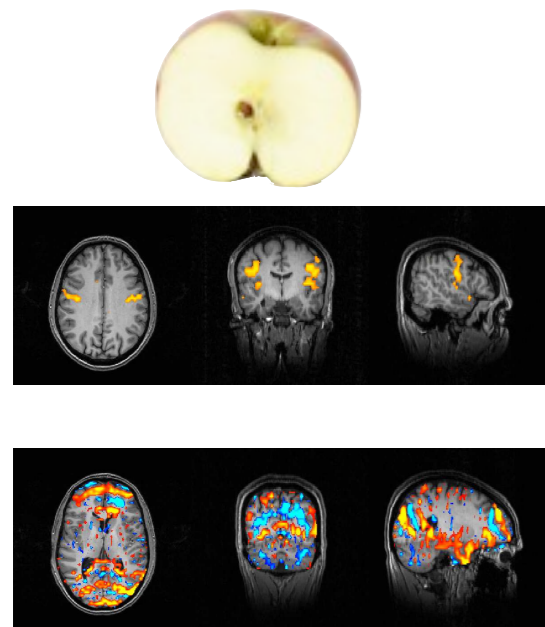
$$r_A^{I2} \approx 0$$



$$r_B^{I2} \approx 0.3$$

- PCA will represent effect I differently in the two subjects

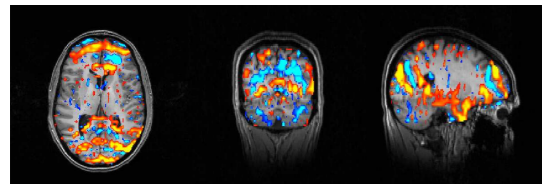
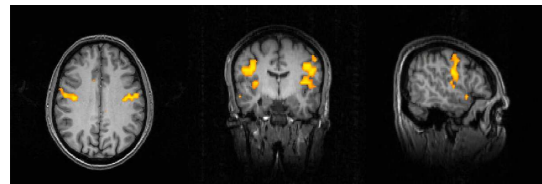
Difference in signal representation within the major subspace



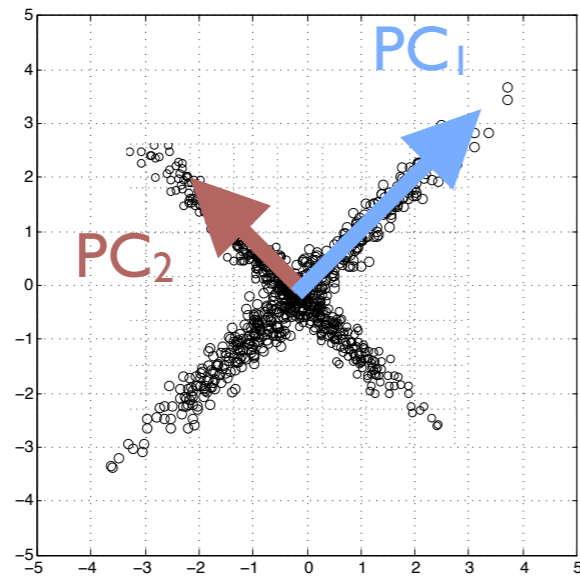
- Inverting PCA will use deflected vectors and over- or under-estimate effects

False-positive or false-negative detection!

Difference in signal representation within the major subspace

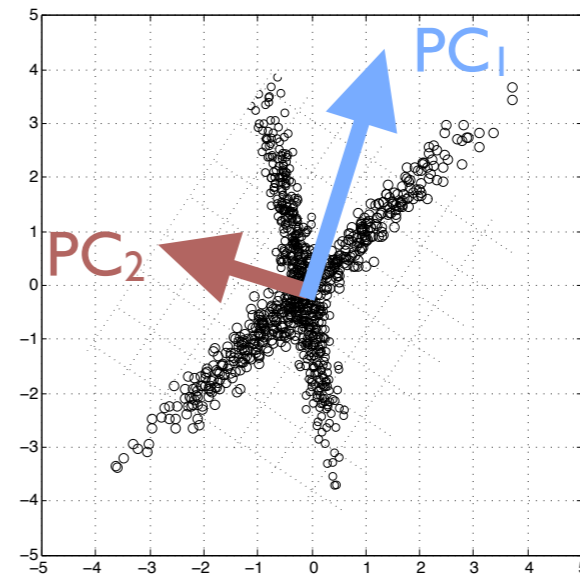


A

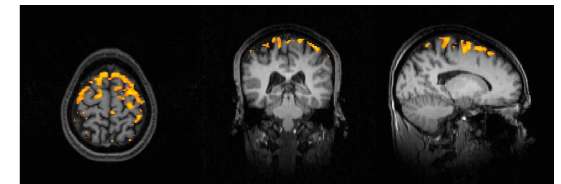
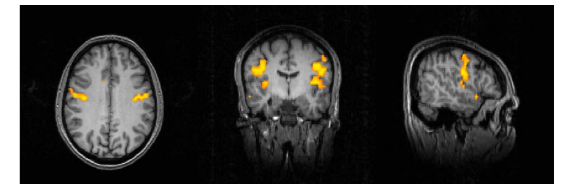


$$r_A^{12} \simeq 0$$

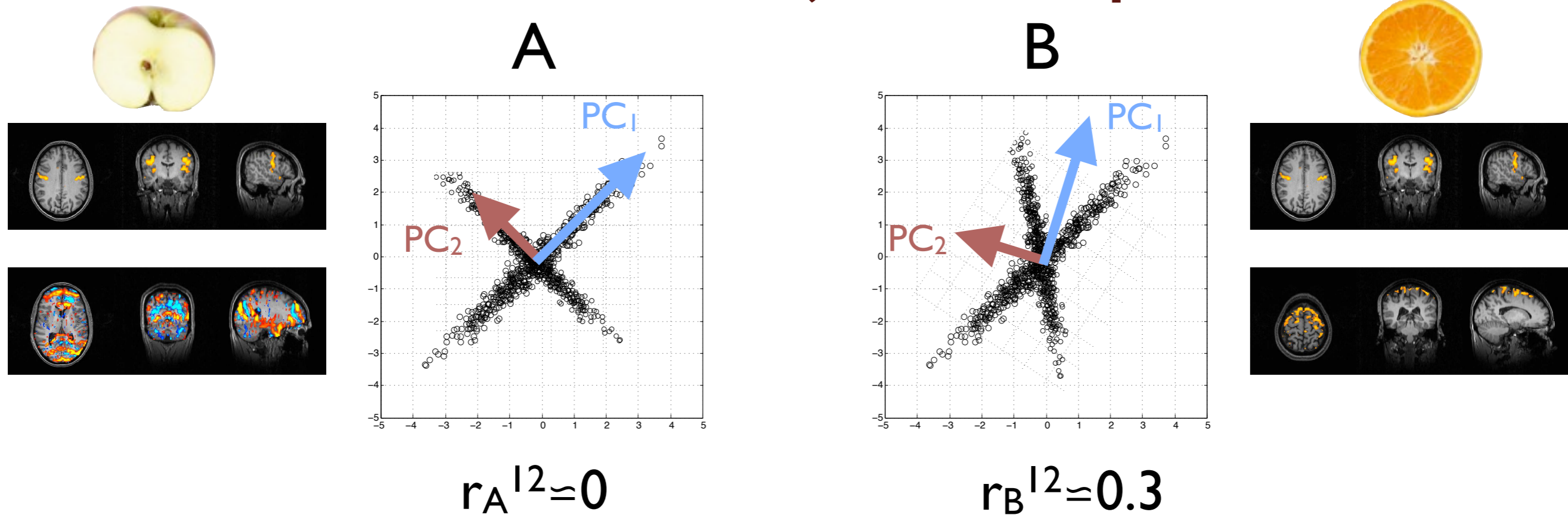
B



$$r_B^{12} \simeq 0.3$$



Difference in signal representation within the major subspace



- Note: in higher-dimensional cases the subspaces for A and B will in general not be the same (don't just differ by rotation)!
- Can only talk about the joint (intersection) space in confidence

How to do multi-subject PCA?

- Ideally want to use the *full* $N \times T$ x $N \times T$ covariance matrix

$N \times T$

C_{11}	C_{12}	...	C_{1n}
C_{21}	C_{22}		
\vdots		\ddots	
C_{n1}			C_{nn}

$N \times T$

How to do multi-subject PCA?

- Ideally want to use the *full* $N_T \times N_T$ covariance matrix
- *subject-specific* PCA uses C_{ii} only

$N \times T$

	C_{11}	C_{12}	...	C_{1n}
	C_{21}	C_{22}		
	\vdots		\ddots	
	C_{n1}			C_{nn}

$N \times T$

How to do multi-subject PCA?

- Ideally want to use the *full* $N \times T \times N \times T$ covariance matrix
- *subject-specific* PCA uses C_{ii} only
- C_{ij} ($i \neq j$) contains the relevant cross-subject information
- *subject-specific* PCA ignores these terms!

$N \times T$

C_{11}	C_{12}	...	C_{1n}
C_{21}	C_{22}		
\vdots		\ddots	
C_{n1}			C_{nn}

$N \times T$

group-PCA

- based on the average data matrix find Eigen-maps

$N \times T$

C_{11}	C_{12}	...	C_{1n}
C_{21}	C_{22}		
⋮		⋮	
C_{n1}			C_{In}

$N \times T$

group-PCA

- based on the average data matrix find Eigen-maps

$$\frac{1}{N} \sum_i Y^i = \tilde{U} \tilde{D} V^t$$

N x T

C_{11}	C_{12}	...	C_{1n}
C_{21}	C_{22}		
⋮		⋮	
C_{n1}			C_{In}

N x T

group-PCA

- based on the average data matrix find Eigen-maps

$$\frac{1}{N} \sum_i Y^i = \tilde{U} \tilde{D} V^t$$

- solve for $U^i D^i$ s.t.

$$Y^i = U^i D^i V^t$$

N x T

C_{11}	C_{12}	...	C_{1n}
C_{21}	C_{22}		
\vdots		\ddots	
C_{n1}			C_{In}

N x T

group-PCA

- based on the average data matrix find Eigen-maps

$$\frac{1}{N} \sum_i Y^i = \tilde{U} \tilde{D} V^t$$

- solve for $U^i D^i$ s.t.

$$Y^i = U^i D^i V^t$$

- reduce dim. per subject

N x T

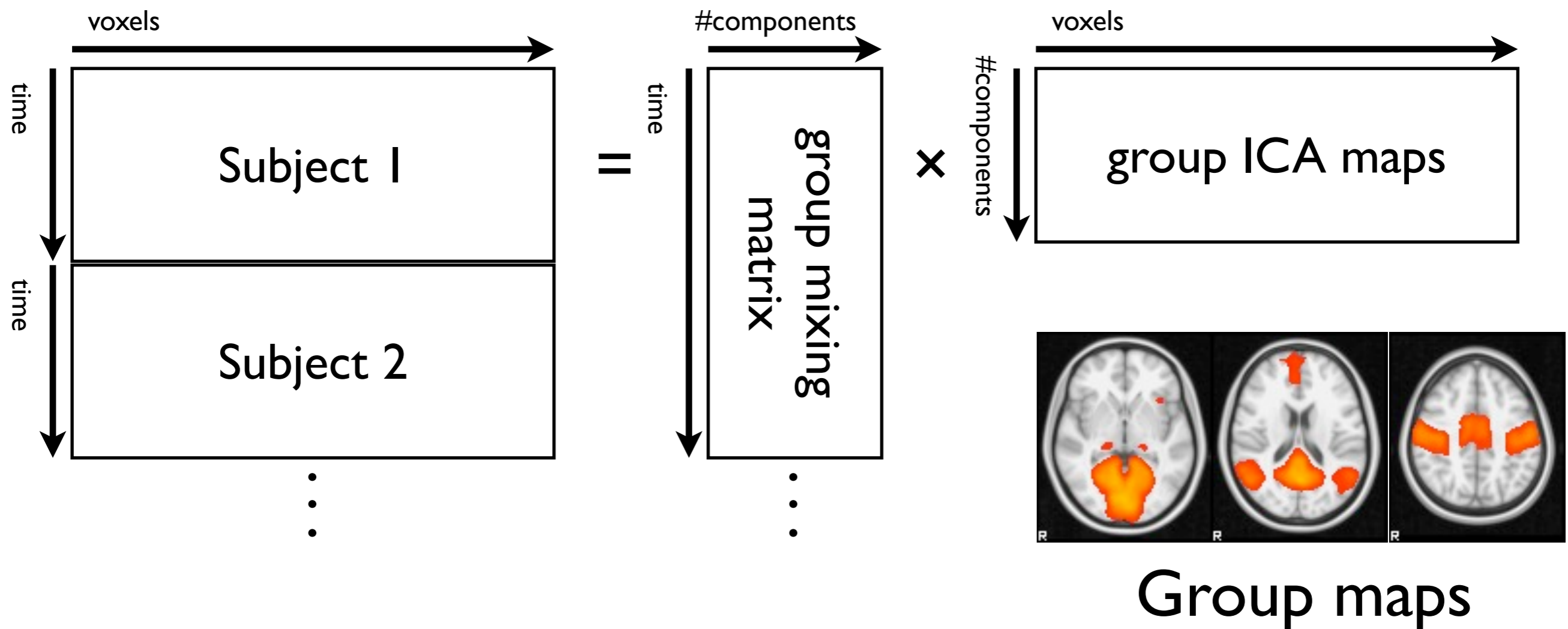
	C ₁₁	C ₁₂	...	C _{1n}
	C ₂₁	C ₂₂		
	⋮		⋱	
N x T	C _{n1}			C _{1n}

enforces that in *all* subjects the same effects can be *represented and compared*



Concat-ICA

- **Concatenate all subjects' data temporally**
(Actually: group-based PCA reduction on each subject, then concat, then PCA reduction)
- **then run ICA to obtain group maps**



How to get subject-specific maps?

- could track all (temporal) transformation F_i used to find spatial group maps, then use inverse, e.g. ‘Back-Projection’ (Calhoun et al. 2001, HBM)
- but if forward projections are lossy/biased this can’t be recovered in the backward projections!
- instead, need to **avoid PCA bias**
 - ▶ remove all dependence on the initial PCA(s)

‘Dual regression’ model

- Model each subject’s data as a linear combination of spatial maps and noise

$$Y^i = S^i A^i + E^i, \quad \text{where} \quad E_{.j}^i \sim \mathcal{N}(0, \sigma_Y^2 I)$$

- assume all spatial maps are sampled from underlying group maps, e.g. from Concat-ICA

$$S^i = S_g + E_g \quad \text{where} \quad E_g \sim \mathcal{N}(0, \sigma_g^2 I)$$

‘Dual regression’ model

Hierarchical Normal-Normal model

$$Y^i \sim \mathcal{N}(S^i A^i, \sigma_Y^2 I)$$

$$S^i \sim \mathcal{N}(S_g, \sigma_g^2 I)$$

S_g known

- can use *Empirical Bayes* for estimation

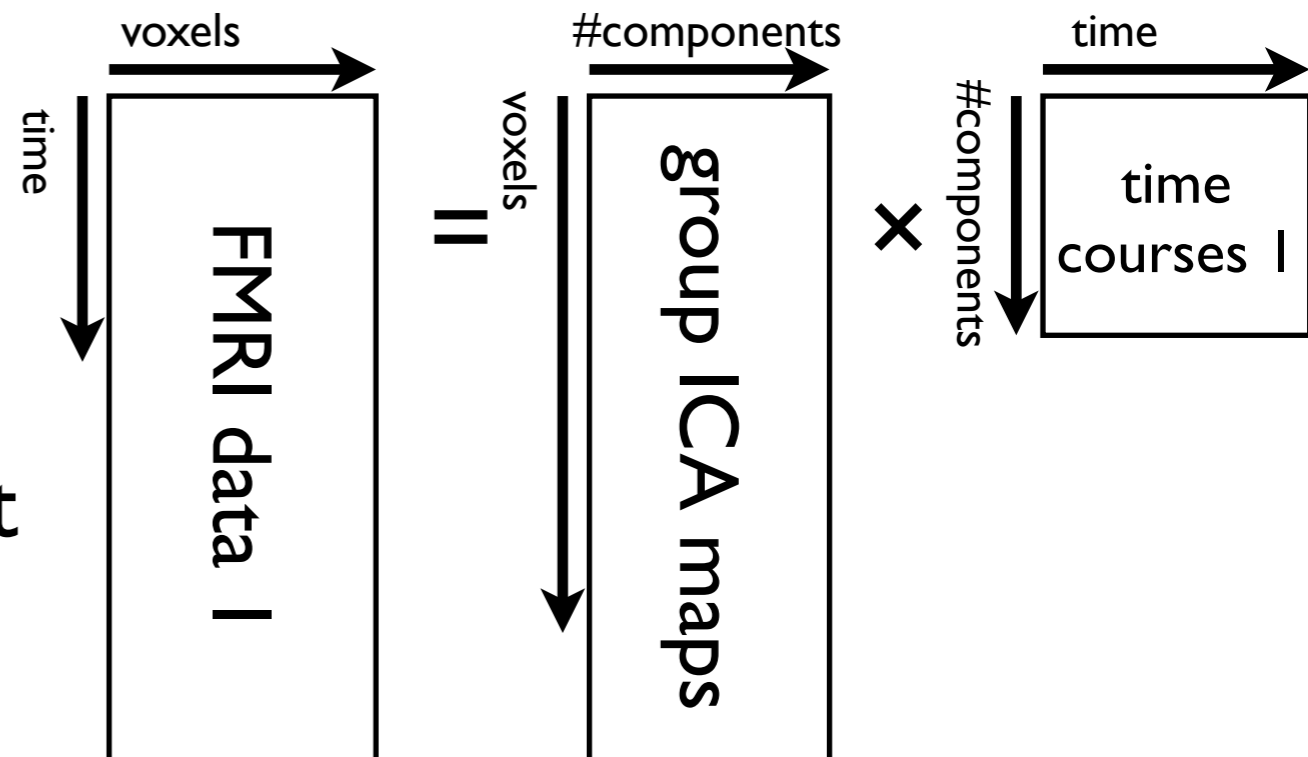
'Dual regression'

- wrt temporal modes

$$Y^i = S_g A^i + \tilde{E} \quad \text{where} \quad \tilde{E} \sim \mathcal{N}(0, \sigma_g^2 I + \sigma_Y^2 I)$$

$$\hat{A}^i = S_g^\dagger Y^i$$

- ...so estimates can be obtained using simple spatial regression of group-ICA maps against data



‘Dual regression’

- wrt subject-specific spatial maps

$$S^i \sim \mathcal{N}(\alpha_1 A^{i\dagger} Y^i + \alpha_2 S_g, 1/\beta^2) \quad \text{with}$$

$$\alpha_1 = \frac{1/\sigma_g^2}{1/\sigma_Y^2 + 1/\sigma_g^2}, \quad \alpha_2 = \frac{1/\sigma_Y^2}{1/\sigma_Y^2 + 1/\sigma_g^2}$$
$$\beta^2 = 1/\sigma_Y^2 + 1/\sigma_g^2$$

‘Dual regression’

- wrt subject-specific spatial maps

$$S^i \sim \mathcal{N}(\alpha_1 A^{i\dagger} Y^i + \alpha_2 S_g, 1/\beta^2) \quad \text{with}$$

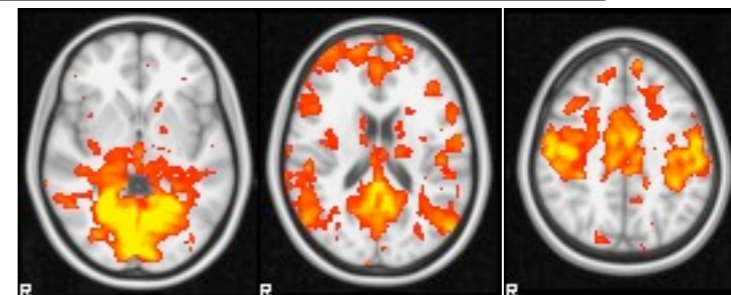
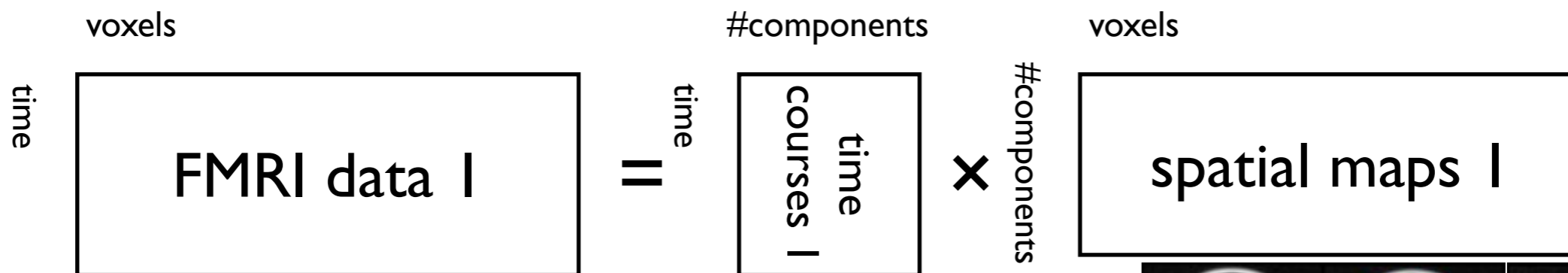
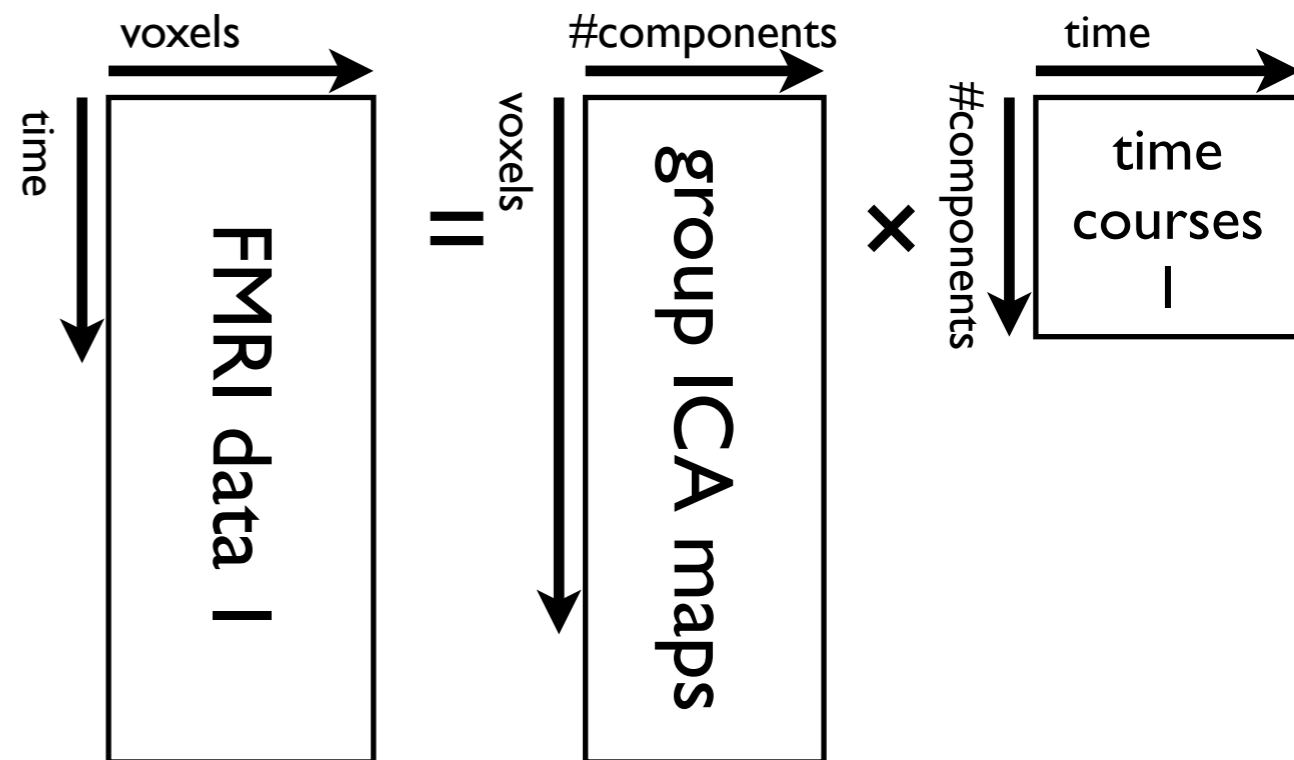
$$\alpha_1 = \frac{1/\sigma_g^2}{1/\sigma_Y^2 + 1/\sigma_g^2}, \quad \alpha_2 = \frac{1/\sigma_Y^2}{1/\sigma_Y^2 + 1/\sigma_g^2}$$
$$\beta^2 = 1/\sigma_Y^2 + 1/\sigma_g^2$$

for $\sigma_g \gg \sigma_Y$:

$$\widehat{S}^i = \widehat{A}^{i\dagger} Y^i$$

'Dual regression'

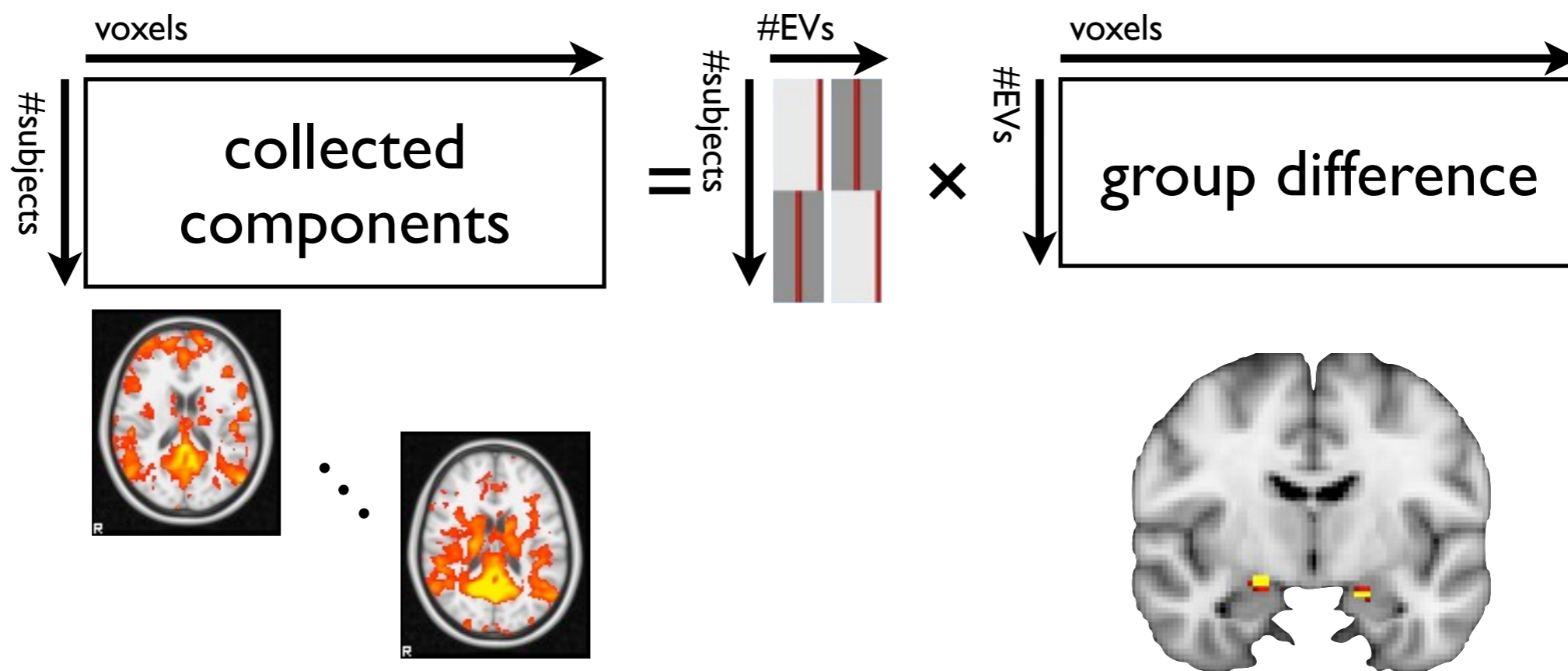
- Regress spatial ICs into each subject's 4D data to find subject-specific timecourses
- regress these back into the 4D data to find subject-specific spatial ICs associated with the group ICs





'Dual regression'

- Collect maps and perform voxel-wise non-parametric randomisation test on GLM

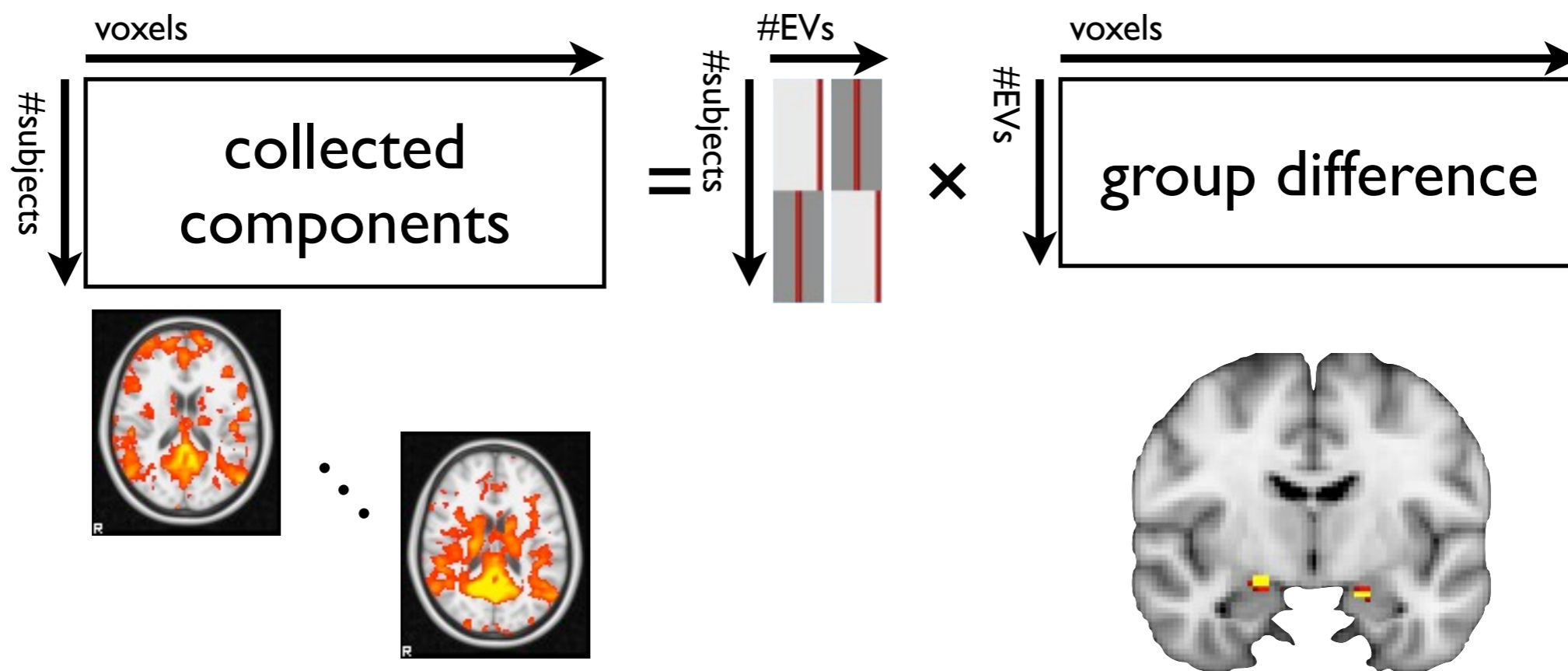


- Can now do voxelwise testing across subjects, separately for each original group ICA map



'Dual regression'

- Collect maps and perform voxel-wise non-parametric randomisation test on GLM



- Can now do voxelwise testing across subjects, separately for each original group ICA map

Dual Regression



Dual Regression

- PCA bias prevents meaningful comparison of differential effects

Dual Regression

- PCA bias prevents meaningful comparison of differential effects
- hard to detect (in sum-of-squares evaluations) so simulations of very limited validity and utility

Dual Regression

- PCA bias prevents meaningful comparison of differential effects
- hard to detect (in sum-of-squares evaluations) so simulations of very limited validity and utility
- need to use unbiased methods when inferring *differences in connectivity* based on maps which show *similarity in connectivity*



?

==



The *functional* architecture of the human brain

Correspondence of the brain's functional architecture during activation and rest

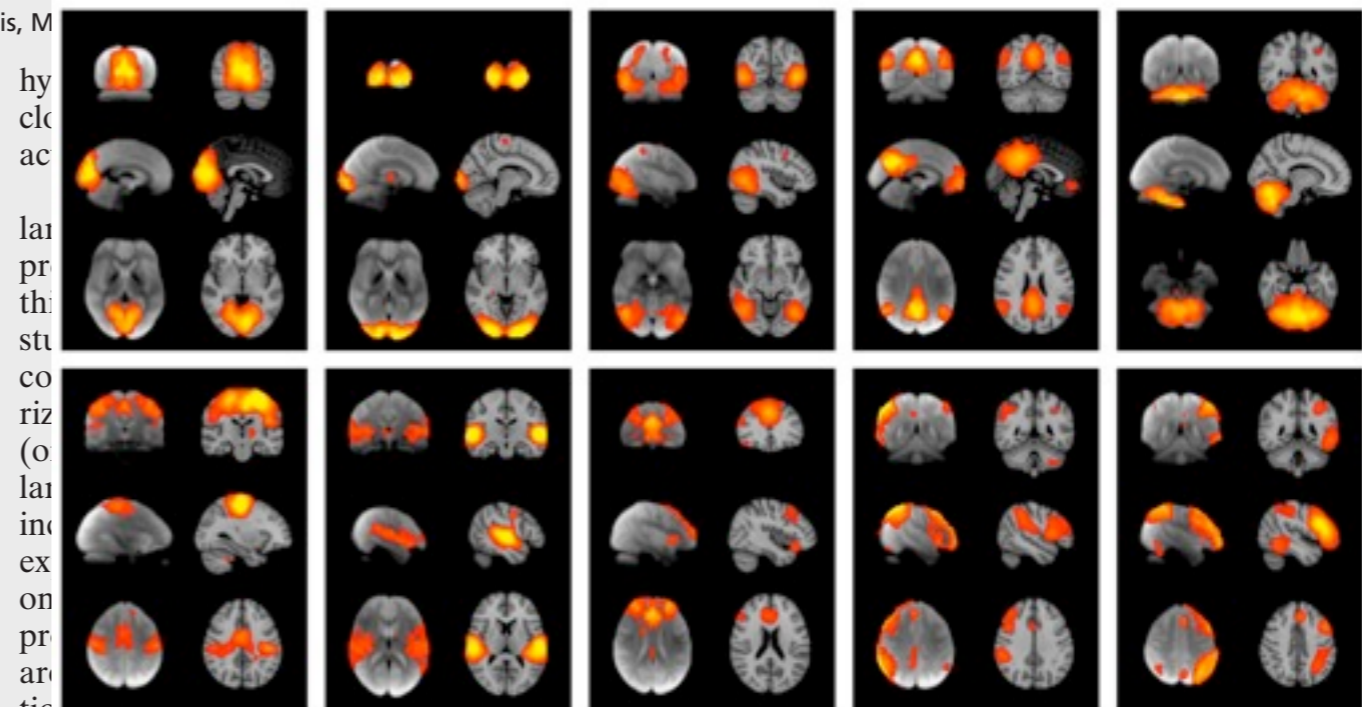
Stephen M. Smith^{a,1}, Peter T. Fox^b, Karla L. Miller^a, David C. Glahn^{b,c}, P. Mickle Fox^b, Clare E. Mackay^a, Nicola Filippini^a, Kate E. Watkins^a, Roberto Toro^d, Angela R. Laird^b, and Christian F. Beckmann^{a,e}

^aCentre for Functional MRI of the Brain, University of Oxford, Oxford OX3 9DU, United Kingdom; ^bResearch Imaging Center, University of Texas Health Science Center, San Antonio, TX 782229; ^cOlin Neuropsychiatry Research Center, Institute of Living, Yale University, New Haven, CT 06106; ^dHuman Genetics and Cognitive Function, Institut Pasteur, 75724 Paris, France; and ^eClinical Neuroscience Department, Imperial College London, London SW7 2AZ, United Kingdom

Edited by Marcus E. Raichle, Washington University School of Medicine, St. Louis, MO

Neural connections, providing the substrate for functional networks, exist whether or not they are functionally active at any given moment. However, it is not known to what extent brain regions are continuously interacting when the brain is "at rest." In this work, we identify the major explicit activation networks by carrying out an image-based activation network analysis of thousands of separate activation maps derived from the BrainMap database of functional imaging studies, involving nearly 30,000 human subjects. Independently, we extract the major covarying networks in the resting brain, as imaged with functional magnetic resonance imaging in 36 subjects at rest. The sets of major brain networks, and their decompositions into subnetworks, show close correspondence between the independent analyses of resting and activation brain dynamics. We conclude that the full repertoire of functional networks utilized by the brain in action is continuously and dynamically "active" even when at "rest."

brain connectivity | BrainMap | fMRI | functional connectivity | resting-state networks



activation images" from the list of activation peak locations (18, 19). It is then possible to investigate cooccurrence of different

The *functional* architecture of the human brain: Correspondence between resting fMRI and task-activation studies



The *functional* architecture of the human brain: Correspondence between resting fMRI and task-activation studies



BrainMap, RIC, San Antonio

Data

- 1687 fMRI / PET activation studies (19% of all published activation imaging studies)

The *functional* architecture of the human brain: Correspondence between resting fMRI and task-activation studies



BrainMap, RIC, San Antonio

Data

- 1687 fMRI / PET activation studies (19% of all published activation imaging studies)
- 7342 separate activation conditions/contrasts

The *functional* architecture of the human brain: Correspondence between resting fMRI and task-activation studies



BrainMap, RIC, San Antonio

Data

- 1687 fMRI / PET activation studies (19% of all published activation imaging studies)
- 7342 separate activation conditions/contrasts
- 29,671 human subjects

The *functional* architecture of the human brain: Correspondence between resting fMRI and task-activation studies



BrainMap, RIC, San Antonio

Data

- 1687 fMRI / PET activation studies (19% of all published activation imaging studies)
- 7342 separate activation conditions/contrasts
- 29,671 human subjects
- 66 “Behavioural domains” (gross paradigm classifications)

The *functional* architecture of the human brain: Correspondence between resting fMRI and task-activation studies



BrainMap, RIC, San Antonio

Data

- 1687 fMRI / PET activation studies (19% of all published activation imaging studies)
- 7342 separate activation conditions/contrasts
- 29,671 human subjects
- 66 “Behavioural domains” (gross paradigm classifications)

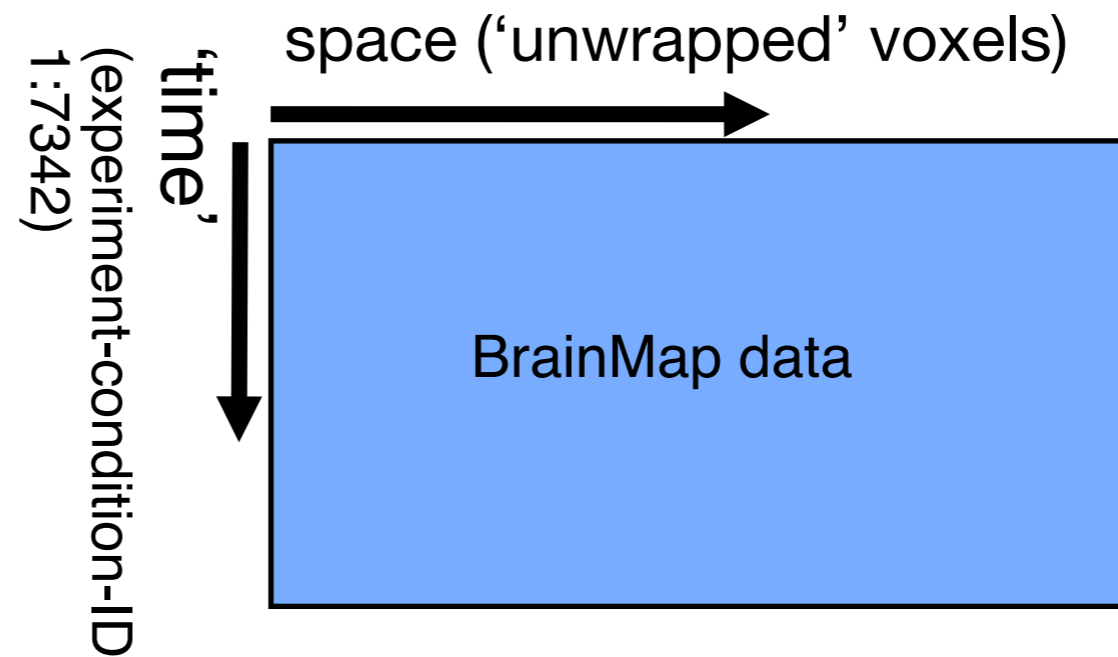
Resting fMRI data

Data

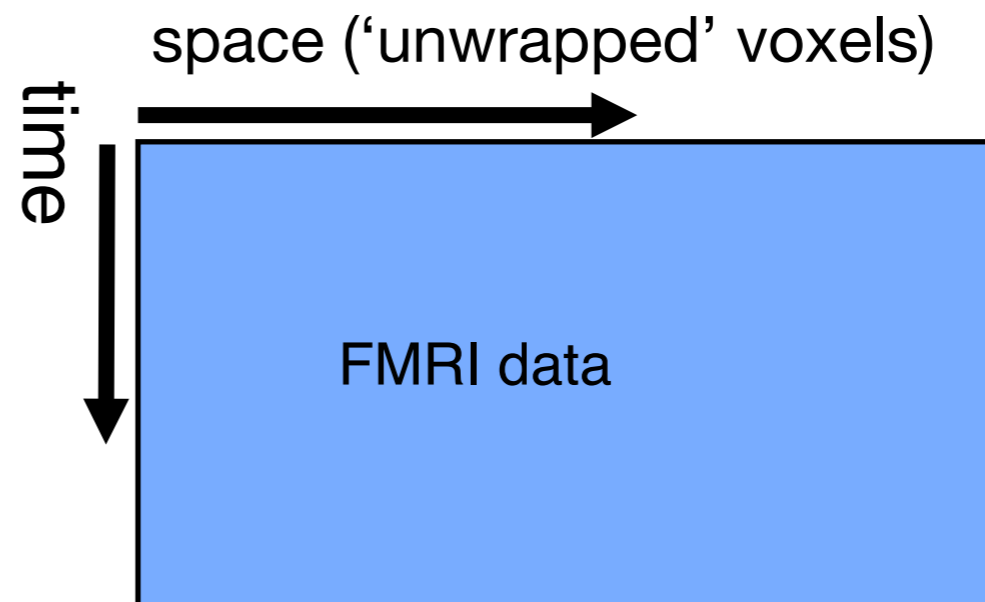
- 36 healthy subjects, age 20-35
- Subjects at rest, eyes open, fairly dark scanner room
- 6 minutes fMRI, standard BOLD, 3x3x3.5mm



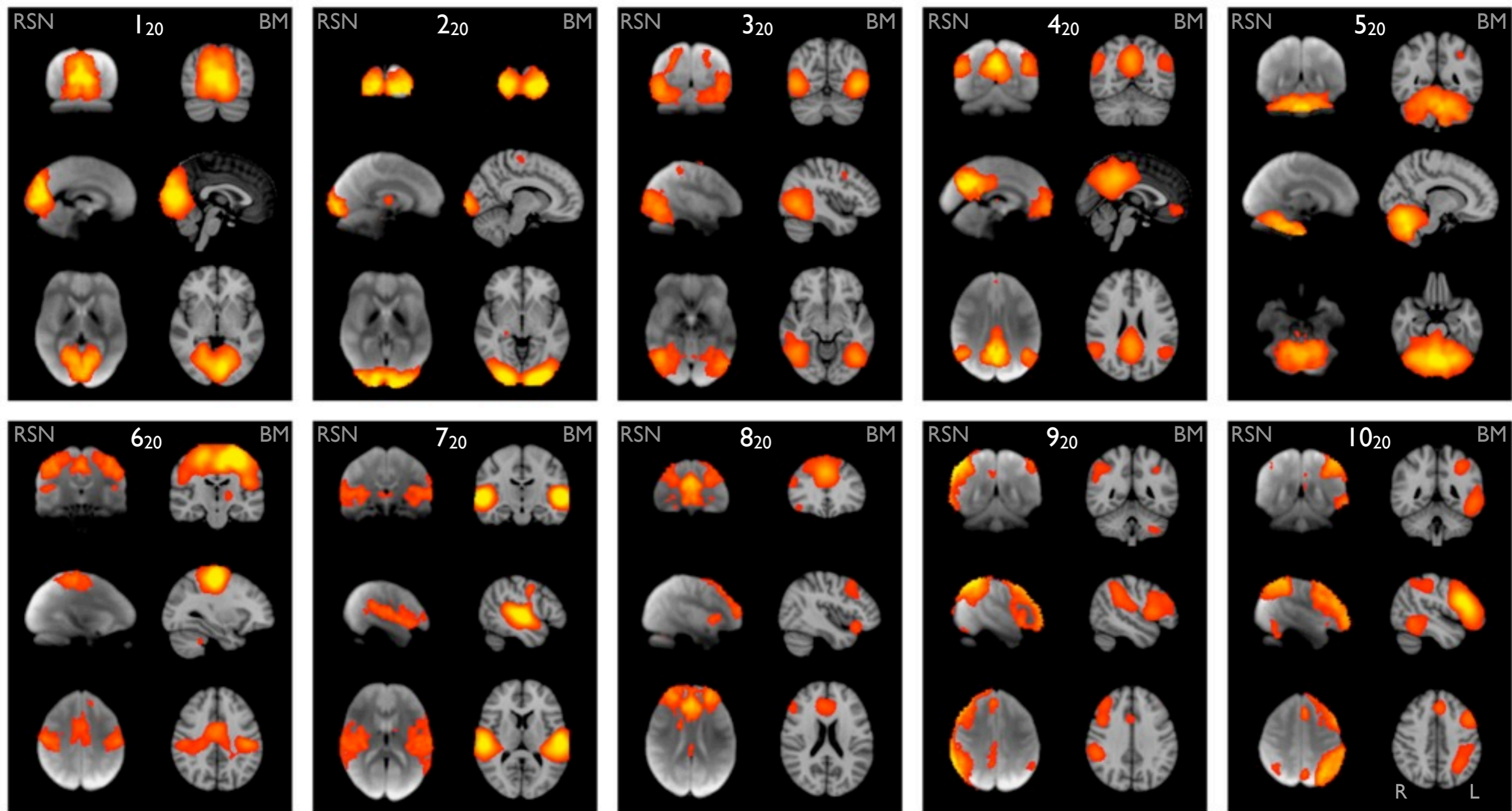
BrainMap, RIC, San Antonio



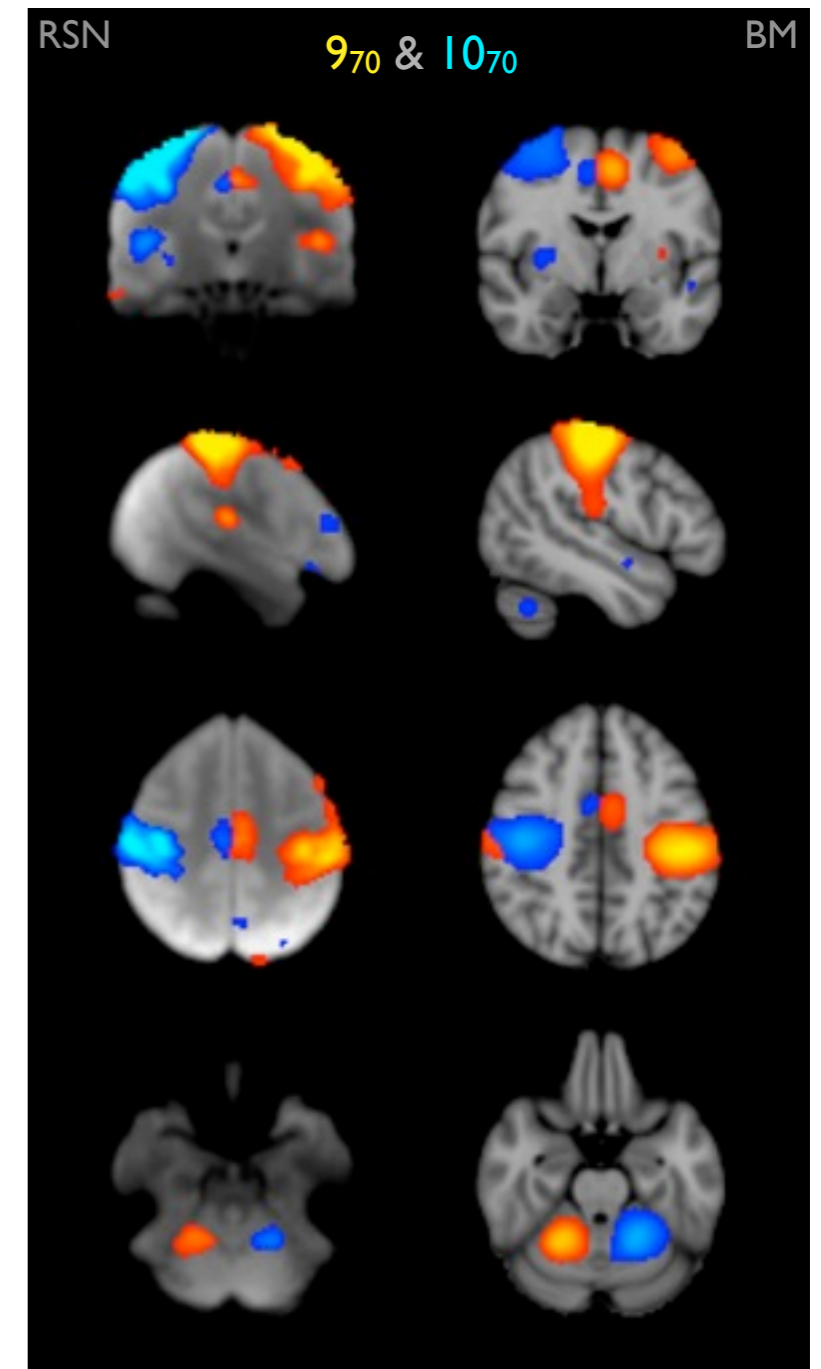
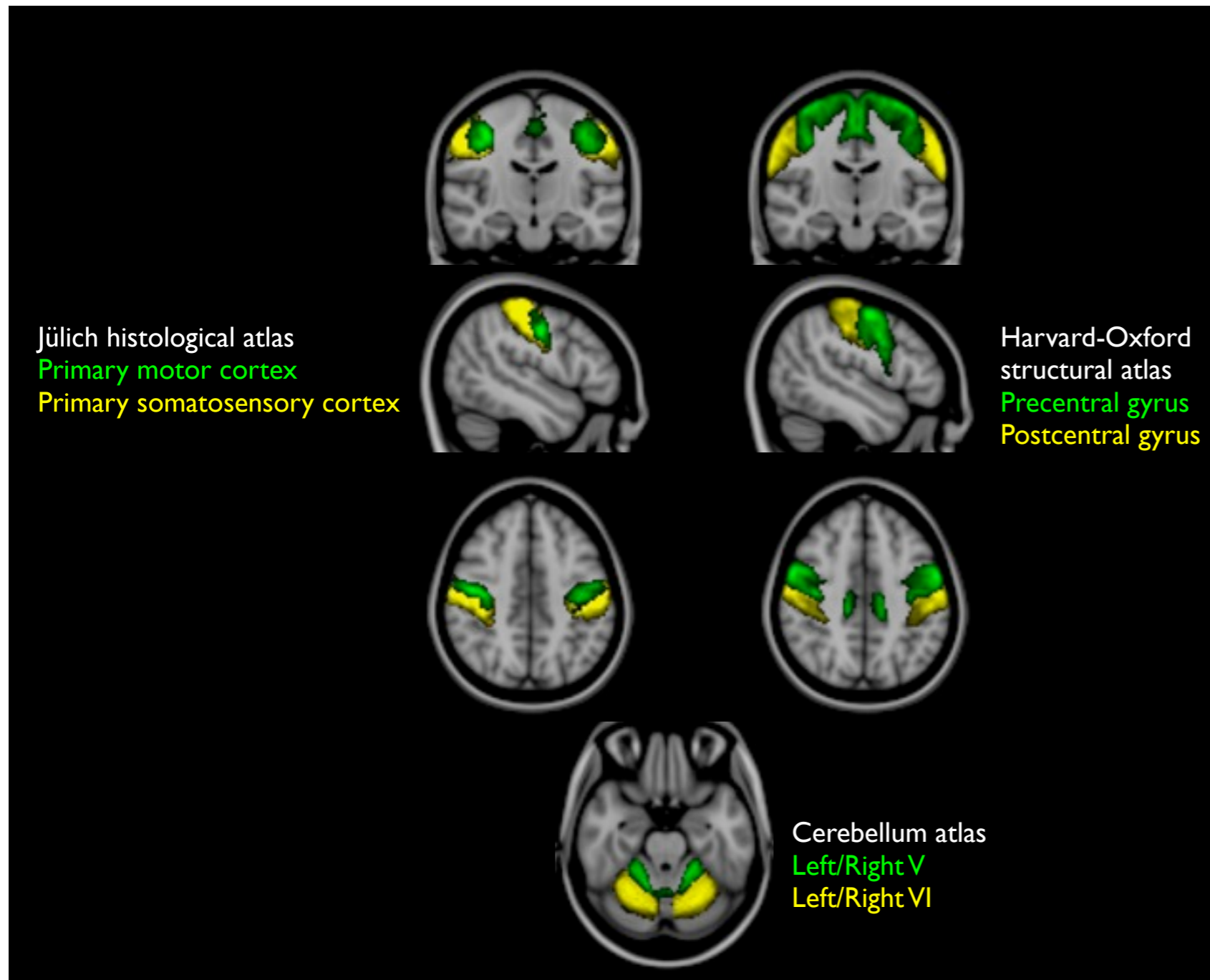
Resting FMRI data



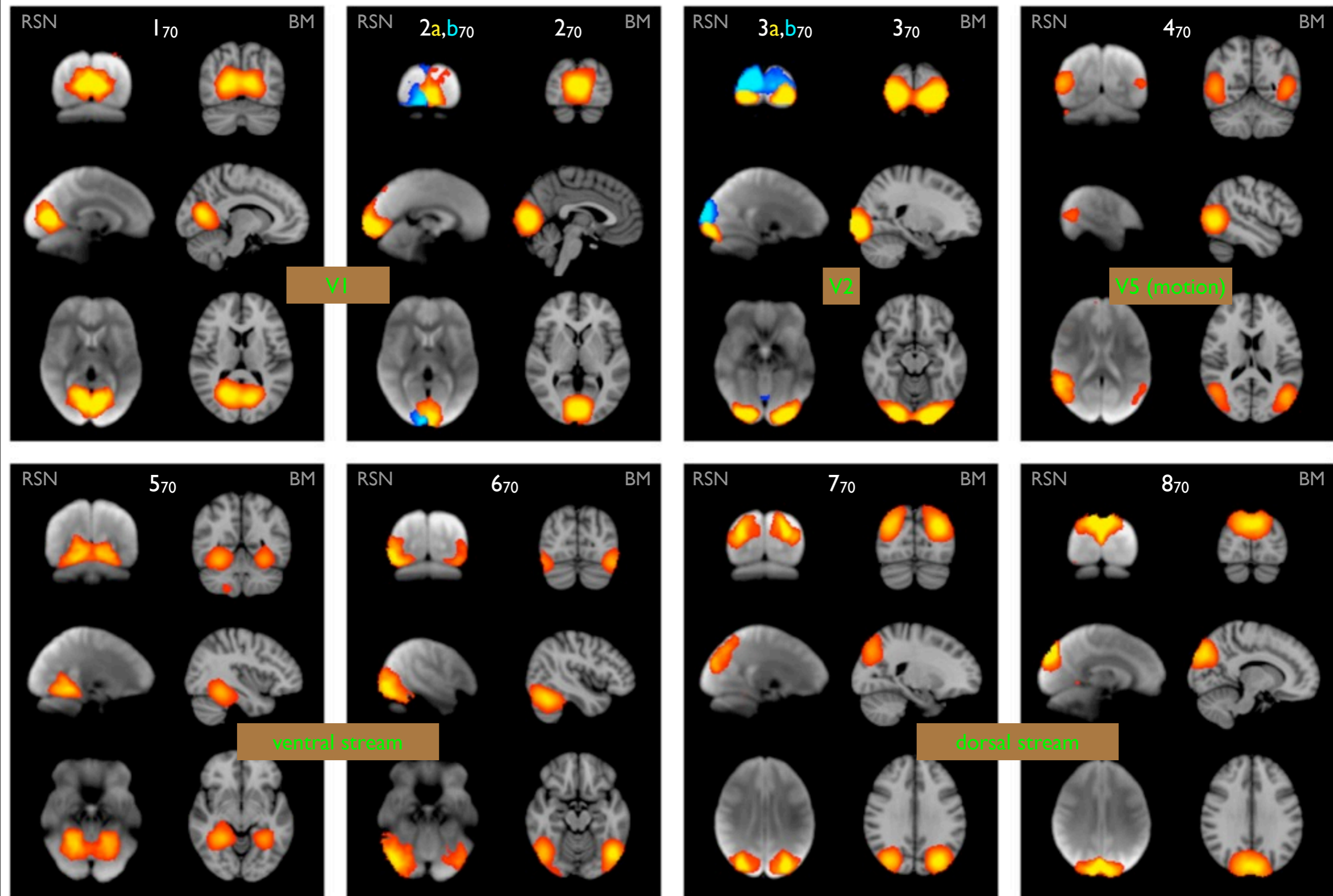
Pairings between RSN maps (left of each pair) and BrainMap maps (right) at ICA dimensionality of 20



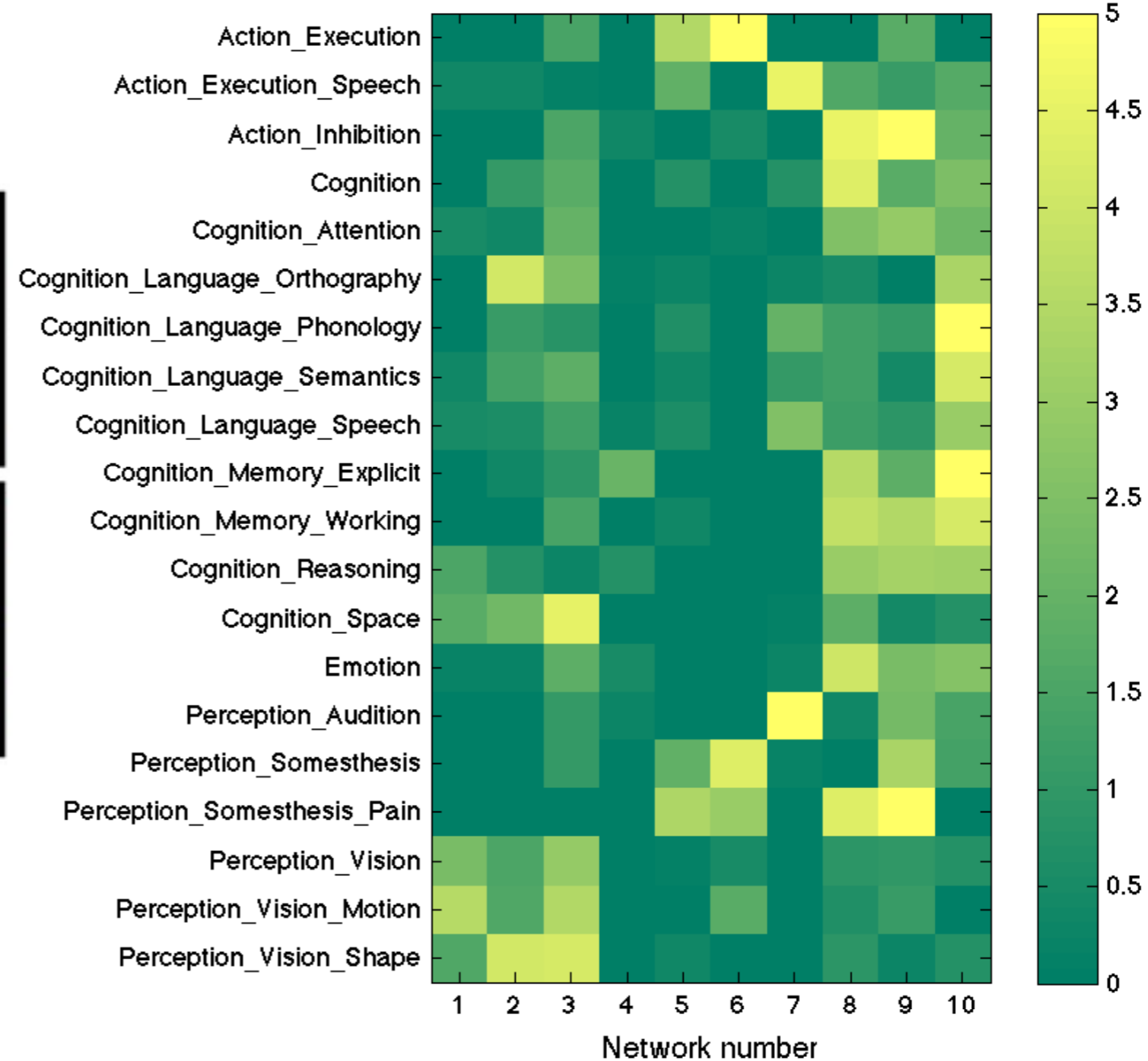
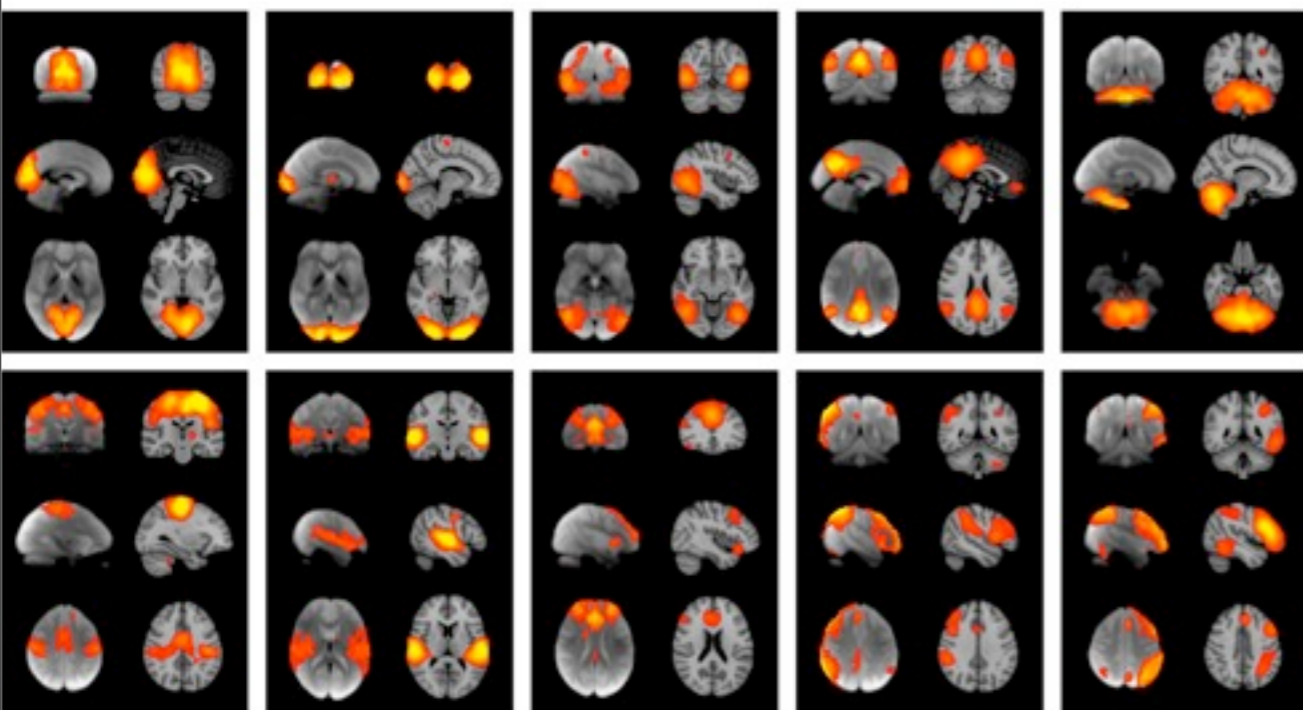
Pairings between RSN maps (left of each pair) and BrainMap maps (right) in the sensori-motor cortex, at ICA dimensionality of 70



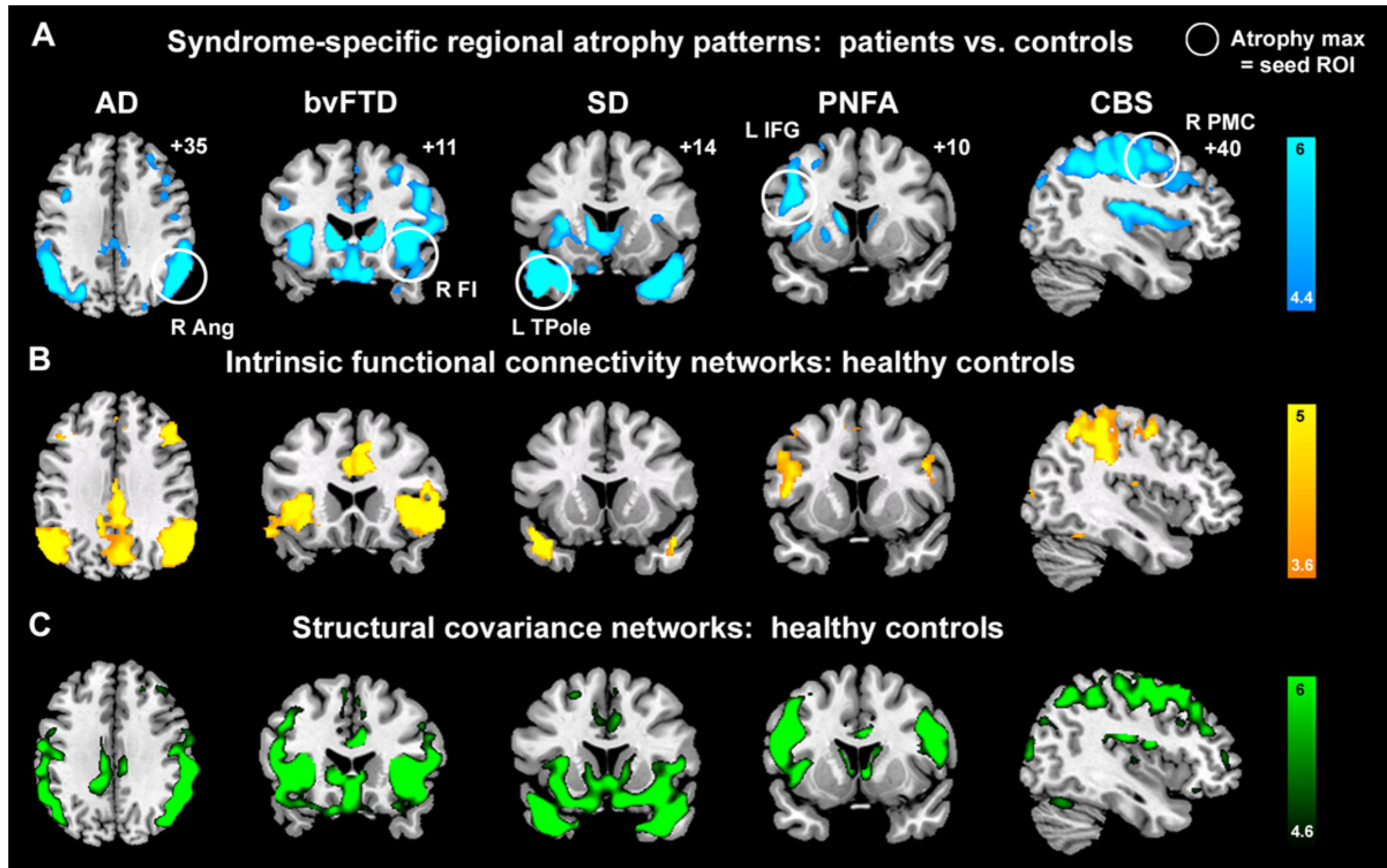
Pairings between RSN maps (left of each pair) and BrainMap maps (right) in visual areas, at ICA dimensionality of 70



Mapping the 10 paired maps back onto BrainMap “Behavioural Domains”

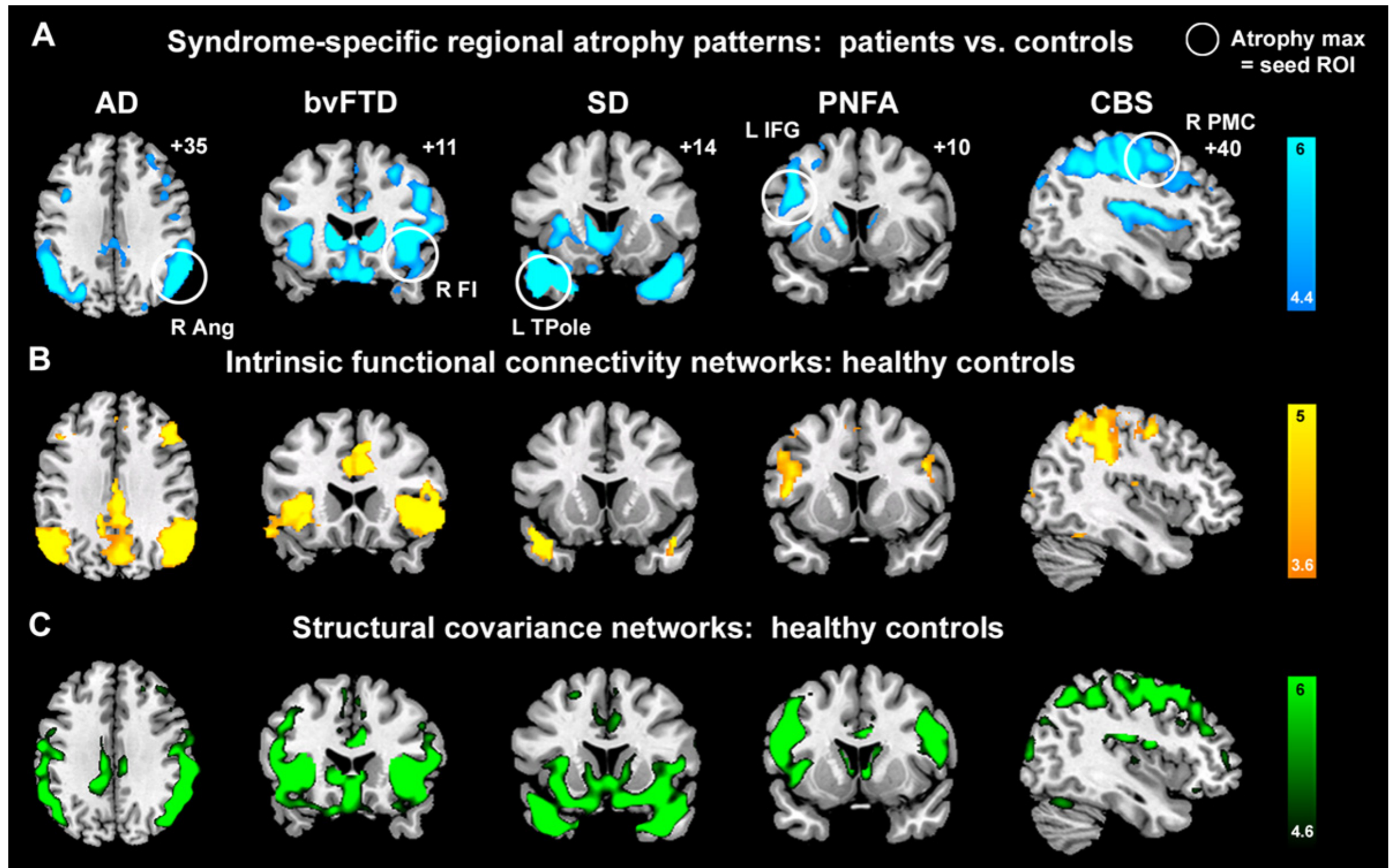


RSNs & neuro-degeneration




Neurodegenerative diseases target large-scale human brain networks

RSNs & neuro-degeneration

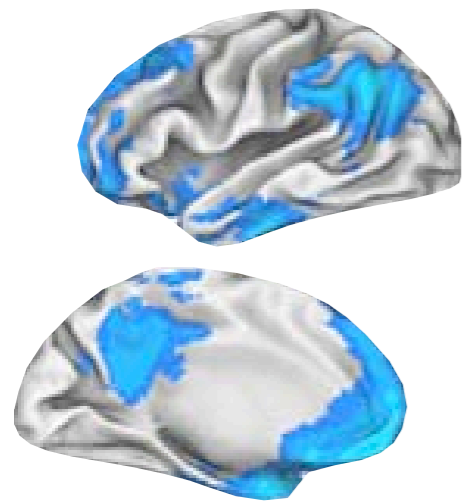


Neurodegenerative diseases target large-scale human brain networks

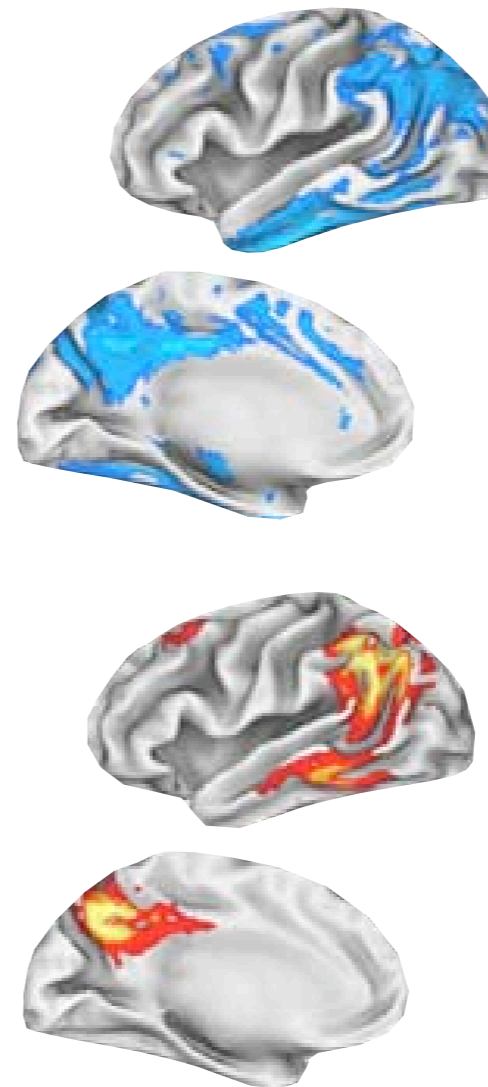
 Seeley et al. (2009)
Neuron

DMN in AD

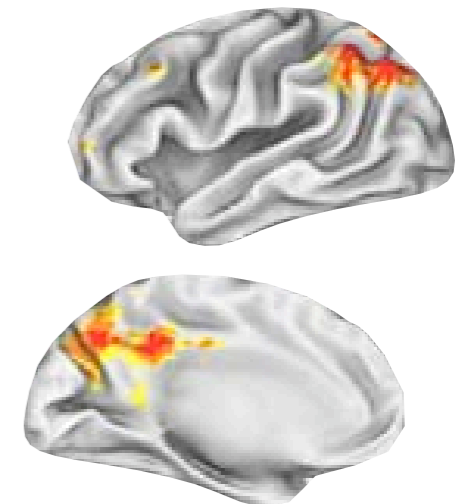
Default
Activity



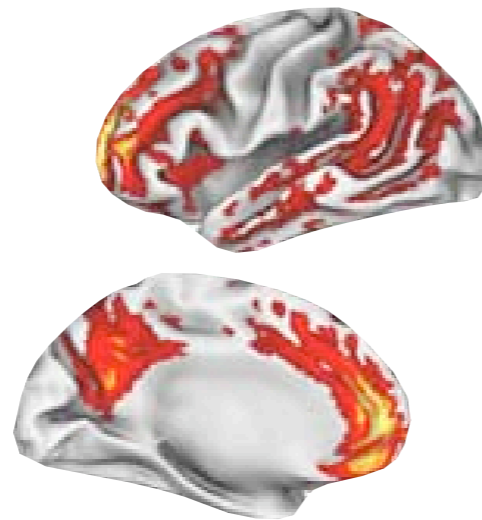
Atrophy



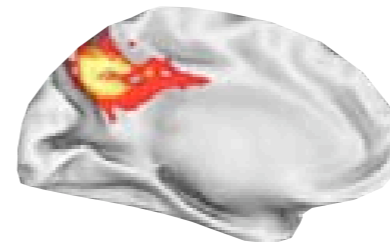
Memory
Network
FMRI




Amyloid
Deposition



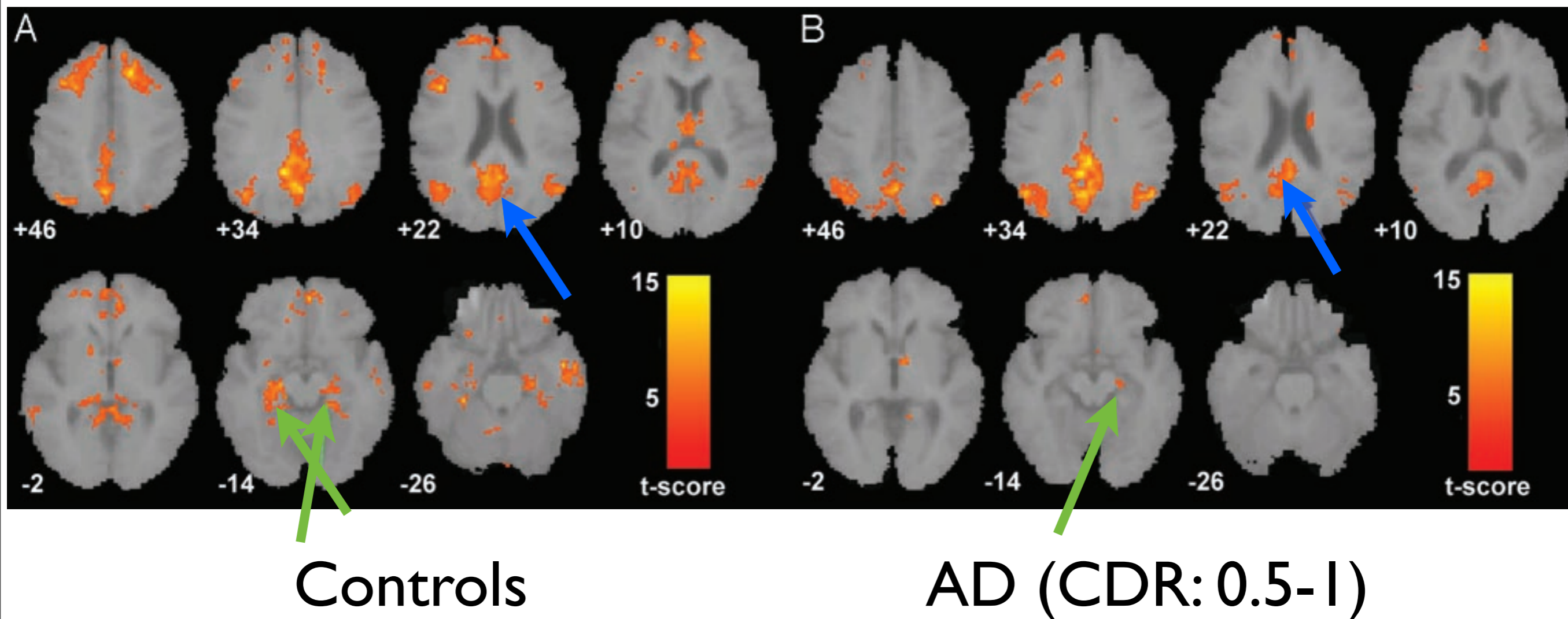
Metabolic
Disruption
FDG-PET



 *Buckner et al.,
J Neurosci, 2005*

DMN in healthy aging vs AD

Resting FMRI



Altered functional connectivity in young, healthy carriers of APOE-ε4

Collaboration between Oxford & GSK

Distinct patterns of brain activity in young carriers of the APOE-ε4 allele

Nicola Filippini^{a,b,c}, Bradley J. MacIntosh^b, Morgan G. Hough^b, Guy M. Goodwin^a, Giovanni B. Frisoni^c, Stephen M. Smith^b, Paul M. Matthews^{d,e}, Christian F. Beckmann^{b,e}, and Clare E. Mackay^{a,b,1}

^aUniversity Department of Psychiatry and ^bFunctional Magnetic Resonance Imaging of the Brain Centre, University of Oxford, Oxford OX3 9DU, United Kingdom; ^cLaboratory of Epidemiology, Neuroimaging, and Telemedicine, Istituto di Ricovero e Cura a Carattere Scientifico San Giovanni di Dio-Fatebenefratelli, Brescia 25125, Italy; ^dGlaxoSmithKline Research and Development, Clinical Imaging Centre, London W12 0NN, United Kingdom; and ^eDepartment of Clinical Neuroscience, Imperial College, Hammersmith Campus London W12 0NN, United Kingdom

Edited by Robert W. Mahley, The J. David Gladstone Institutes, San Francisco, CA, and approved March 6, 2009 (received for review November 25, 2008)

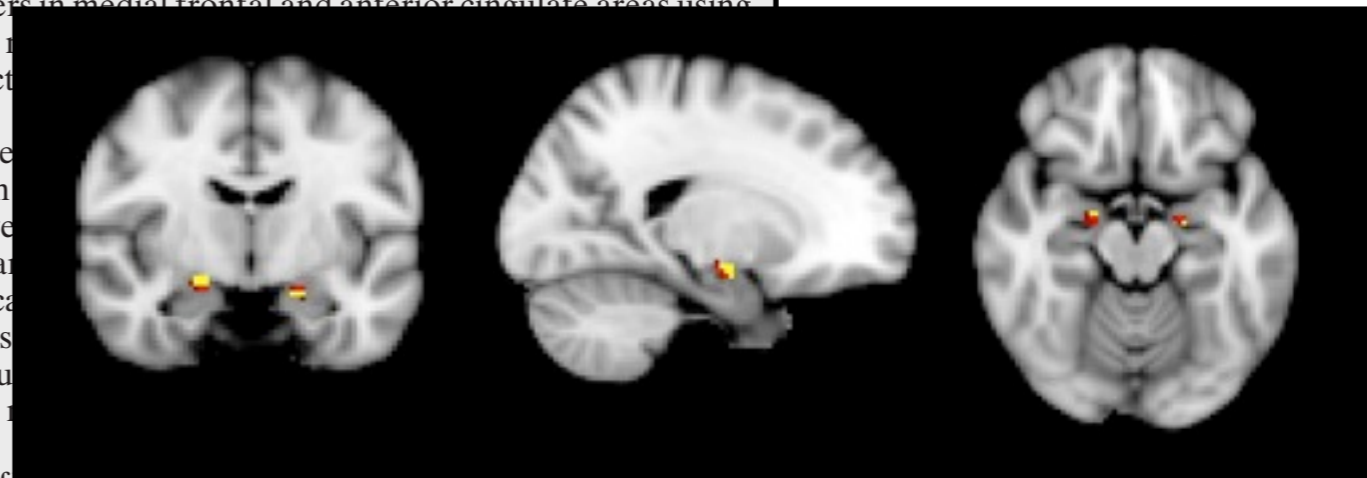
The *APOE* ε4 allele is a risk factor for late-life pathological changes that is also associated with anatomical and functional brain changes in middle-aged and elderly healthy subjects. We investigated structural and functional effects of the *APOE* polymorphism in 18 young healthy *APOE* ε4-carriers and 18 matched noncarriers (age range: 20–35 years). Brain activity was studied both at rest and during an encoding memory paradigm using blood oxygen level-dependent fMRI. Resting fMRI revealed increased “default mode network” (involving retrosplenial, medial temporal, and medial-prefrontal cortical areas) coactivation in ε4-carriers relative to noncarriers. The encoding task produced greater hippocampal activation in ε4-carriers relative to noncarriers. Neither result could be explained by differences in memory performance, brain morphology, or resting cerebral blood flow. The *APOE* ε4 allele modulates brain function decades before any clinical or neurophysiological expression of neurodegenerative processes.

hippocampus | memory | neuroimaging | resting connectivity

fMRI studies have tested for early life associations of the *APOE* polymorphism with changes in brain function. Filbey et al. (18) reported greater activation in 8 *APOE* ε4-carriers compared with 8 noncarriers in medial frontal and anterior cingulate areas using

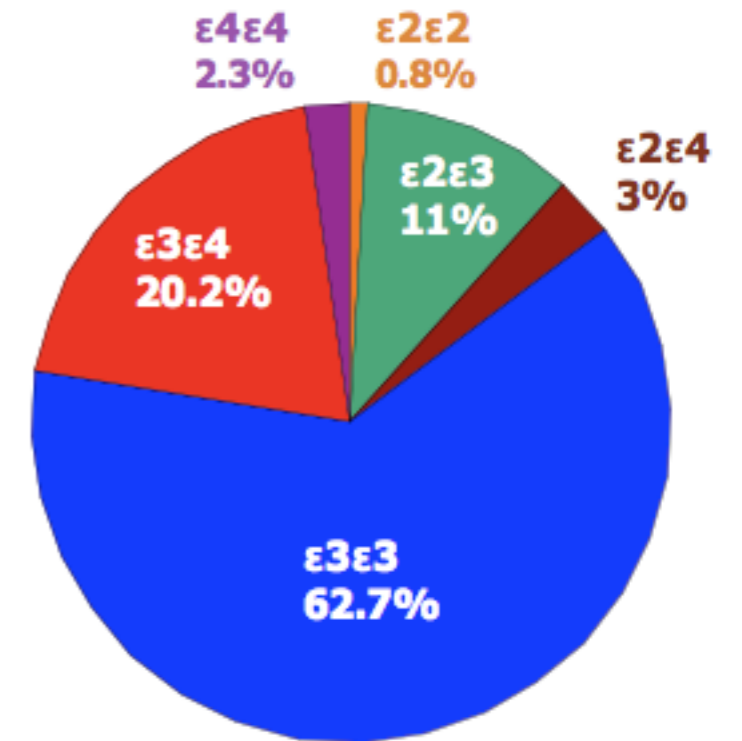
a working memory task. We found reduced activation in ε4-carriers relative to noncarriers in the same areas. Both studies used the same task and found differences in brain function.

Here, we investigated the structural and functional effects of the *APOE* ε4-carriers relative to noncarriers in 18 young healthy carriers to 35 years of age. We found increased spontaneous fluctuations in the default mode network relative to noncarriers. This finding is consistent with showing a higher frequency of low-frequency fluctuations (less than 0.1 Hz) are defined as “resting state networks” (RSNs), and they reflect intrinsic properties of functional brain organization (21). We were specifically inter-





apolipoprotein E - *APOE*

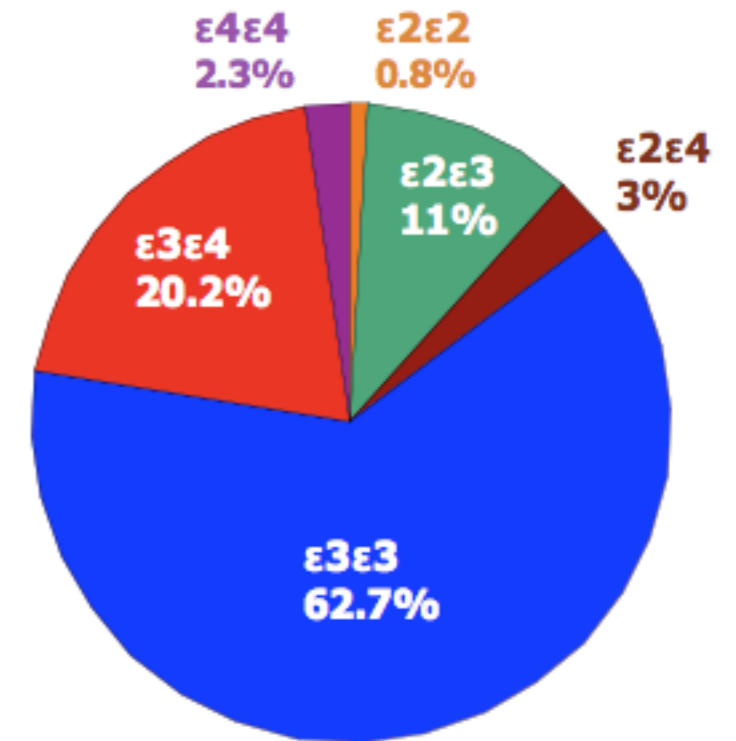


Menzel et al., 1983



apolipoprotein E - *APOE*

- lipid transport protein coded on chromosome 19

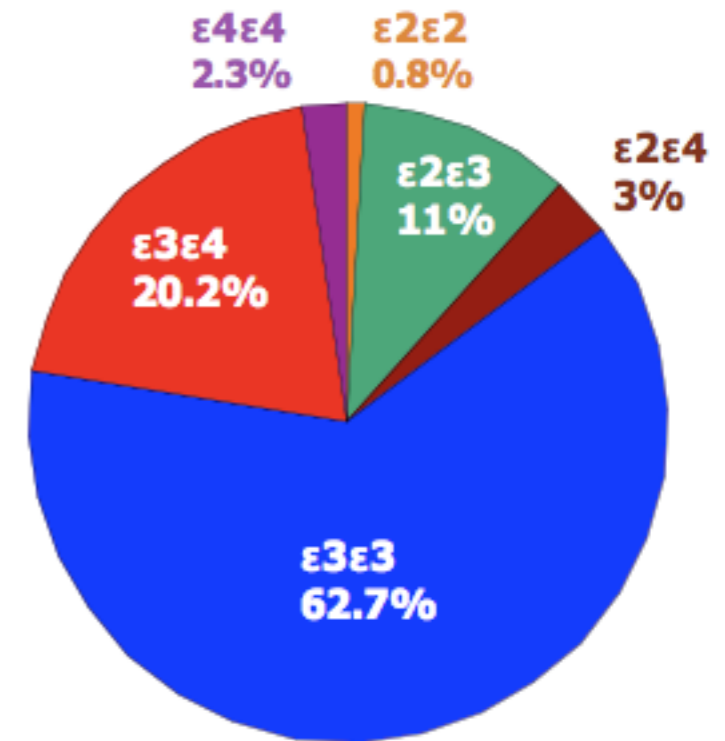


Menzel et al., 1983



apolipoprotein E - *APOE*

- lipid transport protein coded on chromosome 19
- $\epsilon 4$ allele first studied as risk factor for cardiovascular disease

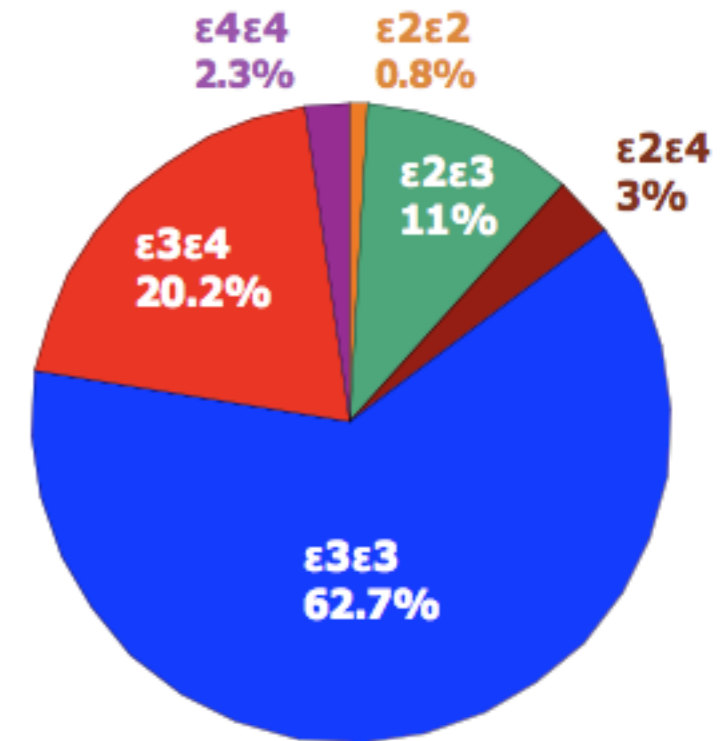


Menzel et al., 1983



apolipoprotein E - *APOE*

- lipid transport protein coded on chromosome 19
- $\epsilon 4$ allele first studied as risk factor for cardiovascular disease
- $\epsilon 4$ Identified as risk for AD in 1993
 - ▶ Increased prevalence
 - ▶ decreased age of onset
 - ▶ gene dose effect



Menzel et al., 1983



What is NOT different



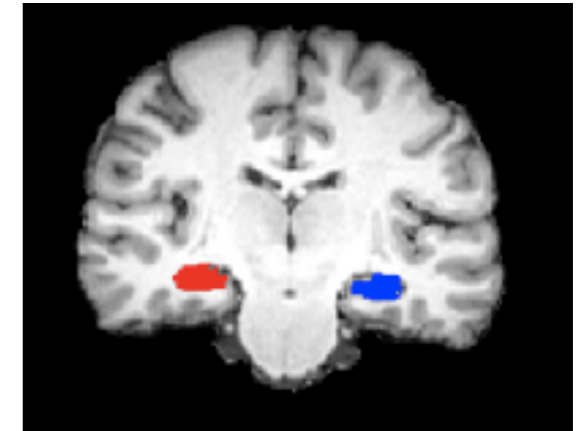
What is NOT different

Structure - Whole brain GM, WM
and hippocampal ROIs & VBM not
different



What is NOT different

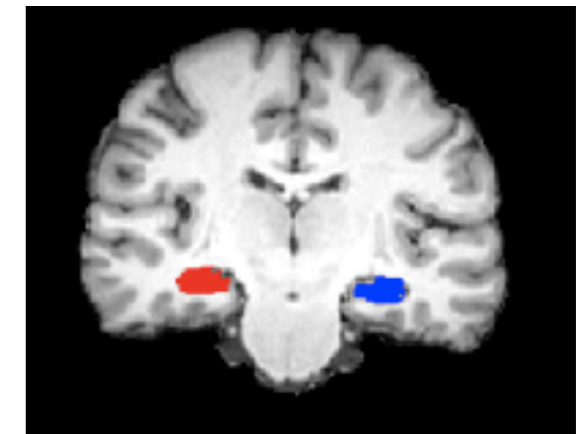
Structure - Whole brain GM, WM
and hippocampal ROIs & VBM not
different





What is NOT different

Structure - Whole brain GM, WM and hippocampal ROIs & VBM not different



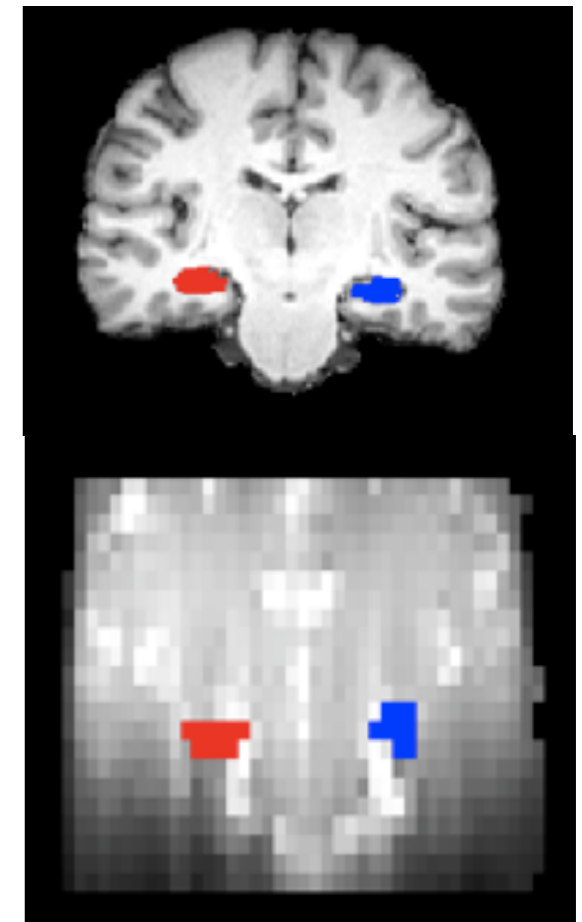
Cerebral blood flow - ASL in hippocampal and lobe ROIs and whole brain not different



What is NOT different

Structure - Whole brain GM, WM and hippocampal ROIs & VBM not different

Cerebral blood flow - ASL in hippocampal and lobe ROIs and whole brain not different



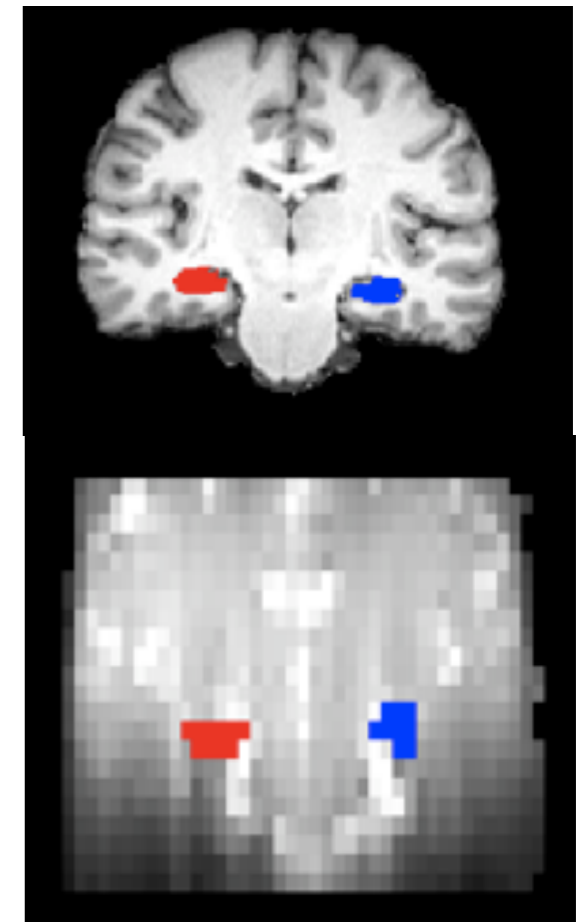


What is NOT different

Structure - Whole brain GM, WM and hippocampal ROIs & VBM not different

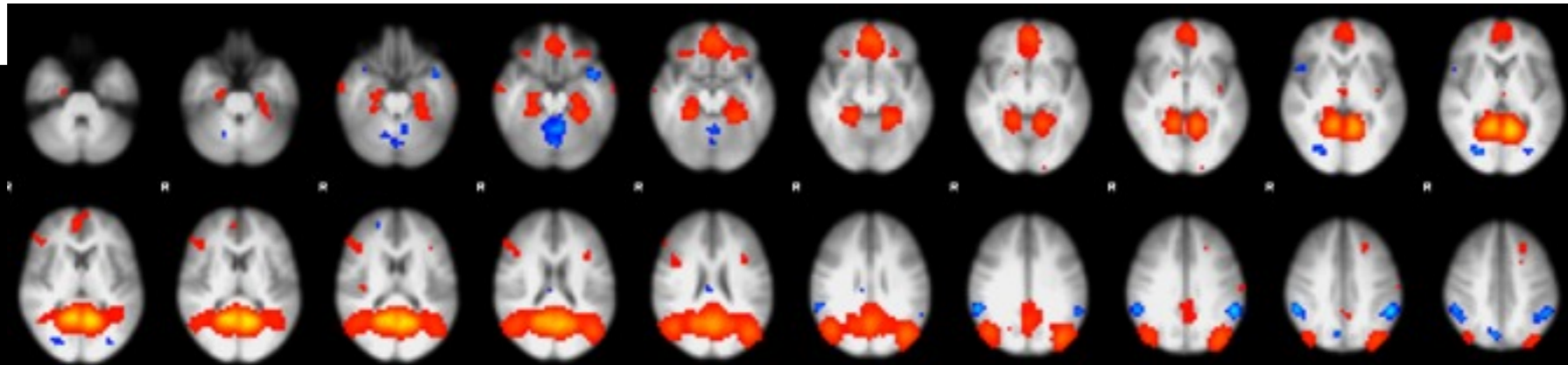
Cerebral blood flow - ASL in hippocampal and lobe ROIs and whole brain not different

Memory performance and reaction time not different



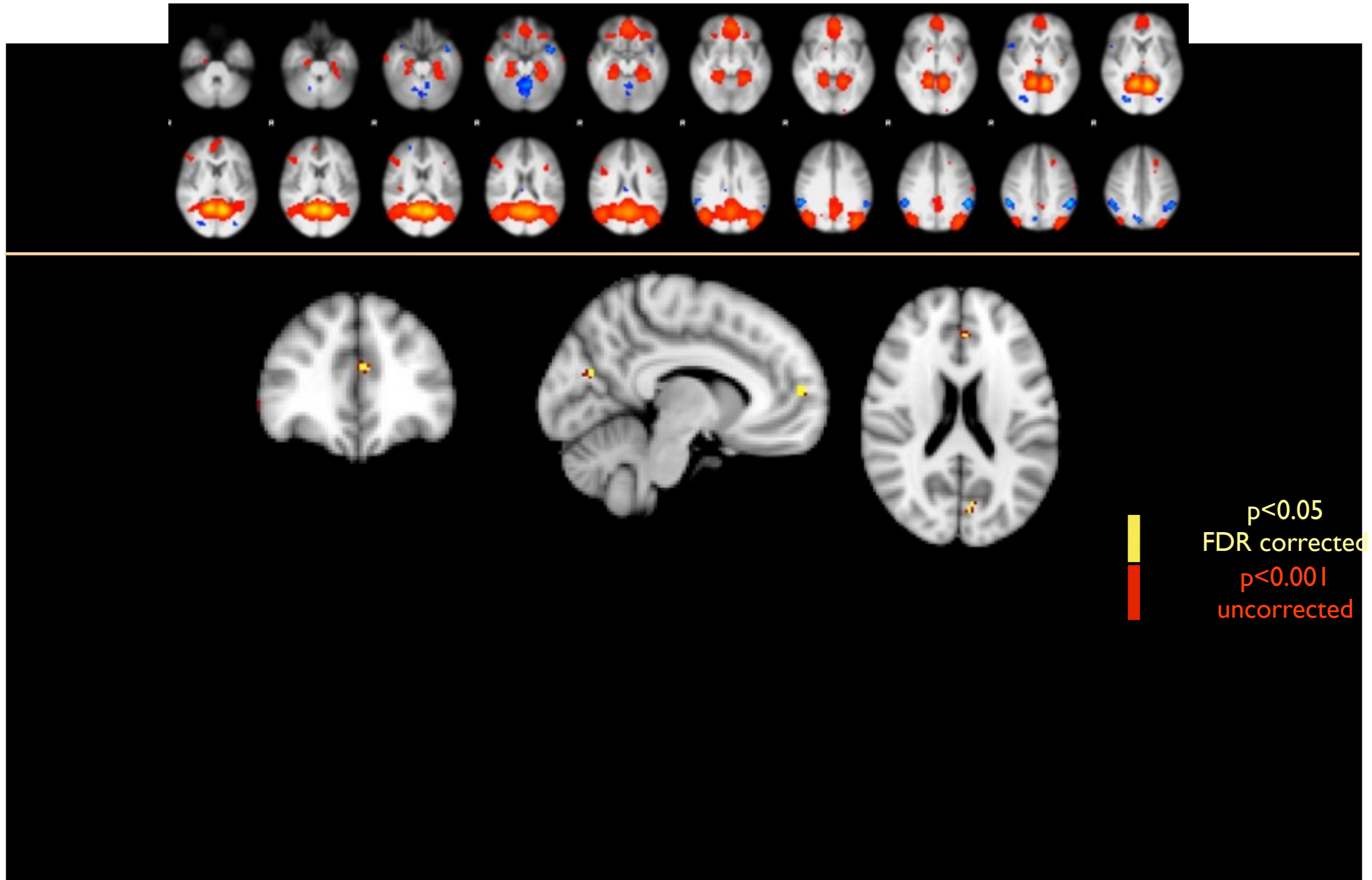


Resting data - DMN



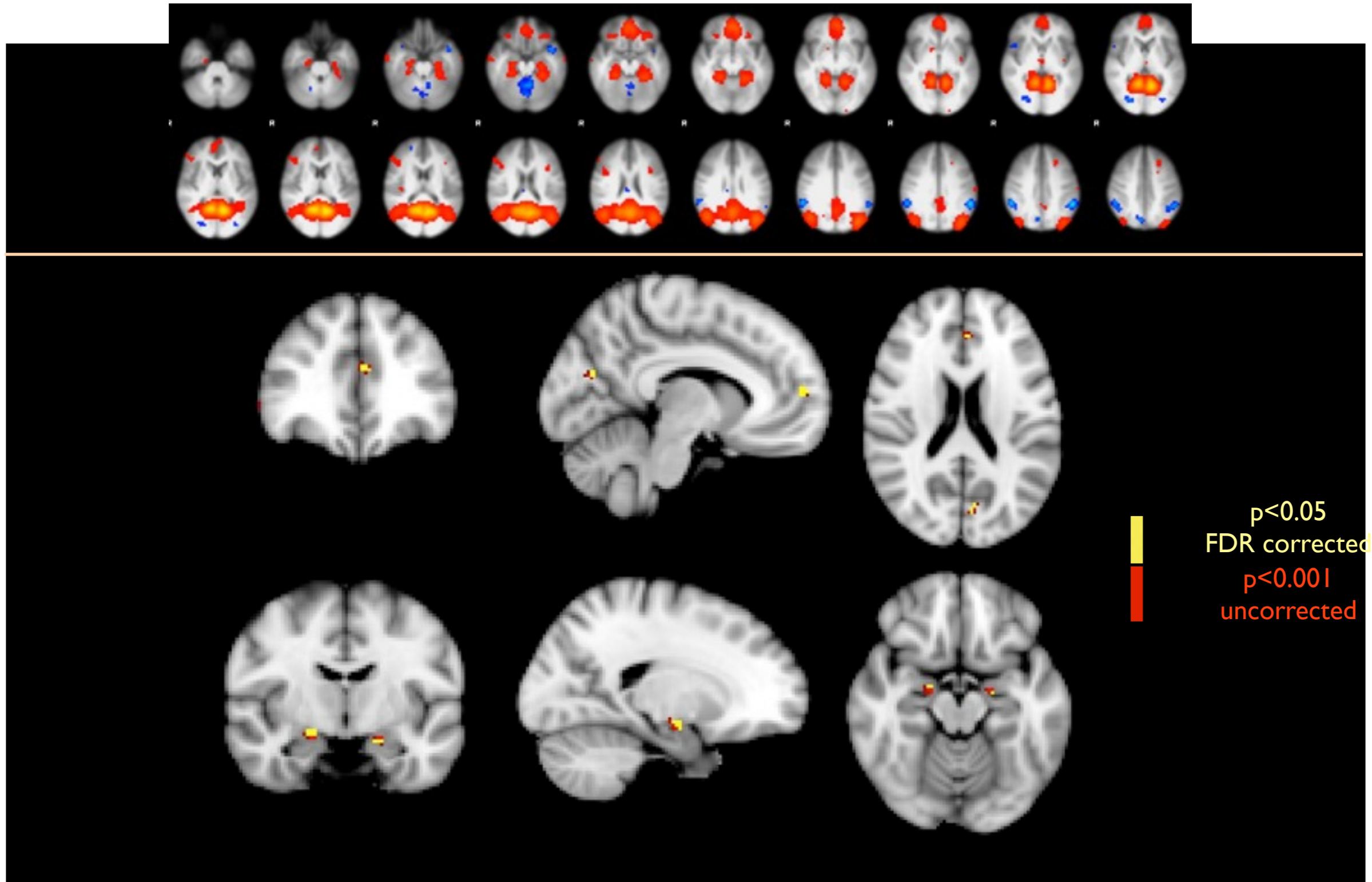


Resting data - DMN





Resting data - DMN



Development of RSNs during gestation

Emergence of resting state networks in the preterm human brain

Valentina Doria^a, Christian F. Beckmann^{b,c}, Tomoki Arichi^a, Nazakat Merchant^a, Michela Groppo^a, Federico E. Turkheimer^b, Serena J. Counsell^a, Maria Murgasova^d, Paul Aljabar^d, Rita G. Nunes^a, David J. Larkman^a, Geraint Rees^{e,f}, and A. David Edwards^{a,1}

^aInstitute of Clinical Sciences and ^bCentre for Neuroscience, Imperial College London, and Medical Research Council Clinical Sciences Unit, London, United Kingdom; ^cFunctional Magnetic Resonance Imaging of the Brain Centre, University of Oxford, Oxford OX3 9DU, United Kingdom; ^dFunctional Magnetic Resonance Imaging of the Brain Centre, University of Oxford, Oxford OX3 9DU, United Kingdom; ^eDepartment of Computing, Imperial College London, London SW7 2AZ, United Kingdom; ^fUniversity College London, London WC1N 3AR, United Kingdom; and ¹Wellcome Trust Centre for Neuroimaging at UCL, London WC1N 3BG, United Kingdom

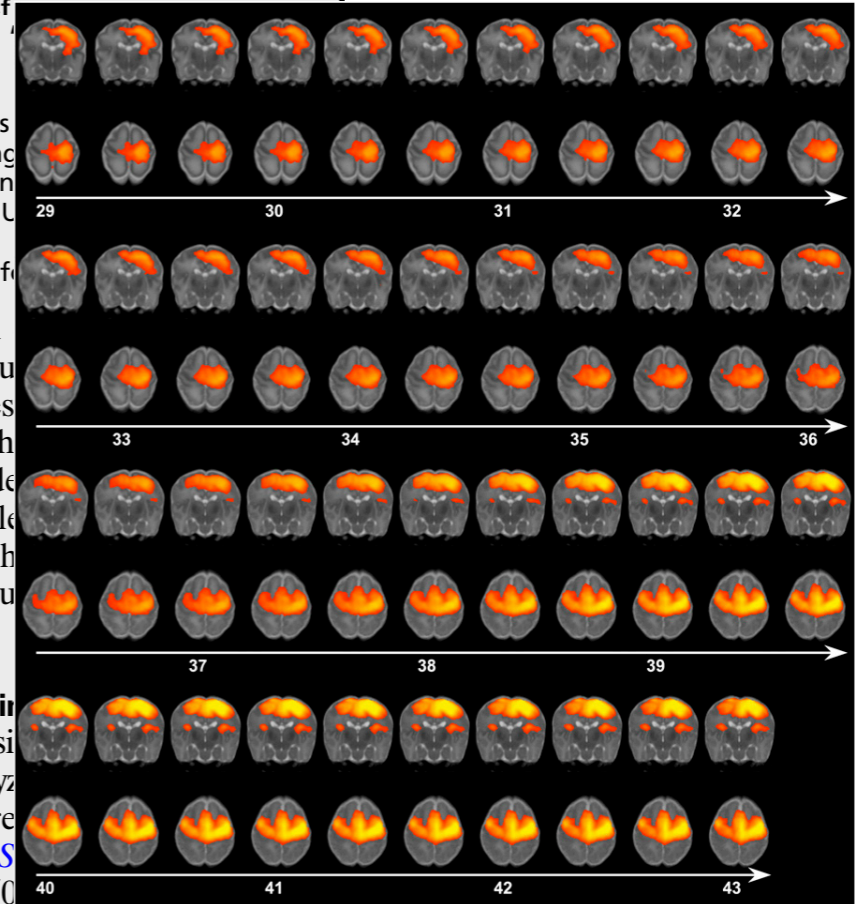
Edited by Marcus E. Raichle, The Washington University of St. Louis, St. Louis, MO, and approved September 28, 2010 (received for review July 14, 2010)

The functions of the resting state networks (RSNs) revealed by functional MRI remain unclear, but it has seemed possible that networks emerge in parallel with the development of related cognitive functions. We tested the alternative hypothesis: that the full repertoire of resting state dynamics emerges during the period of rapid neural growth before the normal time of birth at term (around 40 wk of gestation). We used a series of independent analytical techniques to map in detail the development of different networks in 70 infants born between 29 and 43 wk of postmenstrual age (PMA). We characterized and charted the development of RSNs from recognizable but often fragmentary elements at 30 wk of PMA to full facsimiles of adult patterns at term. Visual, auditory, somatosensory, motor, default mode, frontoparietal, and executive control networks developed at different rates; however, by term, complete networks were present, several of which were integrated with thalamic activity. These results place the emergence of RSNs largely during the period of rapid neural growth in the third trimester of gestation, suggesting that they are formed before the acquisition of cognitive competencies in later childhood.

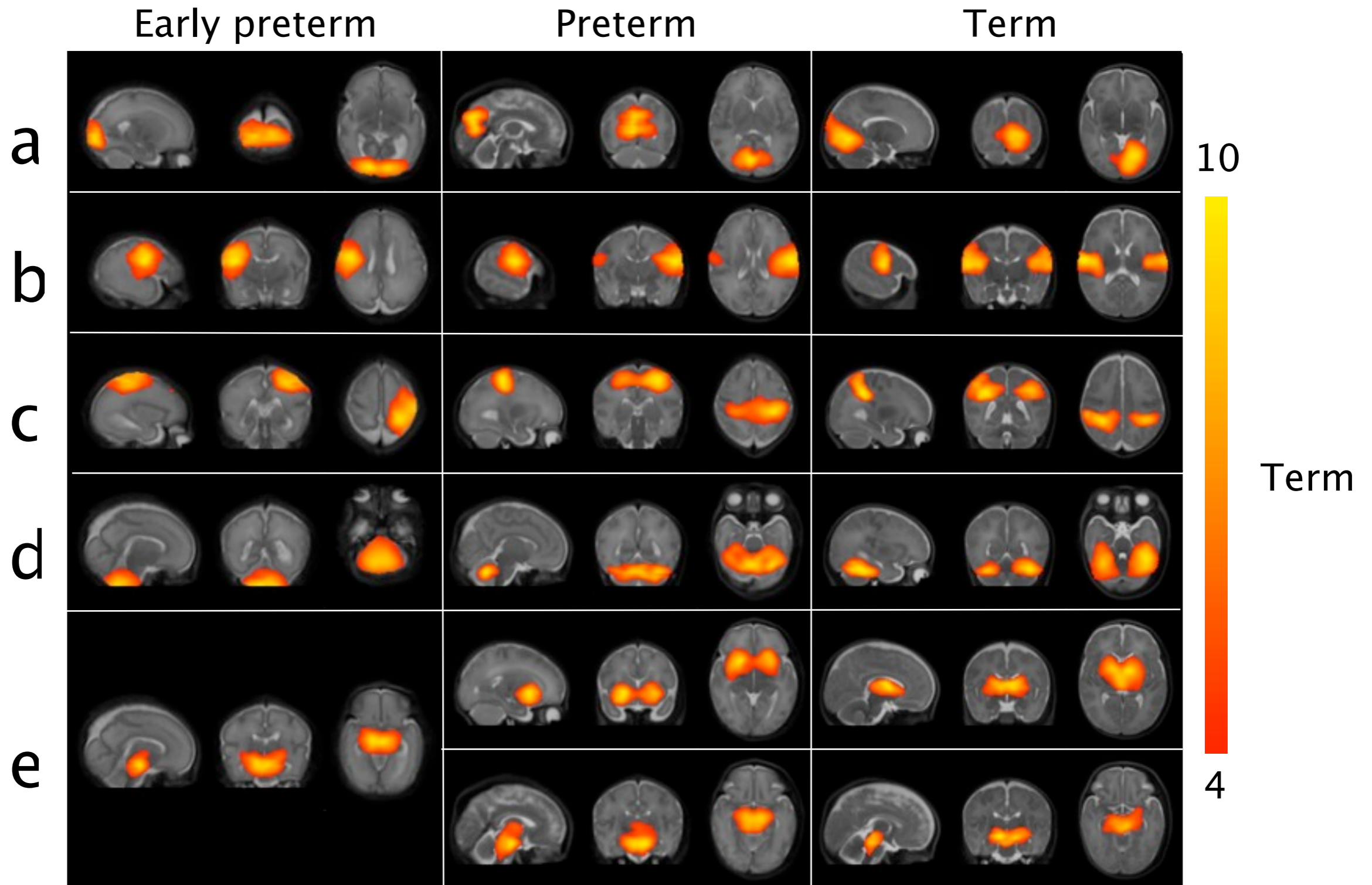
between 29 and 43 wk of PMA. We used independent component analysis (ICA) including improved registration and a unique thresholding scheme to identify RSNs of the developing brain with consistent thresholds. We used a data-driven exploratory analysis and hypothesis testing to show that synchronous BOLD activity defines primary RSNs in preterm infants into facsimiles of adult patterns at term, including involvement of relevant thalamic activity. We quantify the growing network coherence during gestation.

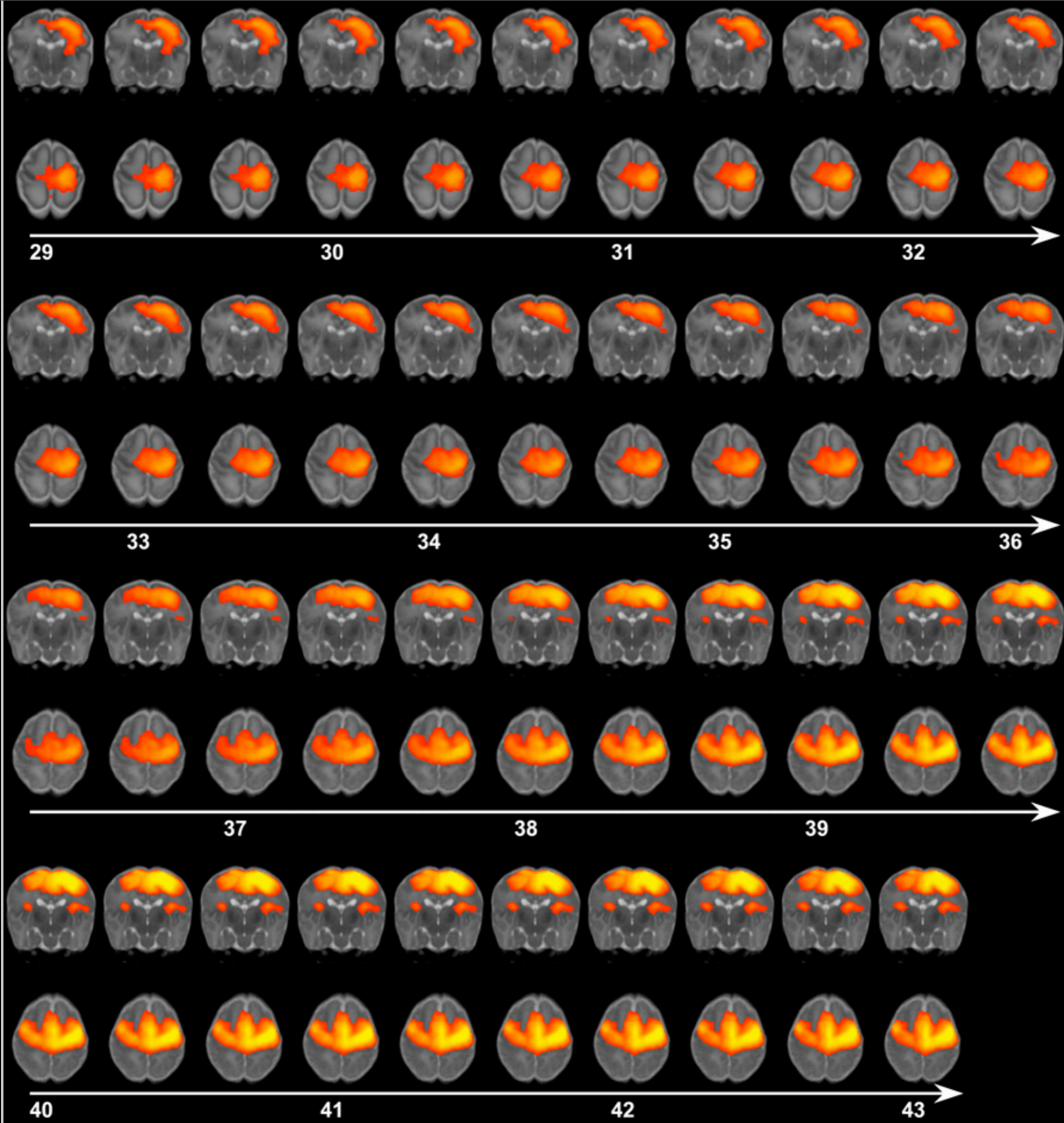
Results

Identification of RSNs During the Third Trimester We used probabilistic independent component analysis (pICA) for the free detection of RSNs (Fig. 1). We analyzed data from resting state fMRI in early preterm, preterm, and term infants (full details are presented in *Methods* and *Supplemental Materials*). Analysis revealed correlated low-frequency (0.01–0.1 Hz) distributed BOLD signals that characterized recognizable neuro-anatomical systems comparable to adult networks, including vi-

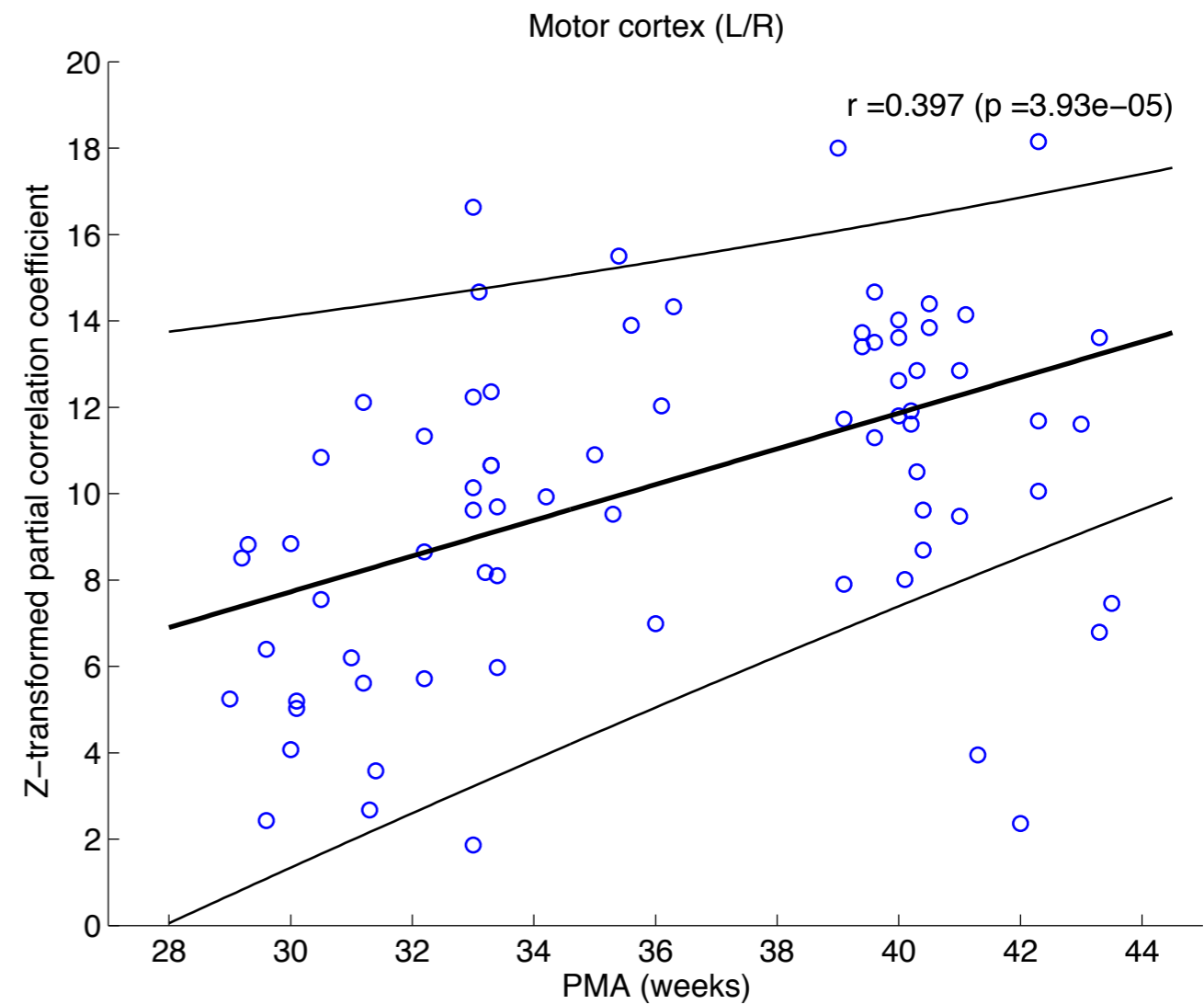
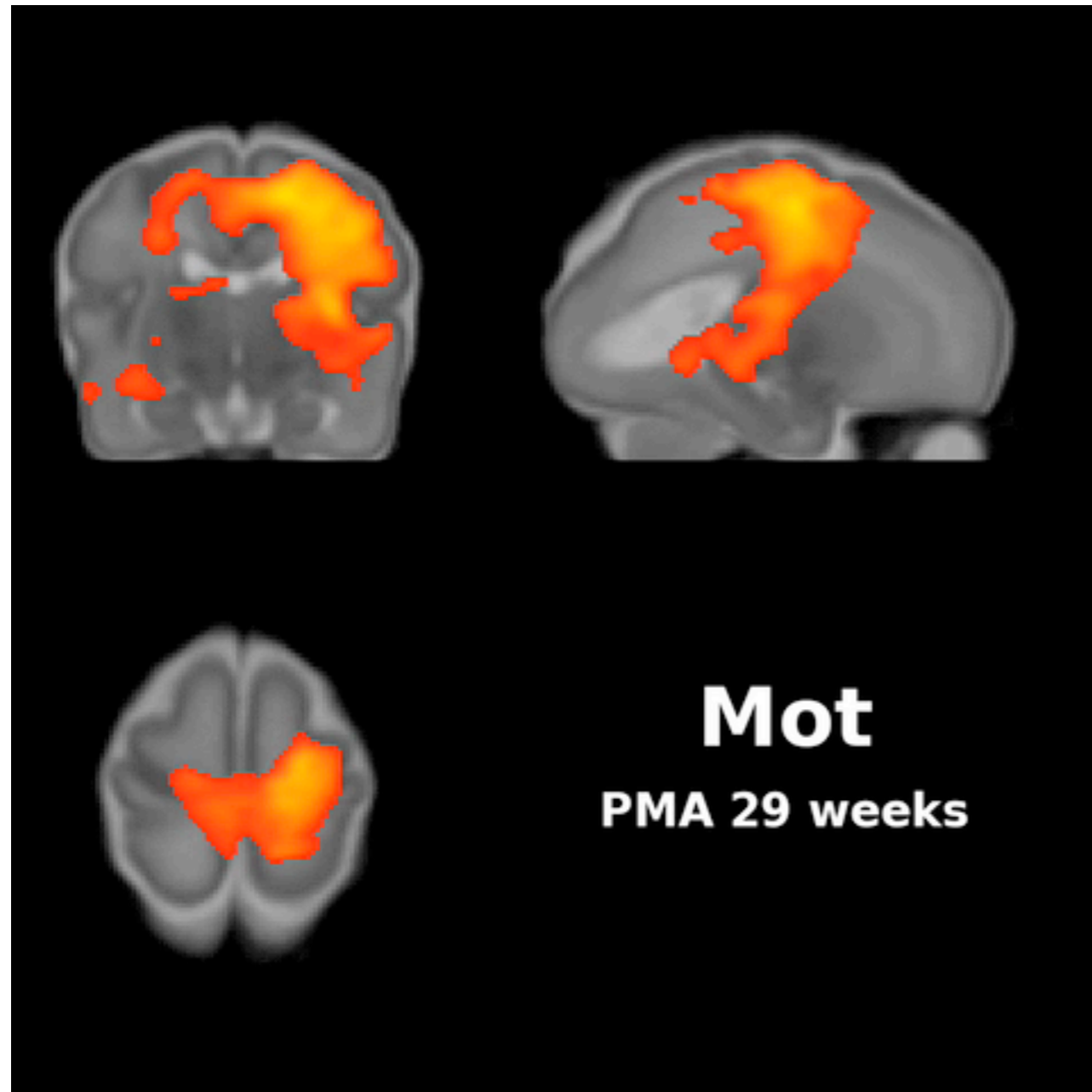


RSNs in infants



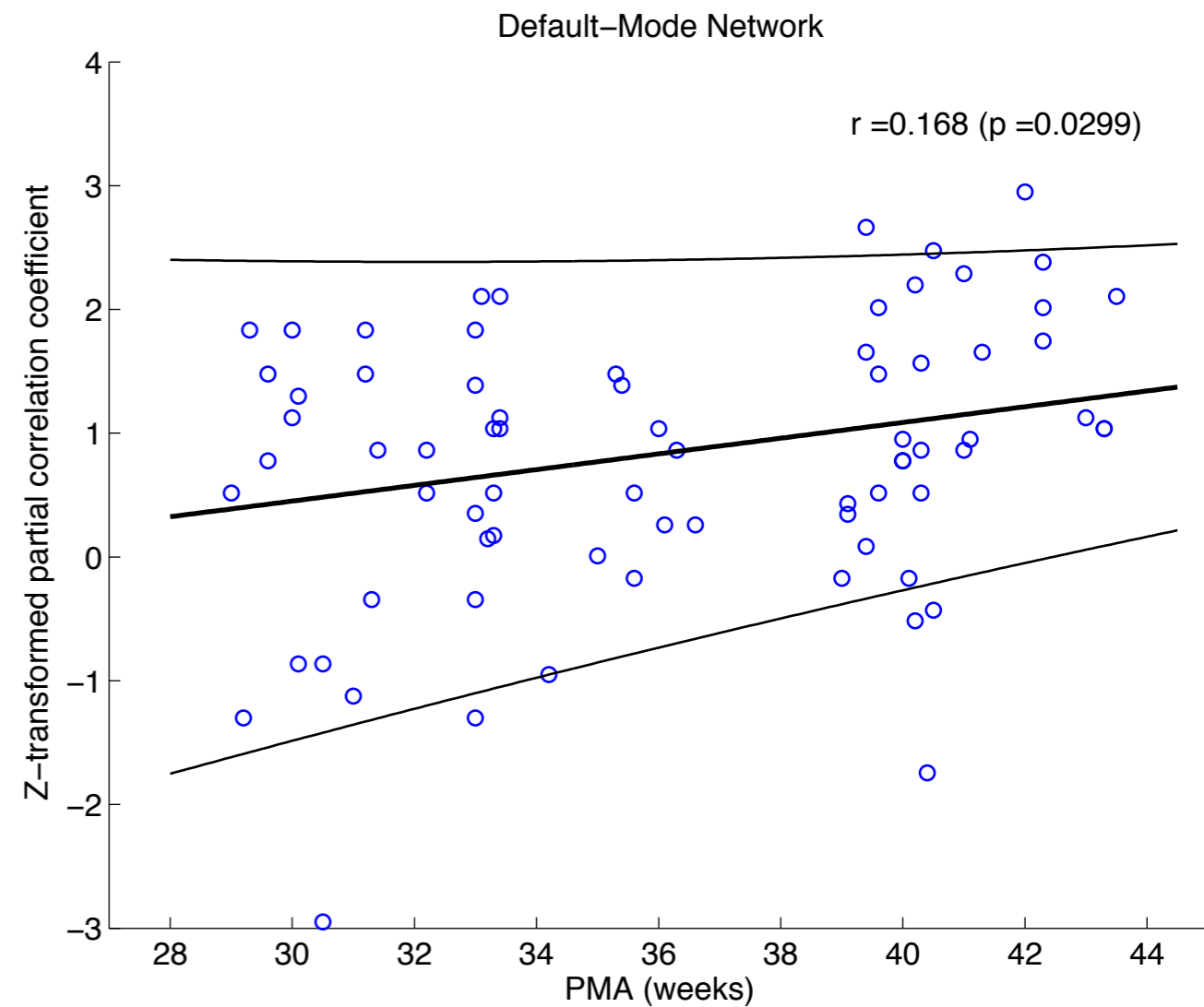
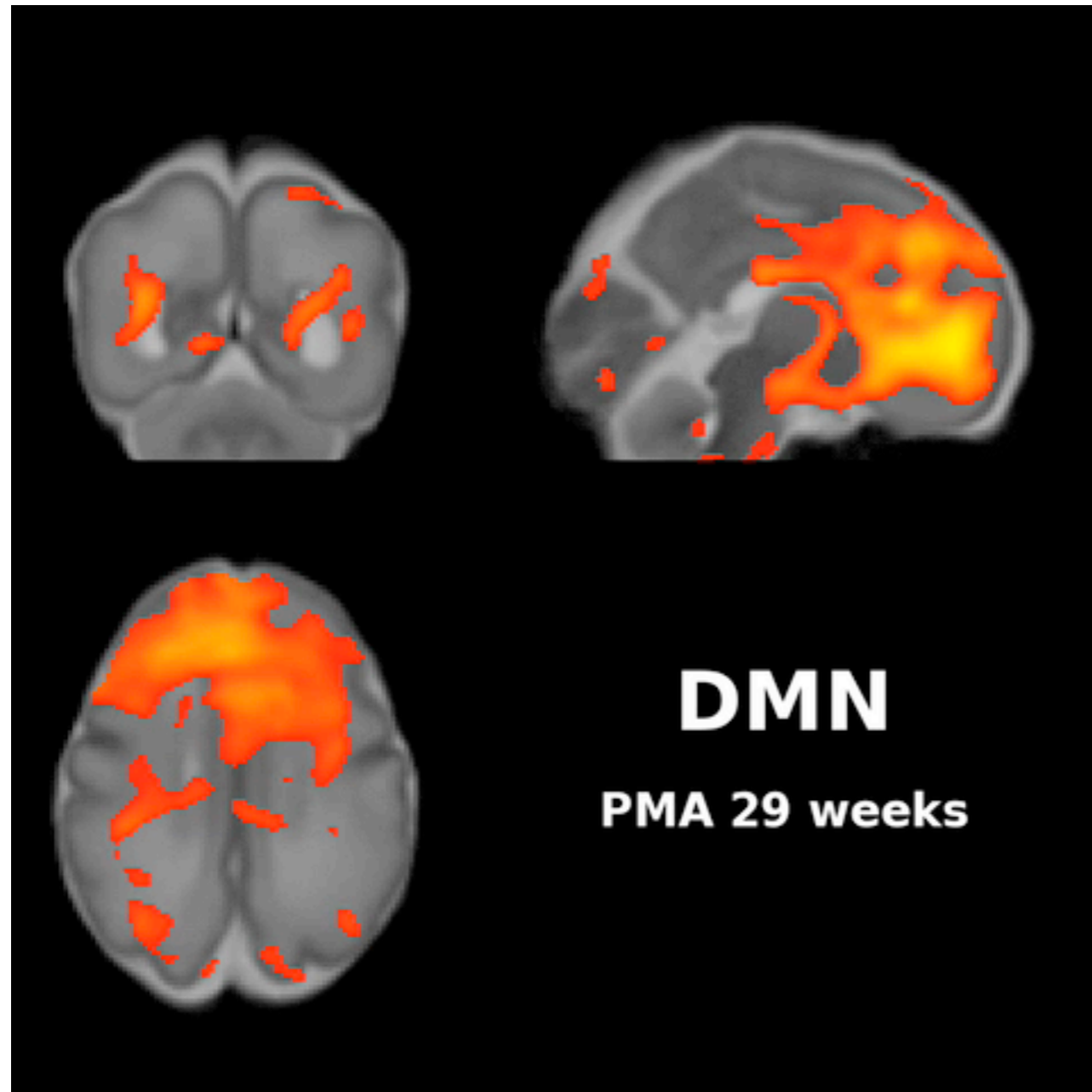


Dynamics of RSNs



Doria, V. et al, PNAS 2010

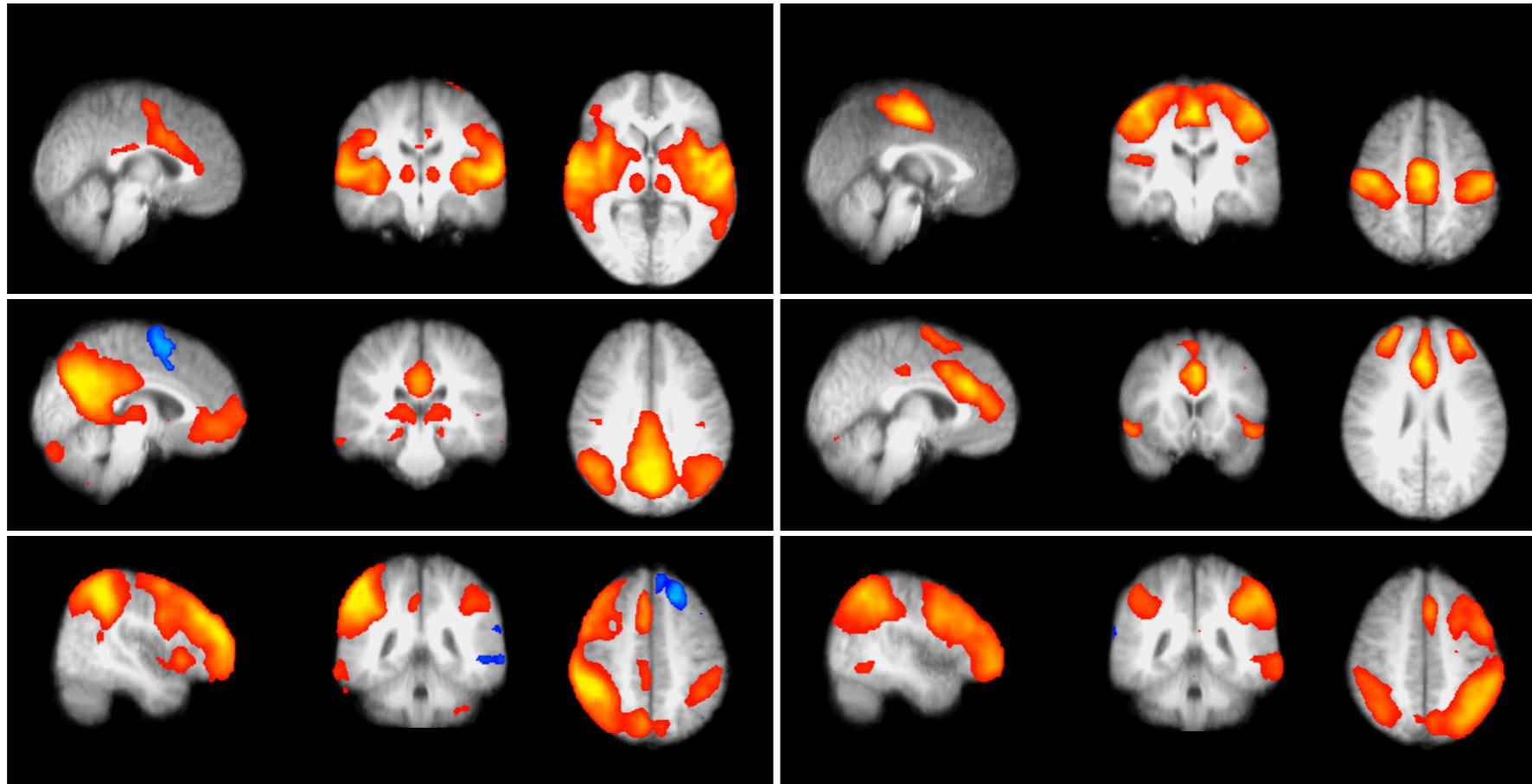
Dynamics of RSNs



Doria, V. et al, PNAS 2010

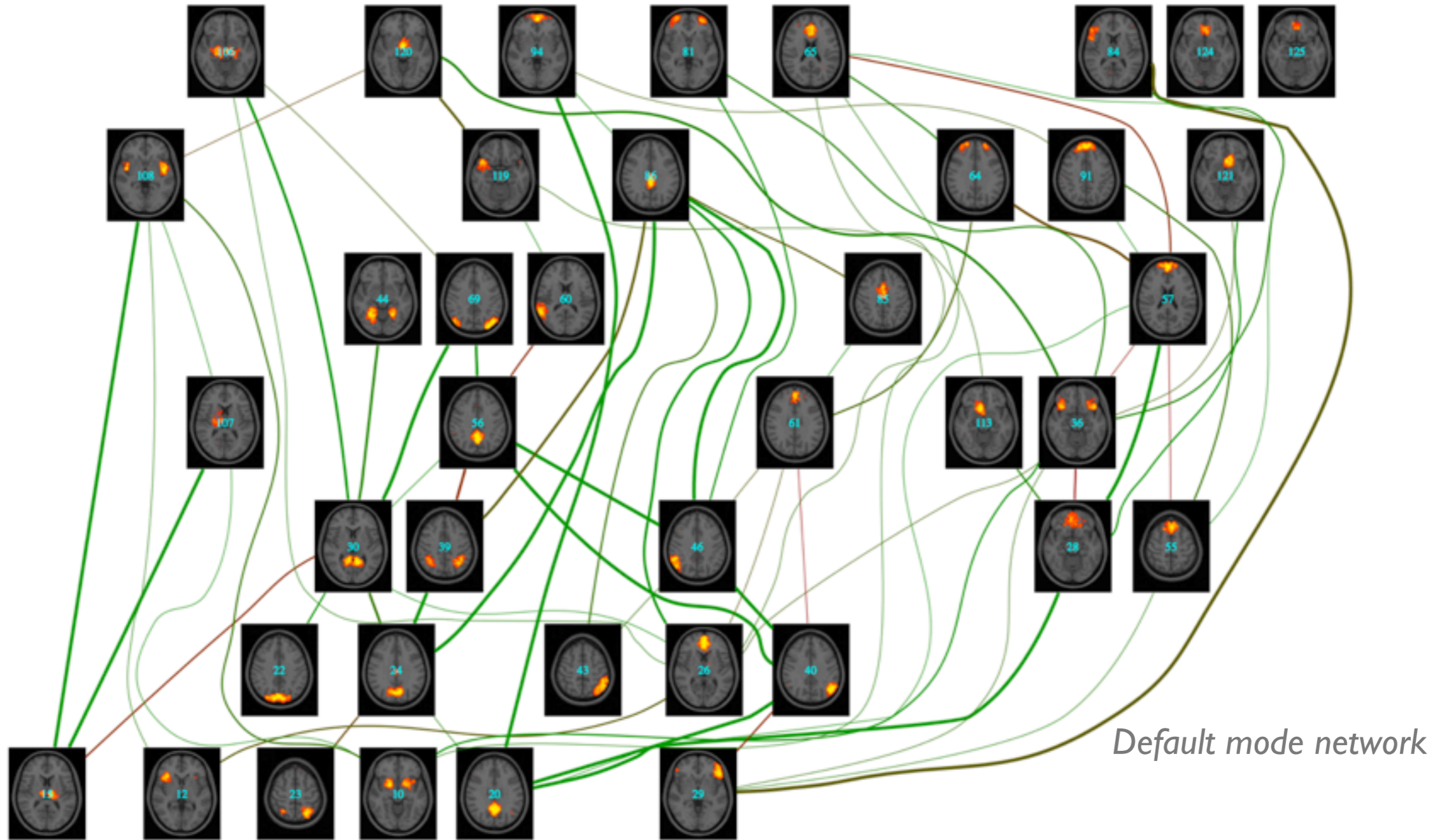
Network Modelling

- Seed-correlation maps, or low-dim ICA, give “networks”



- But these are gross patterns
 - Each map summarised by single timecourse
 - Too simple a model to do more detailed network analysis
- Instead, get detailed parcellation....

Detailed Network Analyses

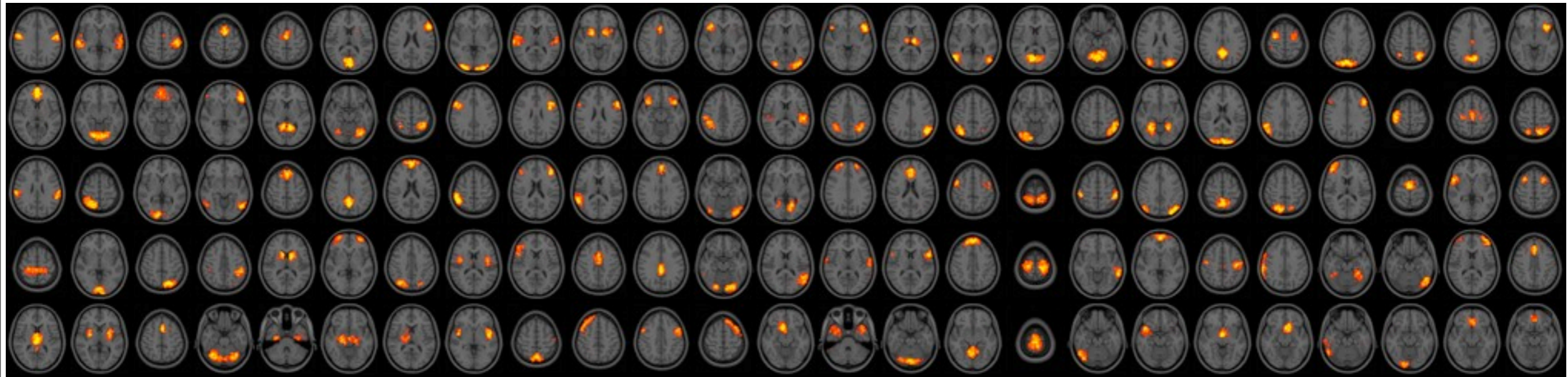


A network model comprises:

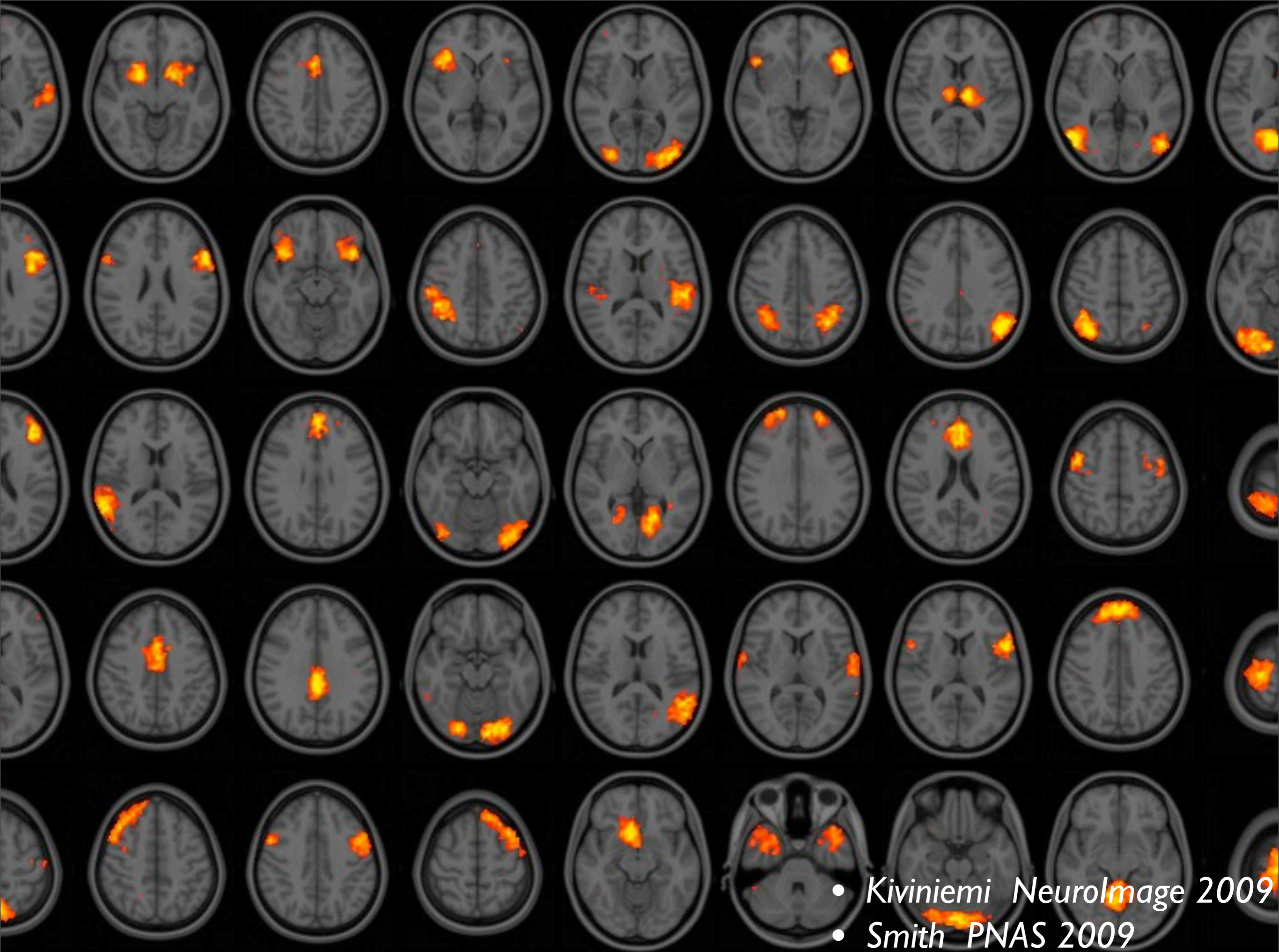
- **“Nodes”** distinct functional voxels/regions
- **“Edges”** connections between nodes



Nodes: e.g. from high-dimensional ICA

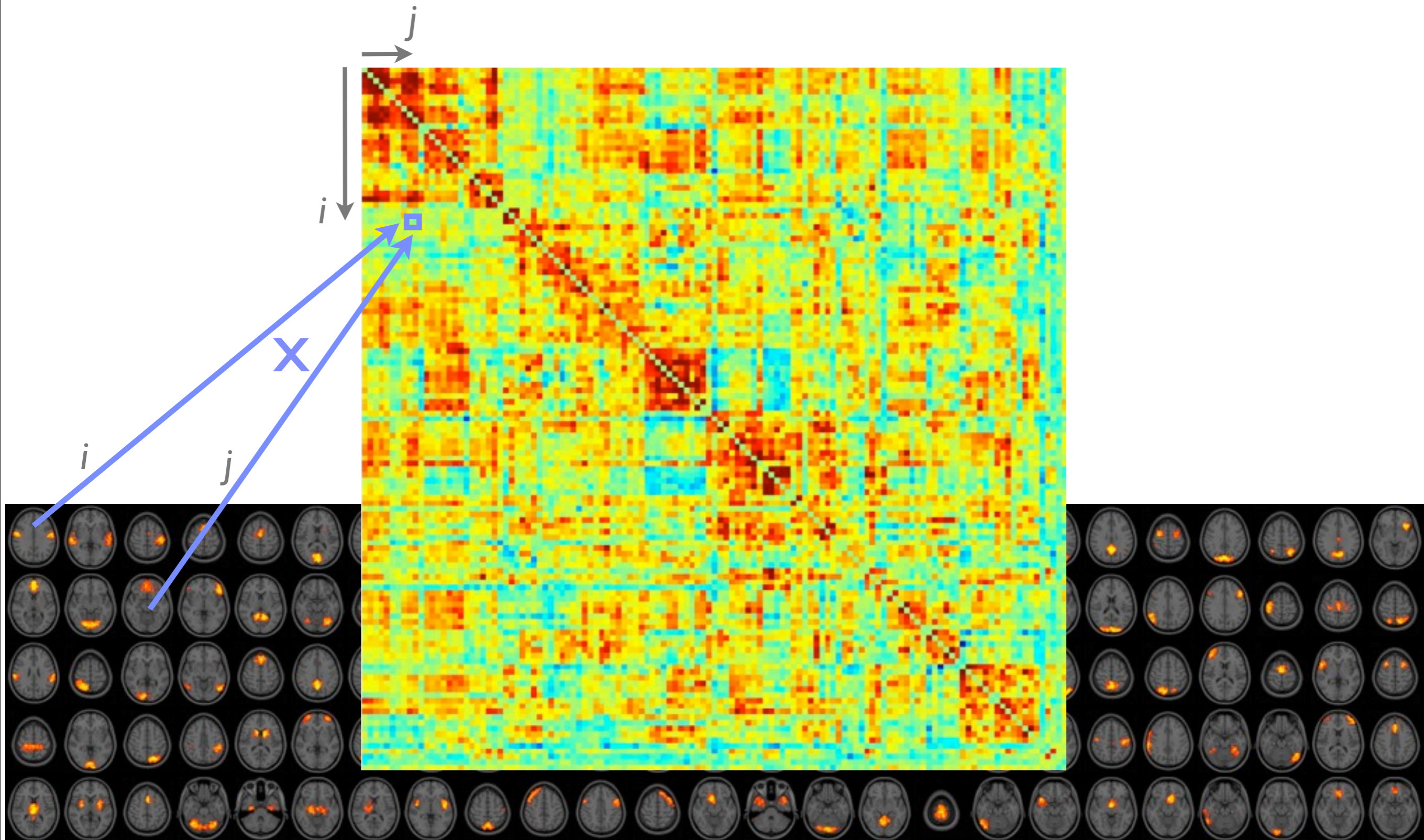


- *Kiviniemi NeuroImage 2009*
- *Smith PNAS 2009*



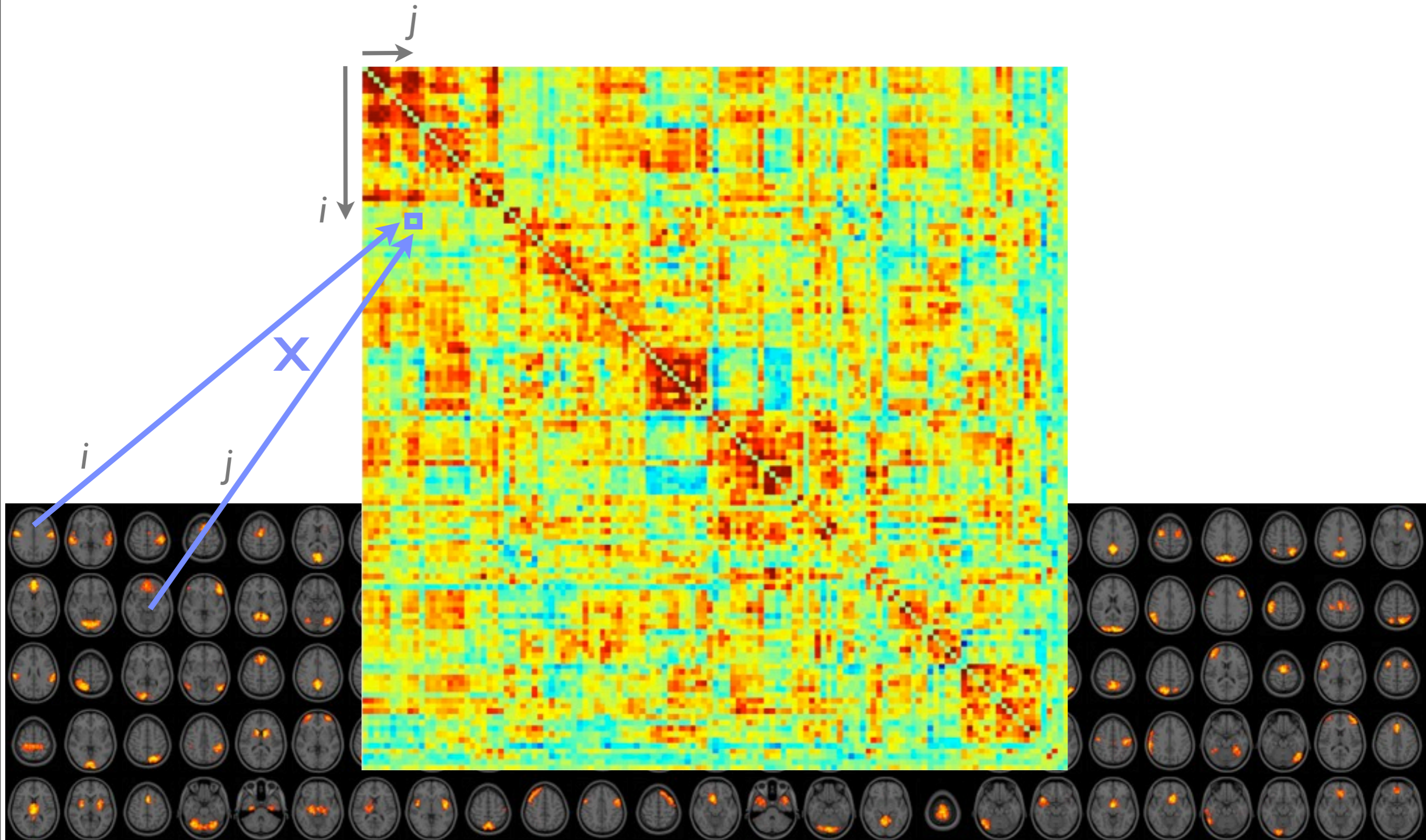
- *Kiviniemi Neurolmage 2009*
- *Smith PNAS 2009*

Edges: estimate connectivity between all pairs of nodes

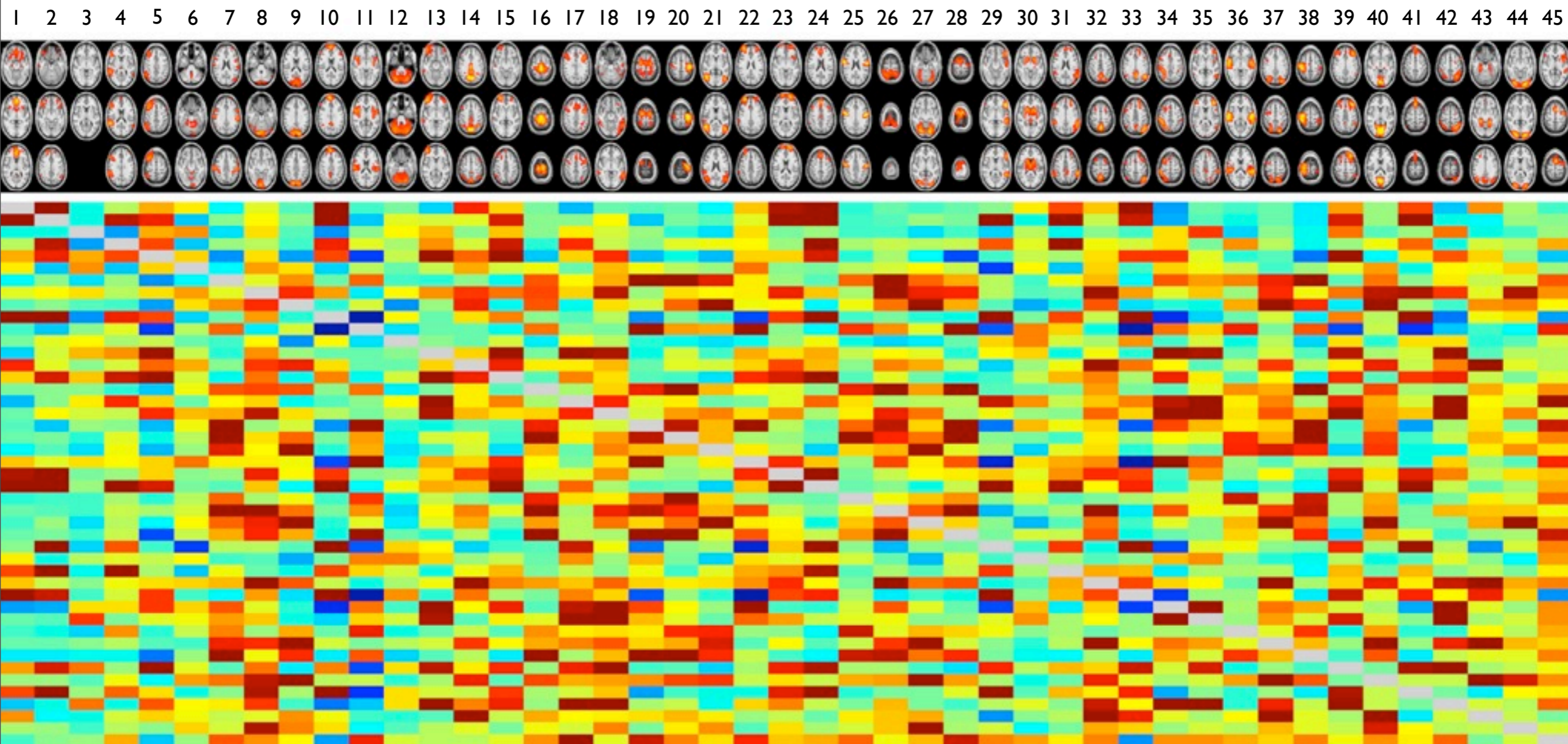


Edges: estimate connectivity between all pairs of nodes

But: what is the right method for estimating connectivity, based on fMRI timeseries?

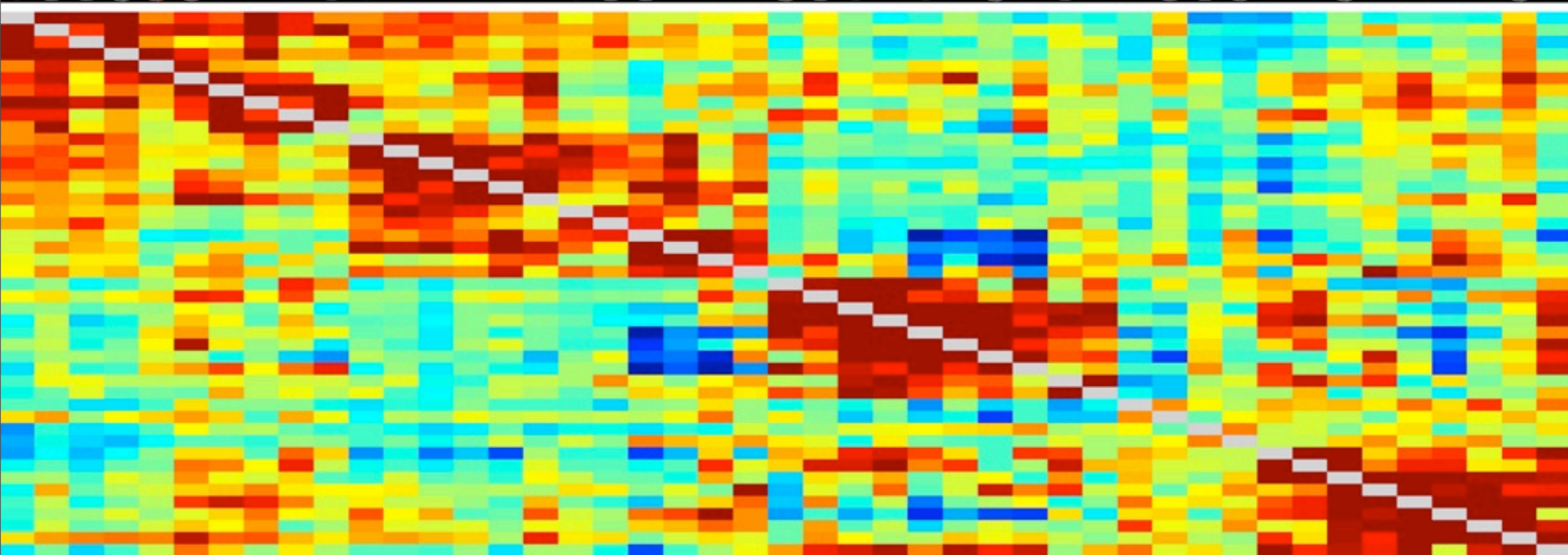
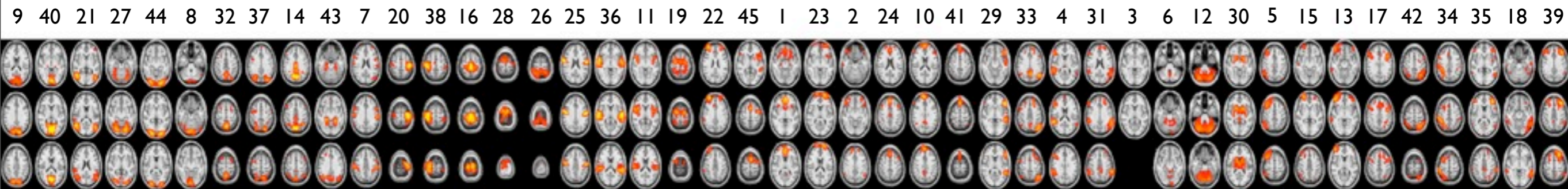


so we get a *Nodes x Nodes* network matrix



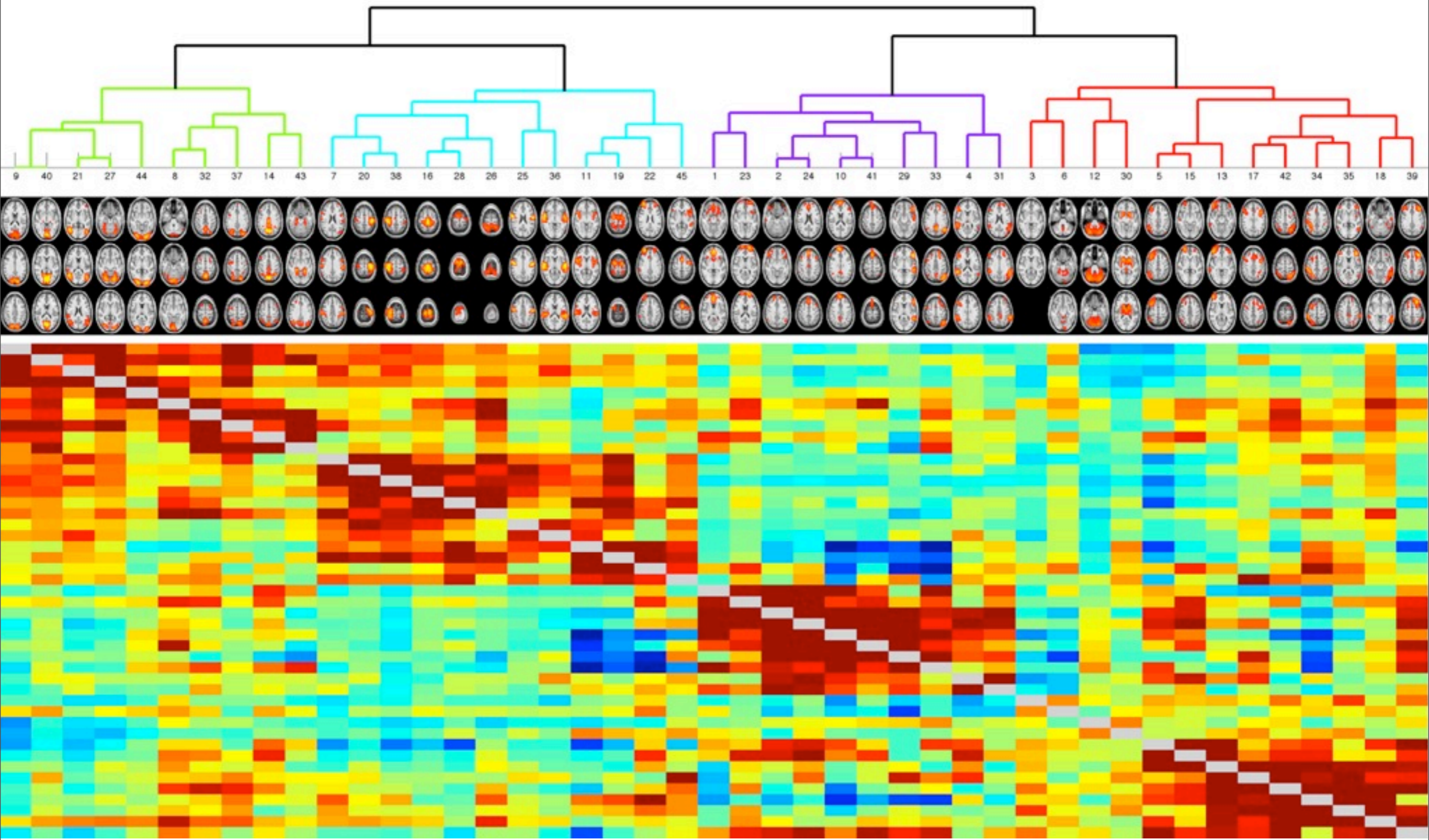


reorder node ordering to find clusters





can view hierarchy of clusters

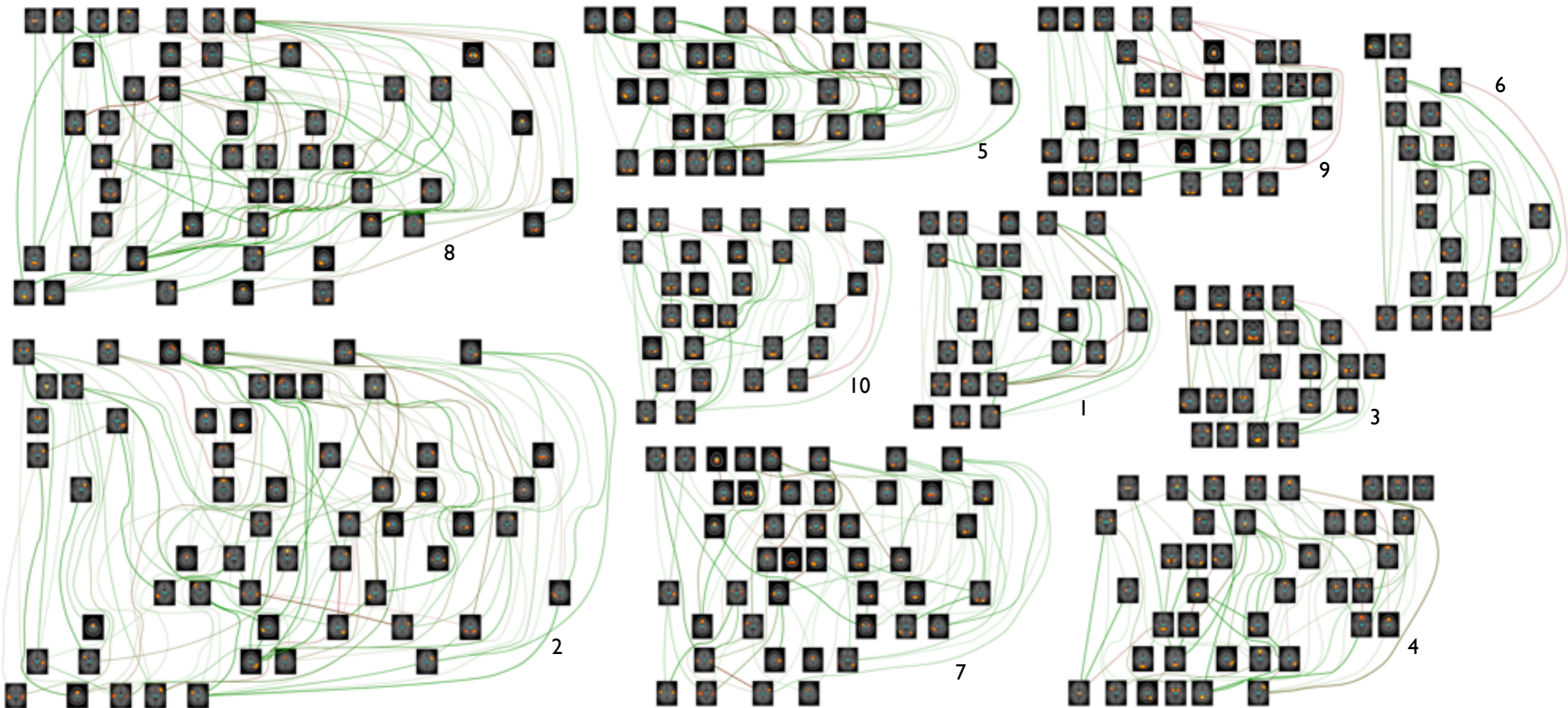


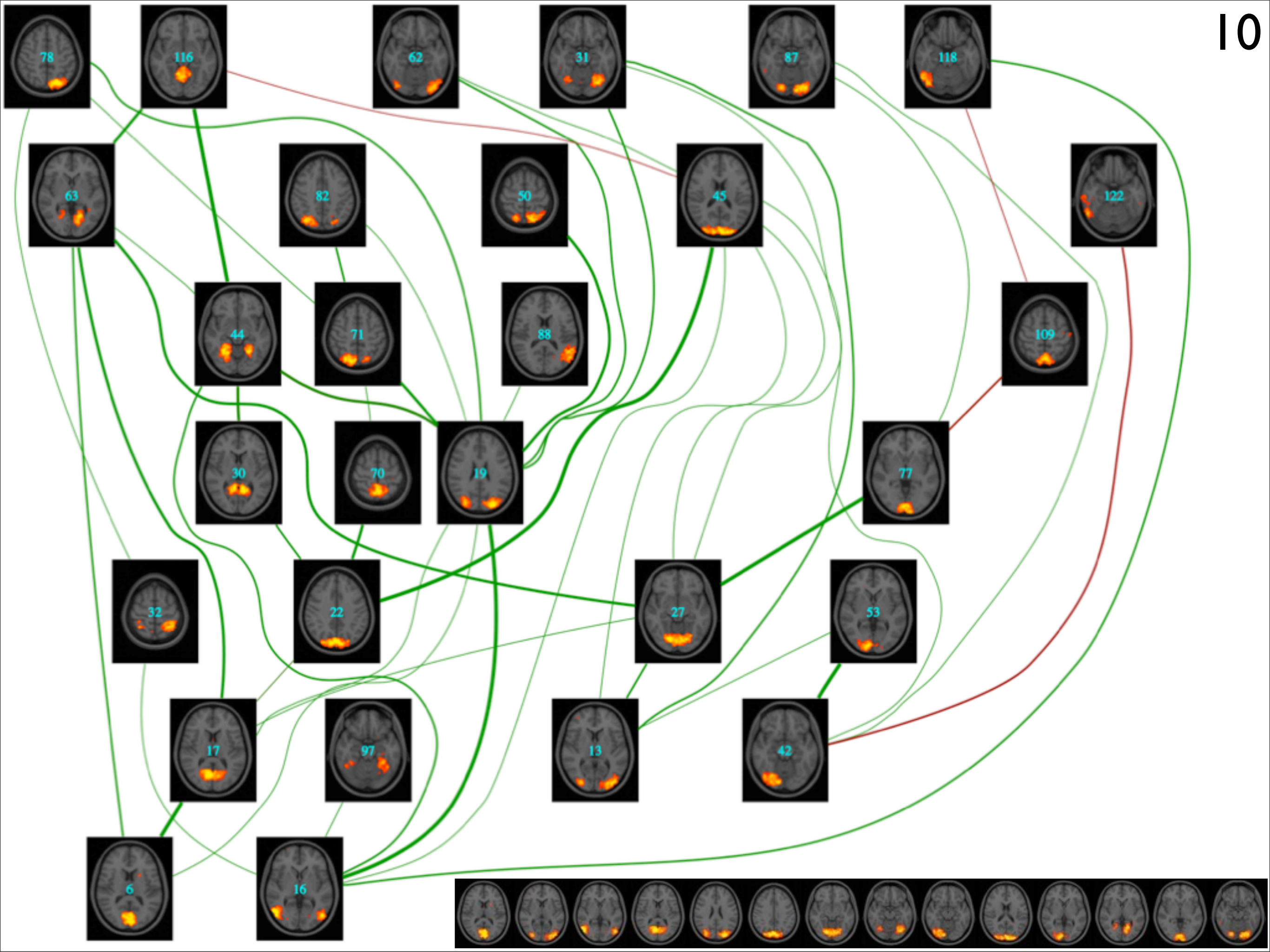
- Cordes MRI 2002
- Salvador Cerebral Cortex 2005



Brain Networks!

- Take each of the clusters of nodes (“sub-networks”) from RSNs
 - Include connected nodes from other clusters (“off-diagonals”)
 - Plot all connections - thicker is stronger





Acknowledgements

- *Steve Smith*, Clare Mackay, Nicola Filippini, Mark Woolrich, Tim Behrens, Heidi Johansen-Berg (FMRIB Oxford)
- David Cole, Tomoki Arichi, David Edwards, Valentina Doria (Imperial College London)
- Serge Rombouts (LUMC Leiden)
- Lisa Nickerson (Boston)
- *The Human Connectome Project*
- Rami Niazy (Rijad)
- Peter Fox, Angie Laird (RIC San Antonio)



That's all folks

