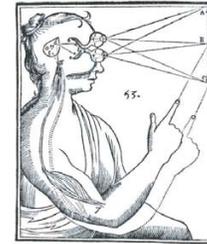


UCLA NITP  
July 2011

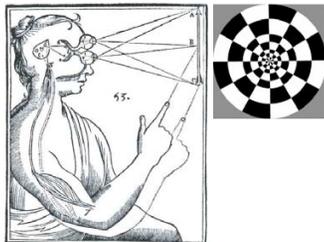
## Relating Neurophysiology and Imaging Signals

Richard B. Buxton  
University of California, San Diego

## Signals Reflecting Brain Activity

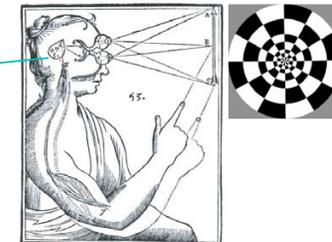


## Signals Reflecting Brain Activity

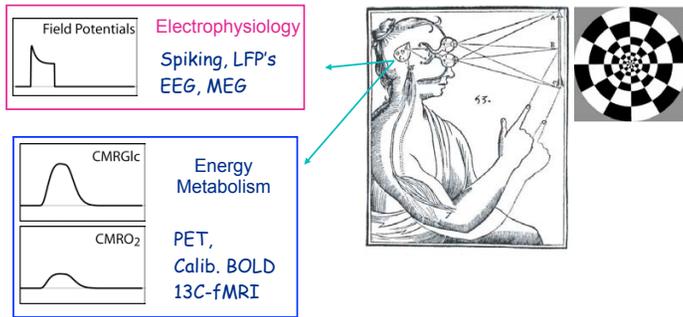


## Signals Reflecting Brain Activity

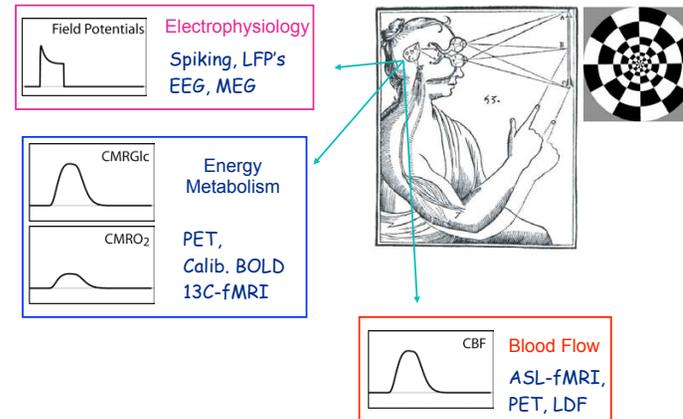
Field Potentials  
 Electrophysiology  
Spiking, LFP's  
EEG, MEG



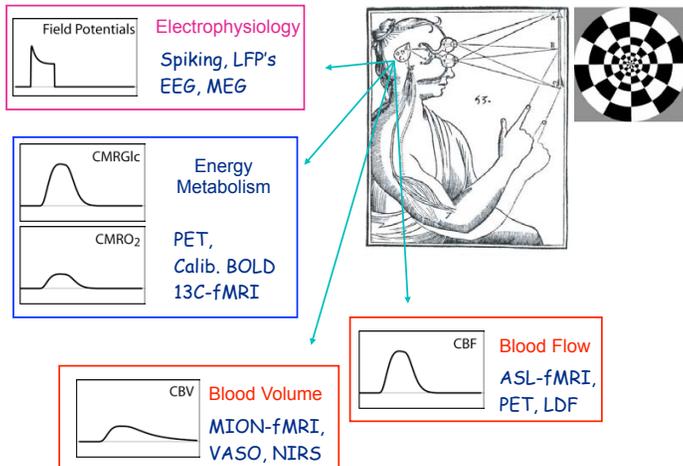
## Signals Reflecting Brain Activity



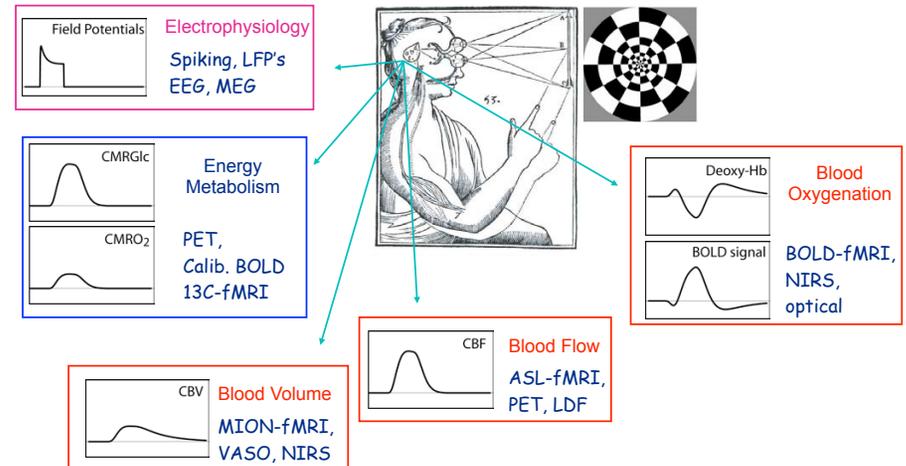
## Signals Reflecting Brain Activity



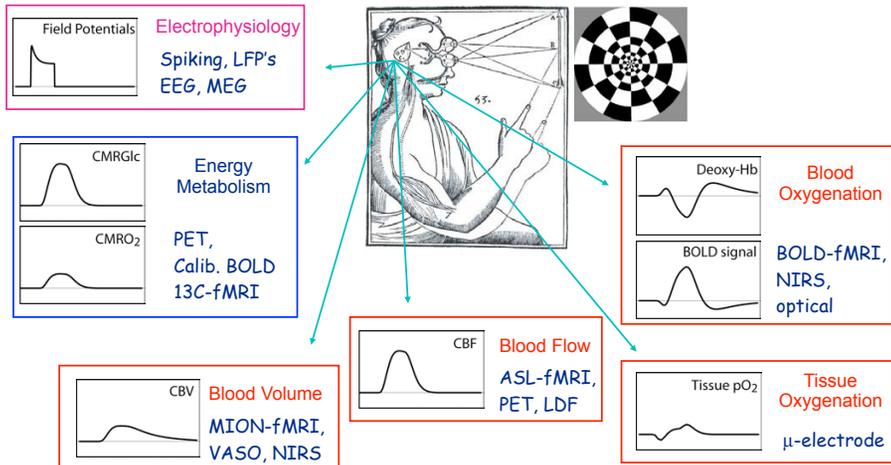
## Signals Reflecting Brain Activity



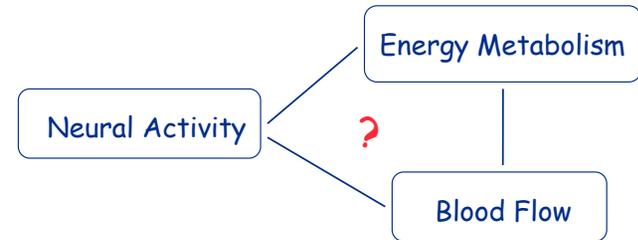
## Signals Reflecting Brain Activity



## Signals Reflecting Brain Activity



## How does it all fit together?



*"The view that the hemodynamic response is coupled to signaling processes represents a conceptual shift from the traditional idea that the energy demands of the tissue directly determine the flow increase associated with neural activation."*  
Attwell and Iadecola (2002)

*"Future issues to be resolved: 1) What function(s) does regional brain-blood flow perform when neuronal activity changes?"*  
Raichle and Mintun (2006)

## Outline

- Energy metabolism
- Neural activity
- Cerebral blood flow
- Current ideas

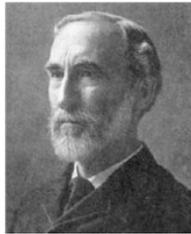
## Energy Metabolism

## Gibbs Free Energy Change

Gibbs free energy ( $\Delta G$ ) encompasses both energy and entropy changes: in any transformation the **net  $\Delta G$  must be negative**.

Any system far from equilibrium will have a negative  $\Delta G$  if it moves toward equilibrium.

A process that increases  $\Delta G$  in one system can occur if it is coupled to another system with a larger decrease in  $\Delta G$ .



J. Willard Gibbs

**The brain needs sources of free energy to drive uphill reactions and for signaling.**

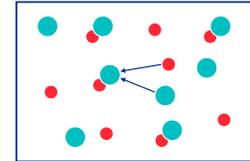
## Sources of $\Delta G$

Systems far from equilibrium

**Chemical imbalance:**

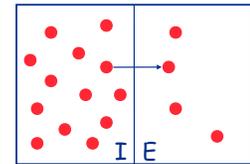


$$\Delta G \sim -\ln \frac{[A][B]}{[C]}$$



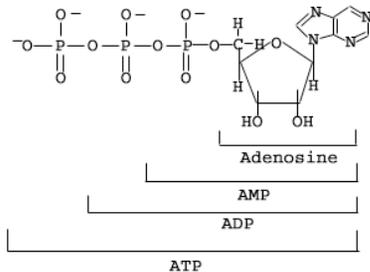
**Gradients across a membrane:**

$$\Delta G \sim -\ln \frac{[C_I]}{[C_E]}$$



For ions,  $\Delta G$  depends on potential as well as concentration gradient

## Adenosine Triphosphate (ATP)



At equilibrium,

$$\frac{[ATP]}{[ADP]} \ll 1$$

But in the brain

$$\frac{[ATP]}{[ADP]} \approx 10$$

$\Delta G$  depends on the phosphorylation potential:  $\ln \frac{[ATP]}{[ADP][P_i]}$

## Ion Distributions and the Sodium/Potassium Pump

**Ion distributions** (intra- vs extra-cellular):

Sodium ( $Na^+$ ) is far from equilibrium  
Potassium ( $K^+$ ) is closer to equilibrium

**Sodium pump:** The Na/K ATPase couples conversion of ATP  $\rightarrow$  ADP to moving  $Na^+$  and  $K^+$  across the membrane

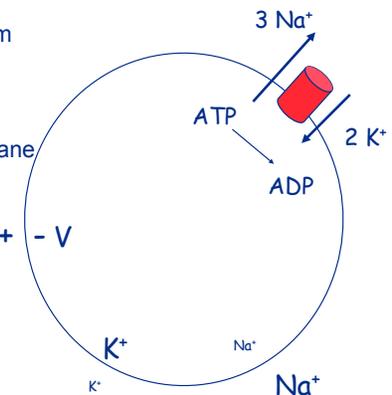
$$\Delta G = \Delta G_{ATP} + \Delta G_{Na} + \Delta G_K + -V$$

**$\Delta G$ :**

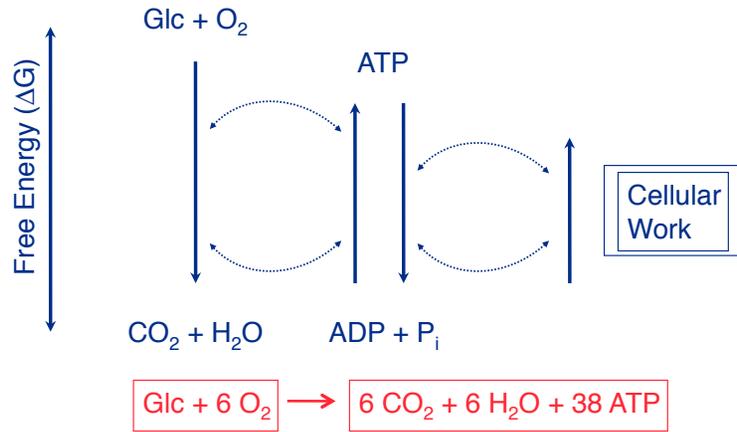
ATP  $\rightarrow$  ADP strongly negative

$Na^+(I) \rightarrow Na^+(E)$  strongly positive

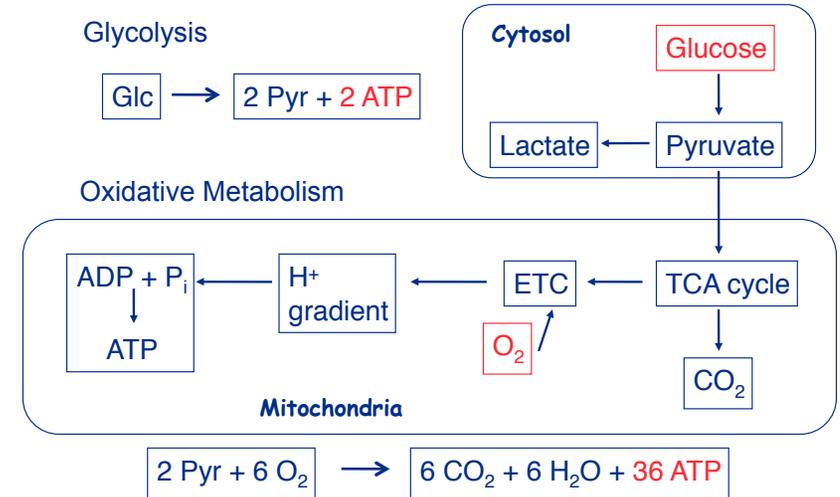
$K^+(E) \rightarrow K^+(I)$  weakly positive



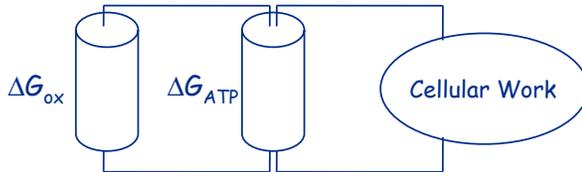
## Bioenergetics



## Generation of ATP



## Biological Batteries



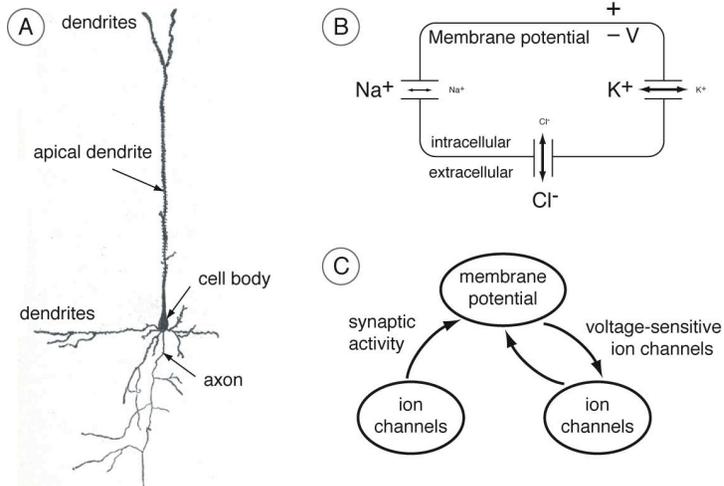
Free energy, either to drive uphill reactions or for signaling, is available from subsystems that are far from equilibrium:

$$\frac{[\text{Pyr}][\text{O}_2]^3}{[\text{CO}_2]^3} \quad \frac{[\text{H}^+]_o}{[\text{H}^+]_i} \quad \frac{[\text{ATP}]}{[\text{ADP}][\text{P}_i]} \quad \frac{[\text{Na}^+]_E}{[\text{Na}^+]_i} \quad \frac{[\text{Ca}^{++}]_E}{[\text{Ca}^{++}]_i}$$

Environment    Mitochondria    Cell    Cell Membrane

## Neural Activity

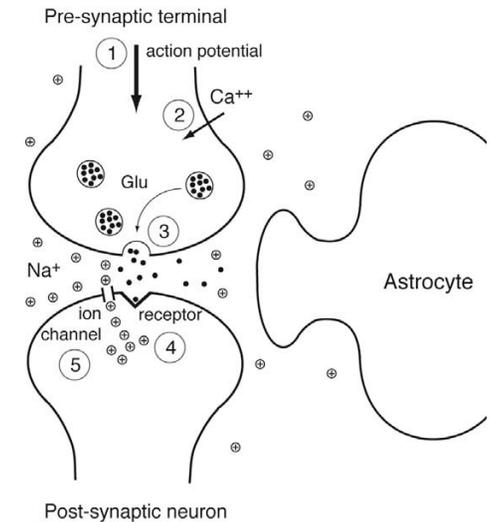
## Neuronal Signaling



## Synaptic activity initiates ion fluxes

**Pre-synaptic Activity:**  
Arrival of an action potential (1) opens  $\text{Ca}^{++}$  channels, and  $\text{Ca}^{++}$  influx (2) causes vesicles to release glutamate into the synaptic cleft (3).

**Post-synaptic Activity:**  
Glutamate binds to post-synaptic receptors (4) opening  $\text{Na}^+$  channels allowing many sodium ions to flow down their gradient into the post-synaptic neuron (5).

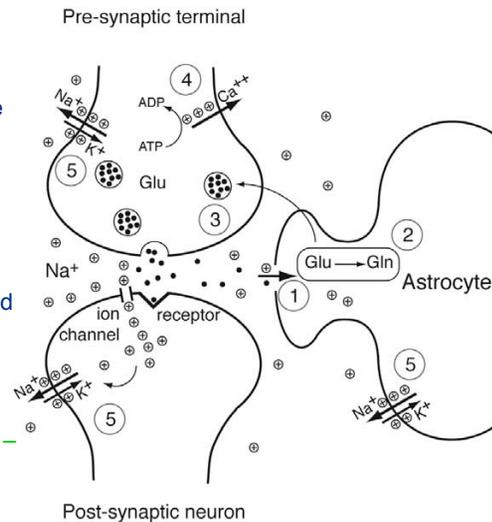


## Recovery from signaling requires free energy

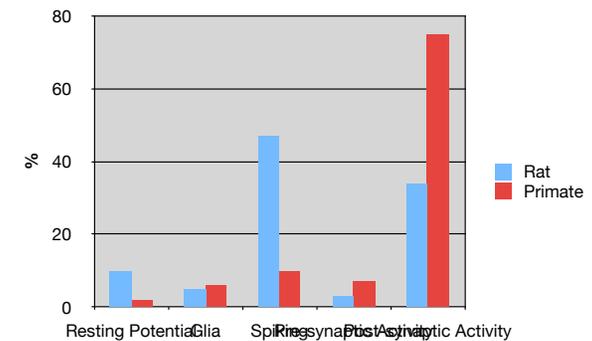
**Astrocyte Activity:**  
Glutamate is taken up by the astrocyte (1 -  $\text{Na}^+$  gradient), and converted to glutamine (2 - ATP).

**Pre-synaptic Activity:**  
Glutamine diffuses to pre-synaptic terminal, converted to glutamate and concentrated in vesicles (3 - ATP), and  $\text{Ca}^{++}$  ions are pumped out (4 - ATP).

**Post-synaptic Activity:**  
 $\text{Na}^+$  ions are pumped out and  $\text{K}^+$  ions are pumped in by the  $\text{Na}^+/\text{K}^+$  pump (5 - ATP).



## Brain Energy Budget

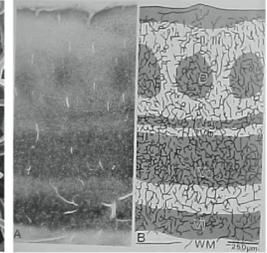
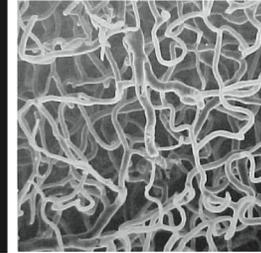
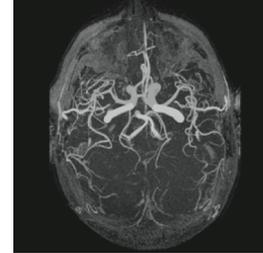


Most of the energy is consumed by the  $\text{Na}^+/\text{K}^+$  pump in recovering from post-synaptic excitatory activity

Attwell and Laughlin (2001)

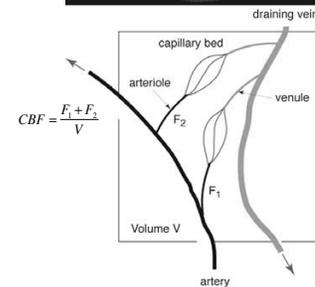
## Cerebral Blood Flow

## Cerebral Blood Flow



Duvernoy, et al 1981

Zheng, et al 1991



CBF = Rate of delivery of arterial blood to an element of tissue:

Human brain: CBF ~ 60 ml/(100 g)-(min)  
~ 0.6 ml/ml-min

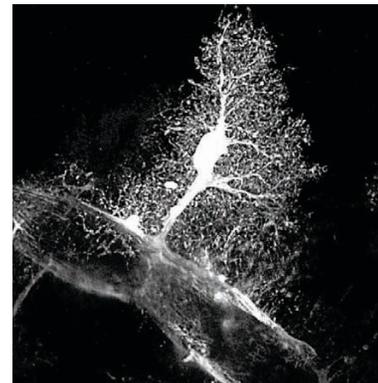
## Control of CBF

**Systemic:** hormonal and neural effects control the distribution of blood flow to different parts of the body while maintaining CBF

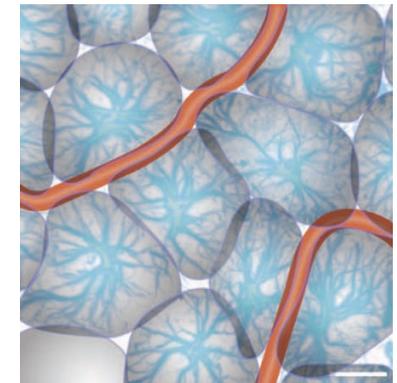
**Autoregulation:** If blood pressure drops, cerebrovascular resistance decreases to maintain CBF

**Functional activity:** Local neural activity increases CBF, but function is still unclear

## Astrocytes bridge neurons and vessels

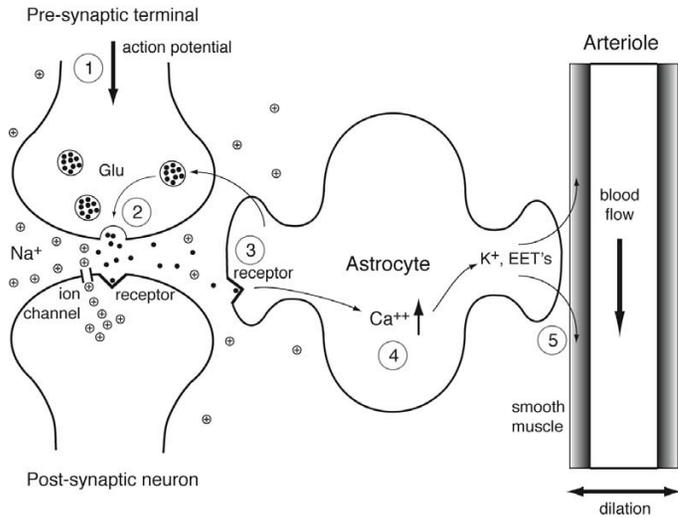


Single astrocyte expressing GFP, 2-photon imaging

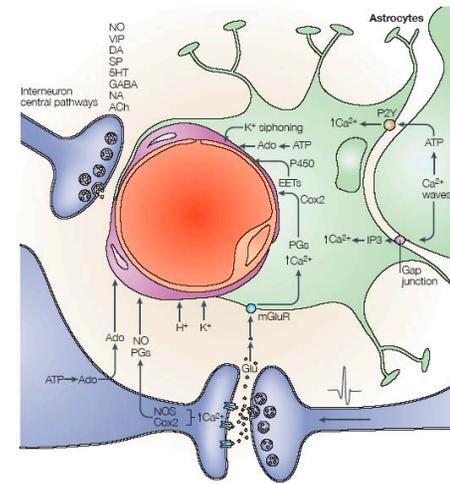


Schematic of astrocytes organized along vessels

## Blood flow changes with neural activation



## Mechanisms of CBF Control



**Vasoactive ions:**  
 $K^+$ ,  $H^+$ ,  $Ca^{++}$

**Diffusible gases:**  
Nitric oxide (NO),  
Carbon monoxide (CO)

**Metabolic factors:**  
lactate,  $CO_2$ , hypoxia,  
adenosine

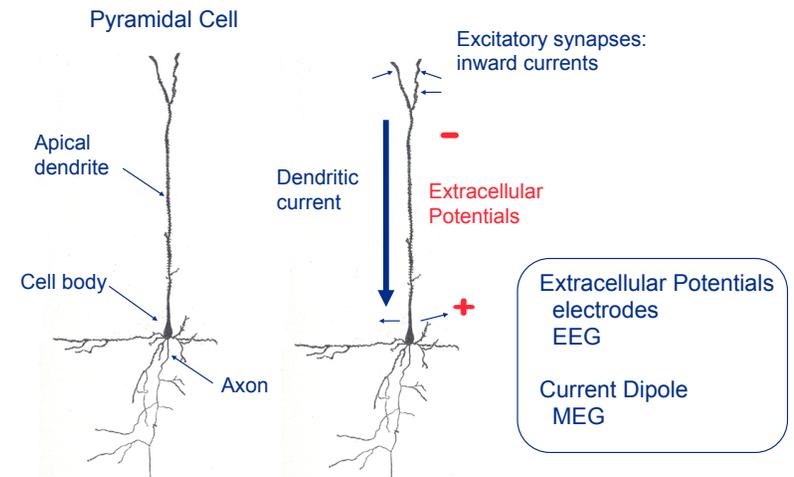
**Vasoactive neurotransmitters:**  
dopamine, GABA,  
acetylcholine,  
Vasoactive intestinal peptide

**Arachadonic acid pathways**  
COX, P450, EET's, HETE's

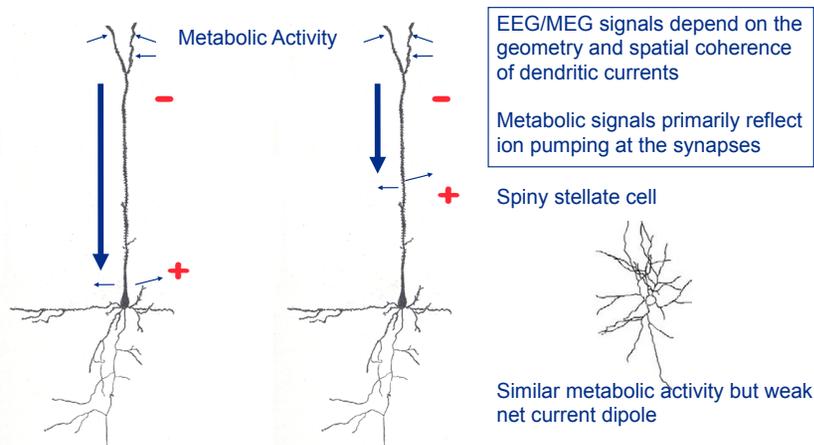
Girouard and Iadecola 2006

## Current Ideas and Speculations

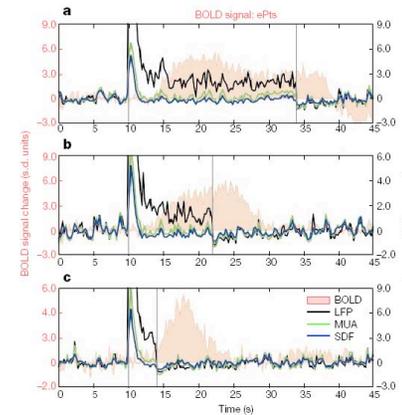
## Electrophysiology Signals



## EEG/MEG and fMRI reflections of neural activity



## BOLD response reflects synaptic activity



**Figure 3** Simultaneous neural and haemodynamic recordings from a cortical site showing transient neural response. **a-c**, Responses to a pulse stimulus of 24, 12 and 4 s. Both single- and multi-unit responses adapt a couple of seconds after stimulus onset, with LFP remaining the only signal correlated with the BOLD response. SDF, spike-density function (see text); ePis, electrode ROI—positive time series.

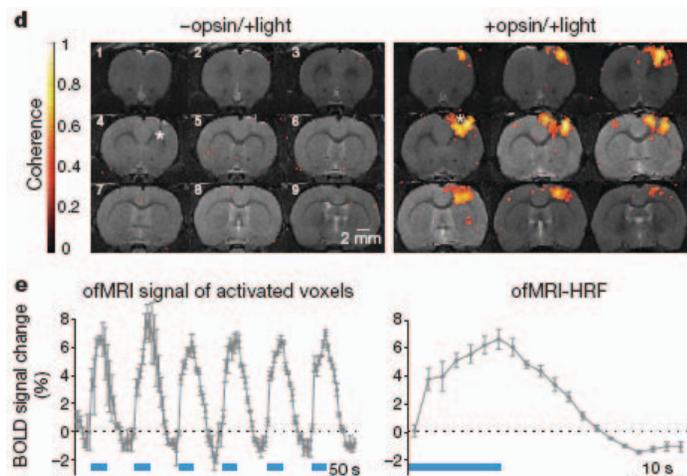
Local field potentials (LFP) reflect synaptic currents

Multi-unit activity (MUA) reflects spiking activity

MUA attenuates quickly, while LFP shows an extended response that correlates better with the BOLD response

Logothetis, 2001

## Optogenetic fMRI: BOLD response to light stimulation of principal neurons



Lee et al, 2010

Glucose metabolism increases more than oxygen metabolism during activation

**Lactate Shuttle Hypothesis (Magistretti, et al):** Glycolysis increase is more prominent in astrocytes, producing lactate that is transported to the neurons as fuel for oxidative metabolism

**Key questions:**

Do CBF and Glucose metabolism always vary together? (usually, but not always)

Does CBF need to increase to support Glucose metabolism? (no)

Is glycolysis preferred for providing ATP for synaptic activity? (maybe)

Do neurons primarily use lactate for oxidative metabolism? (maybe)

## No simple relation between blood flow and inhibitory neural activity

Inhibitory interneurons can drive (Cauli, 2004)

constriction with release of: somatostatin (SOM)  
neuropeptide Y (NPY)

dilation with release of: nitric oxide (NO)  
vasoactive intestinal peptide (VIP)

Astrocytes can constrict or dilate through multiple released agents, possibly depending on current tone or  $pO_2$ . (Gordon, 2008)

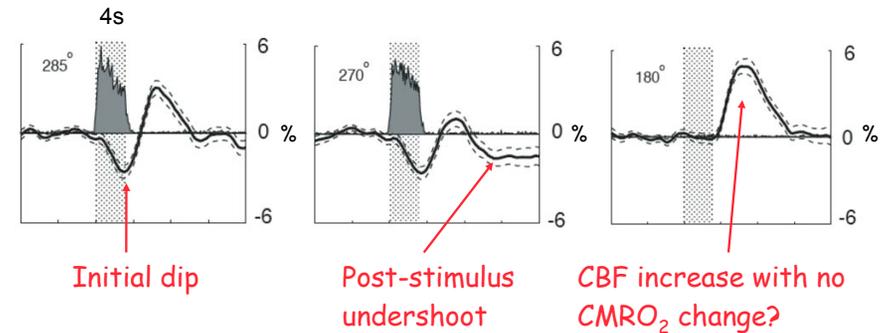
Adenosine inhibits neural activity but dilates vessels. Caffeine blocks adenosine receptors and: (Griffeth, 2011)

- lowers baseline CBF
- raises baseline  $CMRO_2$
- alters CBF/ $CMRO_2$  activation coupling

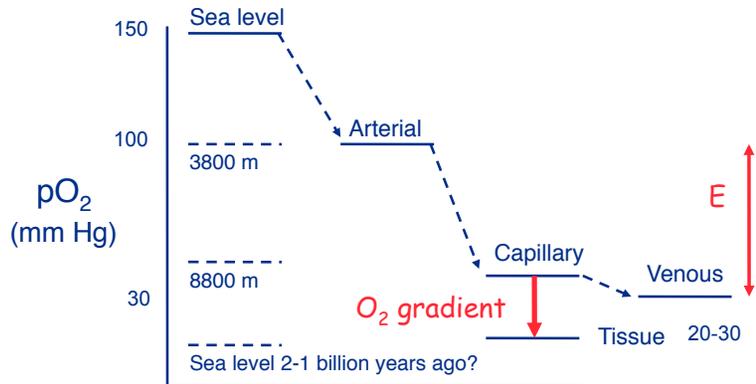
## Tissue $pO_2$ : Dynamic Responses

Thompson, et al (Science 299:1070, 2003):

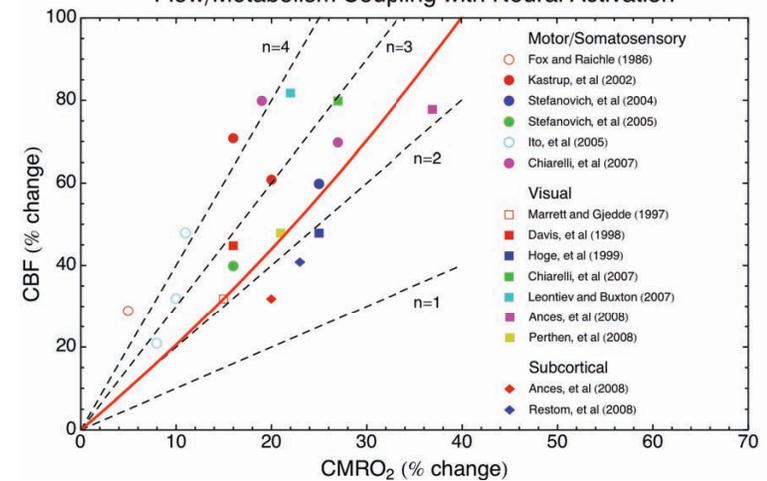
- Cat model with visual stimulation
- $pO_2$ :  $O_2$  microelectrode
- spike rate: single unit electrode



## Oxygen Concentration



## Flow/Metabolism Coupling with Neural Activation



Buxton, *Frontiers in Neuroenergetics*, 2:8, 2010

$$n = \frac{\% \Delta CBF}{\% \Delta CMRO_2}$$

## Does the brain try to maintain tissue $pO_2$ as $CMRO_2$ increases?

Potential answer to basic questions:

**Why is the flow change so large?**  
CBF change needs to be ~2–3 times larger than the  $CMRO_2$  change to maintain constant tissue  $pO_2$ .

**Why is the flow change so quick?**

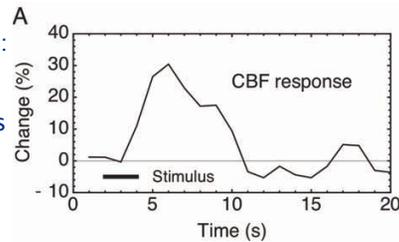
$O_2$  in brain: concentration ~ 0.3 mM (mostly in blood)  
metabolic rate ~ 1.6 mM/min  
depletion time ~ 10 sec

**Why does blood oxygenation change?**

Allows the capillary/tissue  $O_2$  gradient to increase without changing the tissue  $pO_2$ .

But the  $pO_2$  itself is probably not the signal for changing CBF

Buxton, *Frontiers in Neuroenergetics*, 2:8, 2010



## Current Ideas: CBF and energy metabolism responses

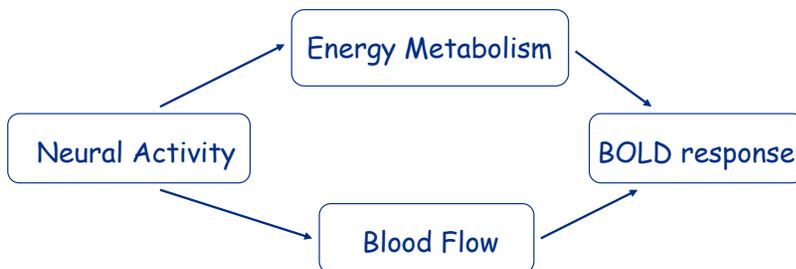
**Initial CBF response:**

Feed-forward, driven by neural activity, rather than a feed-back response to the increased energy demand.  
Strongly driven by excitatory synaptic activity.  
Feed-back control related to metabolism operates more slowly (?).

**Energy metabolism response:**

Major energy cost is related to pumping sodium after excitatory activity.  
 $CMRO_2$  also may be strongly driven by synaptic activity to provide energy for recycling neurotransmitter.  
 $CMRO_2$  increases to cover total energy costs.

## Working Hypothesis



CBF driven primarily by local synaptic activity.  
 $CMRO_2$  driven by total energy costs (synaptic plus spiking).  
BOLD response depends on both!